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| <b>Study No.:</b> 107973 (FluAS25-014)  |
| <p><b>Title:</b> A phase II, open, controlled study to evaluate the reactogenicity and the immunogenicity of GlaxoSmithKline Biologicals AS25 adjuvanted influenza vaccine (FluAS25) in elderly adults (<math>\geq 67</math> years) previously vaccinated in the FluAS25-002 clinical trial with the same candidate vaccine. Fluarix™ (known as <math>\alpha</math>-Rix™ in Belgium) will be used as a reference.</p> <p>FluAS25 (Flu-1): GlaxoSmithKline (GSK) Biologicals' AS25 adjuvanted influenza vaccine.</p> <p>Fluarix™ (Flu-2): GSK Biologicals' licensed influenza vaccine.</p>   |
| <p><b>Rationale:</b> The aim of this study was to evaluate the safety and immunogenicity of a re-vaccination dose of the Flu-1 vaccine (2006-2007 season) compared to that of the Flu-2 vaccine (2006-2007 season) after 2 previous vaccine administrations in adults aged 67 years or older.</p> <p>Subjects participating in this study had received the same vaccine in the FluAS25-001 (104887) and the FluAS25-002 (104540) studies.</p>   |
| <b>Phase:</b> II  |
| <b>Study Period:</b> 16 October 2006 to 14 November 2006  |
| <b>Study Design:</b> Open, controlled study with 2 parallel groups (1:1).   |
| <b>Centres:</b> 1 study centre in Belgium.  |
| <b>Indication:</b> Immunisation against influenza disease in an elderly population aged over 65 years.  |
| <p><b>Treatment:</b> The study groups were as follows:</p> <ul style="list-style-type: none"> <li>Flu-1 Group: subjects received 1 dose of Flu-1 vaccine at Day 0</li> <li>Flu-2 Group: subjects received 1 dose of Flu-2 vaccine at Day 0</li> </ul> <p>All vaccines were administered intramuscularly into the deltoid region of the non-dominant arm. All subjects had received 2 previous administrations of the same vaccine, a first one 24 months and another one 12 months before this study dose.</p>  |
| <p><b>Objectives:</b> To evaluate the safety of repeated revaccination with Flu-1 vaccine during the 21 days following the intramuscular administration of the vaccine. Flu-2 was used as reference.</p>  |
| <p><b>Primary Outcome/Efficacy Variable:</b></p> <p><i>Safety</i></p> <ul style="list-style-type: none"> <li>Percentage, intensity and relationship to vaccination of solicited local and general signs and symptoms during a 7-day follow-up period (i.e. day of vaccination and 6 subsequent days) after vaccination.</li> <li>Percentage, intensity and relationship to vaccination of unsolicited local and general signs and symptoms during a 21-day follow-up period (i.e. day of vaccination and 20 subsequent days) after vaccination.</li> <li>Occurrence of serious adverse events (SAEs) during the entire study.</li> </ul>  |
| <p><b>Secondary Outcome/Efficacy Variable(s):</b></p> <p><i>Immunogenicity</i></p> <p><u>Humoral immune response</u></p> <p>Observed variable:</p> <ul style="list-style-type: none"> <li>At Days 0 and 21: serum haemagglutination-inhibition (HI) antibody titre, against each of the 3 vaccine strains</li> </ul> <p>Derived variables:</p> <ul style="list-style-type: none"> <li>Geometric mean titres (GMTs) of HI antibody titres at Days 0 and 21</li> <li>Seroconversion rates* (SCR) at Day 21</li> <li>Seroconversion factors** (SCF) at Day 21</li> <li>Seroprotection rates*** (SPR) at Days 0 and 21</li> </ul> <p>* Seroconversion rate was defined as the percentage of vaccinees who had either a HI pre-vaccination titre <math>&lt; 1:10</math> and post-vaccination titre <math>\geq 1:40</math> or a HI pre-vaccination titre <math>\geq 1:10</math> and at least a four-fold increase in post-vaccination titre.</p> <p>**Seroconversion factor was defined as the fold increase in serum HI GMTs post-vaccination compared to Day 0.</p> <p>***Seroprotection rate was defined as the percentage of vaccinees with a serum HI titre <math>\geq 1:40</math> that usually is accepted as indicating protection.</p> <p><u>Anti-3-deacylated monophosphoryl lipid A (Anti-MPL) response</u></p> <p>Observed variables:</p> <ul style="list-style-type: none"> <li>At Days 0 and 21: anti-MPL antibody concentrations</li> </ul> <p>Derived variables:</p> |

- Geometric mean concentrations (GMCs) of serum anti-MPL antibodies pre- and post-vaccination

#### Cell-mediated immune (CMI) response

Observed variables:

- At Days 0 and 21: analysis of CMI for each sample collected at each stimulation dose used *in vitro*.

