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Tuesday, April 08, 2014

Dear Pr von der Leyen

As I promised to you a few days ago, please find joined a hard copy of the Final analysis report of the BINGO trial that you need for the German authorities.

A manuscript presenting the results of this trial has been submitted to the Lancet Oncology and the peer-review process is on-going.

I apologize for the delay of my response but as you probably know I was not in charge of that protocol in my team.

I do sincerely hope that you were not too much in trouble following this problem of absence of communication.

Sincerely Yours

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4.5.2014  
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**Sponsor Protocol N°: CSET 2007/1287**  
**EudraCT N°: 2007-001200-20**

**A Multicenter, Randomized Phase II Trial Assessing the  
Activity of Gemcitabine – Oxaliplatin Chemotherapy Alone  
or in Combination with Cetuximab in Patients with  
Advanced Biliary Cancer**

**EMR 62202-693 – BINGO**  
**(Biliary cancers: EGFR INhibitor, Gemcitabine and Oxaliplatin)**

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**BINGO**  
**Final analysis of the phase II trial**  
**2012, October 30<sup>th</sup>**

**Report prepared by Audrey Mauguen, Guillaume Danton,  
Vanessa Rousseau, Stéphanie Foulon, Jean-Pierre Pignon, and David Malka**

## Table of contents

|          |   |           |
|----------|---|-----------|
| <b>1</b> | <b>Introduction .....</b>   | <b>6</b>  |
| <b>2</b> | <b>Recruitment .....</b>  | <b>7</b>  |
| <b>3</b> | <b>Baseline description of the analysis population .....</b>                          | <b>8</b>  |
| 3.1      | Centers .....   | 8         |
| 3.2      | Baseline characteristics .....  | 8         |
| 3.3      | Tumor characteristics .....   | 10        |
| 3.4      | Prior treatments received .....   | 11        |
| <b>4</b> | <b>Description of study treatment received .....</b>                                  | <b>12</b> |
| 4.1      | Description of cycles given and dose modifications .....                              | 12        |
| 4.2      | Description of delayed cycles .....   | 15        |
| 4.3      | End of treatment .....  | 16        |
| 4.4      | Description of the patients with curative-intent surgery after the study treatment .. | 17        |
| <b>5</b> | <b>Toxicities observed during treatment .....</b>                                     | <b>18</b> |
| 5.1      | Severe toxicities .....   | 18        |
| 5.2      | Details on infectious events .....  | 24        |
| 5.2.1    | Cholangitis .....   | 24        |
| 5.2.2    | Other infections .....  | 24        |
| <b>6</b> | <b>Serious adverse events .....</b>   | <b>27</b> |
| <b>7</b> | <b>Response to treatment .....</b>  | <b>30</b> |
| 7.1      | 4-month progression-free survival rate .....  | 30        |
| 7.1.1    | Overall population .....  | 30        |
| 7.1.2    | Subgroup analyses .....   | 30        |
| 7.2      | Tumor response and disease control rates .....  | 31        |
| 7.2.1    | At two months .....   | 31        |
| 7.2.2    | At four months .....  | 33        |
| 7.2.3    | After four months .....   | 34        |
| 7.2.4    | Best response and response duration .....   | 34        |
| 7.3      | Results of scanner review .....   | 35        |
| <b>8</b> | <b>Follow-up and long-term assessment .....</b>                                       | <b>37</b> |
| 8.1      | Follow-up .....   | 37        |
| 8.2      | Progression-free survival and overall survival .....                                  | 39        |
| 8.3      | Description of post-study treatments .....  | 41        |
| <b>9</b> | <b>Exploratory analyses according to tumor KRAS/BRAF/EGFR status .....</b>            | <b>42</b> |
| 9.1      | Methods .....   | 42        |
| 9.2      | Results .....   | 42        |
| 9.2.1    | Tumor KRAS/BRAF/EGFR status .....   | 42        |
| 9.2.2    | 4-month PFS rate according to KRAS/BRAF/EGFR tumor status .....                       | 43        |

|           |  |           |
|-----------|--|-----------|
| 9.2.3     | 4-month PFS rate according to KRAS/BRAF/EGFR tumor status and treatment arm....  | 43        |
| <b>10</b> | <b>Conclusion .....</b>  | <b>44</b> |
|           | <b>Appendix 1: Protocol violations.....</b>  | <b>45</b> |
|           | <b>Appendix 2: Differences between stratification factors and initial assessment</b>   | <b>50</b> |
|           | <b>Appendix 3: Highest toxicity grades by patient .....</b>  | <b>53</b> |
|           | <b>Appendix 4: Exploratory analyses: Overall survival and progression-free survival according to KRAS/BRAF/EGFR tumor status and treatment arm .....</b> | <b>58</b> |

## List of tables

|   |    |
|---|----|
| Table 1: Distribution of inclusions by centers (n=150 patients).....                                    | 8  |
| Table 2: Baseline characteristics of patients (n=150).....  | 9  |
| Table 3: Baseline biological parameters (n=150 patients) .....  | 9  |
| Table 4: Diagnosis of analyzed patient (n=150).....   | 10 |
| Table 5: Prior treatment received (n=150 patients).....   | 11 |
| Table 6: Number of cycle of treatment received (n=147 treated patients) .....                           | 12 |
| Table 7: Description of drugs administered per cycle (n=1 598 cycles).....                              | 13 |
| Table 8: Reasons for drug dose modifications .....  | 13 |
| Table 9: Reasons for not giving a drug.....   | 14 |
| Table 10: Dose-intensity summary .....  | 14 |
| Table 11: Delayed cycles (n=147 treated patients).....  | 15 |
| Table 12: Reasons for cycle delays.....   | 16 |
| Table 13: Reasons for end of treatment (n=150 patients).....  | 16 |
| Table 14: Deaths unrelated to cancer and unrelated to treatment (n=14) .....                            | 19 |
| Table 15: Severe toxicities by patient (n=144 patients).....  | 20 |
| Table 16: Severe toxicities by treatment cycles (n=1 562 cycles).....                                   | 21 |
| Table 17: Other severe toxicities .....   | 22 |
| Table 18: Rate of severe toxicity according to the center .....   | 23 |
| Table 19: List of SAE .....   | 27 |
| Table 20: Tumor response at 2 months.....   | 32 |
| Table 21: Patients without evaluation at 2 months (n=18) .....  | 32 |
| Table 22: Tumor response at 4 months.....   | 33 |
| Table 23: Patients without evaluation at 4 months (n=9) .....   | 34 |
| Table 24: Overall best response and validated best response (n=133 evaluated patients) .....            | 35 |
| Table 25 : Review of scanner evaluation at 2 months.....  | 36 |
| Table 26 : Review of scanner evaluation at 4 months.....  | 36 |
| Table 27 : Review of scanner evaluation at 6 months.....  | 36 |
| Table 28 : Patients lost to follow-up (last contact > 4 months; n=10).....                              | 37 |
| Table 29 : Drug received as 2 <sup>nd</sup> -line chemotherapy (n=60).....                              | 41 |
| Table 30 : Tumor KRAS/BRAF/EGFR status .....  | 42 |
| Table 31 : 4-month PFS rate according to KRAS/BRAF/EGFR tumor status .....                              | 43 |
| Table 32 : 4-month PFS rate according to KRAS/BRAF/EGFR tumor status and treatment arm .....            | 44 |
| Table 31 : Patients with ASAT > 5 ULN.....  | 45 |
| Table 32 : Patients with missing ASAT.....  | 45 |
| Table 33 : Patients with ALAT > 5 ULN .....   | 45 |
| Table 34 : Patients with missing ALAT .....   | 46 |
| Table 35 : Patients with bilirubin > 3 ULN.....   | 46 |
| Table 36 : Patients with neutrophil count missing or < 1.5 10 <sup>9</sup> /L.....                      | 48 |
| Table 37 : Patients with platelets < 100 10 <sup>9</sup> /L .....                                       | 49 |
| Table 38 : Patients with hemoglobine < 9g/dL .....  | 49 |
| Table 39 : Discrepancies for tumor stage between randomization and baseline characteristics.....        | 50 |
| Table 40 : Discrepancies for tumor localization between randomization and baseline characteristics..... | 51 |
| Table 41 : Discrepancies for prior treatment between randomization and baseline characteristics .....   | 52 |

## List of figures

|   |    |
|---|----|
| Figure 1 : Randomization curve (n=150 patients) .....   | 7  |
| Figure 2 : Overall and progression-free survival for the whole population (n=150) .....         | 38 |
| Figure 3 : Progression-free survival by arm.....  | 39 |
| Figure 4 : Overall survival by arm.....   | 40 |
| Figure 5 : Overall survival according to KRAS tumor status .....                                | 58 |
| Figure 6 : Progression-free survival according to KRAS tumor status .....                       | 58 |
| Figure 7 : Overall survival according to KRAS/BRAF tumor status.....                            | 59 |
| Figure 8 : Progression-free survival according to KRAS/BRAF tumor status .....                  | 59 |
| Figure 9 : Overall survival according to EGFR tumor status .....                                | 60 |
| Figure 10: Progression-free survival according to EGFR tumor status .....                       | 60 |
| Figure 11: Overall survival according to KRAS tumor status and treatment arm.....               | 61 |
| Figure 12: Progression-free survival according to KRAS tumor status and treatment arm.....      | 61 |
| Figure 13: Overall survival according to KRAS/BRAF tumor status and treatment arm .....         | 62 |
| Figure 14: Progression-free survival according to KRAS/BRAF tumor status and treatment arm..... | 62 |
| Figure 15: Overall survival according to EGFR tumor status and treatment arm.....               | 63 |
| Figure 16: Progression-free survival according to EGFR tumor status and treatment arm .....     | 63 |



# 1 Introduction

The BINGO trial is an open-label, non-comparative, randomized phase II study evaluating the efficacy and tolerance of gemcitabine-oxaliplatin combination chemotherapy (GEMOX regimen) alone or in combination with cetuximab in the first-line treatment of patients with advanced biliary cancers.

All eligible patients were randomized 1:1 to receive:

- Arm A: GEMOX alone every two weeks
- Arm B: GEMOX + cetuximab every two weeks

The primary objective was to evaluate treatment efficacy on progression-free survival (PFS) rate at 4 months. Secondary objectives were to assess treatment toxicity, rate and duration of objective tumor response and tumor control (tumor responses and stabilizations), secondary resection rate, PFS, and overall survival (OS).

An interim analysis was performed in December 2008. The observed PFS rate at 4 months (10/18 patients) in arm B allowed to continue the trial in accordance with the Simon's statistical plan defined in the protocol.

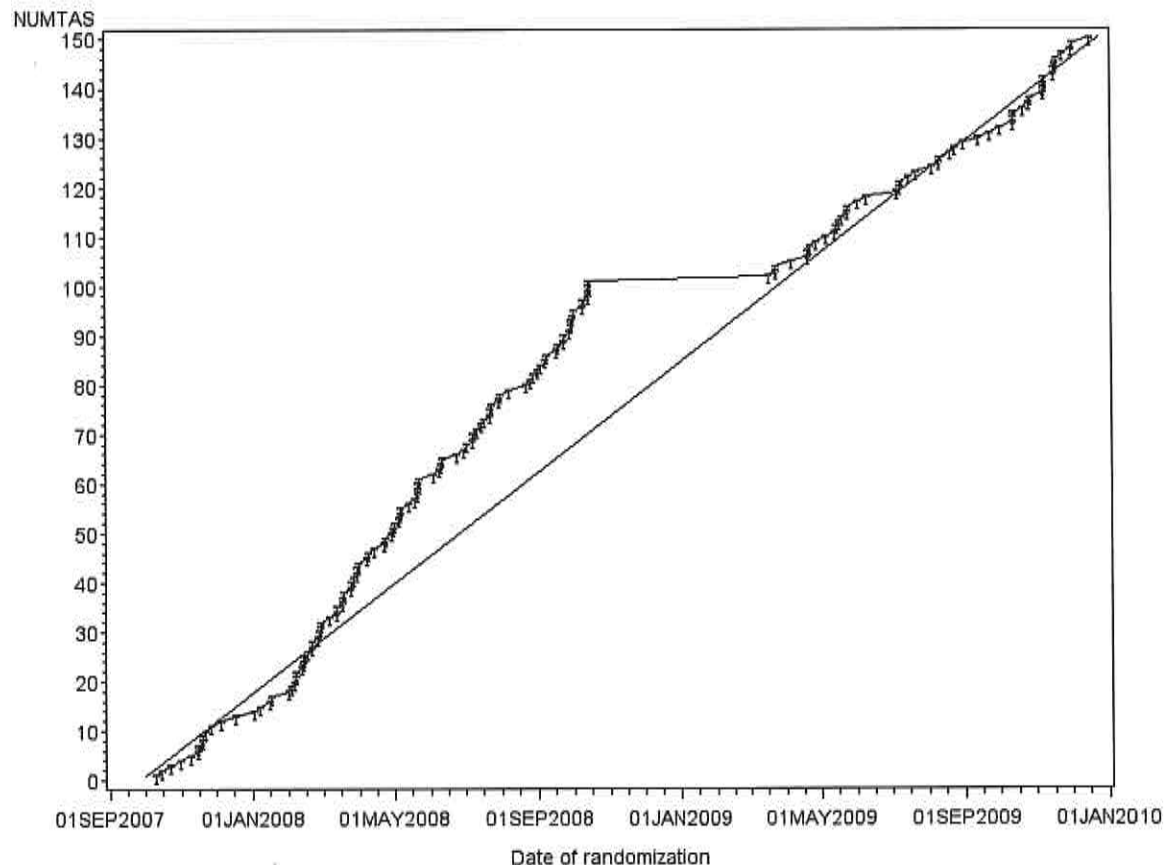
For the 36 first French patients and all the German patients, the forms were monitored before sending them to the data center. The data center queries were sent thereafter to the centers. For the French patients after the 36<sup>th</sup> patient, the forms were sent to the data center and then monitored with the data center queries to hand.

Analyses of recruitment, baseline description, end of treatment, response to treatment, follow-up and long-term assessment were performed on the database at the date of October 2012. Analyses of description of study treatments, post-study treatments, treatment toxicity, and severe adverse events were performed on the database at the date of April 2011 as new data were very few.



## 2 Recruitment

To assess treatment efficacy according to the Simon design and to take into account non-assessable patients, 100 patients (50 per arm) had to be enrolled. This accrual was planned to last 18 months. After a suspension of 6 months, the accrual was continued until 150 patients to reach the target number of patients for the subgroup analyses (non-gallbladder localization, wild-type [WT] KRAS tumor status, n=50 patients each). These 150 patients were included between 2007, October 10<sup>th</sup> and 2009, December 18<sup>th</sup>. **The cut-off date for the analysis is March 31<sup>st</sup>, 2011.**



**Figure 1: Randomization curve (n=150).** Each patient is figured by a tick. The line without tick represents the theoretical accrual.

### 3 Baseline description of the analysis population

#### 3.1 Centers

The 150 patients were included by 18 centers (France, 11; Germany, 7; Table 1). Four centers included 46% of the patients.

**Table 1: Distribution of inclusions by center**

|                                      | GEMOX +<br>CETUXIMAB |           | GEMOX     |           | Total      |           |
|--------------------------------------|----------------------|-----------|-----------|-----------|------------|-----------|
|                                      | n=76                 |           | n=74      |           | n=150      |           |
|                                      | N                    | %         | N         | %         | N          | %         |
| Villejuif – Gustave Roussy           | 5                    | 7         | 5         | 7         | 10         | 7         |
| Clichy – Hôpital Beaujon             | 5                    | 7         | 6         | 8         | 11         | 7         |
| Paris – Hôpital Pitié-Salpêtrière    | 1                    | 1         | 1         | 1         | 2          | 1         |
| Paris – Hôpital Saint-Antoine        | 9                    | 12        | 8         | 11        | 17         | 11        |
| Lyon – Centre Léon Bérard            | 8                    | 11        | 9         | 12        | 17         | 11        |
| Montpellier – Centre Val d'Aurelle   | 3                    | 4         | 4         | 5         | 7          | 5         |
| Marseille – Institut Paoli Calmettes | 5                    | 7         | 4         | 5         | 9          | 6         |
| Rennes – Centre Eugène Marquis       | 8                    | 11        | 9         | 12        | 17         | 11        |
| Bordeaux – Hôpital Saint-André       | 5                    | 7         | 3         | 4         | 8          | 5         |
| Créteil – Hôpital Henri Mondor       | 2                    | 3         | 2         | 3         | 4          | 3         |
| Pessac – Hôpital Haut Lévêque        | 2                    | 3         | 1         | 1         | 3          | 2         |
| <b>Total France</b>                  | <b>53</b>            | <b>70</b> | <b>52</b> | <b>70</b> | <b>105</b> | <b>70</b> |
| Ulm – Klinik für Innere Medizin      | 3                    | 4         | 3         | 4         | 6          | 4         |
| Essen – Klinik Tumorforschung.       | 9                    | 12        | 9         | 12        | 18         | 12        |
| Halle – Universitätsklinikum         | 1                    | 1         | 1         | 1         | 2          | 1         |
| Hannover – Med. Hochschule           | 6                    | 8         | 6         | 8         | 12         | 8         |
| Heidelberg – Nat. Cent. für Tum      | 3                    | 4         | 1         | 1         | 4          | 3         |
| München – Klinikum Großhadern        | 0                    | 0         | 1         | 1         | 1          | 1         |
| Regensburg – Uniklinik               | 1                    | 1         | 1         | 1         | 2          | 1         |
| <b>Total Germany</b>                 | <b>23</b>            | <b>30</b> | <b>22</b> | <b>30</b> | <b>45</b>  | <b>30</b> |

#### 3.2 Baseline characteristics

Patients were mainly male (85/150, 57%) with a median performance status (PS) equal to 1 (79/142, 56 %). The median age was 62 years (range, 35 to 75). Baseline characteristics were well balanced between the two arms, except for PS status: more patients had PS0 in the GEMOX+CETUXIMAB arm than in the GEMOX alone arm (Table 2).

Table 2: Baseline characteristics

|                                  | GEMOX +<br>CETUXIMAB      |               | GEMOX                     |               | Total                     |               |
|----------------------------------|---------------------------|---------------|---------------------------|---------------|---------------------------|---------------|
|                                  | n=76                      |               | n=74                      |               | n=150                     |               |
|                                  | N<br>or<br>median [range] | %             | N<br>or<br>median [range] | %             | N<br>or<br>median [range] | %             |
| <b>Sex</b>                       |                           |               |                           |               |                           |               |
| Male                             | 43                        | 57            | 42                        | 57            | 85                        | 57            |
| Female                           | 33                        | 43            | 32                        | 43            | 65                        | 43            |
| <b>Performance status (WHO)</b>  |                           |               |                           |               |                           |               |
| 0                                | 35                        | 49            | 27                        | 38            | 62                        | 44            |
| 1                                | 36                        | 51            | 43                        | 61            | 79                        | 56            |
| 2                                | 0                         | 0             | 1                         | 1             | 1                         | 1             |
| Missing                          | 5                         | -             | 3                         | -             | 8                         | -             |
| <b>Age (years)</b>               | 61                        | [35 - 75]     | 62                        | [39 - 75]     | 62                        | [35 - 75]     |
| <b>Weight (Kg) (2 MD)</b>        | 70                        | [38 - 112]    | 70                        | [43 - 105]    | 70                        | [38 - 112]    |
| <b>Height (cm)</b>               | 170                       | [145 - 192]   | 170                       | [147 - 190]   | 170                       | [145 - 192]   |
| <b>Body Surface Area* (2 MD)</b> | 1.79                      | [1.32 - 2.31] | 1.79                      | [1.41 - 2.32] | 1.79                      | [1.32 - 2.32] |

MD: Missing Data

\*When body surface area (BSA) was not given but weight and height were both available, missing BSA was replaced by their calculated value (n=5).

There was no major difference in baseline biological parameters between the two arms (Table Table 3). Protocol deviations for biological parameters are described in Appendix 1.

Table 3: Baseline biological parameters

|   | GEMOX + CETUXIMAB |                | GEMOX  |                | Total  |                |
|---|-------------------|----------------|--------|----------------|--------|----------------|
|   | n=76              |                | n=74   |                | n=150  |                |
|   | Median            | [range]        | Median | [range]        | Median | [range]        |
| <b>Platelets (10<sup>9</sup>/L)</b>           | 278               | [ 110 - 770 ]  | 270    | [ 79 - 576 ]   | 274    | [ 79 - 770 ]   |
| <b>Hemoglobin (g/dL)</b>                      | 13                | [ 8 - 17 ]     | 13     | [ 8 - 16 ]     | 13     | [ 8 - 17 ]     |
| <b>Neutrophils (10<sup>9</sup>/L) (17 MD)</b> | 6                 | [ 2 - 77 ]     | 7      | [ 2 - 299* ]   | 6      | [ 2 - 299 ]    |
| <b>Bilirubin (μmol/L) (10 MD)</b>             | 13                | [ 1 - 67 ]     | 15     | [ 5 - 91 ]     | 13     | [ 1 - 91 ]     |
| <b>Alk. Phosphatase (UI/L) (3 MD)</b>         | 164               | [ 1 - 1980 ]   | 188    | [ 51 - 1664 ]  | 174    | [ 1 - 1980 ]   |
| <b>AST (UI/L) (4 MD)</b>                      | 37                | [ 14 - 267 ]   | 46     | [ 15 - 313 ]   | 43     | [ 14 - 313 ]   |
| <b>ALT (UI/L) (2 MD)</b>                      | 30                | [ 4 - 281 ]    | 42     | [ 9 - 174 ]    | 36     | [ 4 - 281 ]    |
| <b>LDH (UI/L) (50 MD)</b>                     | 282               | [ 141 - 2562 ] | 250    | [ 131 - 2325 ] | 263    | [ 131 - 2562 ] |
| <b>CA 19-9 (ng/mL) (26 MD)</b>                | 105               | [ 1 - 50701 ]  | 166    | [ 1 - 61883 ]  | 120    | [ 1 - 61883 ]  |

MD: Missing Data

\* Two patients had neutrophil count > 85.10<sup>9</sup>/L (266 and 299.10<sup>9</sup>/L); queries were sent to centers but not responded.

### 3.3 Tumor characteristics

Table 4 details the tumor characteristics for the 150 patients. Most patients had metastatic (118/150, 79%) adenocarcinoma (139/143, 97%). The main localization was cholangiocarcinoma. Only one patient had ampulloma, and two patients had multifocal disease.

**Table 4: Tumor characteristics**

|   | <b>GEMOX +<br/>CETUXIMAB</b> |          | <b>GEMOX alone</b> |          | <b>Total</b> |          |
|---|------------------------------|----------|--------------------|----------|--------------|----------|
|   | <b>n=76</b>                  |          | <b>n=74</b>        |          | <b>n=150</b> |          |
|   | <b>N</b>                     | <b>%</b> | <b>N</b>           | <b>%</b> | <b>N</b>     | <b>%</b> |
| <b>HISTOLOGICAL TYPE</b>                    |                              |          |                    |          |              |          |
| <b>Adenocarcinoma</b>                       | 71                           | 99       | 68                 | 96       | 139          | 97       |
| <b>Undifferentiated</b>                     | 1                            | 1        | 2                  | 3        | 3            | 2        |
| <b>Unspecified</b>                          | 0                            |          | 1                  | 1        | 1            | 1        |
| <b>Missing data</b>                         | 4                            | -        | 3                  | -        | 7            | -        |
| <b>TUMOR LOCATION *</b>                     |                              |          |                    |          |              |          |
| <b>Cholangiocarcinoma</b>                   | 62                           | 83       | 61                 | 84       | 123          | 83       |
| Intrahepatic bile ducts ('peripheral')      | 49                           |          | 46                 |          | 95           |          |
| Peri-hilar ('Klatskin-like')                | 4                            |          | 7                  |          | 11           |          |
| Extrahepatic bile ducts (distal two-thirds) | 3                            |          | 6                  |          | 9            |          |
| Intrahepatic + peri-hilar bile ducts        | 0                            |          | 1                  |          | 1            |          |
| Intrahepatic + extrahepatic bile ducts      | 1                            |          | 0                  |          | 1            |          |
| Missing data                                | 5                            |          | 1                  |          | 6            |          |
| <b>Ampulloma</b>                            | 1                            | 1        | 0                  | 0        | 1            | 1        |
| <b>Gallbladder</b>                          | 11                           | 15       | 11                 | 15       | 22           | 15       |
| <b>Multifocal</b>                           | 1                            | 1        | 1                  | 1        | 2            | 1        |
| <b>Missing data**</b>                       | 1                            |          | 1                  |          | 2            |          |
| <b>DISEASE STAGE *</b>                      |                              |          |                    |          |              |          |
| <b>Metastatic</b>                           | 59                           | 78       | 59                 | 80       | 118          | 79       |
| <b>Locally advanced</b>                     | 17                           | 22       | 15                 | 20       | 32           | 21       |

\*See Appendix 2 for comparison with stratification results

\*\* Coded non-gallbladder on the randomization form

### 3.4 Prior treatments received

The majority of patients (102/150, 68%) did not receive any treatment before the inclusion in the BINGO trial. Among the 48 patients with prior treatment, 34 had undergone curative-intent surgery, 18 had undergone palliative surgery, and two had received adjuvant chemotherapy. Moreover, 32 had undergone biliary stenting.

**Table 5: Prior treatments received**

|   | GEMOX +<br>CETUXIMAB<br>n=76 |    | GEMOX alone<br>n=74 |     | Total<br>n=150 |    |
|---|------------------------------|----|---------------------|-----|----------------|----|
|   | N                            | %  | N                   | %   | N              | %  |
| <b>Curative-intent surgery *</b> (3+2 MD) |                              |    |                     |     |                |    |
| No  | 55                           | 75 | 56                  | 78  | 111            | 77 |
| Yes                                       | 18                           | 25 | 16                  | 22  | 34             | 23 |
| Cholecystectomy                           | 3                            |    | 8                   |     | 11             |    |
| Partial hepatectomy                       | 6                            |    | 4                   |     | 10             |    |
| Whipple's procedure                       | 3                            |    | 2                   |     | 5              |    |
| Other**                                   | 5                            |    | 2                   |     | 7              |    |
| Unspecified                               | 1                            |    | 0                   |     | 1              |    |
| <b>Palliative surgery</b> (2+ 4 MD)       |                              |    |                     |     |                |    |
| No  | 67                           | 91 | 59                  | 84  | 126            | 88 |
| Yes                                       | 7                            | 9  | 11                  | 16  | 18             | 13 |
| Laparotomy without resection              | 3                            |    | 9                   |     | 12             |    |
| Biliary bypass                            | 1                            |    | 0                   |     | 1              |    |
| Other***                                  | 3                            |    | 2                   |     | 5              |    |
| <b>Adjuvant chemotherapy *</b> (1+2 MD)   |                              |    |                     |     |                |    |
| No  | 74                           | 99 | 71                  | 99  | 145            | 99 |
| Yes ****                                  | 1                            | 1  | 1                   | 1   | 2              | 1  |
| <b>Biliary stenting</b> (3+3 MD)          |                              |    |                     |     |                |    |
| No  | 58                           | 79 | 54                  | 76  | 112            | 78 |
| Yes                                       | 15                           | 21 | 17                  | 24  | 32             | 22 |
| <b>Radiotherapy *</b>                     |                              |    |                     |     |                |    |
| No  | 74                           | 97 | 74                  | 100 | 148            | 99 |
| Yes                                       | 2                            | 3  | 0                   | 0   | 2              | 1  |

\* see Appendix 2 for comparison with stratification

\*\* pancreaticoduodenectomy, biliary resection + bypass, left lobectomy, lymphadenectomy + hepatectomy + omentectomy, enlarged right hepatectomy

\*\*\* Intestinal bypass, exploratory laparotomy, lymphadenectomy + hepatectomy + omentectomy, small bowel resection

\*\*\*\* Patient 15 has received prior adjuvant gemcitabine- and oxaliplatin-based chemotherapy (last cycle, 28/09/2005; BINGO inclusion, 08/01/2008); patient 118 has received prior adjuvant single-agent chemotherapy with capecitabine (last cycle, 02/04/2009; BINGO inclusion, 11/06/2009).

## 4 Study treatments received

This section is unchanged since previous report, except section 4.3 (the last patient ended his treatment) and section 4.4 which is new.

### 4.1 Description of cycles given and dose modifications

On December 31<sup>st</sup>, 2010, information about received treatment was:

- Complete for 138 patients (92%);
- Incomplete for 12 patients (8%): incomplete information includes treatment ongoing and missing cycle forms (according to the end of treatment form).

Only one patient has ongoing treatment on December 31<sup>st</sup>, 2010 (#149, GEMOX + cetuximab arm). He has received 24 treatment cycles for now.

Among the 147 patients who received treatment, 146 patients ended treatment. For them, 17 cycle forms are missing (in 6 patients) in the GEMOX + cetuximab arm and 19 are missing (4 patients, one having 14 missing forms) in the GEMOX alone arm.

Two patients did not receive any treatment due to early death related to rapid progression (#31 and #75, GEMOX alone arm) and one patient did not receive treatment because he was randomized by error (#127, GEMOX alone arm: violation of the eligibility criteria with elevated bilirubin and alkaline phosphatase levels – 1664 UI/L; ULN=130 UI/L).

To date, 1 601 treatment forms have been received (885 in the GEMOX + cetuximab arm, 716 in the GEMOX alone arm) for 147 patients, including three supplementary cycles which were not analyzed:

- patient #43, cycle 8 (last cycle), received erythropoietin only;
- patient #57, GEMOX cycle 7 (last cycle), given after the date of disease progression;
- patient #86, GEMOX cycle 6 (last cycle), given after the date of disease progression.

Table 6 describes the number of cycles received (i.e., at least one study drug administered). The median number of treatment cycles was similar in the two arms.

**Table 6: Number of cycle of treatment received (n=147 treated patients)**

| Treatment arm     | No of cycles received |                             |        |                             |         |
|-------------------|-----------------------|-----------------------------|--------|-----------------------------|---------|
|                   | Minimum               | 10 <sup>th</sup> percentile | Median | 90 <sup>th</sup> percentile | Maximum |
| GEMOX + cetuximab | 2                     | 4                           | 10     | 23                          | 33      |
| GEMOX alone       | 1                     | 2                           | 10     | 18                          | 27      |
| Total             | 1                     | 3                           | 10     | 20                          | 33      |

The administered cycles were as follow.

**Table 7: Description of drugs administered per cycle (n=1 598 cycles)**

| Type of cycles given | GEMOX + cetuximab |    | GEMOX alone |     |
|----------------------|-------------------|----|-------------|-----|
|                      | N=885             | %  | N=713       | %   |
| <b>Gemcitabine</b>   |                   |    |             |     |
| As per protocol      | 827               | 93 | 651         | 91  |
| Modified             | 41                | 5  | 50          | 7   |
| Not given            | 17                | 2  | 12 (1)      | 2   |
| <b>Oxaliplatin</b>   |                   |    |             |     |
| As per protocol      | 625               | 71 | 515         | 72  |
| Modified             | 59                | 7  | 70          | 10  |
| Not given            | 201 (27)          | 23 | 128 (20)    | 18  |
| <b>Cetuximab</b>     |                   |    |             |     |
| As per protocol      | 789               | 89 | -           | -   |
| Modified             | 25                | 3  | -           | -   |
| Not given            | 71 (8)            | 8  | 713         | 100 |

In parenthesis: number of courses not given in the last cycle of treatment.

Oxaliplatin was the drug with most modifications: 7% and 10% of oxaliplatin cycles were given with dose reduction in the GEMOX + cetuximab and GEMOX arms, respectively. The planned cycles were not administered in 23% and 18% of the planned cycles respectively, concerning 30 and 25 patients respectively. For administration of gemcitabine, 2% of cycles in each arm were not administered. Percentages of modifications for these two drugs were similar in the two arms. No cycle with cetuximab was administered in the GEMOX alone arm; 8% of planned cetuximab cycles were not administered in the GEMOX + cetuximab arm. The reasons for dose modifications are presented in Table 8.

**Table 8: Reasons for drug dose modifications**

| Reason for dose reduction                  | Gemcitabine                      |                            | Oxaliplatin                  |                        | Cetuximab                    |
|--|----------------------------------|----------------------------|------------------------------|------------------------|------------------------------|
|  | GEMOX +<br>cetuximab<br>n=41 (%) | GEMOX<br>alone<br>n=50 (%) | GEMOX +<br>cetuximab<br>n=59 | GEMOX<br>alone<br>n=70 | GEMOX +<br>cetuximab<br>n=25 |
| Hematological toxicity                     | 23 (56)                          | 34 (68)                    | 22 (37)                      | 18 (26)                | 4 (16)                       |
| Non-hematological toxicity                 | 8 (20)                           | 9 (18)                     | 24 (41)                      | 30 (43)                | 9 (36)                       |
| Hematological & non-hematological toxicity | 4 (10)                           | 3 (6)                      | 4 (7)                        | 18 (26)                | 3 (12)                       |
| Other                                      | 6 (14)                           | 4 (8)                      | 9 (15)                       | 4 (6)                  | 9 (36)                       |
| Weight change                              | 6                                | 3                          | 5                            | 3                      | 6                            |
| Personal convenience                       |                                  | 1                          |                              | 1                      | 1                            |
| Error of administration                    |                                  |                            | 3                            |                        | 1                            |
| Physician's decision                       |                                  |                            | 1                            |                        |                              |
| Not specified                              |                                  |                            |                              |                        | 1                            |

Reasons for not giving drug were not asked for in the CRF. This information was only available when the form was filled in with a dose 0, and was not frequently reported. Table 9 describes these reasons.



Table 9: Reasons for not giving a drug

| Reason for dose reduction                  | Gemcitabine                      |                            | Oxaliplatin                   |                         | Cetuximab                    |
|--|----------------------------------|----------------------------|-------------------------------|-------------------------|------------------------------|
|  | GEMOX +<br>cetuximab<br>n=17 (%) | GEMOX<br>alone<br>n=12 (%) | GEMOX +<br>cetuximab<br>n=201 | GEMOX<br>alone<br>n=128 | GEMOX +<br>cetuximab<br>n=71 |
| Not specified                              | 16                               | 12                         | 179                           | 113                     | 65                           |
| Hematological toxicity                     | 1                                | -                          | -                             | 2                       | 4                            |
| Non-hematological toxicity                 | -                                | -                          | 21                            | 10                      | -                            |
| Hematological & non-hematological toxicity | -                                | -                          | -                             | 1                       | 1                            |
| Other                                      | -                                | -                          | 1                             | 2                       | 1                            |

### Duration of treatment and dose-intensity

For each patient, dose-intensity was defined as:

$$DI = \frac{\text{Total.dose.(mg / m}^2\text{)}}{\text{Total.treatment.duration.(week)}}$$

Where the total treatment duration is equal to the time elapsed between the first and the last injection of the considered drug plus 14 days. Thus a drug not administered during the treatment will impact on the dose-intensity, but a drug not administered in the last cycles will not impact on the dose-intensity.

The theoretical dose-intensity was 500 mg/m<sup>2</sup>/week for gemcitabine, 50 mg/m<sup>2</sup>/week for oxaliplatin and 250 mg/m<sup>2</sup>/week for cetuximab. The dose-intensity results are based on the 147 patients who have received study treatment. For patients with missing baseline body surface area value, this information was retrieved from cycle forms.

Table 10: Drug dose-intensity

|                                    | GEMOX + cetuximab<br>(n=76 pts) |             | GEMOX alone<br>(n=71 pts) |             |
|------------------------------------|---------------------------------|-------------|---------------------------|-------------|
|                                    | Median                          | [range]     | Median                    | [range]     |
| <b>Gemcitabine</b>                 |                                 |             |                           |             |
| Duration (weeks)                   | 23                              | [4 - 83]    | 23                        | [2 - 72]    |
| Total dose (% of theoretical dose) | 99%                             | [61 - 105]  | 98%                       | [71 - 110]  |
| Dose-intensity                     | 434                             | [228 - 599] | 445                       | [244 - 592] |
| ≥80% of theoretical dose-intensity | 52                              | (68%)       | 51                        | (72%)       |
| <b>Oxaliplatin</b>                 |                                 |             |                           |             |
| Duration (weeks)                   | 19                              | [4 - 83]    | 20                        | [2 - 50]    |
| Total dose (% of theoretical dose) | 97%                             | [60 - 105]  | 96%                       | [64 - 108]  |
| Dose-intensity                     | 43                              | [16 - 60]   | 44                        | [14 - 54]   |
| ≥80% of theoretical dose-intensity | 49                              | (64%)       | 41                        | (58%)       |
| <b>Cetuximab</b>                   |                                 |             |                           |             |
| Duration (weeks)                   | 23                              | [2 - 83]    | -                         | -           |
| Total dose (% of theoretical dose) | 100%                            | [18 - 105]  | -                         | -           |
| Dose-intensity                     | 227                             | [45 - 254]  | -                         | -           |
| ≥80% of theoretical dose-intensity | 49                              | (64%)       | -                         | -           |

Dose-intensities of gemcitabine and oxaliplatin were lower than planned, but similar in the two arms.

If the received dose is divided by the overall treatment time and not only the treatment time of the studied drug (74 patients in the GEMOX + cetuximab arm and 70 patients in the GEMOX alone arm), dose-intensities became:

- For gemcitabine, the median dose-intensity became 437 [228 - 599] in the GEMOX + cetuximab arm and 443 [244 - 592] in the GEMOX alone arm; respectively 52 patients (70%) and 51 patients (73%) received  $\geq 80\%$  of theoretical dose-intensity.
- For oxaliplatin, the median dose-intensity became 38 [12 - 60] in the GEMOX + cetuximab arm and 39 [7 - 54] in the GEMOX alone arm; respectively 46 patients (62%) and 46 patients (66%) received  $\geq 80\%$  of theoretical dose-intensity.
- For cetuximab, the median dose intensity became 223 [4 - 254] in the GEMOX + cetuximab arm; 46 patients (42%) received  $\geq 80\%$  of theoretical dose-intensity.

The median duration of treatment was 23 weeks (range, 4 to 83) in the GEMOX + cetuximab arm, and 23 weeks (range, 2 to 58) in the GEMOX alone arm. The only patient with treatment ongoing accounted for until his last received cycle.

## 4.2 Description of delayed cycles

The duration of a cycle is 14 days. A cycle was defined as delayed if it started more than 7 days after the planned date (as calculated from the previous cycle). Delayed cycles described here are based on calculation rather than declaration. Table 11 presents the number of patients with or without delayed cycles by treatment arm, and the median number (and range) of delayed cycles among the patients with at least one delayed cycle.

Overall, 226 gemcitabine cycles (125 in the GEMOX + cetuximab arm and 101 in the GEMOX alone arm, respectively), 203 oxaliplatin cycles (113 and 90), and 114 cetuximab cycles were delayed.

In the GEMOX + cetuximab arm, the median duration of cycle delays was 8 days (range, 7 to 35) for gemcitabine and cetuximab, and 7 days (range, 7 to 35) for oxaliplatin.

In the GEMOX alone arm, the median duration of cycle delays was 7 days (range, 7 to 28) for gemcitabine, and 7 days (range, 7 to 29) for oxaliplatin.

**Table 11: Delayed cycles (n=147 treated patients)**

|             | GEMOX + cetuximab<br>n=76 |    |                |     |                          |     | GEMOX alone<br>n=71   |    |                |     |                          |     |
|-------------|---------------------------|----|----------------|-----|--------------------------|-----|-----------------------|----|----------------|-----|--------------------------|-----|
|             | Non-delayed<br>cycles     |    | Delayed cycles |     |                          |     | Non-delayed<br>cycles |    | Delayed cycles |     |                          |     |
|             | N                         | N  | Median         | Min | 90 <sup>th</sup><br>per. | Max | N                     | N  | Median         | Min | 90 <sup>th</sup><br>per. | Max |
| Gemcitabine | 31                        | 45 | 2              | 1   | 4                        | 14* | 31                    | 40 | 2              | 1   | 6                        | 10  |
| Oxaliplatin | 35                        | 41 | 2              | 1   | 4                        | 14  | 35                    | 36 | 2              | 1   | 5                        | 9   |
| Cetuximab   | 34                        | 42 | 2              | 1   | 4                        | 15  | -                     | -  | -              | -   | -                        | -   |

\* Patient 27 (Rennes): 14 cycles delayed out of 31.

Table 12 describes the reasons for delayed cycles.

Table 12: Reasons for cycle delays

| Reasons for cycle delays                     | Gemcitabine                       |                             | Oxaliplatin                       |                            | Cetuximab                     |
|--|-----------------------------------|-----------------------------|-----------------------------------|----------------------------|-------------------------------|
|  | GEMOX +<br>cetuximab<br>n=125 (%) | GEMOX<br>alone<br>n=101 (%) | GEMOX +<br>cetuximab<br>n=113 (%) | GEMOX<br>alone<br>n=90 (%) | GEMOX +<br>cetuximab<br>n=114 |
| Hematological toxicity                       | 36 (29)                           | 30 (30)                     | 34 (30)                           | 27 (30)                    | 29 (25)                       |
| Non-hematological toxicity                   | 17 (14)                           | 5 (5)                       | 14 (12)                           | 5 (6)                      | 16 (14)                       |
| Hematological and non-hematological toxicity | 3 (2)                             | 1 (1)                       | 3 (3)                             | 2 (2)                      | 1 (1)                         |
| Personal convenience                         | 16 (13)                           | 10 (10)                     | 14 (12)                           | 8 (9)                      | 16 (14)                       |
| Other  | 10 (8)                            | 12 (12)                     | 8 (7)                             | 10 (11)                    | 10 (9)                        |
| Surgery                                      | 1                                 | 2                           |                                   | 1                          | 1                             |
| Tumor evaluation                             | 2                                 | 1                           | 1                                 | 1                          | 2                             |
| Logistic reasons                             | 1                                 | 6                           | 1                                 | 6                          | 1                             |
| Adverse events                               | 3                                 | 2                           | 2                                 | 2                          | 2                             |
| Pulmonary infiltration*                      | 1                                 |                             | 1                                 |                            | 1                             |
| not specified                                | 2                                 | 1                           | 3                                 |                            | 3                             |
| Not specified**                              | 43 (34)                           | 43 (43)                     | 40 (35)                           | 38 (42)                    | 42 (37)                       |

\* To be explored

\*\*The high rate of unspecified reasons is due to the discrepancy between the number of cycles declared as delayed and the number of cycles calculated as delayed (gemcitabine, 86/226; oxaliplatin, 78/203; cetuximab, 42/114). Delay duration did not significantly differ whether delays were declared vs. calculated.

### 4.3 End of treatment

Among the 150 randomized patients, all have ended their study treatment. Reasons for end of treatment are presented in Table 13.

Table 13: Reasons for end of treatment (n=150 patients)

| Reasons for end of treatment | GEMOX + cetuximab<br>n=76 | GEMOX alone<br>n=74 | Total<br>n=150 |
|------------------------------|---------------------------|---------------------|----------------|
| Complete response            | 1                         | 2                   | 3              |
| Curative-intent surgery      | 1                         | 6                   | 7              |
| Treatment toxicity           | 9                         | 7                   | 16             |
| Disease progression          | 47                        | 34                  | 81             |
| Patient's request*           | 7                         | 8                   | 15             |
| Death**                      | 2                         | 7                   | 9              |
| Other***                     | 9                         | 9                   | 18             |
| Not specified                | 0                         | 1                   | 1              |

\* None of these patients progressed in the two months following the end of treatment.

\*\* Causes of death: GEMOX + cetuximab arm, disease progression, and catheter infection; GEMOX alone arm, disease progression (n=6), and atypical pneumonia.

\*\*\* GEMOX + cetuximab arm: investigator's decision (n=3), allergic reaction (n=1), treatment delay > 4 weeks (n=2), degradation of general status (n=1), unrelated SAE (n=1), and not specified (n=1); GEMOX alone arm: investigator's decision (n=5), treatment delay > 4 weeks (n=2), jaundice (n=1), randomization by error (n=1) (#127, cf note in paragraph 4.1).

Among these patients, 9 progressed in the two months following the end of treatment (6 in the GEMOX + cetuximab arm and 3 in the GEMOX alone arm).

The patient with unspecified reason of end of treatment (#52, GEMOX alone arm) received 12 cycles of treatment and did not progress or die in the two months following the end of treatment.

Disease progression (with or without death) was the main reason for stopping treatment, accounting for 48 of the 76 patients (63%) in the GEMOX + cetuximab arm and 40 of the 74 patients (54%) in the GEMOX alone arm.

#### **4.4 Description of the patients who underwent secondary curative-intent surgery**

Seven patients underwent secondary curative-intent surgery: 6 in the GEMOX alone arm and one in the GEMOX + cetuximab arm. Five patients had intrahepatic cholangiocarcinoma and two patients had gallbladder adenocarcinoma. Curative-intent surgery was attempted for after partial response to GEMOX alone in six patients and in a patient with stabilized disease while receiving GEMOX + cetuximab. Among these seven patients who underwent secondary curative-intent surgery, five died of cancer progression (four in the GEMOX alone arm and one in the GEMOX + cetuximab arm). Each of these patient cases is briefly reported below:

- Patient #9, a 64 year-old man from Hôpital Beaujon (Clichy) was diagnosed with a metastatic gallbladder adenocarcinoma. He underwent biliary stenting and was randomized in the GEMOX alone arm on September 27<sup>th</sup>, 2007. He received 6 courses of chemotherapy and had a partial response 2.6 months after the beginning of study treatment. He ended the study for curative-intent surgery performed on April 2<sup>nd</sup>, 2008 (pancreaticoduodenectomy + partial hepatectomy + cholecystectomy, staged as R0). After surgery, GEMOX chemotherapy was resumed. He died 1.7 years after surgery owing to disease progression.
- Patient #33, 51 year-old man from Centre Léon Bérard (Lyon), was diagnosed with a locally advanced intrahepatic cholangiocarcinoma. He was randomized in the GEMOX alone arm on March 7<sup>th</sup>, 2008 and received 12 courses of chemotherapy. He had a partial response 5.8 months after the beginning of study treatment. A curative-intent surgery was performed on October 21<sup>st</sup>, 2008 (left hepatectomy, staged as R1). The patient progressed and died 1.1 and 1.7 years after surgery, respectively.
- Patient #46, a 42 year-old woman from Institut Paoli Calmettes (Marseilles) was diagnosed with metastatic intrahepatic cholangiocarcinoma (lymph node metastases). She was randomized in the GEMOX alone arm on April 10<sup>th</sup>, 2008 and received 16 courses of chemotherapy. She had a partial response 1.8 months after the beginning of treatment, which was maintained for 5 months. She underwent curative-intent surgery (preceded by right portal embolization) on February 24<sup>th</sup>, 2009 (right lobectomy and cholecystectomy<sup>o</sup>). Although the procedure was staged as R2, the patient was still alive without evidence of disease 2.8 years after surgery.
- Patient #49, a 66 year-old man from Essen center was diagnosed with a metastatic intrahepatic cholangiocarcinoma. He was randomized in the GEMOX alone arm on April 24<sup>th</sup>, 2008 and received 21 courses of chemotherapy. He had a partial response 6.1 months after the beginning of treatment, which was maintained for 3.7 months. He underwent a curative-intent surgery (hepatic transplantation with the replacement of the vena cava, staged as R0) on March 16<sup>th</sup>, 2009. He was still alive without evidence of disease 2.7 years after surgery.
- Patient #92, a 56 year-old man from Centre Eugène Marquis (Rennes) was diagnosed with a gallbladder adenocarcinoma. After failure of right portal



embolization, a biliary stent was placed and the patient was randomized in the GEMOX alone arm on September 30<sup>th</sup>, 2008. He received 16 courses of chemotherapy. He had a partial response 3.7 months after the beginning of treatment, which was maintained for 4 months. He underwent curative-intent surgery (preceded by successful right portal embolization) on June 18<sup>th</sup>, 2009 (enlarged right hepatectomy). The patient progressed and died 1.1 and 1.3 years after surgery, respectively.