Derived variables:

- Frequency of cytokine-positive cluster of differentiation 4/8 (CD4/CD8) cells per million ( $10^6$ ) in tests producing at least 2 different cytokines among cluster of differentiation 40 Ligand, Interleukin-2, Tumour Necrosis alpha and Interferon-gamma (CD40L, IL-2, TNF- $\alpha$ , IFN- $\gamma$ ).
- Frequency of cytokine-positive CD4/CD8 cells per  $10^6$  in tests producing at least CD40L and another signal molecule (IL-2, IFN- $\gamma$ , TNF- $\alpha$ ).
- Frequency of cytokine-positive CD4/CD8 cells per  $10^6$  in tests producing at least IL-2 and another signal molecule (CD40L, IFN- $\gamma$ , TNF- $\alpha$ ).
- Frequency of cytokine-positive CD4/CD8 cells per  $10^6$  in tests producing at least IFN- $\gamma$  and another signal molecule (IL2, CD40L, TNF- $\alpha$ ).
- Frequency of cytokine-positive CD4/CD8 cells per  $10^6$  in tests producing at least TNF- $\alpha$  and another signal molecule (IL2, CD40L, IFN- $\gamma$ ).

#### **Statistical Methods:**

Analyses were performed on the Total Vaccinated cohort and on the According-To-Protocol (ATP) cohort for immunogenicity.

The Total Vaccinated cohort included all vaccinated subjects for whom data were available.

The ATP cohort for immunogenicity included all evaluable subjects (i.e., those meeting all eligibility criteria, complying with the procedures defined in the protocol, with no elimination criteria during the study) for whom immunogenicity data were available.

#### *Analysis of immunogenicity*

The analysis was performed on the ATP cohort for immunogenicity.

For each treatment group, the following parameters were calculated with 95% confidence intervals (CIs):

- Seropositivity rates and GMTs of HI antibody titres at Days 0 and 21
- SCR at Day 21
- SCF at Day 21
- SPR at Days 0 and 21
- GMC of anti-MPL antibodies at Days 0 and 21.

Descriptive statistics of the frequency of influenza-specific cytokine-positive CD4/CD8 T-lymphocytes were also tabulated for each treatment group at Days 0 and 21 and each strain.

#### *Analysis of safety*

The analysis was performed on the Total Vaccinated cohort.

The percentages of subjects reporting each individual solicited local symptom and general symptom during the 7-day (Days 0-6) solicited follow-up period were tabulated with exact 95% CI. The same tabulation was done for grade 3 symptom and general symptom assessed by the investigator as causally related to vaccination.

The percentage of subjects with at least one report of an unsolicited symptom classified by the Medical Dictionary for Regulatory Activities (MedDRA) Preferred Term within the 21 days (Days 0-20) after vaccination was tabulated. The same tabulation was done for unsolicited grade 3 AEs and unsolicited AEs that were assessed by the investigator as causally related to vaccination.

SAEs occurring during the entire study period were summarized according to MedDRA preferred terms.

**Study Population:** Male or female subjects aged 67 years or older at the time of revaccination, who previously received either Flu-1 (for subjects of the Flu-1 Group) or Flu-2 (for subjects of the Flu-2 Group) vaccine during FluAS25-002 clinical trial and who were free of any acute aggravation of the health status as established by clinical examination before entering the study. Subjects with a history of confirmed influenza infection since the date of previous vaccination, a history of hypersensitivity to a previous dose of influenza vaccine and/or a previous vaccination with influenza vaccine season 2006/2007 were excluded from the study. Written informed consent was obtained from the subjects before study enrolment.

| Number of subjects                      | Flu-1 Group | Flu-2 Group |
|---|-------------|-------------|
| Planned, N                              | 38          | 45          |
| Randomised, N (Total Vaccinated cohort) | 36          | 38          |