- Patient #95, a 51 year-old woman from Centre Eugène Marquis (Rennes), was diagnosed with a locally advanced intrahepatic cholangiocarcinoma. She was randomized in the GEMOX alone arm on October 10<sup>th</sup>, 2008 and received 16 courses of chemotherapy. She had a partial response 3.7 months after the beginning of study treatment which was maintained for 5.3 months. A curative-intent surgery was performed on September 07<sup>th</sup>, 2009 (left hepatectomy and cholecystectomy staged as R0 but with several doubtful small lesions in the right liver). The patient died 1.2 years after surgery from disease progression.
- Patient #112, a 44 year-old man from Bordeaux center, affected by chronic hepatitis C (liver biopsy, A1F3), was diagnosed with a metastatic intrahepatic cholangiocarcinoma (lymph node metastases). He was randomized in the GEMOX + cetuximab arm on May 15<sup>th</sup>, 2009 and received 8 courses of chemotherapy. He had stable disease maintained for 3.8 months after the beginning of study treatment. A curative-intent surgery was performed on October 08<sup>th</sup>, 2009 (right hepatectomy, lymphadenectomy and cholecystectomy staged as R0). The patient progressed and died 4.3 and 5.3 months after surgery, respectively.

## 5 Toxicities observed during treatment

This section was unchanged since the previous report. For six patients, toxicity was not reported (all in the GEMOX alone arm):

- #31 and #75: no treatment received due to premature death;
- #127: no treatment received due to randomization by error (patient's ineligibility);
- #6 and #98: received one treatment cycle then stopped treatment (patient's request); toxicity not reported;
- #39: received two treatment cycles; toxicity not reported.

### 5.1 Severe toxicities

For other patients, severe toxicities by patient are detailed in Table 15.

**One treatment-related death** occurred in the GEMOX alone arm. A 54 year-old woman (#87) died of atypical pneumonia. The local investigator assessed the event as possibly related to study drugs. The very limited information provided to date precluded an adequate assessment of the case. However, a causal relationship between the reported event and study drugs was not ruled out by the sponsor and assessed as possible. So far, no other causes were identified.

Fourteen deaths unrelated to cancer and unrelated to study treatment occurred (Table 14).

**Table 14: Deaths unrelated to cancer and unrelated to treatment (n=14)**

| N°  | Treatment arm     | Centre      | Age | Sex    | Total number of cycles | Survival time (months) | 2nd line of post-study CT | Progression | Reason of end of treatment | Cause of death             |
|-----|-------------------|-------------|-----|--------|------------------------|------------------------|---------------------------|-------------|----------------------------|----------------------------|
| 6   | GEMOX             | IGR         | 59  | Male   | 1                      | 13.9                   | .                         | Yes         | Patient's request          | NA                         |
| 20  | GEMOX             | Ulm         | 60  | Male   | 16                     | 12.4                   | Yes                       | Yes         | Disease progression        | Unknown                    |
| 32  | GEMOX             | Hannover    | 67  | Female | 1                      | 5.0                    | Yes                       | No          | Other                      | Pneumonia                  |
| 42  | GEMOX + CETUXIMAB | Hannover    | 64  | Female | 29                     | 27.6                   | No                        | No          | Other                      | Renal failure, sepsis      |
| 47  | GEMOX + CETUXIMAB | Heidelberg  | 55  | Male   | 7                      | 16.7                   | Yes                       | Yes         | Disease progression        | NA                         |
| 61  | GEMOX             | Essen       | 73  | Male   | 4                      | 8.8                    | Yes                       | Yes         | Disease progression        | NA                         |
| 67  | GEMOX             | Ulm         | 72  | Female | 8                      | 13.7                   | .                         | No          | Patient's request          | Unknown, lost to follow-up |
| 79  | GEMOX + CETUXIMAB | Lyon        | 67  | Male   | 6                      | 7.8                    | .                         | Yes         | Treatment toxicity         | NA                         |
| 80  | GEMOX             | Marseille   | 62  | Male   | 16                     | 14.9                   | Yes                       | Yes         | Other                      | NA                         |
| 87  | GEMOX             | Essen       | 53  | Female | 12                     | 5.7                    | No                        | No          | Death                      | Atypical pneumonia         |
| 89  | GEMOX + CETUXIMAB | Ulm         | 68  | Male   | 10                     | 5.0                    | .                         | No          | Death                      | Catheter infection         |
| 98  | GEMOX             | Montpellier | 58  | Male   | 1                      | 22.4                   | .                         | No          | Patient's request          | NA                         |
| 120 | GEMOX             | Rennes      | 58  | Male   | 3                      | 2.6                    | .                         | Yes         | Treatment toxicity         | Disease progression        |
| 125 | GEMOX             | Beaujon     | 71  | Male   | 9                      | 7.8                    | No                        | Yes         | Other                      | Cholangitis                |

Highest grades of toxicities by patient are detailed in Appendix 3.

Table 15: Severe toxicities by patient (n=144 patients)

|  | GEMOX +<br>CETUXIMAB |           | GEMOX     |           | All        |           |
|--|----------------------|-----------|-----------|-----------|------------|-----------|
|  | N=76                 | %         | N=68      | %         | N=144      | %         |
| <b>At least one severe (grade <math>\geq 3</math>) toxicity*</b> | <b>63</b>            | <b>83</b> | <b>57</b> | <b>84</b> | <b>120</b> | <b>83</b> |
| <b>Hematological toxicity</b>                                    | <b>27</b>            | <b>36</b> | <b>25</b> | <b>37</b> | <b>52</b>  | <b>36</b> |
| Hemoglobin   | 7                    | 9         | 5         | 7         | 12         | 8         |
| Platelets  | 8                    | 11        | 13        | 19        | 21         | 15        |
| White blood cells  | 7                    | 9         | 2         | 3         | 9          | 6         |
| ANC  | 17                   | 22        | 11        | 16        | 28         | 19        |
| <b>Constitutional / infection</b>                                | <b>17</b>            | <b>22</b> | <b>12</b> | <b>18</b> | <b>29</b>  | <b>20</b> |
| Fatigue  | 13                   | 17        | 9         | 13        | 22         | 15        |
| Fever  | 0                    | 0         | 1         | 1         | 1          | 1         |
| Infection with ANC <gr 1 (1 MD)                                  | 5                    | 7         | 0         | 0         | 5          | 3         |
| Infection with ANC >gr 1 (1 MD)                                  | 1                    | 1         | 2         | 3         | 3          | 2         |
| Infection with unkn. ANC (1 MD)                                  | 0                    | 0         | 2         | 3         | 2          | 1         |
| Febrile neutropenia (1 MD)                                       | 2                    | 3         | 0         | 0         | 2          | 1         |
| <b>LAB toxicity</b>  | <b>45</b>            | <b>59</b> | <b>45</b> | <b>66</b> | <b>90</b>  | <b>63</b> |
| ALT/AST  | 17                   | 22        | 10        | 15        | 27         | 19        |
| ALP  | 15                   | 20        | 15        | 22        | 30         | 21        |
| GGT  | 44                   | 58        | 44        | 65        | 88         | 61        |
| Bilirubin  | 9                    | 12        | 4         | 6         | 13         | 9         |
| Creatinin  | 1                    | 1         | 0         | 0         | 1          | 1         |
| Mg (12 MD)   | 0                    | 0         | 0         | 0         | 0          | 0         |
| <b>Gastrointestinal toxicity</b>                                 | <b>10</b>            | <b>13</b> | <b>10</b> | <b>15</b> | <b>20</b>  | <b>14</b> |
| Anorexia   | 3                    | 4         | 3         | 4         | 6          | 4         |
| Nausea   | 2                    | 3         | 2         | 3         | 4          | 3         |
| Vomiting   | 3                    | 4         | 2         | 3         | 5          | 3         |
| Diarrhea   | 6                    | 8         | 3         | 4         | 9          | 6         |
| Constipation   | 0                    | 0         | 0         | 0         | 0          | 0         |
| Mucositis  | 1                    | 1         | 0         | 0         | 1          | 1         |
| <b>Skin toxicity</b>   | <b>12</b>            | <b>16</b> | <b>1</b>  | <b>1</b>  | <b>13</b>  | <b>9</b>  |
| Allergic reaction/hypersensitivity                               | 6                    | 8         | 1         | 1         | 7          | 5         |
| Alopecia   | 0                    | 0         | 0         | 0         | 0          | 0         |
| Acneiform rash   | 5                    | 7         | 0         | 0         | 5          | 3         |
| Conjunctivitis   | 1                    | 1         | 0         | 0         | 1          | 1         |
| Nail changes   | 0                    | 0         | 0         | 0         | 0          | 0         |
| <b>Neuropathy (Levi scale, grade 2 or 3)**</b>                   | <b>37</b>            | <b>49</b> | <b>31</b> | <b>46</b> | <b>68</b>  | <b>47</b> |
| <b>Other toxicity (14 MD)</b>                                    | <b>15</b>            | <b>20</b> | <b>12</b> | <b>18</b> | <b>27</b>  | <b>19</b> |

\* and neuropathy grade  $\geq 2$  using Levi scale; MD=Missing data

\*\* see appendix 3 for results by grade



Table 16: Severe toxicities by treatment cycle (n=1 562 cycles)

|  | GEMOX +<br>CETUXIMAB |           | GEMOX      |           | All        |           |
|--|----------------------|-----------|------------|-----------|------------|-----------|
|  | N=865                | %         | N=697      | %         | N=1 562    | %         |
| <b>At least one severe toxicity*</b>                     | <b>464</b>           | <b>54</b> | <b>385</b> | <b>55</b> | <b>849</b> | <b>54</b> |
| <b>Hematological toxicity (10 MD)</b>                    | <b>46</b>            | <b>5</b>  | <b>41</b>  | <b>6</b>  | <b>87</b>  | <b>6</b>  |
| Hemoglobin (11 MD)                                       | 12                   | 1         | 6          | 1         | 18         | 1         |
| Platelets (15 MD)  | 10                   | 1         | 16         | 2         | 26         | 2         |
| White blood cells (13 MD)                                | 11                   | 1         | 2          | 0         | 13         | 1         |
| ANC (19 MD)  | 28                   | 3         | 22         | 3         | 50         | 3         |
| <b>Constitutional / infection (14 MD)</b>                | <b>26</b>            | <b>3</b>  | <b>20</b>  | <b>3</b>  | <b>46</b>  | <b>3</b>  |
| Fatigue (18 MD)  | 18                   | 2         | 14         | 2         | 32         | 2         |
| Fever (24 MD)  | 0                    | 0         | 1          | 0         | 1          | 0         |
| Infection with ANC <1 (31 MD)                            | 6                    | 1         | 0          | 0         | 6          | 0         |
| Infection with ANC >1 (31 MD)                            | 1                    | 0         | 4          | 1         | 5          | 0         |
| Infection with unknown ANC (31 MD)                       | 0                    | 0         | 2          | 0         | 2          | 0         |
| Febrile neutropenia (26 MD)                              | 2                    | 0         | 0          | 0         | 2          | 0         |
| <b>LAB toxicity (32 MD)</b>                              | <b>265</b>           | <b>31</b> | <b>295</b> | <b>42</b> | <b>560</b> | <b>36</b> |
| ALT/AST (47 MD)  | 39                   | 5         | 12         | 2         | 51         | 3         |
| ALP (81 MD)  | 71                   | 8         | 55         | 8         | 126        | 8         |
| GGT (52 MD)  | 256                  | 30        | 293        | 42        | 549        | 35        |
| Bilirubin (47 MD)  | 17                   | 2         | 4          | 1         | 21         | 1         |
| Creatinin (44 MD)  | 1                    | 0         | .          | .         | 1          | 0         |
| Mg (463 MD)  | 0                    | 0         | 0          | 0         | 0          | 0         |
| <b>Gastrointestinal toxicity (15 MD)</b>                 | <b>14</b>            | <b>2</b>  | <b>10</b>  | <b>1</b>  | <b>24</b>  | <b>2</b>  |
| Anorexia (24 MD)   | 4                    | 0         | 3          | 0         | 7          | 0         |
| Nausea (27 MD)   | 2                    | 0         | 2          | 0         | 4          | 0         |
| Vomiting (29 MD)   | 4                    | 0         | 2          | 0         | 6          | 0         |
| Diarrhea (27 MD)   | 8                    | 1         | 3          | 0         | 11         | 1         |
| Constipation (24 MD)                                     | 0                    | 0         | 0          | 0         | 0          | 0         |
| Mucositis (22 MD)  | 1                    | 0         | 0          | 0         | 1          | 0         |
| <b>Skin toxicity (16 MD)</b>                             | <b>13</b>            | <b>2</b>  | <b>1</b>   | <b>0</b>  | <b>14</b>  | <b>1</b>  |
| Allergic reaction/hypersensitivity (28 MD)               | 6                    | 1         | 1          | 0         | 7          | 0         |
| Alopecia (24 MD)   | 0                    | 0         | 0          | 0         | 0          | 0         |
| Acneiform rash (25 MD)                                   | 6                    | 1         | 0          | 0         | 6          | 0         |
| Conjunctivitis (30 MD)                                   | 1                    | 0         | 0          | 0         | 1          | 0         |
| Nail changes (31 MD)                                     | 0                    | 0         | 0          | 0         | 0          | 0         |
| <b>Neuropathy (Levi scale, grade 2 or 3)<br/>(32 MD)</b> | <b>213</b>           | <b>25</b> | <b>125</b> | <b>18</b> | <b>338</b> | <b>22</b> |
| <b>Other toxicity (40 MD)</b>                            | <b>21</b>            | <b>2</b>  | <b>16</b>  | <b>2</b>  | <b>37</b>  | <b>2</b>  |

MD: Missing data; queries ongoing to determine whether unfilled items on a same form correspond or not to unknown data. Toxicity was not reported in 12 last cycles (7 in the GEMOX + Cetuximab arm and 5 in the GEMOX alone arm).

Other grade 3-4 toxicities are presented in Table 17.

Table 17: Other severe toxicities

| Patient number | Treatment arm     | Cycle | Toxicity 'other'                  | Grade |
|----------------|-------------------|-------|-----------------------------------|-------|
| 7              | GEMOX alone       | 6     | Performance status deterioration  | 3     |
| 7              | GEMOX alone       | 6     | Cholangitis                       | 4     |
| 8              | GEMOX + cetuximab | 4     | Dizziness                         | 3     |
| 8              | GEMOX + cetuximab | 4     | Performance status deterioration  | 4     |
| 12             | GEMOX alone       | 5     | Performance status deterioration  | 3     |
| 12             | GEMOX alone       | 5     | Neurological troubles             | 3     |
| 12             | GEMOX alone       | 5     | Hypercalcemia                     | 4     |
| 12             | GEMOX alone       | 6     | Hypercalcemia                     | 3     |
| 12             | GEMOX alone       | 6     | Neurological troubles             | 3     |
| 18             | GEMOX alone       | 8     | Cholangitis                       | 3     |
| 25             | GEMOX + cetuximab | 2     | Tumor necrosis                    | 3     |
| 25             | GEMOX + cetuximab | 3     | Port site infection               | 3     |
| 26             | GEMOX alone       | 4     | Stenosis                          | 3     |
| 27             | GEMOX + cetuximab | 4     | Hematemesis                       | 3     |
| 32             | GEMOX alone       | 1     | Dysphonia (pulmonary)             | 3     |
| 43             | GEMOX alone       | 4     | Ascites                           | 3     |
| 43             | GEMOX alone       | 5     | Ascites                           | 3     |
| 43             | GEMOX alone       | 6     | Ascites                           | 3     |
| 51             | GEMOX + cetuximab | 5     | Hypokalemia                       | 3     |
| 53             | GEMOX + cetuximab | 3     | Abdominal cramps                  | 3     |
| 53             | GEMOX + cetuximab | 18    | Shoulder calcification            | 3     |
| 55             | GEMOX alone       | 2     | Dehydration                       | 3     |
| 60             | GEMOX + cetuximab | 2     | Deep vein thrombosis              | 3     |
| 60             | GEMOX + cetuximab | 8     | Sepsis                            | 3     |
| 60             | GEMOX + cetuximab | 8     | Arterial hypertension             | 3     |
| 62             | GEMOX alone       | 10    | Deep vein thrombosis (upper limb) | 3     |
| 68             | GEMOX + cetuximab | 3     | Acute pancreatitis                | 3     |
| 68             | GEMOX + cetuximab | 4     | Acute pancreatitis                | 3     |
| 68             | GEMOX + cetuximab | 5     | Acute pancreatitis                | 3     |
| 70             | GEMOX + cetuximab | 12    | Abdominal pain                    | 3     |
| 85             | GEMOX alone       | 4     | Abdominal pain                    | 3     |
| 97             | GEMOX + cetuximab | 3     | Hypokalemia                       | 3     |
| 101            | GEMOX + cetuximab | 4     | Hepatic encephalopathy            | 3     |
| 103            | GEMOX alone       | 1     | Infectious pneumonia              | 4     |
| 105            | GEMOX + cetuximab | 6     | Infection                         | 3     |
| 109            | GEMOX + cetuximab | 1     | Abdominal pain                    | 3     |
| 110            | GEMOX alone       | 3     | Pain                              | 3     |
| 110            | GEMOX alone       | 4     | Abdominal pain                    | 3     |
| 113            | GEMOX + cetuximab | 5     | Asthenia                          | 3     |
| 119            | GEMOX + cetuximab | 7     | Abdominal pain                    | 3     |
| 141            | GEMOX alone       | 10    | Articular pains (jaws)            | 3     |
| 149            | GEMOX + cetuximab | 9     | Dyspnea                           | 3     |
| 149            | GEMOX + cetuximab | 9     | CHAP (meaning??)                  | 3     |
| 149            | GEMOX + cetuximab | 10    | Dyspnea                           | 3     |

Multiple toxicities occurring in a given patient during the same cycle (in grey) account for one case of 'other toxicity' in the previous table. In the GEMOX + Cetuximab arm, 4 patients had abdominal pain/cramps and in the GEMOX alone arm, 2 patients had abdominal pain/cramps.

The rate of severe toxicity did not differ according to treatment arm (54% of cycles in GEMOX + Cetuximab arm vs. 55% in the GEMOX arm,  $p=0.53$ ) but differed according to the country: 60% of the cycles in French centers vs. 42% of the cycles in German centers ( $p<0.0001$ ). The rate of severe toxicity according to the center is given in Table 18. Three German centers have a percentage of cycles with severe toxicity of 15% or less.

**Table 18: Rate of severe toxicity according to the center**

| Country | Center                                 | Number of patients | Number of cycles with toxicity information | Number of cycles with severe toxicity | Percentage of cycles with severe toxicity |
|---------|--|--------------------|--|---------------------------------------|---|
| France  | Institut Gustave Roussy - Villejuif    | 9                  | 107  | 54                                    | 50  |
| France  | Hôpital Beaujon - Clichy               | 10                 | 139  | 66                                    | 47  |
| France  | Pitié Salpêtrière - Paris              | 2                  | 8  | 4                                     | 50  |
| France  | Hôpital St Antoine - Paris             | 16                 | 195  | 117                                   | 60  |
| France  | Centre Léon Bérard - Lyon              | 17                 | 196  | 147                                   | 75  |
| France  | CRLC Val d'Aurelle - Montpellier       | 6                  | 68   | 42                                    | 62  |
| France  | Institut Paoli Calmettes - Marseille   | 9                  | 78   | 49                                    | 63  |
| France  | Centre Eugène Marquis - Rennes         | 17                 | 168  | 119                                   | 71  |
| France  | Hôpital St André - Bordeaux            | 8                  | 65   | 21                                    | 32  |
| France  | Henri Mondor - Créteil                 | 4                  | 37   | 15                                    | 41  |
| France  | Hôpital Bordeaux Haut Lévéque - Pessac | 2                  | 29   | 24                                    | 83  |
| Germany | Ulm                                    | 6                  | 70   | 38                                    | 54  |
| Germany | Essen                                  | 17                 | 187  | 28                                    | 15  |
| Germany | Halle                                  | 2                  | 14   | 0                                     | 0   |
| Germany | Hannover                               | 12                 | 142  | 103                                   | 73  |
| Germany | Heidelberg                             | 4                  | 32   | 21                                    | 66  |
| Germany | München                                | 1                  | 20   | 10                                    | 50  |
| Germany | Regensburg                             | 2                  | 8  | 1                                     | 13  |

## 5.2 Details on infectious toxicities

### 5.2.1 Cholangitis

After consulting drug safety and clinical databases, 8 bile duct infections have been identified in 7 patients (considering 2 infections for patient #125): 4 patients in the GEMOX arm and 3 in the GEMOX + Cetuximab arm. They are described below.

| Patient (center)<br>Sex/age | PS | Disease (location/<br>stage) | Arm (cycles received) | Event                 | Date (days since the last cycle) | SAE       | Status                            |
|-----------------------------|----|------------------------------|-----------------------|-----------------------|----------------------------------|-----------|-----------------------------------|
| #7 (8)<br>M/66              | 1  | GB/M+                        | GEMOX (6)             | Grade 4 cholangitis   | 01-feb-08 (17)                   | Unrelated | Died<br>14-apr-08 (PD)            |
| #18 (5)<br>F/52             | 1  | CC/M+                        | GEMOX (8)             | Grade 3 cholangitis   | 22-may-08 (11)                   | Unrelated | Died<br>27-oct-10 (PD)            |
| #114 (19)<br>M/55           | 0  | CC/LA                        | GEMOX (12)            | Grade 3 cholangitis   | Cycle 6                          | Unrelated | Alive<br>08-jul-10                |
| #125 (3)<br>M/71            | 1  | CC/M+                        | GEMOX (9)             | Grade 2 cholangitis   | Cycle 5                          | Unrelated | Died<br>06-apr-2010 (cholangitis) |
| #106 (19)<br>F/58           | 0  | CC/M+                        | GEMOX-cetuximab (13)  | Grade 3 cholangitis   | Cycle 8                          | Unrelated | Died<br>15-feb-10 (PD)            |
| #113 (20)<br>M/72           | 0  | CC/LA                        | GEMOX-cetuximab (5)   | Cholangitis           | 03-sep-09 (18)                   | Unrelated | Died<br>5-oct-10 (PD)             |
| #126 (5)<br>M/53            | NA | CC/M+                        | GEMOX-cetuximab (13)  | Grade 3 cholecystitis | Cycle 6                          | Unrelated | Died<br>20-oct-10 (PD)            |

CC, cholangiocarcinoma. GB, gallbladder. LA, locally advanced. M+, metastatic. NA, not available. PD, progressive disease. PS, performance status at study entry.

### 5.2.2 Other infections

27 infections in 22 patients are described in the next table: 11 infections in 9 patients in the GEMOX arm and 16 infections in 13 patients in the GEMOX + cetuximab arm. Two infections, one in each arm, had a fatal outcome.

| Numtas | CT | Trait       | Sex | Age | Tumor location     | Disease status   | PS | During study treatment | Date of Infection | Toxicity description                        | Grade | SAE | Related   | Tt relation | Follow_up  | Status |
|--------|----|-------------|-----|-----|--------------------|------------------|----|------------------------|-------------------|---|-------|-----|-----------|-------------|------------|--------|
| 13     | 5  | GEMOX + CET | M   | 75  | Cholangiocarcinoma | Metastatic       | 1  | Yes                    | 28-août-08        | Dental abscess                              | 2     | 0   |           |             | 27-nov-10  | Death  |
| 14     | 8  | GEMOX + CET | M   | 60  | Cholangiocarcinoma | Metastatic       | 1  | Yes                    | 09-janv-08        | Urinary infection                           | 2     | 0   |           |             | 16-oct-08  | Death  |
| 14     | 8  | GEMOX + CET | M   | 60  | Cholangiocarcinoma | Metastatic       | 1  | Yes                    | 13-févr-08        | Urinary infection                           | 1     | 0   |           |             | 16-oct-08  | Death  |
| 17     | 5  | GEMOX + CET | M   | 72  | Multifocal         | Metastatic       | 1  | Yes                    | 04-févr-08        | Central venous catheter infection (anc<1)   | 3     | 0   |           |             | 05-avr-08  | Death  |
| 25     | 7  | GEMOX + CET | M   | 64  | Cholangiocarcinoma | Metastatic       | 0  | Yes                    | 26-mars-08        | Septicemia staphylococcal /catheter related | 3     | 1   | Unrelated |             | 11-nov-08  | Death  |
| 25     | 7  | GEMOX + CET | M   | 64  | Cholangiocarcinoma | Metastatic       | 0  | Yes                    | 16-mai-08         | Sepsis/catheter related infection           | 3     | 1   | Unrelated |             | 11-nov-08  | Death  |
| 42     | 13 | GEMOX + CET | F   | 64  | Cholangiocarcinoma | Metastatic       | 1  | No                     | 21-juil-10        | Renal failure/sepsis                        | 5     | 0   |           |             | 21-juil-10 | Death  |
| 51     | 11 | GEMOX + CET | F   | 62  | Gallbladder        | Locally advanced | 0  | Yes                    | 19-mai-08         | Infection (ANC<1)                           | 2     | 0   |           |             | 16-août-08 | Death  |
| 51     | 11 | GEMOX + CET | F   | 62  | Gallbladder        | Locally advanced | 0  | Yes                    | 12-juin-08        | Infection (ANC<1)                           | 2     | 0   |           |             | 16-août-08 | Death  |
| 59     | 18 | GEMOX + CET | M   | 56  | Cholangiocarcinoma | Metastatic       | 0  | Yes                    | 22-mai-08         | Bronchitis                                  | 1     | 0   |           |             | 24-mai-10  | Death  |
| 60     | 9  | GEMOX + CET | F   | 70  | Gallbladder        | Metastatic       | 0  | Yes                    | 08-sept-08        | Biliary sepsis/renal failure /dyspnea       | 2     | 1   | Unrelated |             | 08-nov-08  | Death  |
| 77     | 6  | GEMOX + CET | M   | 36  | Cholangiocarcinoma | Metastatic       | 1  | Yes                    | 26-août-08        | Catheter infection                          | 2     | 1   | Unrelated |             | 25-sept-08 | Death  |
| 89     | 10 | GEMOX + CET | M   | 68  | Cholangiocarcinoma | Metastatic       | 1  | Yes                    | 24-févr-09        | Catheter related septicemia                 | 5     | 1   | Unrelated |             | 24-févr-09 | Death  |

|     |    |             |   |    |                    |                  |   |     |            |  |   |   |           |             |            |       |
|-----|----|-------------|---|----|--------------------|------------------|---|-----|------------|--|---|---|-----------|-------------|------------|-------|
| 105 | 9  | GEMOX + CET | M | 61 | Cholangiocarcinoma | Locally advanced | 1 | Yes | 15-mai-09  | Infection with normal ANC                | 3 | 1 | Unrelated |             | 04-sept-09 | Death |
| 109 | 9  | GEMOX + CET | M | 55 | Cholangiocarcinoma | Locally advanced | 1 | Yes | 28-avr-09  | Infection (ANC<1)                        | 3 | 0 |           |             | 23-mai-10  | Death |
| 150 | 6  | GEMOX + CET | F | 67 | Cholangiocarcinoma | Locally advanced | 1 | Yes | 07-sept-10 | Stent related infection /stent placement | 3 | 1 | Unrelated |             | 29-déc-10  | Alive |
| 111 | 5  | GEMOX alone | F | 59 | Cholangiocarcinoma | Metastatic       | 1 | Yes | 10-oct-08  | Clostridium colitis                      | 3 | 1 | Related   | GEMCITABINE | 29-nov-10  | Alive |
| 36  | 6  | GEMOX alone | F | 52 | Cholangiocarcinoma | Locally advanced | 1 | Yes | 07-juin-08 | Septicemia                               | 2 | 1 | Unrelated |             | 03-mars-09 | Death |
| 52  | 7  | GEMOX alone | M | 42 | Cholangiocarcinoma | Metastatic       | 0 | Yes | 21-août-08 | Sinusitis                                | 2 | 0 |           |             | 06-sept-10 | Death |
| 52  | 7  | GEMOX alone | M | 42 | Cholangiocarcinoma | Metastatic       | 0 | Yes | 11-sept-08 | Sinusitis                                | 2 | 0 |           |             | 06-sept-10 | Death |
| 64  | 8  | GEMOX alone | M | 65 | Cholangiocarcinoma | Metastatic       | 1 | Yes | 19-juin-08 | Urinary infection                        | 1 | 0 |           |             | 19-juil-09 | Death |
| 85  | 18 | GEMOX alone | F | 51 | Cholangiocarcinoma | Metastatic       | 1 | Yes | 09-sept-08 | Infection ANC >=1)                       | 3 | 0 |           |             | 22-nov-08  | Death |
| 87  | 11 | GEMOX alone | F | 53 | Cholangiocarcinoma | Metastatic       | 0 | Yes | 10-mars-09 | Atypical pneumonia                       | 5 | 1 | Related   | GEMOX       | 10-mars-09 | Death |
| 95  | 9  | GEMOX alone | F | 51 | Cholangiocarcinoma | Locally advanced | 0 | Yes | 27-nov-08  | Sinusitis                                | 2 | 0 |           |             | 22-nov-10  | Death |
| 95  | 9  | GEMOX alone | F | 51 | Cholangiocarcinoma | Locally advanced | 0 | Yes | 19-févr-09 | Sinusitis                                | 1 | 0 |           |             | 22-nov-10  | Death |
| 103 | 9  | GEMOX alone | M | 72 | Cholangiocarcinoma | Metastatic       | 0 | Yes | 20-avr-09  | Lung infection                           | 4 | 1 | Related   | GEMOX       | 05-mai-09  | Death |
| 139 | 6  | GEMOX alone | F | 62 | Cholangiocarcinoma | Metastatic       | 1 | Yes | 23-nov-09  | Infection ANC unknown                    | 3 | 0 |           |             | 26-janv-10 | Death |



## 6 Serious adverse events

This section was unchanged since the previous report. Data presented here have been reconciled with the drug safety database. The safety reports are based on all included patients (150 patients).

Table 19 lists the serious adverse events (SAE) declared to the drug safety unit:

- In the GEMOX + cetuximab arm, 68 SAE were declared in 38 patients;
- In the GEMOX alone arm, 40 SAE were declared in 24 patients.

The number of declared SAE was 74 in France and 34 in Germany, among 105 and 45 randomized patients, respectively.

Overall, 54 SAE were deemed related to treatment:

- 20 SAE in 12 patients in the GEMOX alone arm,
- 34 SAE in 19 patients in the GEMOX+CETUXIMAB arm.

Additionally, five SAE in three patients were registered in the clinical database but not reported to the drug safety unit: #22, elevated serum bilirubin level; #85 and #139: infection.

Table 19: SAE

| Patient number | Arm (A=without, B=WITH CETUX) | Country | serious adverse event   | Related   | Date of onset | Outcome  |
|----------------|-------------------------------|---------|---|-----------|---------------|----------|
| 2              | B                             | France  | prosthesis implantation   | Unrelated | 17/04/2008    | Resolved |
| 7              | A                             | France  | cholangitis   | Unrelated | 18/02/2008    | Resolved |
| 8              | B                             | France  | nausea post chemotherapy  | Related   | 03/01/2008    | Resolved |
| 8              | B                             | France  | fatigue   | Related   | 03/01/2008    | Resolved |
| 8              | B                             | France  | dizziness on standing up  | Related   | 03/01/2008    | Resolved |
| 8              | B                             | France  | vomiting/weight loss/general physical health deterioration                        | Unrelated | 09/01/2008    | Resolved |
| 11             | A                             | France  | clostridium colitis   | Related   | 10/10/2008    | Resolved |
| 12             | A                             | France  | hypercalcemia of malignancy/confusion/general health deterioration/disorientation | Unrelated | 27/02/2008    | Resolved |
| 12             | A                             | France  | humerus fracture  | Unrelated | 20/03/2008    | Resolved |
| 12             | A                             | France  | hypercalcemia of malignancy/confusion   | Unrelated | 20/03/2008    | Resolved |
| 13             | B                             | France  | allergic reaction   | Related   | 12/07/2008    | Resolved |
| 14             | B                             | France  | burning micturition   | Unrelated | 21/02/2008    | Resolved |
| 17             | B                             | France  | malnutrition  | Related   | 17/03/2008    | Resolved |
| 17             | B                             | France  | Death (documented disease progression)  | Unrelated | 04/04/2008    | Death    |
| 18             | A                             | France  | neutropenia   | Related   | 01/03/2008    | Resolved |
| 18             | A                             | France  | thrombocytopenia  | Related   | 01/03/2008    | Resolved |
| 18             | A                             | France  | anemia post chemotherapy  | Related   | 01/03/2008    | Resolved |
| 18             | A                             | France  | cholangitis acute   | Unrelated | 02/06/2008    | Resolved |
| 22             | B                             | France  | biliary drainage  | Unrelated | 16/01/2008    | Resolved |
| 22             | B                             | France  | drain placement   | Unrelated | 14/03/2008    | Resolved |
| 25             | B                             | France  | melaena   | Related   | 03/03/2008    | Resolved |



|    |   |         |  |           |            |          |
|----|---|---------|--|-----------|------------|----------|
| 25 | B | France  | septicemia staphylococcal/catheter related infection   | Unrelated | 26/03/2008 | Resolved |
| 25 | B | France  | sepsis/catheter related infection  | Unrelated | 16/05/2008 | Resolved |
| 26 | A | France  | duodenal stenosis  | Unrelated | 17/04/2008 | Resolved |
| 27 | B | France  | bleeding esophageal varices  | Unrelated | 14/04/2008 | Resolved |
| 30 | B | Germany | general physical health deterioration  | Related   | 14/04/2008 | Resolved |
| 31 | A | France  | Death (documented disease progression)   | Unrelated | 27/03/2008 | Death    |
| 35 | B | France  | prosthesis implantation  | Unrelated | 11/09/2008 | Resolved |
| 35 | B | France  | bile duct stenosis/biliary drainage  | Unrelated | 30/10/2008 | Resolved |
| 36 | A | France  | septicemia   | Unrelated | 07/06/2008 | Resolved |
| 36 | A | France  | prosthesis implantation  | Unrelated | 03/07/2008 | Resolved |
| 38 | A | Germany | allergic reaction  | Related   | 07/08/2008 | Resolved |
| 41 | B | Germany | nausea post chemotherapy   | Related   | 09/04/2008 | Resolved |
| 41 | B | Germany | fatigue  | Related   | 05/05/2008 | Resolved |
| 45 | A | France  | Death (Possible etiological factors include the underlying malignancy and the concurrent infrarenal aortic aneurysm) | Unrelated | 20/09/2008 | Death    |
| 47 | B | Germany | allergic reaction  | Related   | 17/04/2008 |          |
| 48 | B | France  | neutropenia  | Related   | 22/09/2008 | Resolved |
| 48 | B | France  | prosthesis implantation  | Unrelated | 22/10/2008 | Resolved |
| 48 | B | France  | prosthesis implantation  | Unrelated | 25/11/2008 | Resolved |
| 51 | B | Germany | fever of unknown origin  | Related   | 15/05/2008 | Resolved |
| 51 | B | Germany | abdominal pain   | Related   | 18/06/2008 | Resolved |
| 51 | B | Germany | fever of unknown origin  | Related   | 18/06/2008 | Resolved |
| 51 | B | Germany | abdominal pain   | Related   | 19/07/2008 | Resolved |
| 52 | A | France  | fever of unknown origin  | Related   | 06/08/2008 | Resolved |
| 52 | A | France  | catheter placement   | Unrelated | 02/10/2008 | Resolved |
| 53 | B | France  | nausea post chemotherapy   | Related   | 11/06/2008 | Resolved |
| 53 | B | France  | vomiting post chemotherapy   | Related   | 11/06/2008 | Resolved |
| 53 | B | France  | diarrhea post chemotherapy   | Related   | 08/11/2008 | Resolved |
| 55 | A | Germany | nausea post chemotherapy   | Related   | 16/05/2008 | Resolved |
| 55 | A | Germany | vomiting post chemotherapy   | Related   | 16/05/2008 | Resolved |
| 55 | A | Germany | dehydration  | Related   | 04/06/2008 | Resolved |
| 55 | A | Germany | fever of unknown origin  | Related   | 04/06/2008 | Resolved |
| 55 | A | Germany | hypotension  | Related   | 04/06/2008 | Resolved |
| 56 | B | Germany | thrombocytopenia   | Related   | 18/06/2008 | Resolved |
| 56 | B | Germany | colitis  | Related   | 18/06/2008 | Resolved |
| 60 | B | France  | biliary sepsis/renal failure/dyspnea   | Unrelated | 08/09/2008 | Resolved |
| 62 | A | France  | thrombophlebitis arm   | Related   | 12/10/2008 | Resolved |
| 63 | B | Germany | fever of unknown origin  | Related   | 28/08/2008 | Resolved |
| 63 | B | Germany | chills   | Related   | 28/08/2008 | Resolved |
| 67 | A | Germany | cytokine release syndrome  | Related   | 27/08/2008 | Resolved |
| 68 | B | France  | neutropenia  | Related   | 21/07/2008 | Resolved |
| 68 | B | France  | acute pancreatitis   | Related   | 09/08/2008 | Resolved |
| 68 | B | France  | acute pancreatitis   | Related   | 01/09/2008 | Resolved |
| 68 | B | France  | allergic reaction  | Related   | 10/09/2008 | Resolved |
| 71 | A | France  | allergic reaction  | Related   | 03/12/2008 | Resolved |
| 75 | A | Germany | Death (documented disease progression)   | Unrelated | 21/08/2008 | Death    |
| 76 | A | Germany | general physical health deterioration  | Unrelated | 19/08/2008 | Resolved |

|     |   |         |   |           |            |          |
|-----|---|---------|---|-----------|------------|----------|
| 77  | B | France  | general physical health deterioration/ascites/malnutrition/catheter infection/acute renal insufficiency | Unrelated | 26/08/2008 | Resolved |
| 78  | B | Germany | oropharyngeal dysesthesia   | Related   | 14/08/2008 | Resolved |
| 78  | B | Germany | pulmonary embolism  | Unrelated | 29/09/2008 | Resolved |
| 78  | B | Germany | diarrhoea   | Unrelated | 15/10/2008 | Resolved |
| 79  | B | France  | bile duct obstruction/general physical health deterioration/asthenia                                    | Unrelated | 02/11/2008 | Resolved |
| 82  | B | Germany | fever of unknown origin   | Related   | 20/09/2008 | Resolved |
| 87  | A | Germany | abdominal pain  | Related   | 04/02/2009 | Resolved |
| 87  | A | Germany | atypical pneumonia  | Related   | 10/03/2009 | Death    |
| 89  | B | Germany | tendovaginitis  | Unrelated | 27/12/2008 | Resolved |
| 89  | B | Germany | catheter related septicemia   | Unrelated | 24/02/2009 | Death    |
| 93  | B | Germany | diarrhea post chemotherapy  | Related   | 31/12/2008 | Resolved |
| 93  | B | Germany | fever of unknown origin   | Related   | 31/12/2008 | Resolved |
| 93  | B | Germany | cholestasis   | Unrelated | 12/02/2009 | Unknown  |
| 96  | A | Germany | general physical health deterioration   | Unrelated | 24/05/2009 | Death    |
| 100 | B | France  | allergic reaction   | Unrelated | 01/07/2009 | Resolved |
| 101 | B | France  | Death (disease progression)   | Unrelated | 22/12/2008 | Death    |
| 103 | A | France  | lung infection  | Related   | 20/04/2009 | Unknown  |
| 105 | B | France  | infection with normal anc/hypothermia   | Unrelated | 15/05/2009 | Resolved |
| 106 | B | France  | cholangitis   | Unrelated | 27/08/2009 | Resolved |
| 109 | B | France  | fever/abdominal pain  | Unrelated | 12/05/2009 | Resolved |
| 110 | B | France  | extrahepatic biliary obstruction  | Unrelated | 13/05/2009 | Resolved |
| 113 | B | France  | hyperthermia  | Unrelated | 24/08/2009 | Resolved |
| 113 | B | France  | choledochitis   | Unrelated | 21/09/2009 | Resolved |
| 114 | A | France  | abdominal pain  | Unrelated | 14/06/2009 | Resolved |
| 114 | A | France  | hematemesis   | Unrelated | 10/08/2009 | Resolved |
| 114 | A | France  | cholangiolitis  | Unrelated | 03/09/2009 | Resolved |
| 119 | B | France  | anemia post chemotherapy  | Related   | 13/08/2009 | Resolved |
| 121 | A | France  | gastroenteritis viral   | Unrelated | 23/12/2009 | Resolved |
| 123 | A | France  | abdominal pain/leg oedema/dyspnea/anorexia/general physical health deterioration                        | Unrelated | 22/10/2009 | Resolved |
| 123 | A | France  | anemia post chemotherapy  | Related   | 22/10/2009 | Resolved |
| 123 | A | France  | thrombopenia  | Related   | 22/10/2009 | Resolved |
| 125 | A | France  | cholangitis   | Unrelated | 15/10/2009 | Resolved |
| 126 | B | France  | cholecystitis/sepsis/abdominal abscess  | Unrelated | 10/12/2009 | Resolved |
| 126 | B | France  | device migration  | Unrelated | 06/01/2010 | Resolved |
| 131 | A | France  | fever of unknown origin   | Related   | 14/10/2009 | Resolved |
| 137 | B | France  | allergic reaction   | Related   | 19/11/2009 | Resolved |
| 138 | B | Germany | pneumothorax  | Unrelated | 06/11/2009 | Resolved |
| 145 | B | France  | allergic reaction   | Related   | 19/11/2009 | Resolved |
| 145 | B | France  | neutropenia   | Related   | 04/12/2009 | Resolved |
| 150 | B | France  | acute renal insufficiency   | Unrelated | 05/01/2010 | Resolved |
| 150 | B | France  | stent related infection/stent placement   | Unrelated | 07/09/2010 | Resolved |

## 7 Response to treatment

### 7.1 4-month PFS rate

The 4-month PFS rate is defined by the rate of patients whose disease has not progressed at 4 months and during the 30 days thereafter, as judged by the investigator.

#### 7.1.1 Overall population

*Two patients changed their main endpoint status for progression after the answer to queries that were received after the previous report.*

Among the 76 patients randomized in the GEMOX + cetuximab arm, 27 had progressed at 4 months. One patient was censored before 4 months (#122, censored at 46 days when he went to another hospital). A sensitivity analysis is done for this patient response:

- If patient #122 is considered as a failure, the 4-month PFS rate is equal to 48/76 (63%, 95% CI: 52 to 74%);
- If patient #122 is not considered as a failure, the 4-month PFS rate is equal to 49/76 (64%, 95% CI: 54 to 75%).

On the evaluable population (n=75 patients), the 4-month PFS rate is equal to 48/75 (64%, 95% CI: 53 to 75%).

*In the protocol, at least 22 patients out of 46 (48%) should not have progressed to conclude that the GEMOX + cetuximab combination regimen is effective. As 32 out of 50 patients (64%) had not progressed at 4 months, it could be concluded that the GEMOX + cetuximab combination regimen was effective.*

Among the 74 patients randomized in the GEMOX alone arm, 34 had progressed at 4 months. No patient was censored at this time. All patients were considered as evaluable at 4 months.

The 4-month PFS rate was equal to 40/74 (54%, 95% CI: 43% to 65%).

*Among the first 51 patients, the 4-month PFS rate was equal to 28/51 (55%, 95% CI: 41 to 69%). When non-treated patients were excluded, the 4-month PFS rate was of 28/49 (57%, 95% CI: 43 to 71%).*

#### 7.1.2 Subgroup analysis

##### 7.1.2.1 Primary tumor location

Among the 150 patients, 65/76 patients in the GEMOX + cetuximab arm and 63/74 patients in the GEMOX arm had a non-gallbladder tumor.

*Reminder: In the protocol, at least 22 patients out of 46 (48%) should not have progressed to conclude that the GEMOX + cetuximab combination regimen is effective.*

Among the 65 patients with **non-gallbladder tumor randomized in the GEMOX + cetuximab arm**, 22 had progressed at 4 months. One patient was censored before 4 months (#122, censored at 46 days). A sensitivity analysis is done for this patient response:

- If patient #122 is considered as a failure, the 4-month PFS rate is equal to 42/65 (65%, 95% CI: 53 to 76%);
- If patient #122 is not considered as failure, the 4-month PFS rate is equal to 43/65 (66%, 95% CI: 55 to 78%).

On the evaluable population (n=64 patients), the 4-month PFS rate is equal to 42/64 (66%, 95% CI: 54 to 77%).

Whatever the scenario, the strategy was considered effective in this localization subgroup.

In this arm, 6 patients out of 11 with gallbladder tumor had not progressed at 4 months (55%, 95% CI: 25 to 84). The 4-month PFS rate did not differ between the two localization groups (Fisher exact test, p=0.51).

Among the 63 patients with non-gallbladder tumor randomized in the GEMOX alone arm, 29 had progressed at 4 months. No patient was censored at this time. All patients were considered as evaluable at 4 months. The 4-month PFS rate was equal to 34/63 (54%, 95% CI: 42 to 66%)

In this arm, 6 patients out of 11 with gallbladder tumor had not progressed at 4 months (55% 95% CI: 25 to 84). The 4-month PFS rate did not differ between the two localization groups (Fisher exact test, p=1.00).

## **7.2 Tumor response and disease control rates**

Objective tumor responses include complete responses and partial responses. Disease control is defined as complete response, partial response or stable disease.

### **7.2.1 At two months**

At two months, patients not evaluated have been considered as non-responses.