| Completed, n (%)  |                            | 36 (100)       |    |      |        |      | 38 (100)       |    |      |        |      |
|---|----------------------------|----------------|----|------|--------|------|----------------|----|------|--------|------|
| Total Number Subjects Withdrawn, n (%)  |                            | 0 (0.0)        |    |      |        |      | 0 (0.0)        |    |      |        |      |
| Withdrawn due to Adverse Events, n (%)  |                            | 0 (0.0)        |    |      |        |      | 0 (0.0)        |    |      |        |      |
| Withdrawn due to Lack of Efficacy, n (%)  |                            | Not applicable |    |      |        |      | Not applicable |    |      |        |      |
| Withdrawn for other reasons, n (%)  |                            | 0 (0.0)        |    |      |        |      | 0 (0.0)        |    |      |        |      |
| Demographics  |                            | Flu-1 Group    |    |      |        |      | Flu-2 Group    |    |      |        |      |
| N (Total Vaccinated cohort)   |                            | 36             |    |      |        |      | 38             |    |      |        |      |
| Females:Males   |                            | 21:15          |    |      |        |      | 22:16          |    |      |        |      |
| Mean Age, years (SD)  |                            | 72.5 (4.93)    |    |      |        |      | 72.7 (5.56)    |    |      |        |      |
| White - Caucasian, n (%)  |                            | 36 (100)       |    |      |        |      | 38 (100)       |    |      |        |      |
| Primary Efficacy Results: Percentage of subjects reporting solicited local symptoms during the 7-day (Days 0-6) post-vaccination period (Total Vaccinated cohort)   |                            |                |    |      |        |      |                |    |      |        |      |
| Symptom   | Intensity                  | Flu-1 Group    |    |      |        |      | Flu-2 Group    |    |      |        |      |
|   |                            | N              | n  | %    | 95% CI |      | N              | n  | %    | 95% CI |      |
|   |                            |                |    |      | LL     | UL   |                |    |      | LL     | UL   |
| Ecchymosis  | Any                        | 36             | 0  | 0.0  | 0.0    | 9.7  | 38             | 0  | 0.0  | 0.0    | 9.3  |
|   | > 50 mm                    | 36             | 0  | 0.0  | 0.0    | 9.7  | 38             | 0  | 0.0  | 0.0    | 9.3  |
| Pain  | Any                        | 36             | 24 | 66.7 | 49.0   | 81.4 | 38             | 10 | 26.3 | 13.4   | 43.1 |
|   | Grade 3                    | 36             | 0  | 0.0  | 0.0    | 9.7  | 38             | 0  | 0.0  | 0.0    | 9.3  |
| Redness   | Any                        | 36             | 6  | 16.7 | 6.4    | 32.8 | 38             | 2  | 5.3  | 0.6    | 17.7 |
|   | > 50 mm                    | 36             | 3  | 8.3  | 1.8    | 22.5 | 38             | 0  | 0.0  | 0.0    | 9.3  |
| Swelling  | Any                        | 36             | 6  | 16.7 | 6.4    | 32.8 | 38             | 2  | 5.3  | 0.6    | 17.7 |
|   | > 50 mm                    | 36             | 1  | 2.8  | 0.1    | 14.5 | 38             | 0  | 0.0  | 0.0    | 9.3  |
| N = number of subjects with the administered dose<br>n (%) = number (percentage) of subjects reporting at least once the symptom<br>95% CI = exact 95% confidence interval; LL = lower limit, UL = upper limit<br>Any = incidence of a particular symptom regardless of intensity grade<br>Grade 3 pain = pain that prevented normal activity |                            |                |    |      |        |      |                |    |      |        |      |
| Primary Efficacy Results: Percentage of subjects reporting solicited general symptoms during the 7-day (Days 0-6) post-vaccination period (Total Vaccinated cohort)   |                            |                |    |      |        |      |                |    |      |        |      |
| Symptom   | Intensity/<br>Relationship | Flu-1 Group    |    |      |        |      | Flu-2 Group    |    |      |        |      |
|   |                            | N              | n  | %    | 95% CI |      | N              | n  | %    | 95% CI |      |
|   |                            |                |    |      | LL     | UL   |                |    |      | LL     | UL   |
| Arthralgia  | Any                        | 36             | 12 | 33.3 | 18.6   | 51.0 | 38             | 3  | 7.9  | 1.7    | 21.4 |
|   | Grade 3                    | 36             | 0  | 0.0  | 0.0    | 9.7  | 38             | 0  | 0.0  | 0.0    | 9.3  |
|   | Related                    | 36             | 10 | 27.8 | 14.2   | 45.2 | 38             | 1  | 2.6  | 0.1    | 13.8 |
| Fatigue   | Any                        | 36             | 18 | 50.0 | 32.9   | 67.1 | 38             | 6  | 15.8 | 6.0    | 31.3 |
|   | Grade 3                    | 36             | 0  | 0.0  | 0.0    | 9.7  | 38             | 1  | 2.6  | 0.1    | 13.8 |
|   | Related                    | 36             | 15 | 41.7 | 25.5   | 59.2 | 38             | 3  | 7.9  | 1.7    | 21.4 |
| Fever (axillary)  | ≥ 37.5°C                   | 36             | 3  | 8.3  | 1.8    | 22.5 | 38             | 0  | 0.0  | 0.0    | 9.3  |
|   | > 39°C                     | 36             | 0  | 0.0  | 0.0    | 9.7  | 38             | 0  | 0.0  | 0.0    | 9.3  |
|   | Related                    | 36             | 3  | 8.3  | 1.8    | 22.5 | 38             | 0  | 0.0  | 0.0    | 9.3  |
| Headache  | Any                        | 36             | 12 | 33.3 | 18.6   | 51.0 | 38             | 4  | 10.5 | 2.9    | 24.8 |
|   | Grade 3                    | 36             | 1  | 2.8  | 0.1    | 14.5 | 38             | 0  | 0.0  | 0.0    | 9.3  |
|   | Related                    | 36             | 11 | 30.6 | 16.3   | 48.1 | 38             | 1  | 2.6  | 0.1    | 13.8 |
| Myalgia   | Any                        | 36             | 13 | 36.1 | 20.8   | 53.8 | 38             | 5  | 13.2 | 4.4    | 28.1 |
|   | Grade 3                    | 36             | 1  | 2.8  | 0.1    | 14.5 | 38             | 0  | 0.0  | 0.0    | 9.3  |
|   | Related                    | 36             | 12 | 33.3 | 18.6   | 51.0 | 38             | 2  | 5.3  | 0.6    | 17.7 |
| Shivering   | Any                        | 36             | 13 | 36.1 | 20.8   | 53.8 | 38             | 1  | 2.6  | 0.1    | 13.8 |
|   | Grade 3                    | 36             | 1  | 2.8  | 0.1    | 14.5 | 38             | 0  | 0.0  | 0.0    | 9.3  |
|   | Related                    | 36             | 13 | 36.1 | 20.8   | 53.8 | 38             | 0  | 0.0  | 0.0    | 9.3  |
| N = number of subjects with the administered dose<br>n (%) = number (percentage) of subjects reporting at least once the symptom<br>95% CI = exact 95% confidence interval; LL = lower limit, UL = upper limit<br>Any = incidence of a particular symptom regardless of intensity grade or relationship to vaccination                        |                            |                |    |      |        |      |                |    |      |        |      |