In the GEMOX + cetuximab arm, the objective tumor response rate (ORR) was 17% (13/76) and the disease control rate (DCR) was 80% (61/76). In this arm, the median time between randomization and this evaluation was 1.8 months (range, 1.1 to 3.3). In the GEMOX alone arm, ORR was 7% (5/74) and DCR was 65% (48/74). In this arm, the median time between randomization and this evaluation was 1.8 months (range, 1.1 to 2.6).



**Table 20: Tumor response at the 2-month assessment**

| Global response at<br>2 months | GEMOX +<br>CETUXIMAB<br>n=76 | GEMOX alone<br>n=74 | Total<br>n=150 |
|--------------------------------|------------------------------|---------------------|----------------|
| Complete Response              | 1                            | 0                   | 1              |
| Partial Response               | 12                           | 5                   | 17             |
| Stable Disease                 | 48                           | 43                  | 91             |
| Progressive Disease            | 8                            | 15                  | 23             |
| Not evaluated<br>(=failure)    | 7                            | 11                  | 18             |

Among the 18 patients without evaluation, one was evaluated in subsequent evaluations: #124 had stable disease at six months. All other patients were not evaluated at the subsequent evaluations. At any time, they were considered as non-responders. Consequently, none of the patients, except one (#124), who were considered as failures because of no evaluation, had stable disease or objective response after the missing evaluation.

**Table 21: Patients without evaluation at 2 months (n=18)**

| NUMTAS | Treatment arm     | Date of<br>random. | Date of 1st<br>day of last<br>cycle | Total<br>number of<br>cycles | Prog. | Date of<br>event | Survival<br>status | Cause of<br>death |
|--------|-------------------|--------------------|-------------------------------------|------------------------------|-------|------------------|--------------------|-------------------|
| 6      | GEMOX alone       | 16/11/2007         | 19/11/2007                          | 1                            | 1     | 30/01/2008       | 1                  | Progression       |
| 8      | GEMOX + CETUXIMAB | 19/11/2007         | 02/01/2008                          | 4                            | 1     | 09/01/2008       | 1                  | Progression       |
| 31     | GEMOX alone       | 29/02/2008         |                                     | 0                            | 1     | 27/03/2008       | 1                  | Progression       |
| 39     | GEMOX alone       | 25/03/2008         | 14/04/2008                          | 2                            | 1     | 30/04/2008       | 1                  | Progression       |
| 75     | GEMOX alone       | 22/07/2008         |                                     | 0                            | 1     | 21/08/2008       | 1                  | Progression       |
| 76     | GEMOX alone       | 24/07/2008         | 11/08/2008                          | 2                            | 1     | 19/08/2008       | 1                  | Progression       |
| 98     | GEMOX alone       | 14/10/2008         | 14/10/2008                          | 1                            | 1     | 29/08/2010       | 1                  | Other             |
| 101    | GEMOX + CETUXIMAB | 15/10/2008         | 02/12/2008                          | 4                            | 1     | 16/12/2008       | 1                  | Progression       |
| 103    | GEMOX alone       | 24/03/2009         | 08/04/2009                          | 2                            | 1     | 20/04/2009       | 1                  | Progression       |
| 114    | GEMOX alone       | 20/05/2009         | 25/11/2009                          | 12                           | 0     |                  | 0                  | NA                |
| 115    | GEMOX alone       | 25/05/2009         | 22/06/2009                          | 3                            | 1     | 30/06/2009       | 1                  | Progression       |
| 120    | GEMOX alone       | 09/07/2009         | 06/08/2009                          | 3                            | 1     | 16/09/2009       | 1                  | Other             |
| 122    | GEMOX + CETUXIMAB | 17/07/2009         | 10/08/2009                          | 2                            | 0     |                  | 0                  | NA                |
| 124    | GEMOX + CETUXIMAB | 05/08/2009         | 12/01/2010                          | 12                           | 0     |                  | 0                  | NA                |
| 127    | GEMOX alone       | 21/08/2009         |                                     | 0                            | 1     | 20/11/2009       | 1                  | Progression       |
| 130    | GEMOX + CETUXIMAB | 14/09/2009         | 08/02/2010                          | 8                            | 1     | 14/03/2011       | 0                  | NA                |
| 138    | GEMOX + CETUXIMAB | 28/10/2009         | 17/12/2009                          | 4                            | 1     | 28/11/2010       | 1                  | Progression       |
| 146    | GEMOX + CETUXIMAB | 20/11/2009         | 08/12/2009                          | 2                            | 1     | 08/02/2010       | 1                  | Progression       |

NA = not applicable

Notes:

-#124 (Beaujon), evaluation form received with no response specified but obviously stable.

#114 (Mondor) and #130 (Bordeaux), no evaluation form received.

For patients from Henri Mondor, imaging exams have been performed in private clinics that refused to send us the exams. Radiologist from Mondor was not able to conclude with only the exam reports.

### 7.2.2 At four months

At 4 months, patients progressing or not evaluated at 2 months were considered as non-responses (failure) at 4 months. Patients not evaluated at four months were considered as non-responses.

In the GEMOX + cetuximab arm, ORR was 14% (11/76) and DCR was 62% (47/76). In this arm, the median time between randomization and this evaluation was 3.7 months (range, 2.9 to 5.5).

In the GEMOX alone arm, ORR was 18% (13/74) and DCR was 50% (37/74). In this arm, the median time between randomization and this evaluation was 3.7 months (range, 3.2 to 5.0).

**Table 22: Tumor response at the 4-month evaluation**

| Global response at 4 months   | GEMOX + CETUXIMAB<br>n=76 | GEMOX alone<br>n=74 | Total<br>n=150 |
|---|---------------------------|---------------------|----------------|
| Complete Response   | 1                         | 0                   | 1              |
| Not evaluated but considered as response (#9, see below)                    | 0                         | 1                   | 1              |
| Not evaluated at 2 and 4 months (=failure) but evaluated at 6 months (#124) | 1                         | 0                   | 1              |
| Partial Response  | 10                        | 12                  | 22             |
| Stable Disease  | 36                        | 24                  | 60             |
| Progressive Disease   | 9                         | 7                   | 16             |
| Not evaluated (=failure)  | 5                         | 4                   | 9              |
| Precedent failure   | 14                        | 26                  | 40             |

The 9 patients without evaluation are listed in table 23. Additionally, patient #9 (GEMOX alone arm) was not evaluated at 4 months because he stopped treatment to undergo curative-intent surgery. He was considered as objective response.



Table 23: Patients without evaluation at 4 months (n=9)

| NUMTAS | Treatment arm     | Date of randomization | Number of cycle | Progression | Date of progression/death | Survival status | Cause of death |
|--------|-------------------|-----------------------|-----------------|-------------|---------------------------|-----------------|----------------|
| 7      | GEMOX alone       | 16/11/2007            | 6               | 1           | 14/04/2008                | 1               | Progression    |
| 17     | GEMOX + Cetuximab | 18/01/2008            | 5               | 1           | 05/04/2008                | 1               | Progression    |
| 43     | GEMOX alone       | 31/03/2008            | 8               | 1           | 22/07/2008                | 1               | Progression    |
| 55     | GEMOX alone       | 07/05/2008            | 3               | 1           | 27/08/2008                | 1               | Progression    |
| 60     | GEMOX + Cetuximab | 21/05/2008            | 8               | 1           | 08/09/2008                | 1               | Progression    |
| 67     | GEMOX alone       | 30/06/2008            | 8               | 1           | 23/08/2009                | 1               | Other          |
| 68     | GEMOX + Cetuximab | 03/07/2008            | 5               | 1           | 13/01/2009                | 1               | Progression    |
| 78     | GEMOX + Cetuximab | 31/07/2008            | 5               | 1           | 17/12/2008                | 1               | Progression    |
| 105    | GEMOX + Cetuximab | 07/04/2009            | 6               | 1           | 30/08/2009                | 1               | Progression    |

## Notes:

- #7 (Marseille), #67 (Ulm) stopped treatment for personal convenience before the 4-month tumor evaluation.
- #68 (St Antoine) stopped for treatment toxicity after 5 cycles (09/2008) before 4-month tumor evaluation.
- #55 and 78 (Regensburg) stopped for treatment delay before 4-month tumor evaluation.
- #105 (Rennes) stopped for deterioration of general status before 4 month tumor evaluation.

### 7.2.3 After four months

Among the 85 patients still having disease control at 4 months or not evaluated at 4 months but evaluated after (#124):

- 17 were not evaluated after this time (9 in the GEMOX + cetuximab arm; 8 in the GEMOX alone arm);
- 32 were progressive at subsequent evaluations (21 in the GEMOX + cetuximab arm; 11 in the GEMOX alone arm);
- 19 had a stable disease (11 in GEMOX + cetuximab arm; 8 in the GEMOX alone arm);
- 17 had an objective tumor response (7 in GEMOX + cetuximab arm; 10 in the GEMOX alone arm), including 3 patient with a complete response (1 and 2 respectively).

For patients evaluated after 4 months (n=68), the median number of evaluations after 4 months was 2 (range, 1 to 7); 28 patients had 3 evaluations or more.

### 7.2.4 Best response and response duration

Considering the overall best response for the 133 evaluated patients (see Table 24), the **objective tumor response rate** was **26%** (18/70) in the GEMOX + cetuximab arm and **27%** (17/63) in the GEMOX alone arm.

The **disease control rate** in each arm was equal to **89%** (62/70) and **76%** (48/63) respectively.

On intent-to-treat analysis and for the overall best response, the objective tumor response rate was 24% (18/76) in the GEMOX + cetuximab arm and 23% (17/74) in the GEMOX alone arm.

The disease control rate in each arm was equal to 82% (62/76) and 65% (48/74) respectively.

**Table 24: Overall best response and validated best response (n=133 evaluated patients)**

| Best response       | GEMOX + cetuximab<br>n=70 | GEMOX alone<br>n=63 | Total<br>n=133 |
|---------------------|---------------------------|---------------------|----------------|
|                     | N (N validated)           | N (N validated)     |                |
| Complete Response   | 1 (1)                     | 2 (2)               | 3 (3)          |
| Partial Response    | 17 (14)                   | 15 (11)             | 32 (25)        |
| Stable Disease      | 44 (31)                   | 31 (20)             | 75 (51)        |
| Progressive Disease | 8                         | 15                  | 23             |

For the 18 patients with objective response (complete response or partial response) in the GEMOX + cetuximab arm, the median duration of response was 5.7 months (range, 1.7 to 26 months). For the 44 patients with stable disease, the median duration of response was 6.1 months (range, 2.4 to 19.6 months).

For the 17 patients with objective response (complete response or partial response) in the GEMOX alone arm, the median duration of response was 8.4 months (range, 2.1 to 33.8 months).

For the 31 patients with stable disease, the median duration of response was 5.9 months (range, 2.4 to 23.8 months).

### 7.3 Results of scanner review

*No new review result was collected since the last report. CT scan collection is ongoing, but we already know that CT scan will not be available for around 10 patients. Section unchanged since previous report.*

A review of CT scans by external reviewers was planned, first for the 32 patients of the interim analysis and then for the other patients. Among these 32 patients, 3 (2 in the GEMOX arm) were not evaluable by CT-scan. Because of a misunderstanding on the list of CT-scans to review in priority, the CT scans of 9 patients (5 in the GEMOX + cetuximab arm) are not yet reviewed.

For 22 patients, results of the evaluation at 2 months were reviewed. Results are presented in Table 25.

**Table 25 : Review of scanner evaluation at 2 months**

| INVESTIGATOR | REVIEWER |    |    |    | Total |
|--------------|----------|----|----|----|-------|
|              | CR       | PR | SD | PD |       |
| CR           |          |    |    |    |       |
| PR           |          | 2  |    |    | 2     |
| SD           |          | 1  | 13 |    | 14    |
| PD           |          |    | 4  | 2  | 6     |
| Total        | 0        | 3  | 17 | 2  | 22    |

For 14 patients, results of the evaluation at 4 months were reviewed. Results are presented in Table 26.

**Table 26 : Review of scanner evaluation at 4 months**

| INVESTIGATOR | REVIEWER |    |    |    | Total |
|--------------|----------|----|----|----|-------|
|              | CR       | PR | SD | PD |       |
| CR           |          |    |    |    |       |
| PR           | 1        |    |    |    | 1     |
| SD           |          | 1  | 8  | 1  | 10    |
| PD           |          |    | 1  | 2  | 3     |
| Total        | 1        | 1  | 9  | 3  | 14    |

For 10 patients, results of the evaluation at 6 months were reviewed. Results are presented in Table 27.

**Table 27 : Review of scanner evaluation at 6 months**

| INVESTIGATOR | REVIEWER |    |    |    | Total |
|--------------|----------|----|----|----|-------|
|              | CR       | PR | SD | PD |       |
| CR           |          |    |    |    |       |
| PR           | 1        | 1  |    |    | 2     |
| SD           |          | 1  | 3  | 2  | 6     |
| PD           |          | 1  |    | 1  | 2     |
| Total        | 1        | 3  | 3  | 3  | 10    |

Concerning the evaluation for the main endpoint, discordances were observed in 8 (5 in GEMOX arm) out of 20 patients with scans reviewed: one patient in GEMOX arm deemed stable by the investigator was considered in progression; 5 patients (4 in the GEMOX arm) considered as in progression by the investigator was considered as stable after review; one patient in the cetuximab arm considered as stable was considered in partial response and another patient from the same arm, classified in partial response by the investigator was considered in complete response after review. If we consider the dichotomized classification progression yes/no at 4 months used for the interim analysis in the GEMOX + cetuximab arm, only one patient out of 12 patients with review available in this arm has its response changed from progression to stable. This change has no impact on the decision to continue the trial.

## 8 Follow-up and long-term assessment

### 8.1 Follow-up

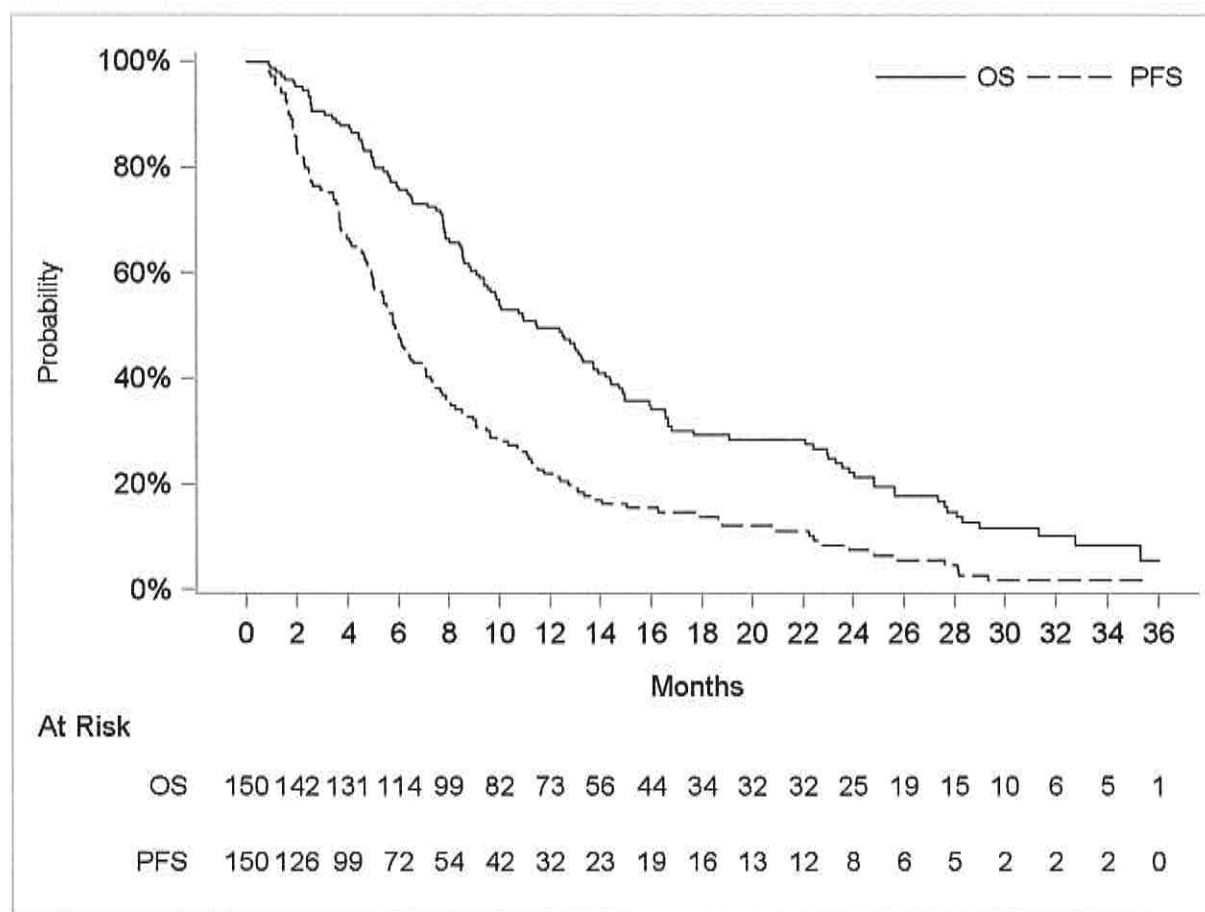
The median follow-up calculated using inverse Kaplan-Meier method was 31.1 months (range, 1.5 to 36.0). The median follow-up was similar in the two arms: 30.3 months in the GEMOX + cetuximab and 34.9 months in the GEMOX alone arm (log-rank test,  $p=0.67$ ).

Table 28 describes the 10 patients lost to follow-up on March 31<sup>st</sup>, 2011 (last contact before November 31<sup>st</sup>, 2010): 3 in the GEMOX + cetuximab arm and 7 in the GEMOX alone arm.

Table 28 : Patients lost to follow-up (last contact > 4 months; n=10)

| Patient number | Treatment arm     | Date of end of treatment | Progression | Date of progression | Status | Date of last contact | Follow-up time |
|----------------|-------------------|--------------------------|-------------|---------------------|--------|----------------------|----------------|
| 117            | GEMOX + Cetuximab | 30/03/2010               | 1           | 07/06/2010          | 0      | 12/11/2010           | 17.3           |
| 122            | GEMOX + Cetuximab | 10/08/2009               | 0           |                     | 0      | 01/09/2009           | 1.5            |
| 145            | GEMOX + Cetuximab | 2/07/2010                | 0           |                     | 0      | 11/10/2010           | 10.7           |
| 11             | GEMOX alone       | 30/09/2008               | 1           | 02/04/2010          | 0      | 29/11/2010           | 36.0           |
| 50             | GEMOX alone       | 16/02/2009               | 1           | 19/11/2009          | 0      | 27/10/2010           | 29.9           |
| 90             | GEMOX alone       | 06/05/2009               | 1           | 02/09/2009          | 0      | 08/11/2010           | 25.4           |
| 114            | GEMOX alone       | 25/11/2009               | 0           |                     | 0      | 08/07/2010           | 13.6           |
| 121            | GEMOX alone       | 11/01/2010               | 1           | 14/01/2010          | 0      | 05/10/2010           | 14.9           |
| 135            | GEMOX alone       | 17/03/2010               | 1           | 08/04/2010          | 0      | 15/11/2010           | 13.0           |
| 141            | GEMOX alone       | 13/04/2010               | 1           | 19/07/2010          | 0      | 18/11/2010           | 12.3           |

Overall survival and progression free survival curves are given for the whole population (n=150) in Figure 2.



**Figure 2: Overall and progression-free survival for the whole population (n=150)**

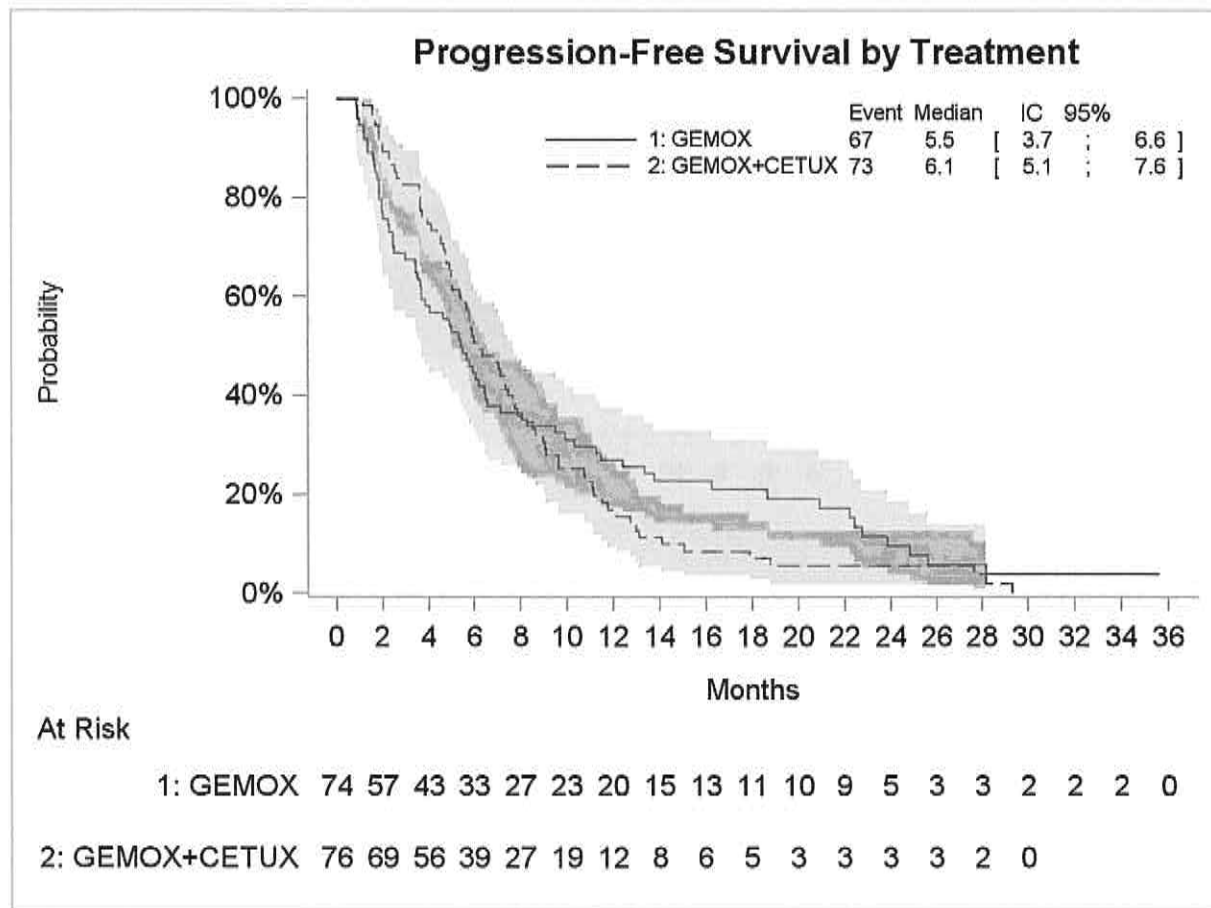
| Outcome                   | No of events | Median (months) [95% CI] | 4-month survival [95% CI] | 6-month survival [95% CI] | 12-month survival [95% CI] | 18-month survival [95% CI] |
|---------------------------|--------------|--------------------------|---------------------------|---------------------------|----------------------------|----------------------------|
| Progression-free survival | 140          | 5.9 [5.0-7.1]            | 66% [58-73]               | 48% [39-55]               | 22% [16-29]                | 14% [9-20]                 |
| Overall survival          | 124          | 11.4 [9.4-13.7]          | 88% [82-92]               | 76% [68-82]               | 50% [41-57]                | 29% [22-37]                |

Since the last report, two more events occurred for PFS and one more death.