Related = symptoms assessed by the investigator as causally related to vaccination

**Secondary Outcome Variable(s):** Seropositivity rates and GMTs for HI antibody titre at Day 0 and Day 21 (ATP cohort for immunogenicity)

| Antibody against | Group | Timing  | N  | ≥ 1:10 |      |        |      | GMT   |        |       |
|------------------|-------|---------|----|--------|------|--------|------|-------|--------|-------|
|                  |       |         |    | n      | %    | 95% CI |      | Value | 95% CI |       |
|                  |       |         |    |        |      | LL     | UL   |       | LL     | UL    |
| A/New Caledonia  | Flu-1 | PRE     | 36 | 36     | 100  | 90.3   | 100  | 63.5  | 48.4   | 83.4  |
|                  |       | PI(D21) | 36 | 36     | 100  | 90.3   | 100  | 117.7 | 92.7   | 149.4 |
|                  | Flu-2 | PRE     | 38 | 37     | 97.4 | 86.2   | 99.9 | 52.6  | 36.7   | 75.4  |
|                  |       | PI(D21) | 38 | 37     | 97.4 | 86.2   | 99.9 | 90.1  | 62.3   | 130.3 |
| A/Wisconsin      | Flu-1 | PRE     | 36 | 35     | 97.2 | 85.5   | 99.9 | 76.9  | 50.7   | 116.8 |
|                  |       | PI(D21) | 36 | 36     | 100  | 90.3   | 100  | 538.2 | 372.4  | 777.8 |
|                  | Flu-2 | PRE     | 38 | 35     | 92.1 | 78.6   | 98.3 | 63.1  | 43.8   | 91.0  |
|                  |       | PI(D21) | 38 | 38     | 100  | 90.7   | 100  | 222.2 | 163.8  | 301.6 |
| B/Malaysia       | Flu-1 | PRE     | 36 | 36     | 100  | 90.3   | 100  | 40.3  | 29.7   | 54.8  |
|                  |       | PI(D21) | 36 | 36     | 100  | 90.3   | 100  | 188.5 | 140.0  | 253.7 |
|                  | Flu-2 | PRE     | 38 | 38     | 100  | 90.7   | 100  | 45.9  | 35.3   | 59.6  |
|                  |       | PI(D21) | 38 | 38     | 100  | 90.7   | 100  | 169.1 | 125.6  | 227.7 |

PI(D21) = post-vaccination Dose 1 at Day 21

**Secondary Outcome Variable(s):** Seroconversion rate (SCR) for HI antibody titre at Day 21 (ATP cohort for immunogenicity)

| Antibody against | Group | SCR |    |      |        |      |
|------------------|-------|-----|----|------|--------|------|
|                  |       | N   | n  | %    | 95% CI |      |
|                  |       |     |    |      | LL     | UL   |
| A/New Caledonia  | Flu-1 | 36  | 6  | 16.7 | 6.4    | 32.8 |
|                  | Flu-2 | 38  | 6  | 15.8 | 6.0    | 31.3 |
| A/Wisconsin      | Flu-1 | 36  | 26 | 72.2 | 54.8   | 85.8 |
|                  | Flu-2 | 38  | 13 | 34.2 | 19.6   | 51.4 |
| B/Malaysia       | Flu-1 | 36  | 24 | 66.7 | 49.0   | 81.4 |
|                  | Flu-2 | 38  | 16 | 42.1 | 26.3   | 59.2 |

95% CI = 95% confidence interval, LL = Lower Limit, UL = Upper Limit

**Secondary Outcome Variable(s):** Seroconversion factor (SCF) for HI antibody titre at Day 21 (ATP cohort for immunogenicity)

| Antibody against | Group | N  | SCF   |        |      |
|------------------|-------|----|-------|--------|------|
|                  |       |    | Value | 95% CI |      |
|                  |       |    |       | LL     | UL   |
| A/New Caledonia  | Flu-1 | 36 | 1.9   | 1.5    | 2.2  |
|                  | Flu-2 | 38 | 1.7   | 1.2    | 2.5  |
| A/Wisconsin      | Flu-1 | 36 | 7.0   | 4.7    | 10.3 |
|                  | Flu-2 | 38 | 3.5   | 2.5    | 5.0  |
| B/Malaysia       | Flu-1 | 36 | 4.7   | 3.5    | 6.2  |
|                  | Flu-2 | 38 | 3.7   | 2.6    | 5.2  |

SCF = seroconversion factor of the within-subject ratios of the post-vaccination reciprocal HI titre to the Day 0 reciprocal

| HI titre<br>N = number of subjects with pre- and post-vaccination results available<br>95% CI = 95% confidence interval, LL = Lower Limit, UL = Upper Limit  |                     |         |              |      |        |         |               |         |       |
|--|---------------------|---------|--------------|------|--------|---------|---------------|---------|-------|
| Secondary Outcome Variable(s): Seroprotection rates (SPR) for HI antibody titre at Day 0 and Day 21 (ATP cohort for immunogenicity)  |                     |         |              |      |        |         |               |         |       |
| Antibody against   | Group               | Timing  | SPR          |      |        |         |               |         |       |
|  |                     |         | N            | n    | %      | 95% CI  |               |         |       |
|  |                     |         |              |      |        | LL      | UL            |         |       |
| A/New Caledonia  | Flu-1               | PRE     | 36           | 28   | 77.8   | 60.8    | 89.9          |         |       |
|  |                     | PI(D21) | 36           | 35   | 97.2   | 85.5    | 99.9          |         |       |
|  | Flu-2               | PRE     | 38           | 27   | 71.1   | 54.1    | 84.6          |         |       |
|  |                     | PI(D21) | 38           | 34   | 89.5   | 75.2    | 97.1          |         |       |
| A/Wisconsin  | Flu-1               | PRE     | 36           | 27   | 75.0   | 57.8    | 87.9          |         |       |
|  |                     | PI(D21) | 36           | 35   | 97.2   | 85.5    | 99.9          |         |       |
|  | Flu-2               | PRE     | 38           | 31   | 81.6   | 65.7    | 92.3          |         |       |
|  |                     | PI(D21) | 38           | 37   | 97.4   | 86.2    | 99.9          |         |       |
| B/Malaysia   | Flu-1               | PRE     | 36           | 20   | 55.6   | 38.1    | 72.1          |         |       |
|  |                     | PI(D21) | 36           | 36   | 100    | 90.3    | 100           |         |       |
|  | Flu-2               | PRE     | 38           | 26   | 68.4   | 51.3    | 82.5          |         |       |
|  |                     | PI(D21) | 38           | 38   | 100    | 90.7    | 100           |         |       |
| N = number of subjects with available results<br>n (%) = number (percentage) of subjects with seroprotective titres (HI titre ≥ 1:40)<br>95% CI = 95% confidence interval, LL = Lower Limit, UL = Upper Limit<br>PRE = pre-vaccination Dose 1 at Day 0<br>PI(D21) = post-vaccination Dose 1 at Day 21  |                     |         |              |      |        |         |               |         |       |
| Secondary Outcome Variable(s): Percentage of subjects with anti-MPL antibody concentrations ≥ the limit of quantitation level and GMCs at Day 0 and Day 21 (ATP cohort for immunogenicity)   |                     |         |              |      |        |         |               |         |       |
| Group  | Timing              | N       | ≥ 59 EL.U/mL |      |        |         | GMC (EL.U/mL) |         |       |
|  |                     |         | n            | %    | 95% CI |         | Value         | 95% CI  |       |
|  |                     |         |              |      | LL     | UL      |               | LL      | UL    |
| Flu-1  | PRE                 | 36      | 31           | 86.1 | 70.5   | 95.3    | 198.2         | 143.5   | 273.8 |
|  | PI(D21)             | 36      | 34           | 94.4 | 81.3   | 99.3    | 594.8         | 417.9   | 846.7 |
| Flu-2  | PRE                 | 37      | 18           | 48.6 | 31.9   | 65.6    | 74.6          | 52.0    | 106.9 |
|  | PI(D21)             | 38      | 25           | 65.8 | 48.6   | 80.4    | 125.1         | 82.6    | 189.4 |
| GMC = geometric mean antibody concentration<br>N = number of subjects with available results<br>n (%) = number (percentage) of subjects with antibody concentration ≥ 59 EL.U/mL<br>95% CI = 95% confidence interval, LL = Lower Limit, UL = Upper Limit<br>PRE = pre-vaccination Dose 1 at Day 0<br>PI(D21) = post-vaccination Dose 1 at Day 21 |                     |         |              |      |        |         |               |         |       |
| Secondary Outcome Variable(s): Descriptive statistics on the frequency of cytokine-positive CD4 T-cells (per million CD4 T-cells) for each strain at Day 0 and Day 21 (ATP cohort for immunogenicity)  |                     |         |              |      |        |         |               |         |       |
| Immune marker  | Stimulating antigen | Group   | Timing       | N    | Nmiss  | GM      | SD            | Median  |       |
| CD4. All doubles   | A/New Caledonia     | Flu-1   | PRE          | 35   | 1      | 825.00  | 619.49        | 1056.00 |       |
|  |                     |         | PI(D21)      | 35   | 1      | 1666.81 | 916.01        | 1705.00 |       |
|  |                     | Flu-2   | PRE          | 35   | 3      | 576.96  | 483.69        | 538.00  |       |
|  |                     |         | PI(D21)      | 36   | 2      | 920.49  | 756.25        | 817.50  |       |
|  | A/Wisconsin         | Flu-1   | PRE          | 35   | 1      | 372.06  | 314.43        | 446.00  |       |
|  |                     |         | PI(D21)      | 35   | 1      | 498.86  | 509.52        | 608.00  |       |
|  |                     | Flu-2   | PRE          | 35   | 3      | 148.51  | 192.35        | 216.00  |       |
|  |                     |         | PI(D21)      | 36   | 2      | 319.52  | 398.33        | 338.50  |       |
|  | B/Malaysia          | Flu-1   | PRE          | 35   | 1      | 961.89  | 486.85        | 1180.00 |       |
|  |                     |         | PI(D21)      | 35   | 1      | 1867.22 | 902.97        | 1807.00 |       |
|  |                     | Flu-2   | PRE          | 35   | 3      | 751.70  | 437.63        | 876.00  |       |