Table 14 in the section on toxicities describes the deaths not related to cancer or treatment.

## 8.2 Progression-free survival and overall survival in the two arms

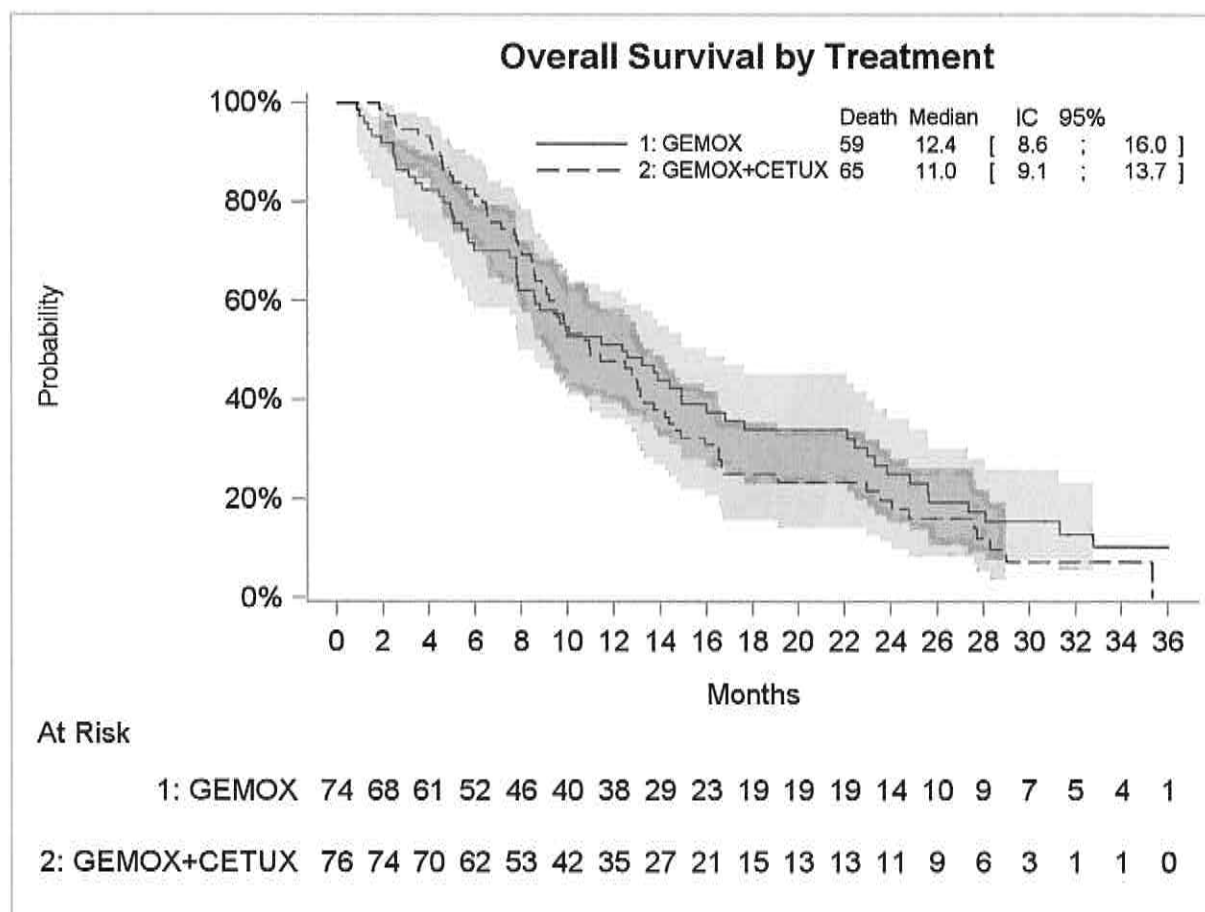
Among the 150 patients, 140 patients experienced an event (progression or death), 67 in GEMOX alone arm and 73 in GEMOX + cetuximab arm. The medians [95% confidence interval] of PFS were respectively 5.5 [3.7-6.6] and 6.1 [5.1-7.6] months.



**Figure 3 : Progression-Free Survival by arm**



Among the 150 patients, 124 patients died, 59 in GEMOX alone arm and 65 in GEMOX + cetuximab arm. The medians [95% confidence interval] of overall survival were respectively 12.4 [8.6-16.0] and 11.0 [9.1-13.7] months.



**Figure 4 : Overall Survival by arm**

### 8.3 Description of post-study chemotherapy

After stopping study treatment, 60 patients were given a 2<sup>nd</sup>-line treatment (32 patients in the GEMOX + cetuximab arm and 28 in the GEMOX alone arm), 7 a 3<sup>rd</sup>-line treatment (5 in the GEMOX + cetuximab arm and 2 in the GEMOX alone arm) and one a 4<sup>th</sup>-line treatment.

Table 29 details the drug received by the patients in 2<sup>nd</sup>-line chemotherapy.

**Table 29 : Drug received as 2<sup>nd</sup>-line chemotherapy (n=60)**

| Drug administrated        | GEMOX + cetuximab<br>n=32 | GEMOX alone<br>n=28 | Total<br>n=60 |
|---------------------------|---------------------------|---------------------|---------------|
| Capecitabine (CAP)        | 3                         | 3                   | 6             |
| 5 Fluorouracil (FU)       | 6                         | 1                   | 7             |
| Mitomycin C (MMC)         | 1                         | 2                   | 3             |
| Gemcitabine (GEM)         | 2                         | 3                   | 5             |
| Carboplatin               |                           | 1                   |               |
| Paclitaxel                |                           | 1                   | 1             |
| Sunitinib                 | 1                         | 1                   | 2             |
| CAP + Irinotecan (CPT11)  | 1                         |                     | 1             |
| CAP + GEM                 | 1                         | 3                   | 4             |
| CAP + Carboplatin         | 1                         |                     | 1             |
| FU + MMC                  | 1                         |                     | 1             |
| FU + CPT11                | 3                         | 3                   | 6             |
| FU + Folinic Acid + CPT11 | 1                         |                     | 1             |
| FU + Oxaliplatin          | 1                         |                     | 1             |
| FU + Cisplatin            | 2                         | 1                   | 3             |
| FU + Carboplatin          |                           | 1                   | 1             |
| GEM + Oxaliplatin         | 1                         | 2                   | 3             |
| GEM + Carboplatin         |                           | 1                   | 1             |
| GEM + Cetuximab           | 1                         |                     | 1             |
| CPT11 + Cetuximab         |                           | 1                   | 1             |
| FOLFIRI                   | 2                         |                     | 2             |
| FOLFIRI + Sunitinib       | 1                         |                     | 1             |
| Not specified             | 3                         | 4                   | 7             |

## 9 Exploratory analyses according to tumor KRAS/BRAF mutations and EGFR expression

### 9.1 Laboratory methods

EGFR tumor status was assessed by immunohistochemistry on tissue collected by biopsy or surgery prior to study entry. EGFR expression score was generated using the DAKO EGFR kit and the Hirsch scoring system where EGFR IHC H-score = 1 x (% cells 1+) + 2 x (% cells 2+) + 3 x (% cells 3+) (*Hirsch, J Clin Oncol 2003; Cappuzzo, J Natl Cancer Inst, 2005*). The outcome-based discriminatory threshold H-score for this analysis was set at 200 samples were then classified as either low (H-score < 200; IHC negative) or high ( $\geq 200$ ; IHC positive) for EGFR protein expression (*Pirker, Lancet Oncol 2011; Pirker, Lancet Oncol 2012; Mazières, Lung Cancer 2013*). DNA was extracted from paraffin-embedded tumor tissue using macrodissection. KRAS/BRAF mutations were screened with high-resolution melting (real-time PCR, Light Cycler 480®, Roche) followed by DNA sequencing (Sanger) if positive. Allelic discrimination was carried out in case of doubtful result (Taqman® MX3000, Stratagene).

### 9.2 Results

#### 9.2.1 KRAS, BRAF mutations and EGFR expression tumor status

Among 91 samples available for biomarker analysis, 75 were suitable for KRAS and BRAF analysis and 77 samples were suitable for EGFR analysis Table 30). 19% of the tumor samples had a KRAS mutation. 5% of the tumor samples had a BRAF mutation. 23% of the tumor samples had a high EGFR H-score.

**Table 30 : KRAS, BRAF mutations and EGFR expression tumor status**

|                            | Arm<br>GEMOX + CETUXIMAB<br>n (%) | Arm<br>GEMOX alone<br>n (%) | Total N<br>(%) | P value<br>† |
|----------------------------|-----------------------------------|-----------------------------|----------------|--------------|
| <b>KRAS (n=75)</b>         |                                   |                             |                |              |
| Wild type                  | 37 (82%)                          | 24 (80%)                    | 61 (81%)       | 0.81         |
| Mutated                    | 8 (18%)                           | 6 (20%)                     | 14 (19%)       |              |
| <b>BRAF (n=75)</b>         |                                   |                             |                |              |
| Wild type                  | 43 (96%)                          | 28 (93%)                    | 71 (95%)       | 1.00 ‡       |
| Mutated                    | 2 (4%)                            | 2 (7%)                      | 4 (5%)         |              |
| <b>KRAS/BRAF (n=75)</b>    |                                   |                             |                |              |
| Wild type                  | 35 (78%)                          | 22 (73%)                    | 57 (76%)       | 0.66         |
| Mutated                    | 10 (22%)                          | 8 (27%)                     | 18 (24%)       |              |
| <b>EGFR H-score (n=77)</b> |                                   |                             |                |              |
| <200                       | 37 (76%)                          | 22 (79%)                    | 59 (77%)       | 0.76         |
| ≥200                       | 12 (24%)                          | 6 (21%)                     | 18 (23%)       |              |

† Chi square test, ‡ Fisher exact test

### 9.2.2 4-month PFS rate according to KRAS/BRAF/EGFR tumor status

As shown in Table 31, the 4-month PFS rate did not differ according to the KRAS or BRAF mutation status (wild-type vs. mutated) nor according to the EGFR expression status (EGFR H-score <200 vs. EGFR H-score  $\geq$ 200 ).

**Table 31 : 4-month PFS rate according to KRAS/BRAF/EGFR tumor status**

|                          | 4-month PFS rate |    | P value† |
|--------------------------|------------------|----|----------|
|                          | n/N              | %  |          |
| <b>KRAS (n=75)</b>       |                  |    | 0.72     |
| Wild-type                | 38/61            | 62 |          |
| Mutated                  | 8/14             | 57 |          |
| <b>BRAF (n=75)</b>       |                  |    | 1.00‡    |
| Wild-type                | 43/71            | 61 |          |
| Mutated                  | 3/4              | 75 |          |
| <b>KRAS/BRAF (n=75)</b>  |                  |    | 0.98     |
| Wild-type                | 35/57            | 61 |          |
| Mutated                  | 11/18            | 61 |          |
| <b>EGFR score (n=77)</b> |                  |    | 0.42     |
| <200                     | 39/59            | 63 |          |
| $\geq$ 200               | 10/18            | 56 |          |

† Chi square test, ‡ Fisher exact test

### 9.2.3 4-month PFS rate according to KRAS/BRAF/EGFR tumor status and the treatment arm

As shown in Table 32, no significant differences were seen according to the presence or absence of either KRAS mutation or EGFR overexpression between the treatment arms for the 4-month PFS rate.

**Table 32 : 4-month PFS rate according to KRAS/BRAF/EGFR tumor status and the treatment arm**

| 4-month PFS rate           |                                     |                               |                   |
|----------------------------|-------------------------------------|-------------------------------|-------------------|
|                            | Arm<br>GEMOX + CETUXIMAB<br>n/N (%) | Arm<br>GEMOX alone<br>n/N (%) | OR [95% CI]*      |
| <b>KRAS (n=75)</b>         |                                     |                               |                   |
| Wild type (n=61)           | 24/37 (65%)                         | 14/24 (58%)                   | 1.32 [0.46-3.79]  |
| Mutated (n=14)             | 5/8 (63%)                           | 3/6 (50%)                     | 1.67 [0.20-14.27] |
| <b>BRAF (n=75)</b>         |                                     |                               |                   |
| Wild type (n=71)           | 27/43 (63)                          | 16/28 (57%)                   | ND                |
| Mutated (n=4)              | 2/2 (100%)                          | 1/2 (50%)                     | ND                |
| <b>KRAS/BRAF (n=75)</b>    |                                     |                               |                   |
| Wild type (n=57)           | 22/35 (63%)                         | 13/22 (59%)                   | 1.17 [0.39-3.49]  |
| Mutated (n=18)             | 7/10 (70%)                          | 4/8 (50%)                     | 2.33 [0.34-16.18] |
| <b>EGFR H-score (n=77)</b> |                                     |                               |                   |
| <200 (n=59)                | 25/37 (68%)                         | 14/22 (64%)                   | 1.19 [0.39-3.61]  |
| ≥200 (n=18)                | 7/12 (58%)                          | 3/6 (50%)                     | 1.40 [0.20-10.03] |

\* GEMOX + Cetuximab vs. GEMOX alone. CI, confidence interval. n/N, number of events/total number of patients. ND, not done due to small numbers. OR, odds ratio.

The OS and PFS curves according to KRAS/BRAF/EGFR tumor status and treatment arm are presented in Appendix 4.

## 10 Conclusion

Accrual was more rapid than planned. Overall, the quality of data was good with 10 patients (3 in the GEMOX + cetuximab arm, 7 in the GEMOX alone arm) considered lost to follow-up after the end of their treatment, most of them being followed more than 12 months.

Treatment tolerance was good in this population setting, without major differences between the two arms. No unexpected toxicity was observed.

The scheduled analyses of the main endpoint in the GEMOX + cetuximab arm allow concluding to treatment efficacy, according to prespecified statistical hypotheses.

However, overall response rates and median PFS and OS were similar in both arms. With the limit of statistical power in exploratory analyses, tumor KRAS/BRAF mutations and EGFR overexpression (found in approximately one-quarter of patients) had no statistically significant prognostic or predictive impact.

# Appendix 1: Protocol violations

ULN=Upper Limit of Normal

## 2.1 ASAT

**Table 33: Patients with ASAT ULN > 5**

| NUMTAS | Center num              | TRAIT                 | ASAT | ULN_ASAT |
|--------|-------------------------|-----------------------|------|----------|
| 16     | Ulm                     | Arm GEMOX + CETUXIMAB | 238  | 33       |
| 39     | Hôpital Beaujon - Paris | Arm GEMOX alone       | 313  | 35       |

**Table 34: Patients with ASAT ULN missing**

| NUMTAS | Center num                    | TRAIT                 | ASAT | ULN_ASAT |
|--------|-------------------------------|-----------------------|------|----------|
| 30     | Ulm                           | Arm GEMOX + CETUXIMAB | 97   | .        |
| 51     | Essen                         | Arm GEMOX + CETUXIMAB | 66   | .        |
| 54     | Essen                         | Arm GEMOX + CETUXIMAB | 46   | .        |
| 56     | Essen                         | Arm GEMOX + CETUXIMAB | 267  | .        |
| 63     | Essen                         | Arm GEMOX + CETUXIMAB | 19   | .        |
| 72     | Essen                         | Arm GEMOX + CETUXIMAB | 47   | .        |
| 78     | Regensburg                    | Arm GEMOX + CETUXIMAB | 91   | .        |
| 82     | Heidelberg                    | Arm GEMOX + CETUXIMAB | 39   | .        |
| 88     | Essen                         | Arm GEMOX + CETUXIMAB | 32   | .        |
| 93     | Heidelberg                    | Arm GEMOX + CETUXIMAB | 91   | .        |
| 105    | Centre Eugène Marquis- Rennes | Arm GEMOX + CETUXIMAB | 28   | .        |
| 109    | Centre Eugène Marquis- Rennes | Arm GEMOX + CETUXIMAB | 33   | .        |
| 136    | Essen                         | Arm GEMOX + CETUXIMAB | 69   | .        |
| 20     | Ulm                           | Arm GEMOX alone       | 41   | .        |
| 49     | Essen                         | Arm GEMOX alone       | 41   | .        |
| 50     | München                       | Arm GEMOX alone       | 61   | .        |
| 55     | Regensburg                    | Arm GEMOX alone       | 30   | .        |
| 57     | Heidelberg                    | Arm GEMOX alone       | 30   | .        |
| 61     | Essen                         | Arm GEMOX alone       | 54   | .        |
| 65     | Essen                         | Arm GEMOX alone       | 47   | .        |
| 75     | Essen                         | Arm GEMOX alone       | 138  | .        |
| 87     | Essen                         | Arm GEMOX alone       | 111  | .        |
| 103    | Centre Eugène Marquis- Rennes | Arm GEMOX alone       | 63   | .        |

The lower observed ULN value in the trial database was 25. Then, only the patients 56 (10.7 ULN) and 75 (5.5 ULN) may have an abnormal value. Their corresponding ALAT value was 131 and 99 (4.7 and 3.5 ULN respectively if considering the lower ULN observed in the trial database).

## 2.2 ALAT

**Table 35: Patients with ALAT ULN > 5**

| NUMTAS | Center num                    | TRAIT                 | ALAT | ULN_ALAT |
|--------|-------------------------------|-----------------------|------|----------|
| 8      | Centre Eugène Marquis- Rennes | Arm GEMOX + CETUXIMAB | 231  | 45       |



| NUMTAS |                               |                       |      |     |      |
|--------|-------------------------------|-----------------------|------|-----|------|
|        | Center num                    | TRAIT                 | ALAT | ULN | ALAT |
| 16     | Ulm                           | Arm GEMOX + CETUXIMAB | 281  |     | 35   |
| 107    | Centre Eugène Marquis- Rennes | Arm GEMOX + CETUXIMAB | 230  |     | 45   |

**Table 36: Patients with ALAT ULN missing**

| NUMTAS | Center num                    | TRAIT                 | ALAT | ULN | ALAT |
|--------|-------------------------------|-----------------------|------|-----|------|
| 30     | Ulm                           | Arm GEMOX + CETUXIMAB | 21   | .   | .    |
| 51     | Essen                         | Arm GEMOX + CETUXIMAB | 134  | .   | .    |
| 54     | Essen                         | Arm GEMOX + CETUXIMAB | 49   | .   | .    |
| 56     | Essen                         | Arm GEMOX + CETUXIMAB | 131  | .   | .    |
| 63     | Essen                         | Arm GEMOX + CETUXIMAB | 12   | .   | .    |
| 72     | Essen                         | Arm GEMOX + CETUXIMAB | 51   | .   | .    |
| 78     | Regensburg                    | Arm GEMOX + CETUXIMAB | 85   | .   | .    |
| 82     | Heidelberg                    | Arm GEMOX + CETUXIMAB | 19   | .   | .    |
| 88     | Essen                         | Arm GEMOX + CETUXIMAB | 17   | .   | .    |
| 93     | Heidelberg                    | Arm GEMOX + CETUXIMAB | 158  | .   | .    |
| 105    | Centre Eugène Marquis- Rennes | Arm GEMOX + CETUXIMAB | 17   | .   | .    |
| 109    | Centre Eugène Marquis- Rennes | Arm GEMOX + CETUXIMAB | 26   | .   | .    |
| 136    | Essen                         | Arm GEMOX + CETUXIMAB | 39   | .   | .    |
| 20     | Ulm                           | Arm GEMOX alone       | 18   | .   | .    |
| 49     | Essen                         | Arm GEMOX alone       | 26   | .   | .    |
| 50     | München                       | Arm GEMOX alone       | 55   | .   | .    |
| 55     | Regensburg                    | Arm GEMOX alone       | 47   | .   | .    |
| 57     | Heidelberg                    | Arm GEMOX alone       | 18   | .   | .    |
| 61     | Essen                         | Arm GEMOX alone       | 33   | .   | .    |
| 65     | Essen                         | Arm GEMOX alone       | 36   | .   | .    |
| 75     | Essen                         | Arm GEMOX alone       | 99   | .   | .    |
| 87     | Essen                         | Arm GEMOX alone       | 87   | .   | .    |
| 103    | Centre Eugène Marquis- Rennes | Arm GEMOX alone       | 21   | .   | .    |

The lower observed ULN value in the trial database was 28. Then, only the patient 93 (5.6 ULN) may have an abnormal value. Her corresponding ASAT value was 91.