|                    |                 |       |         |    |   |         |         |         |
|--------------------|-----------------|-------|---------|----|---|---------|---------|---------|
|                    | Pooled strains  | Flu-1 | PI(D21) | 36 | 2 | 1117.70 | 682.45  | 1130.00 |
|                    |                 |       | PRE     | 35 | 1 | 2104.77 | 1043.04 | 2412.00 |
|                    |                 | Flu-2 | PI(D21) | 35 | 1 | 4176.85 | 1978.54 | 4188.00 |
|                    |                 |       | PRE     | 35 | 3 | 1602.29 | 937.48  | 1576.00 |
| CD4. CD40L         | A/New Caledonia | Flu-1 | PRE     | 35 | 1 | 723.20  | 582.31  | 985.00  |
|                    |                 |       | PI(D21) | 35 | 1 | 1471.77 | 819.74  | 1539.00 |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 507.74  | 456.89  | 471.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 781.48  | 676.91  | 711.50  |
|                    | A/Wisconsin     | Flu-1 | PRE     | 35 | 1 | 264.30  | 295.86  | 429.00  |
|                    |                 |       | PI(D21) | 35 | 1 | 394.56  | 468.73  | 491.00  |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 97.45   | 157.66  | 167.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 235.63  | 362.36  | 260.50  |
|                    | B/Malaysia      | Flu-1 | PRE     | 35 | 1 | 726.46  | 467.40  | 956.00  |
|                    |                 |       | PI(D21) | 35 | 1 | 1575.63 | 817.80  | 1632.00 |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 638.66  | 393.60  | 618.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 948.84  | 567.54  | 966.00  |
|                    | Pooled strains  | Flu-1 | PRE     | 35 | 1 | 1848.49 | 949.66  | 2145.00 |
|                    |                 |       | PI(D21) | 35 | 1 | 3630.08 | 1822.09 | 3850.00 |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 1393.13 | 852.22  | 1470.00 |
|                    |                 |       | PI(D21) | 36 | 2 | 2125.84 | 1236.49 | 2241.00 |
| CD4. IFN- $\gamma$ | A/New Caledonia | Flu-1 | PRE     | 35 | 1 | 546.48  | 480.07  | 651.00  |
|                    |                 |       | PI(D21) | 35 | 1 | 1007.72 | 644.51  | 1161.00 |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 262.08  | 312.05  | 323.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 440.25  | 520.69  | 459.00  |
|                    | A/Wisconsin     | Flu-1 | PRE     | 35 | 1 | 175.08  | 213.54  | 210.00  |
|                    |                 |       | PI(D21) | 35 | 1 | 365.26  | 383.10  | 434.00  |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 54.70   | 99.28   | 109.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 185.43  | 239.54  | 191.50  |
|                    | B/Malaysia      | Flu-1 | PRE     | 35 | 1 | 515.60  | 385.49  | 622.00  |
|                    |                 |       | PI(D21) | 35 | 1 | 1178.39 | 713.74  | 1313.00 |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 306.37  | 337.90  | 320.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 484.69  | 506.99  | 617.50  |
|                    | Pooled strains  | Flu-1 | PRE     | 35 | 1 | 1176.07 | 810.60  | 1335.00 |
|                    |                 |       | PI(D21) | 35 | 1 | 2633.52 | 1354.87 | 2836.00 |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 748.52  | 623.12  | 788.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 1282.71 | 854.58  | 1495.00 |
| CD4. IL-2          | A/New Caledonia | Flu-1 | PRE     | 35 | 1 | 742.50  | 539.78  | 904.00  |
|                    |                 |       | PI(D21) | 35 | 1 | 1464.45 | 808.31  | 1472.00 |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 497.40  | 424.03  | 541.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 793.27  | 682.87  | 731.50  |
|                    | A/Wisconsin     | Flu-1 | PRE     | 35 | 1 | 318.33  | 268.73  | 340.00  |
|                    |                 |       | PI(D21) | 35 | 1 | 409.91  | 421.98  | 446.00  |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 130.21  | 176.16  | 176.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 276.52  | 350.26  | 271.50  |
|                    | B/Malaysia      | Flu-1 | PRE     | 35 | 1 | 838.59  | 438.83  | 1015.00 |
|                    |                 |       | PI(D21) | 35 | 1 | 1593.12 | 799.39  | 1596.00 |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 631.04  | 390.90  | 659.00  |
|                    |                 |       | PI(D21) | 36 | 2 | 977.32  | 627.69  | 1006.50 |
|                    | Pooled strains  | Flu-1 | PRE     | 35 | 1 | 1800.98 | 970.46  | 2019.00 |
|                    |                 |       | PI(D21) | 35 | 1 | 3605.58 | 1748.74 | 3602.00 |
|                    |                 | Flu-2 | PRE     | 35 | 3 | 1366.11 | 843.79  | 1451.00 |
|                    |                 |       | PI(D21) | 36 | 2 | 2104.25 | 1229.21 | 2137.00 |
| CD4. TNF- $\alpha$ | A/New Caledonia | Flu-1 | PRE     | 35 | 1 | 666.85  | 554.47  | 809.00  |
|                    |                 |       | PI(D21) | 35 | 1 | 1312.45 | 744.43  | 1402.00 |