## 2.3 Bilirubin

**Table 37: Patients with bilirubine superior to 3 ULN**

| NUMTAS Center num |                                      | TRAIT                 | Bilirubin<br>μmol/L | Normalized<br>Bilirubin* | Alkaline<br>phosphatase<br>UI/L | ULN<br>Alkaline<br>phosphatase |
|-------------------|--------------------------------------|-----------------------|---------------------|--------------------------|---------------------------------|--------------------------------|
| 22                | Institut Gustave Roussy - Villejuif  | Arm GEMOX + CETUXIMAB | 67                  | 3.9                      | 1980                            | 290                            |
| 88                | Essen                                | Arm GEMOX + CETUXIMAB | 58                  | 3.4                      | .                               | .                              |
| 7                 | Institut Paoli Calmettes - Marseille | Arm GEMOX alone       | 55                  | 3.2                      | 375                             | 207                            |
| 86                | Hôpital St Antoine - Paris           | Arm GEMOX alone       | 59                  | 3.5                      | 545                             | 115.                           |

| NUMTAS Center num | TRAIT                                     | Bilirubin<br>$\mu\text{mol/L}$ | Normalized<br>Bilirubin* | Alkaline<br>phosphatase | ULN<br>Alkaline<br>phosphatase |
|-------------------|---|--------------------------------|--------------------------|-------------------------|--------------------------------|
|                   |   |                                |                          | UI/L                    |                                |
| 111               | Centre Eugène Marquis-<br>Rennes          | 54                             | 3.2                      | 432                     | 120                            |
| 127**             | Hôpital Bordeaux Haut<br>Lévêque - Pessac | 91                             | <b>5.4</b>               | <b>1664</b>             | <b>130</b>                     |
| 148               | Centre Eugène Marquis-<br>Rennes          | 54                             | 3.2                      | 270                     | 120                            |

\* value observed divided by a ULN value of 17  $\mu\text{mol/L}$  \*\* Patient no treated

## 2.3 Neutrophils

**Table 38: Patients with neutrophils blood count missing or inferior to 1.5 10<sup>9</sup> /L**

| <i>NUMTAS</i> | <i>Center num</i>           | <i>TRAIT</i>          | <i>Neutrophils<br/>BC</i> |
|---------------|-----------------------------|-----------------------|---------------------------|
| 13            | Hôpital St Antoine - Paris  | Arm GEMOX + CETUXIMAB | .                         |
| 48            | Hôpital St Antoine - Paris  | Arm GEMOX + CETUXIMAB | .                         |
| 73            | Hannover                    | Arm GEMOX + CETUXIMAB | .                         |
| 78            | Regensburg                  | Arm GEMOX + CETUXIMAB | .                         |
| 91            | Hôpital St Antoine - Paris  | Arm GEMOX + CETUXIMAB | .                         |
| 130           | Hôpital St André - Bordeaux | Arm GEMOX + CETUXIMAB | .                         |
| 9             | Hôpital Beaujon - Paris     | Arm GEMOX alone       | .                         |
| 24            | Essen                       | Arm GEMOX alone       | .                         |
| 31            | Hôpital St Antoine - Paris  | Arm GEMOX alone       | .                         |
| 50            | München                     | Arm GEMOX alone       | .                         |
| 71            | Hôpital St Antoine - Paris  | Arm GEMOX alone       | .                         |
| 74            | Hannover                    | Arm GEMOX alone       | .                         |
| 76            | Hannover                    | Arm GEMOX alone       | .                         |
| 83            | Ulm                         | Arm GEMOX alone       | .                         |
| 86            | Hôpital St Antoine - Paris  | Arm GEMOX alone       | .                         |
| 87            | Essen                       | Arm GEMOX alone       | .                         |
| 144           | Hôpital St Antoine - Paris  | Arm GEMOX alone       | .                         |

## 2.4 Platelets

Table 39: Patients with platelets inferior to 100 109 /L

| NUMTAS | Center |                 | TRAIT | PLAT |
|--------|--------|-----------------|-------|------|
|        | num    |                 |       |      |
| 28     | Essen  | Arm GEMOX alone |       | 79   |

## 2.5 Hemoglobin

Table 40: Patients with hemoglobine < 9g/dL

| NUMTAS | Center num                 | TRAIT                 | Hemoglobin<br>g/dL |
|--------|----------------------------|-----------------------|--------------------|
| 13     | Hôpital St Antoine - Paris | Arm GEMOX + CETUXIMAB | 8.0                |
| 63     | Essen                      | Arm GEMOX + CETUXIMAB | 8.7                |
| 31     | Hôpital St Antoine - Paris | Arm GEMOX alone       | 8.0                |

## Appendix 2: Difference between stratification factors and initial assessment

### 1.1 Tumor stage

(no change since the previous report)

**Table 41: Discrepancies for tumor stage between randomization and baseline characteristics**

| NUMTAS | TRAIT                 | Center num                             | Tumor stage*     | Disease status   |
|--------|-----------------------|--|------------------|------------------|
| 19     | Arm GEMOX + CETUXIMAB | Halle                                  | Locally advanced | Metastatic       |
| 66     | Arm GEMOX + CETUXIMAB | Hôpital Beaujon - Paris                | Locally advanced | Metastatic       |
| 112    | Arm GEMOX + CETUXIMAB | Hôpital St André - Bordeaux            | Metastatic       | <i>missing</i>   |
| 124    | Arm GEMOX + CETUXIMAB | Hôpital Beaujon - Paris                | Locally advanced | Metastatic       |
| 134    | Arm GEMOX + CETUXIMAB | Centre Eugène Marquis- Rennes          | Metastatic       | Locally advanced |
| 149    | Arm GEMOX + CETUXIMAB | Hôpital Bordeaux Haut Lévêque - Pessac | Locally advanced | Metastatic       |
| 81     | Arm GEMOX alone       | Hannover                               | Locally advanced | Metastatic       |
| 96     | Arm GEMOX alone       | Hannover                               | Metastatic       | Locally advanced |
| 120    | Arm GEMOX alone       | Centre Eugène Marquis- Rennes          | Metastatic       | Locally advanced |
| 121    | Arm GEMOX alone       | Hôpital Beaujon - Paris                | Locally advanced | Metastatic       |
| 131    | Arm GEMOX alone       | Hôpital Beaujon - Paris                | Locally advanced | Metastatic       |
| 133    | Arm GEMOX alone       | Hôpital St André - Bordeaux            | Metastatic       | <i>missing</i>   |

\* Variable on the randomization form

A discrepancy between randomization form and baseline characteristics forms was observed in 12 patients (6 in each arm): 7 patients with locally advanced stage on the randomization form were considered metastatic on the second one; 3 patients with metastatic stage were considered locally advanced on the baseline characteristics form; 2 patients with metastatic stage have no information on the baseline characteristics forms. The discrepancy came mainly from 4 centers (10 out of 12): 4 for the Beaujon Hospital, and 2 from Bordeaux St Andre, Hannover and Rennes. Eight patients were among the last 50 patients.

## 1.2 Tumor location

**Table 42: Discrepancies for tumor localization between randomization and baseline characteristics**

| NUMTAS | TRAIT                 | Center num                           | LOC*            | TUMLOC             | If<br>cholangiocarcinoma, tumor<br>location |
|--------|-----------------------|--------------------------------------|-----------------|--------------------|---|
| 3      | Arm GEMOX + CETUXIMAB | Institut Paoli Calmettes - Marseille | Gallbladder     | Cholangiocarcinoma | Intrahepatic bile ducts                     |
| 25     | Arm GEMOX + CETUXIMAB | CRLC Val d Aurelle - Montpellier     | Gallbladder     | Cholangiocarcinoma | Extrahepatic bile ducts                     |
| 78     | Arm GEMOX + CETUXIMAB | Regensburg                           | Gallbladder     | Cholangiocarcinoma | Intrahepatic bile ducts                     |
| 93     | Arm GEMOX + CETUXIMAB | Heidelberg                           | Gallbladder     | Cholangiocarcinoma | Intrahepatic bile ducts                     |
| 112    | Arm GEMOX + CETUXIMAB | Hôpital St André - Bordeaux          | Non gallbladder | Missing            |   |
| 122    | Arm GEMOX + CETUXIMAB | Henri Mondor - Créteil               | Gallbladder     | Cholangiocarcinoma | Missing                                     |
| 142    | Arm GEMOX + CETUXIMAB | Institut Paoli Calmettes - Marseille | Gallbladder     | Cholangiocarcinoma | Intrahepatic bile ducts                     |
| 5      | Arm GEMOX alone       | CRLC Val d Aurelle - Montpellier     | Gallbladder     | Cholangiocarcinoma | Intrahepatic bile ducts                     |
| 23     | Arm GEMOX alone       | Essen                                | Non gallbladder | Gallbladder        |   |
| 26     | Arm GEMOX alone       | CRLC Val d Aurelle - Montpellier     | Gallbladder     | Cholangiocarcinoma | Peri-hilar                                  |
| 39     | Arm GEMOX alone       | Hôpital Beaujon - Paris              | Gallbladder     | Cholangiocarcinoma | Peri-hilar ducts                            |
| 74     | Arm GEMOX alone       | Hannover                             | Non gallbladder | Gallbladder        |   |
| 80     | Arm GEMOX alone       | Institut Paoli Calmettes - Marseille | Gallbladder     | Cholangiocarcinoma | Intrahepatic bile ducts                     |
| 85     | Arm GEMOX alone       | Hôpital St André - Bordeaux          | Gallbladder     | Cholangiocarcinoma | Missing                                     |
| 115    | Arm GEMOX alone       | Centre Eugène Marquis- Rennes        | Gallbladder     | Multifocal         |   |
| 121    | Arm GEMOX alone       | Hôpital Beaujon - Paris              | Gallbladder     | Cholangiocarcinoma | Intrahepatic bile ducts                     |
| 128    | Arm GEMOX alone       | Hôpital Beaujon - Paris              | Gallbladder     | Cholangiocarcinoma | Intrahepatic bile ducts                     |
| 133    | Arm GEMOX alone       | Hôpital St André - Bordeaux          | Non gallbladder | Missing            |   |

\* Variable on the randomization form

A discrepancy between randomization form and baseline characteristics forms was observed in 18 patients (7 in the GEMOX + Cetuximab arm and 11 in the GEMOX alone arm): 13 patients with gallbladder disease on the randomization form had cholangiocarcinoma on the baseline characteristics form; one patients with gallbladder disease on the randomization form had multifocal disease on the baseline characteristics form; 2 patients with non gallbladder disease on the randomization form had gallbladder disease on the baseline characteristics form; 2 patients with non gallbladder disease on the randomization form had missing data on the baseline characteristics form. The discrepancy came mainly from 4 centers (12 out of 18): 3 for the Beaujon Hospital, Bordeaux, Marseilles and Montpellier. Seven patients were among the last 50 patients.



### 1.3 Prior treatment

**Table 43: Discrepancies for prior treatment between randomization and baseline characteristics**  
(no change since the previous report)

| NUMTAS | TRAIT                 | Center num                          | PRIOR treatment* | Prior curative surgery | Palliative surgery before inclusion | Prior adjuvant CT | Prior RT |
|--------|-----------------------|-------------------------------------|------------------|------------------------|-------------------------------------|-------------------|----------|
| 16     | Arm GEMOX + CETUXIMAB | Ulm                                 | None             | Yes                    | No                                  | No                | No       |
| 42     | Arm GEMOX + CETUXIMAB | Hannover                            | None             | No                     | Yes                                 | No                | No       |
| 51     | Arm GEMOX + CETUXIMAB | Essen                               | None             | Yes                    | No                                  | No                | No       |
| 100    | Arm GEMOX + CETUXIMAB | Institut Gustave Roussy - Villejuif | None             | No                     | Yes                                 | No                | No       |
| 134    | Arm GEMOX + CETUXIMAB | Centre Eugène Marquis- Rennes       | None             | .                      | Yes                                 | No                | No       |
| 26     | Arm GEMOX alone       | CRLC Val d Aurelle - Montpellier    | None             | No                     | Yes                                 | No                | No       |
| 58     | Arm GEMOX alone       | Hannover                            | None             | No                     | Yes                                 | No                | No       |
| 67     | Arm GEMOX alone       | Ulm                                 | None             | Yes                    | No                                  | No                | No       |
| 74     | Arm GEMOX alone       | Hannover                            | None             | No                     | Yes                                 | No                | No       |
| 81     | Arm GEMOX alone       | Hannover                            | None             | No                     | Yes                                 | No                | No       |
| 83     | Arm GEMOX alone       | Ulm                                 | None             | Yes                    | No                                  | No                | No       |
| 116    | Arm GEMOX alone       | Centre Léon Bérard - Lyon           | None             | Yes                    | No                                  | No                | No       |
| 121    | Arm GEMOX alone       | Hôpital Beaujon - Paris             | None             | Yes                    | Yes                                 | No                | No       |
| 123    | Arm GEMOX alone       | Institut Gustave Roussy - Villejuif | None             | Yes                    | No                                  | No                | No       |
| 125    | Arm GEMOX alone       | Hôpital Beaujon - Paris             | Yes              | No                     | No                                  | No                | No       |
| 141    | Arm GEMOX alone       | Centre Léon Bérard - Lyon           | None             | Yes                    | No                                  | No                | No       |

\*Variable on the randomization form

A discrepancy between randomization form and baseline characteristics forms was observed in 16 patients (5 in the GEMOX + Cetuximab arm and 11 in the GEMOX alone arm): 15 patients with no previous treatment on the randomization form had one on baseline characteristics form; one with previous treatment on the randomization form had none on baseline characteristics form. The discrepancy came mainly from 2 centers (7 out of 16): 4 from Hannover, 3 from Ulm. Seven patients were among the last 50 patients.

## Appendix 3: Highest toxicity grade by patients

(no change since the previous report)

|                                   | Arm<br>GEMOX +<br>CETUXIMAB |    | Arm<br>GEMOX alone |    | All   |    |
|-----------------------------------|-----------------------------|----|--------------------|----|-------|----|
|                                   | N=76                        | %  | N=68               | %  | N=144 | %  |
| <b>Any toxicity</b>               |                             |    |                    |    |       |    |
| Gr. 0                             | 0                           | 0  | 3                  | 4  | 3     | 2  |
| Gr. 1                             | 2                           | 3  | 1                  | 1  | 3     | 2  |
| Gr. 2                             | 13                          | 17 | 7                  | 10 | 20    | 14 |
| Gr. 3                             | 38                          | 50 | 40                 | 59 | 78    | 54 |
| Gr. 4                             | 23                          | 30 | 17                 | 25 | 40    | 28 |
| <b>Hematological toxicity</b>     |                             |    |                    |    |       |    |
| Gr. 0                             | 4                           | 5  | 6                  | 9  | 10    | 7  |
| Gr. 1                             | 31                          | 41 | 10                 | 15 | 41    | 28 |
| Gr. 2                             | 14                          | 18 | 27                 | 40 | 41    | 28 |
| Gr. 3                             | 20                          | 26 | 23                 | 34 | 43    | 30 |
| Gr. 4                             | 7                           | 9  | 2                  | 3  | 9     | 6  |
| <b>Hemoglobin</b>                 |                             |    |                    |    |       |    |
| Gr. 0                             | 13                          | 17 | 9                  | 13 | 22    | 15 |
| Gr. 1                             | 39                          | 51 | 23                 | 34 | 62    | 43 |
| Gr. 2                             | 17                          | 22 | 31                 | 46 | 48    | 33 |
| Gr. 3                             | 6                           | 8  | 5                  | 7  | 11    | 8  |
| Gr. 4                             | 1                           | 1  | 0                  | 0  | 1     | 1  |
| <b>Platelets</b>                  |                             |    |                    |    |       |    |
| Gr. 0                             | 17                          | 22 | 14                 | 21 | 31    | 22 |
| Gr. 1                             | 37                          | 49 | 26                 | 38 | 63    | 44 |
| Gr. 2                             | 14                          | 18 | 15                 | 22 | 29    | 20 |
| Gr. 3                             | 7                           | 9  | 13                 | 19 | 20    | 14 |
| Gr. 4                             | 1                           | 1  | 0                  | 0  | 1     | 1  |
| <b>White blood cells count</b>    |                             |    |                    |    |       |    |
| Gr. 0                             | 40                          | 53 | 41                 | 60 | 81    | 56 |
| Gr. 1                             | 17                          | 22 | 16                 | 24 | 33    | 23 |
| Gr. 2                             | 12                          | 16 | 9                  | 13 | 21    | 15 |
| Gr. 3                             | 7                           | 9  | 2                  | 3  | 9     | 6  |
| <b>ANC</b>                        |                             |    |                    |    |       |    |
| Gr. 0                             | 41                          | 54 | 46                 | 68 | 87    | 60 |
| Gr. 1                             | 11                          | 14 | 5                  | 7  | 16    | 11 |
| Gr. 2                             | 7                           | 9  | 6                  | 9  | 13    | 9  |
| Gr. 3                             | 12                          | 16 | 9                  | 13 | 21    | 15 |
| Gr. 4                             | 5                           | 7  | 2                  | 3  | 7     | 5  |
| <b>Constitutional / infection</b> |                             |    |                    |    |       |    |
| Gr. 0                             | 12                          | 16 | 12                 | 18 | 24    | 17 |
| Gr. 1                             | 20                          | 26 | 20                 | 29 | 40    | 28 |
| Gr. 2                             | 27                          | 36 | 24                 | 35 | 51    | 35 |
| Gr. 3                             | 16                          | 21 | 12                 | 18 | 28    | 19 |
| Gr. 4                             | 1                           | 1  | 0                  | 0  | 1     | 1  |
| <b>Fatigue</b>                    |                             |    |                    |    |       |    |
| Gr. 0                             | 18                          | 24 | 15                 | 22 | 33    | 23 |
| Gr. 1                             | 23                          | 30 | 21                 | 31 | 44    | 31 |

|                            | Arm<br>GEMOX +<br>CETUXIMAB |    | Arm<br>GEMOX alone |     | All   |    |
|----------------------------|-----------------------------|----|--------------------|-----|-------|----|
|                            | N=76                        | %  | N=68               | %   | N=144 | %  |
| Gr. 2                      | 22                          | 29 | 23                 | 34  | 45    | 31 |
| Gr. 3                      | 13                          | 17 | 9                  | 13  | 22    | 15 |
| Fever                      |                             |    |                    |     |       |    |
| Gr. 0                      | 45                          | 59 | 31                 | 46  | 76    | 53 |
| Gr. 1                      | 18                          | 24 | 24                 | 35  | 42    | 29 |
| Gr. 2                      | 13                          | 17 | 12                 | 18  | 25    | 17 |
| Gr. 3                      | 0                           | 0  | 1                  | 1   | 1     | 1  |
| Infection with ANC <Gr. 1  |                             |    |                    |     |       |    |
| Missing                    | 1                           | 1  | 0                  | 0   | 1     | 1  |
| Gr. 0                      | 68                          | 89 | 68                 | 100 | 136   | 94 |
| Gr. 2                      | 2                           | 3  | 0                  | 0   | 2     | 1  |
| Gr. 3                      | 5                           | 7  | 0                  | 0   | 5     | 3  |
| Infection with ANC >Gr. 1  |                             |    |                    |     |       |    |
| Missing                    | 1                           | 1  | 0                  | 0   | 1     | 1  |
| Gr. 0                      | 74                          | 97 | 66                 | 97  | 140   | 97 |
| Gr. 3                      | 1                           | 1  | 2                  | 3   | 3     | 2  |
| Infection with unknown ANC |                             |    |                    |     |       |    |
| Missing                    | 1                           | 1  | 0                  | 0   | 1     | 1  |
| Gr. 0                      | 74                          | 97 | 66                 | 97  | 140   | 97 |
| Gr. 1                      | 1                           | 1  | 0                  | 0   | 1     | 1  |
| Gr. 3                      | 0                           | 0  | 2                  | 3   | 2     | 1  |
| Febrile neutropenia        |                             |    |                    |     |       |    |
| Missing                    | 1                           | 1  | 0                  | 0   | 1     | 1  |
| Gr. 0                      | 72                          | 95 | 68                 | 100 | 140   | 97 |
| Gr. 1                      | 1                           | 1  | 0                  | 0   | 1     | 1  |
| Gr. 3                      | 1                           | 1  | 0                  | 0   | 1     | 1  |
| Gr. 4                      | 1                           | 1  | 0                  | 0   | 1     | 1  |
| <b>LAB toxicity</b>        |                             |    |                    |     |       |    |
| Gr. 0                      | 13                          | 17 | 8                  | 12  | 21    | 15 |
| Gr. 1                      | 6                           | 8  | 4                  | 6   | 10    | 7  |
| Gr. 2                      | 12                          | 16 | 11                 | 16  | 23    | 16 |
| Gr. 3                      | 27                          | 36 | 30                 | 44  | 57    | 40 |
| Gr. 4                      | 18                          | 24 | 15                 | 22  | 33    | 23 |
| ALT/AST                    |                             |    |                    |     |       |    |
| Gr. 0                      | 13                          | 17 | 12                 | 18  | 25    | 17 |
| Gr. 1                      | 28                          | 37 | 19                 | 28  | 47    | 33 |
| Gr. 2                      | 18                          | 24 | 27                 | 40  | 45    | 31 |
| Gr. 3                      | 15                          | 20 | 10                 | 15  | 25    | 17 |
| Gr. 4                      | 2                           | 3  | 0                  | 0   | 2     | 1  |
| ALP                        |                             |    |                    |     |       |    |
| Gr. 0                      | 19                          | 25 | 14                 | 21  | 33    | 23 |
| Gr. 1                      | 25                          | 33 | 18                 | 26  | 43    | 30 |
| Gr. 2                      | 17                          | 22 | 21                 | 31  | 38    | 26 |
| Gr. 3                      | 11                          | 14 | 15                 | 22  | 26    | 18 |
| Gr. 4                      | 4                           | 5  | 0                  | 0   | 4     | 3  |

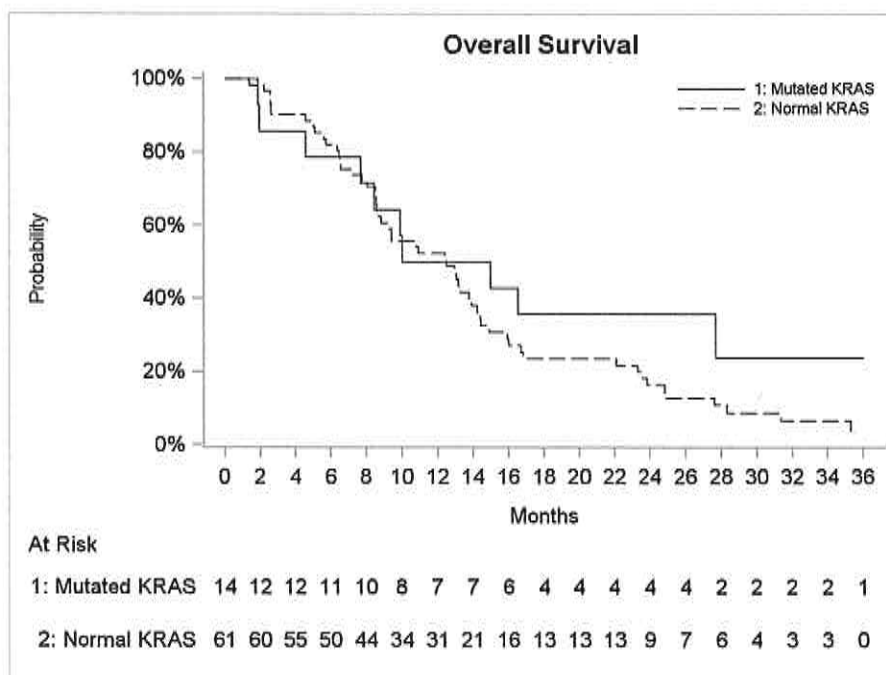
|                                  | Arm<br>GEMOX +<br>CETUXIMAB |    | Arm<br>GEMOX alone |    | All   |    |
|----------------------------------|-----------------------------|----|--------------------|----|-------|----|
|                                  | N=76                        | %  | N=68               | %  | N=144 | %  |
| GGT                              |                             |    |                    |    |       |    |
| Gr. 0                            | 15                          | 20 | 13                 | 19 | 28    | 19 |
| Gr. 1                            | 7                           | 9  | 3                  | 4  | 10    | 7  |
| Gr. 2                            | 10                          | 13 | 8                  | 12 | 18    | 13 |
| Gr. 3                            | 28                          | 37 | 30                 | 44 | 58    | 40 |
| Gr. 4                            | 16                          | 21 | 14                 | 21 | 30    | 21 |
| Bilirubin                        |                             |    |                    |    |       |    |
| Gr. 0                            | 51                          | 67 | 37                 | 54 | 88    | 61 |
| Gr. 1                            | 12                          | 16 | 19                 | 28 | 31    | 22 |
| Gr. 2                            | 4                           | 5  | 8                  | 12 | 12    | 8  |
| Gr. 3                            | 5                           | 7  | 3                  | 4  | 8     | 6  |
| Gr. 4                            | 4                           | 5  | 1                  | 1  | 5     | 3  |
| Creatinin                        |                             |    |                    |    |       |    |
| Gr. 0                            | 67                          | 88 | 61                 | 90 | 128   | 89 |
| Gr. 1                            | 7                           | 9  | 6                  | 9  | 13    | 9  |
| Gr. 2                            | 1                           | 1  | 1                  | 1  | 2     | 1  |
| Gr. 4                            | 1                           | 1  | 0                  | 0  | 1     | 1  |
| Mg                               |                             |    |                    |    |       |    |
| Missing                          | 3                           | 4  | 9                  | 13 | 12    | 8  |
| Gr. 0                            | 48                          | 63 | 50                 | 74 | 98    | 68 |
| Gr. 1                            | 23                          | 30 | 9                  | 13 | 32    | 22 |
| Gr. 2                            | 2                           | 3  | 0                  | 0  | 2     | 1  |
| <b>Gastrointestinal Toxicity</b> |                             |    |                    |    |       |    |
| Gr. 0                            | 11                          | 14 | 10                 | 15 | 21    | 15 |
| Gr. 1                            | 18                          | 24 | 19                 | 28 | 37    | 26 |
| Gr. 2                            | 37                          | 49 | 29                 | 43 | 66    | 46 |
| Gr. 3                            | 10                          | 13 | 10                 | 15 | 20    | 14 |
| Anorexia                         |                             |    |                    |    |       |    |
| Gr. 0                            | 30                          | 39 | 25                 | 37 | 55    | 38 |
| Gr. 1                            | 21                          | 28 | 17                 | 25 | 38    | 26 |
| Gr. 2                            | 22                          | 29 | 23                 | 34 | 45    | 31 |
| Gr. 3                            | 3                           | 4  | 3                  | 4  | 6     | 4  |
| Nausea                           |                             |    |                    |    |       |    |
| Gr. 0                            | 28                          | 37 | 21                 | 31 | 49    | 34 |
| Gr. 1                            | 24                          | 32 | 25                 | 37 | 49    | 34 |
| Gr. 2                            | 22                          | 29 | 20                 | 29 | 42    | 29 |
| Gr. 3                            | 2                           | 3  | 2                  | 3  | 4     | 3  |
| Vomiting                         |                             |    |                    |    |       |    |
| Gr. 0                            | 38                          | 50 | 34                 | 50 | 72    | 50 |
| Gr. 1                            | 17                          | 22 | 16                 | 24 | 33    | 23 |
| Gr. 2                            | 18                          | 24 | 16                 | 24 | 34    | 24 |
| Gr. 3                            | 3                           | 4  | 2                  | 3  | 5     | 3  |
| Diarrhea                         |                             |    |                    |    |       |    |
| Gr. 0                            | 41                          | 54 | 39                 | 57 | 80    | 56 |
| Gr. 1                            | 18                          | 24 | 20                 | 29 | 38    | 26 |
| Gr. 2                            | 11                          | 14 | 6                  | 9  | 17    | 12 |