|  |                |       |         |    |   |         |         |         |
|--|----------------|-------|---------|----|---|---------|---------|---------|
|  | A/Wisconsin    | Flu-2 | PRE     | 35 | 3 | 445.25  | 418.83  | 503.00  |
|  |                |       | PI(D21) | 36 | 2 | 676.62  | 603.96  | 650.50  |
|  |                | Flu-1 | PRE     | 35 | 1 | 244.02  | 284.28  | 352.00  |
|  |                |       | PI(D21) | 35 | 1 | 378.70  | 426.23  | 530.00  |
|  | B/Malaysia     | Flu-2 | PRE     | 35 | 3 | 100.78  | 176.19  | 157.00  |
|  |                |       | PI(D21) | 36 | 2 | 229.28  | 322.18  | 240.00  |
|  |                | Flu-1 | PRE     | 35 | 1 | 762.05  | 410.29  | 873.00  |
|  |                |       | PI(D21) | 35 | 1 | 1386.45 | 730.64  | 1496.00 |
|  | Pooled strains | Flu-2 | PRE     | 35 | 3 | 596.87  | 378.99  | 610.00  |
|  |                |       | PI(D21) | 36 | 2 | 839.27  | 550.60  | 926.00  |
|  |                | Flu-1 | PRE     | 35 | 1 | 1725.85 | 917.29  | 2054.00 |
|  |                |       | PI(D21) | 35 | 1 | 3253.24 | 1459.71 | 3277.00 |

N = number of subjects with available results

GM= Geometric Mean

SD = Standard Deviation

Nmiss = number of subjects with missing results

CD4. All doubles: T-cells producing at least 2 cytokines

CD4. CD40L = T-cells producing at least CD40L and another cytokine

CD4. IL2 = T-cells producing at least IL2 and another cytokine

CD4. INF $\gamma$  = T-cells producing at least INF $\gamma$  and another cytokine

CD4. TNF $\alpha$  = T-cells producing at least TNF $\alpha$  and another cytokine

PRE = pre-vaccination Dose 1 at Day 0

PI(D21) = post-vaccination Dose 1 at Day 21

**Secondary Outcome Variable(s):** Descriptive statistics on the frequency of cytokine-positive CD8 T-cells (per million CD8 T-cells) for each strain at Day 0 and Day 21 (ATP cohort for immunogenicity)

| Immune marker    | Stimulating antigen | Group | Timing  | N  | Nmiss | GM    | SD     | Median |
|------------------|---------------------|-------|---------|----|-------|-------|--------|--------|
| CD8. All doubles | A/New Caledonia     | Flu-1 | PRE     | 34 | 2     | 8.92  | 187.49 | 1.00   |
|                  |                     |       | PI(D21) | 35 | 1     | 9.55  | 240.50 | 1.00   |
|                  |                     | Flu-2 | PRE     | 35 | 3     | 11.30 | 197.38 | 3.00   |
|                  |                     |       | PI(D21) | 36 | 2     | 13.48 | 177.88 | 35.00  |
|                  | A/Wisconsin         | Flu-1 | PRE     | 35 | 1     | 13.13 | 120.47 | 67.00  |
|                  |                     |       | PI(D21) | 35 | 1     | 5.34  | 92.96  | 1.00   |
|                  |                     | Flu-2 | PRE     | 35 | 3     | 8.98  | 57.91  | 1.00   |
|                  |                     |       | PI(D21) | 36 | 2     | 5.74  | 50.79  | 1.00   |
|                  | B/Malaysia          | Flu-1 | PRE     | 35 | 1     | 28.01 | 175.78 | 75.00  |
|                  |                     |       | PI(D21) | 35 | 1     | 17.11 | 200.83 | 72.00  |
|                  |                     | Flu-2 | PRE     | 35 | 3     | 9.20  | 108.45 | 1.00   |
|                  |                     |       | PI(D21) | 36 | 2     | 6.49  | 64.20  | 1.00   |
|                  | Pooled strains      | Flu-1 | PRE     | 34 | 2     | 21.49 | 266.71 | 74.00  |
|                  |                     |       | PI(D21) | 35 | 1     | 44.29 | 283.36 | 145.00 |
|                  |                     | Flu-2 | PRE     | 35 | 3     | 30.46 | 212.21 | 93.00  |
|                  |                     |       | PI(D21) | 36 | 2     | 13.17 | 243.54 | 4.00   |
| CD8. CD40L       | A/New Caledonia     | Flu-1 | PRE     | 34 | 2     | 1.30  | 19.74  | 1.00   |
|                  |                     |       | PI(D21) | 35 | 1     | 1.84  | 25.10  | 1.00   |
|                  |                     | Flu-2 | PRE     | 35 | 3     | 1.63  | 23.38  | 1.00   |
|                  |                     |       | PI(D21) | 36 | 2     | 1.61  | 22.57  | 1.00   |
|                  | A/Wisconsin         | Flu-1 | PRE     | 35 | 1     | 5.12  | 75.34  | 1.00   |
|                  |                     |       | PI(D21) | 35 | 1     | 1.93  | 54.05  | 1.00   |
|                  |                     | Flu-2 | PRE     | 35 | 3     | 2.45  | 40.04  | 1.00   |
|                  |                     |       | PI(D21) | 36 | 2     | 1.64  | 22.27  | 1.00   |
|                  | B/Malaysia          | Flu-1 | PRE     | 35 | 1     | 3.82  | 80.68  | 1.00   |
|                  |                     |       | PI(D21) | 35 | 1     | 2.41  | 113.96 | 1.00   |

[illegible]



GM= Geometric Mean  
SD = Standard Deviation  
Nmiss = number of subjects with missing results  
CD8. All doubles: T-cells producing at least 2 cytokines  
CD8. CD40L = T-cells producing at least CD40L and another cytokine  
CD8. IL2 = T-cells producing at least IL2 and another cytokine  
CD8. INF $\gamma$  = T-cells producing at least INF $\gamma$  and another cytokine  
CD8. TNF $\alpha$  = T-cells producing at least TNF $\alpha$  and another cytokine  
PRE = pre-vaccination Dose 1 at Day 0  
PI(D21) = post-vaccination Dose 1 at Day 21

**Safety Results:** Number (%) of subjects with unsolicited adverse events (AEs) reported during the 21-day follow-up period (Total Vaccinated cohort)

| <b>Most frequent adverse events -<br/>On-Therapy (occurring within Days 0-20 following vaccination)</b> | <b>Flu-1 Group<br/>N = 36</b> | <b>Flu-2 Group<br/>N = 38</b> |
|---|-------------------------------|-------------------------------|
| Subjects with any AE(s), n (%)  | 8 (22.2)                      | 11 (28.9)                     |
| Subjects with Grade 3* AE(s)  | 3 (8.3)                       | 0 (0.0)                       |
| Subjects with related **AE(s)   | 2 (5.6)                       | 1 (2.6)                       |
| Nasopharyngitis   | 1 (2.8)                       | 3 (7.9)                       |
| Chills  | 2 (5.6)                       | 1 (2.6)                       |
| Upper respiratory tract infection   | 1 (2.8)                       | 2 (5.3)                       |
| Listlessness  | 1 (2.8)                       | 1 (2.6)                       |
| Arthritis   | -                             | 1 (2.6)                       |
| Diarrhoea   | -                             | 1 (2.6)                       |
| Emotional disorder  | -                             | 1 (2.6)                       |
| Gastroenteritis   | -                             | 1 (2.6)                       |
| Headache  | 1 (2.8)                       | -                             |
| Herpes zoster   | 1 (2.8)                       | -                             |
| Nausea  | 1 (2.8)                       | -                             |
| Nervousness   | 1 (2.8)                       | -                             |
| Pharyngolaryngeal pain  | -                             | 1 (2.6)                       |
| Pyrexia   | 1 (2.8)                       | -                             |
| Rhinitis  | -                             | 1 (2.6)                       |
| Sensation of heaviness  | 1 (2.8)                       | -                             |
| Tooth abscess   | 1 (2.8)                       | -                             |
| Vertigo   | 1 (2.8)                       | -                             |

- Adverse event absent

\* Grade 3 AE: AE that prevented normal activity

\*\* Related AE: AE assessed by the investigator as causally related to the study vaccination

**Safety Results:** Number (%) of subjects with serious adverse events (SAEs) throughout the study (Total Vaccinated cohort)

**Serious adverse events, n (%) [n considered by the investigator to be related to study medication]**

| <b>All SAEs</b>   | <b>Flu-1 Group<br/>N = 36</b> | <b>Flu-2 Group<br/>N = 38</b> |
|---|-------------------------------|-------------------------------|
| Subjects with any SAE(s), n (%) [n assessed by investigator as related]   | 0 (0.0) [0]                   | 0 (0.0) [0]                   |
| <b>Fatal SAEs</b>   | <b>Flu-1 Group<br/>N = 36</b> | <b>Flu-2 Group<br/>N = 38</b> |
| Subjects with fatal SAE(s), n (%) [n assessed by investigator as related] | 0 (0.0) [0]                   | 0 (0.0) [0]                   |

#### **Conclusion:**

During the 7-day post-vaccination period, across groups, pain was the most frequently reported solicited local symptom with 24 (66.7%) and 10 (26.3%) subjects in the Flu-1 and the Flu-2 groups, respectively. In the Flu-2 Group, no grade 3 local symptoms were reported. In the Flu-1 Group, 3 subjects reported redness above 50 mm and 1 subject reported swelling above 50 mm.

During the same period, the most frequently reported solicited general symptom was fatigue for 18 (50.0%) and 6 (15.8%) subjects in the Flu-1 and Flu-2 groups, respectively. Among all the general symptoms assessed, related symptoms were reported in at least 8.3% of the subjects in the Flu-1 Group and 2.6% of the subjects in the Flu-2 Group.

Grade 3 headache, myalgia and shivering in the Flu-1 Group and Grade 3 fatigue in the Flu-2 Group were each reported in 1 subject.

Within the 21-day follow-up period, at least one unsolicited AE was reported by 8 (22.2%) and 11 (28.9%) subjects in the Flu-1 Group and the Flu-2 Group, respectively. During the same period, 3 (8.3%) subjects in the Flu-1 Group reported grade 3 unsolicited AEs and 2 (5.6%) subjects and 1 (2.6%) subject in the Flu-1 and the Flu-2 groups, respectively, reported unsolicited AEs assessed by the investigator as causally related to the vaccination. No SAEs were reported throughout this study.

Date updated: 11-August-2014