|   | Arm<br>GEMOX +<br>CETUXIMAB |    | Arm<br>GEMOX alone |    | All   |    |
|---|-----------------------------|----|--------------------|----|-------|----|
|   | N=76                        | %  | N=68               | %  | N=144 | %  |
| Gr. 3                                   | 6                           | 8  | 3                  | 4  | 9     | 6  |
| Constipation                            |                             |    |                    |    |       |    |
| Gr. 0                                   | 50                          | 66 | 36                 | 53 | 86    | 60 |
| Gr. 1                                   | 20                          | 26 | 25                 | 37 | 45    | 31 |
| Gr. 2                                   | 6                           | 8  | 7                  | 10 | 13    | 9  |
| Mucositis                               |                             |    |                    |    |       |    |
| Gr. 0                                   | 47                          | 62 | 55                 | 81 | 102   | 71 |
| Gr. 1                                   | 22                          | 29 | 13                 | 19 | 35    | 24 |
| Gr. 2                                   | 6                           | 8  | 0                  | 0  | 6     | 4  |
| Gr. 3                                   | 1                           | 1  | 0                  | 0  | 1     | 1  |
| Skin toxicity                           |                             |    |                    |    |       |    |
| Gr. 0                                   | 6                           | 8  | 38                 | 56 | 44    | 31 |
| Gr. 1                                   | 14                          | 18 | 22                 | 32 | 36    | 25 |
| Gr. 2                                   | 44                          | 58 | 7                  | 10 | 51    | 35 |
| Gr. 3                                   | 11                          | 14 | 1                  | 1  | 12    | 8  |
| Gr. 4                                   | 1                           | 1  | 0                  | 0  | 1     | 1  |
| Allergic reaction /<br>Hypersensitivity |                             |    |                    |    |       |    |
| Gr. 0                                   | 45                          | 59 | 51                 | 75 | 96    | 67 |
| Gr. 1                                   | 13                          | 17 | 11                 | 16 | 24    | 17 |
| Gr. 2                                   | 12                          | 16 | 5                  | 7  | 17    | 12 |
| Gr. 3                                   | 5                           | 7  | 1                  | 1  | 6     | 4  |
| Gr. 4                                   | 1                           | 1  | 0                  | 0  | 1     | 1  |
| Alopecia                                |                             |    |                    |    |       |    |
| Gr. 0                                   | 49                          | 64 | 52                 | 76 | 101   | 70 |
| Gr. 1                                   | 22                          | 29 | 15                 | 22 | 37    | 26 |
| Gr. 2                                   | 5                           | 7  | 1                  | 1  | 6     | 4  |
| Acneiform rash                          |                             |    |                    |    |       |    |
| Gr. 0                                   | 12                          | 16 | 64                 | 94 | 76    | 53 |
| Gr. 1                                   | 22                          | 29 | 3                  | 4  | 25    | 17 |
| Gr. 2                                   | 37                          | 49 | 1                  | 1  | 38    | 26 |
| Gr. 3                                   | 5                           | 7  | 0                  | 0  | 5     | 3  |
| Conjunctivitis                          |                             |    |                    |    |       |    |
| Gr. 0                                   | 67                          | 88 | 65                 | 96 | 132   | 92 |
| Gr. 1                                   | 7                           | 9  | 3                  | 4  | 10    | 7  |
| Gr. 2                                   | 1                           | 1  | 0                  | 0  | 1     | 1  |
| Gr. 3                                   | 1                           | 1  | 0                  | 0  | 1     | 1  |
| Nail changes                            |                             |    |                    |    |       |    |
| Gr. 0                                   | 65                          | 86 | 67                 | 99 | 132   | 92 |
| Gr. 1                                   | 6                           | 8  | 1                  | 1  | 7     | 5  |
| Gr. 2                                   | 5                           | 7  | 0                  | 0  | 5     | 3  |
| Neuropathy (Levi scale)                 |                             |    |                    |    |       |    |
| Gr. 0                                   | 10                          | 13 | 15                 | 22 | 25    | 17 |
| Gr. 1                                   | 29                          | 38 | 22                 | 32 | 51    | 35 |
| Gr. 2                                   | 19                          | 25 | 21                 | 31 | 40    | 28 |
| Gr. 3                                   | 18                          | 24 | 10                 | 15 | 28    | 19 |

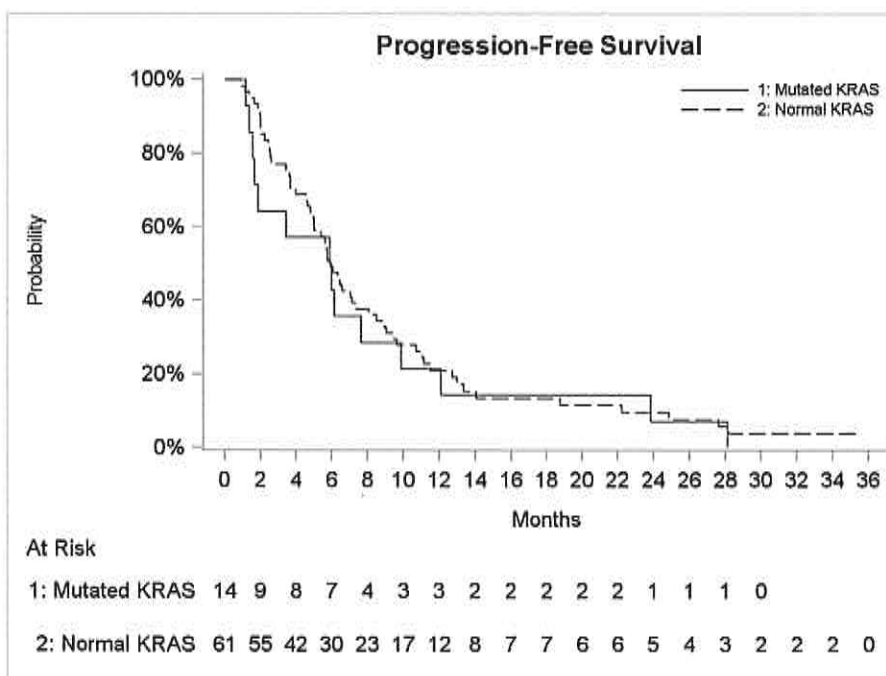
|                       | Arm<br>GEMOX +<br>CETUXIMAB |    | Arm<br>GEMOX alone |    | All   |    |
|-----------------------|-----------------------------|----|--------------------|----|-------|----|
|                       | N=76                        | %  | N=68               | %  | N=144 | %  |
| <b>Other toxicity</b> |                             |    |                    |    |       |    |
| <i>Missing</i>        | 6                           | 8  | 8                  | 12 | 14    | 10 |
| <i>Gr. 1</i>          | 28                          | 37 | 22                 | 32 | 50    | 35 |
| <i>Gr. 2</i>          | 27                          | 36 | 26                 | 38 | 53    | 37 |
| <i>Gr. 3</i>          | 14                          | 18 | 9                  | 13 | 23    | 16 |
| <i>Gr. 4</i>          | 1                           | 1  | 3                  | 4  | 4     | 3  |



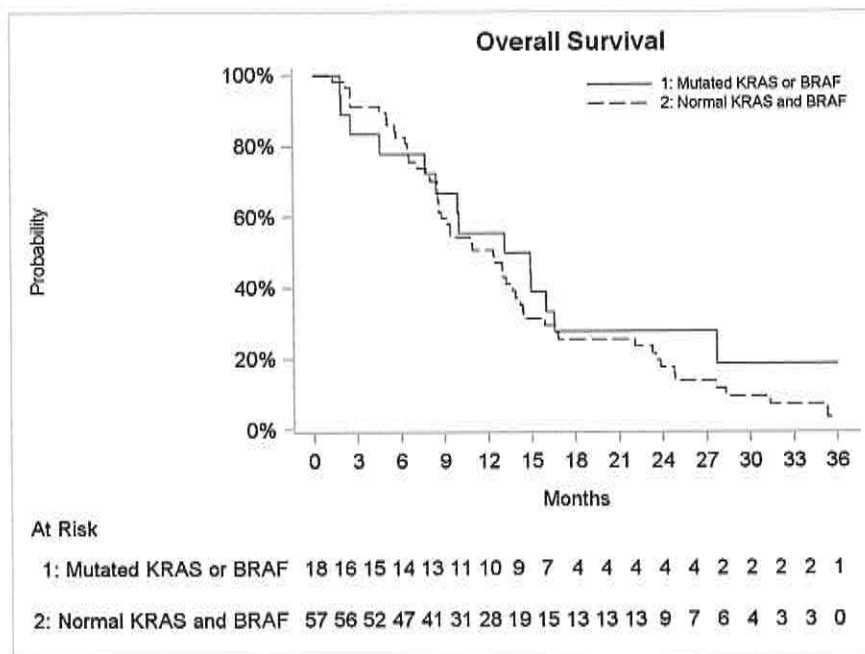
## Appendix 4: Exploratory analyses: Overall Survival and Progression Free Survival curves according to KRAS/BRAF/EGFR tumor status and treatment arm



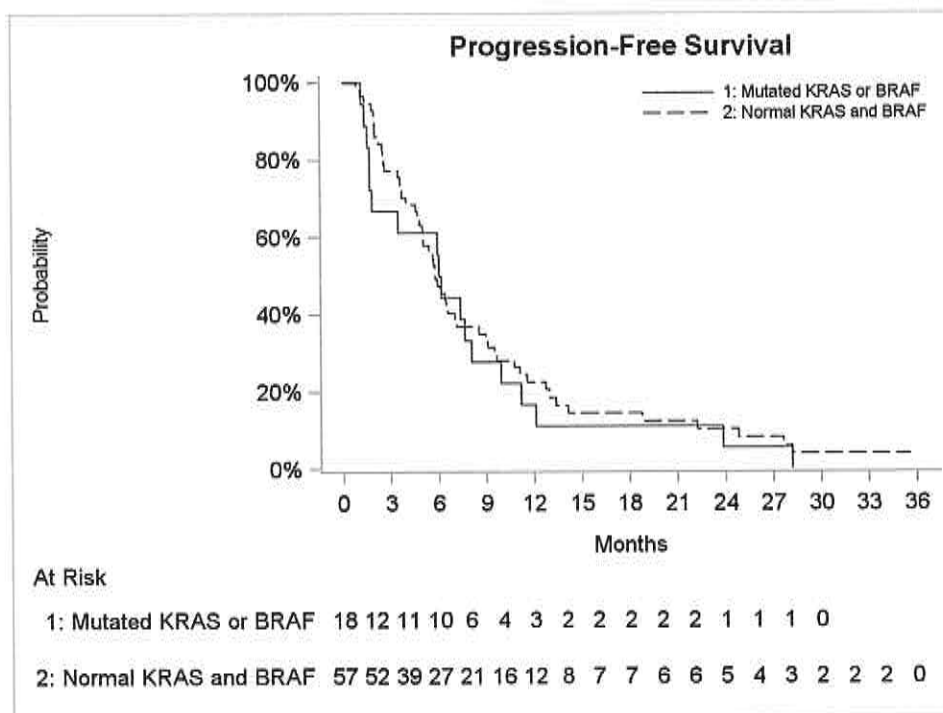
**Figure 5 Overall Survival according to KRAS tumor status**



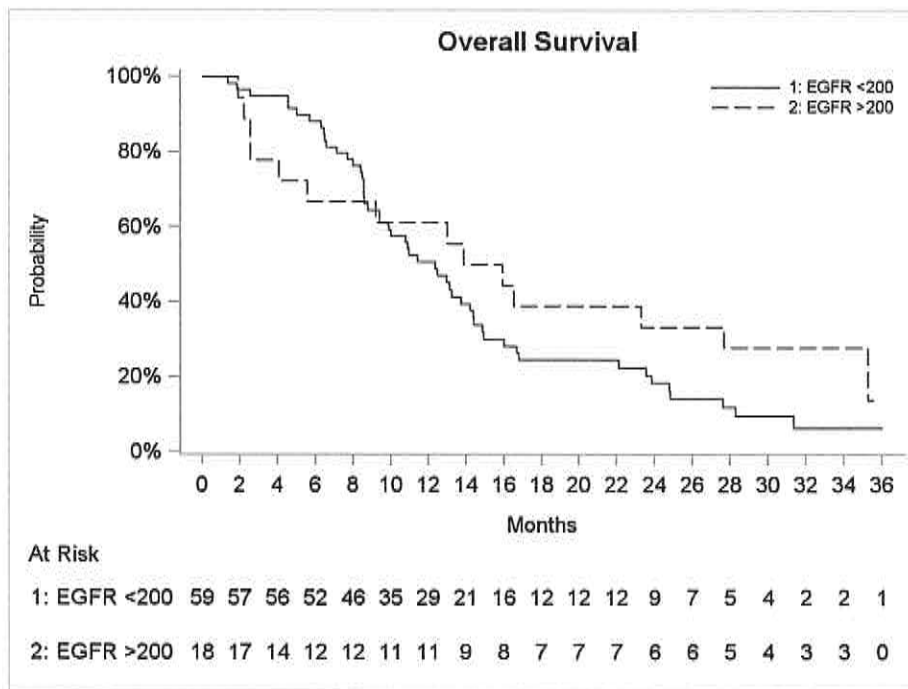
**Figure 6 Progression Free Survival according to KRAS tumor status**



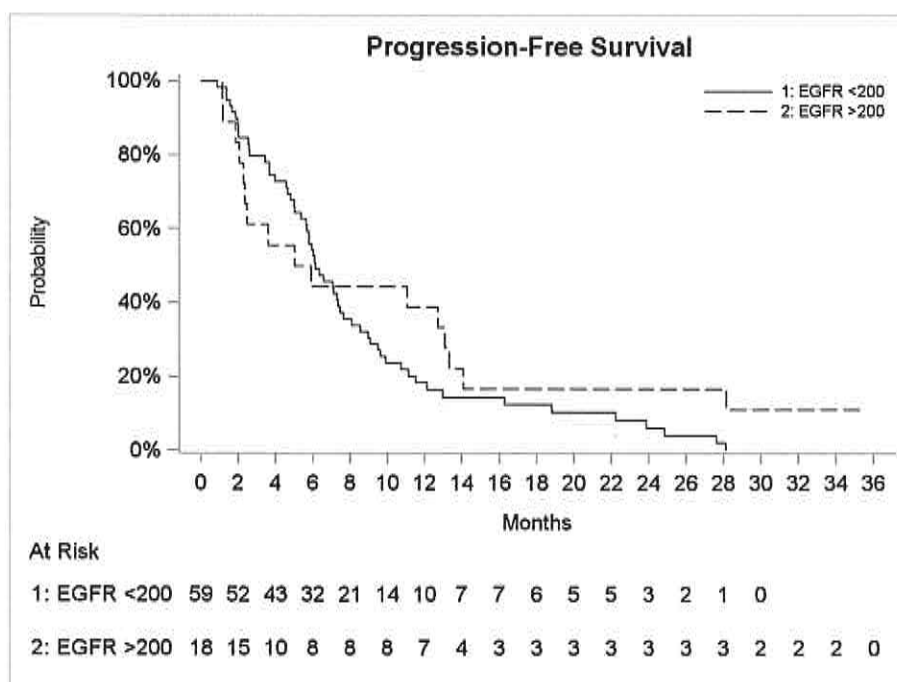
**Figure 7 Overall Survival according to KRAS/BRAF tumor status**



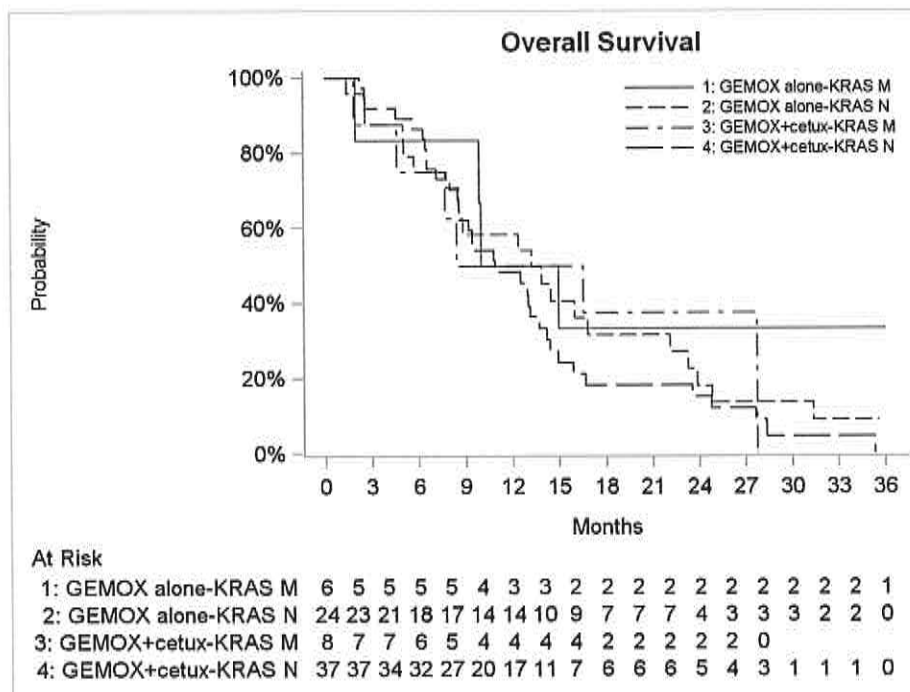
**Figure 8 Progression Free Survival according to KRAS/BRAF tumor status**



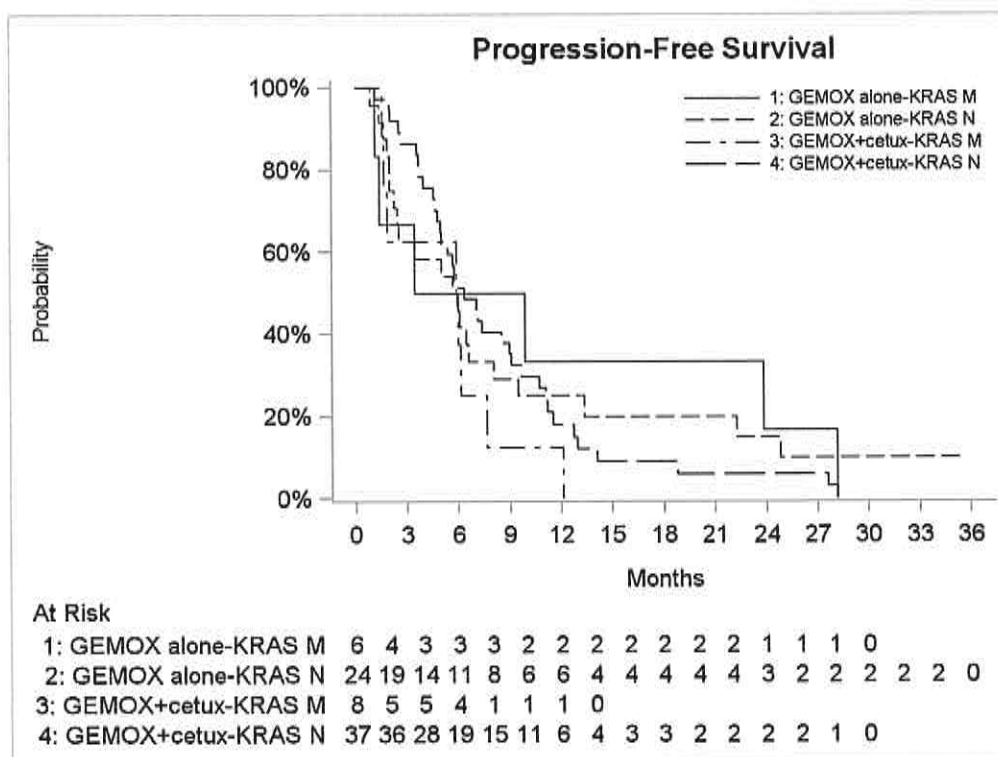
**Figure 9 Overall Survival according to EGFR tumor status**



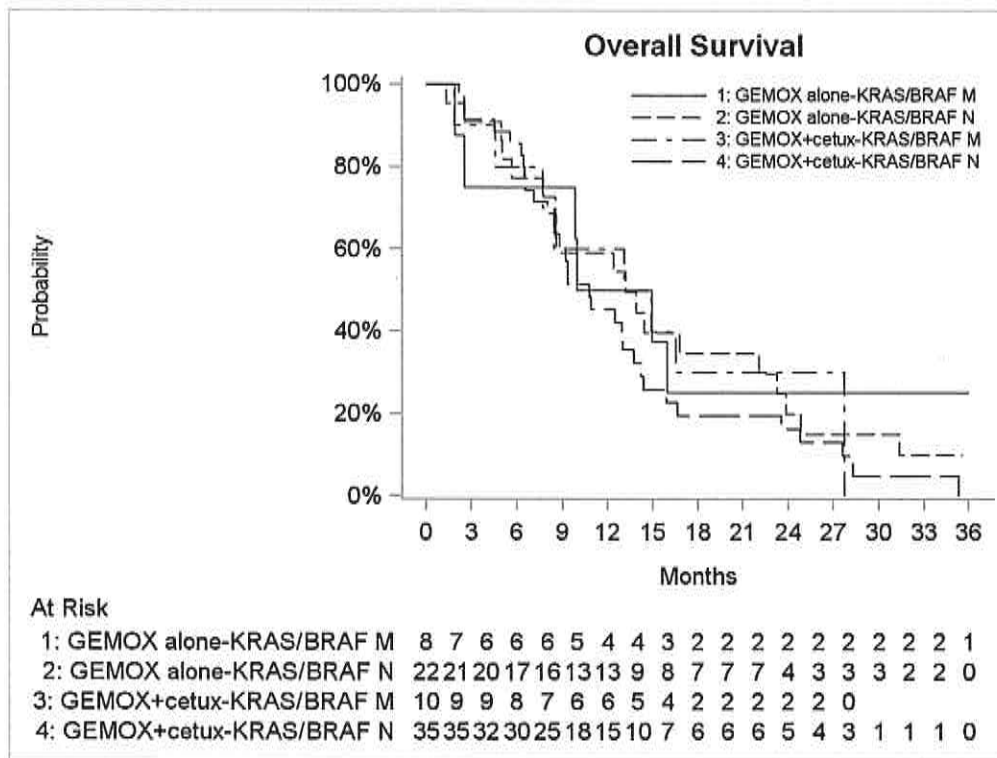
**Figure 10 Progression Free Survival according to EGFR tumor status**



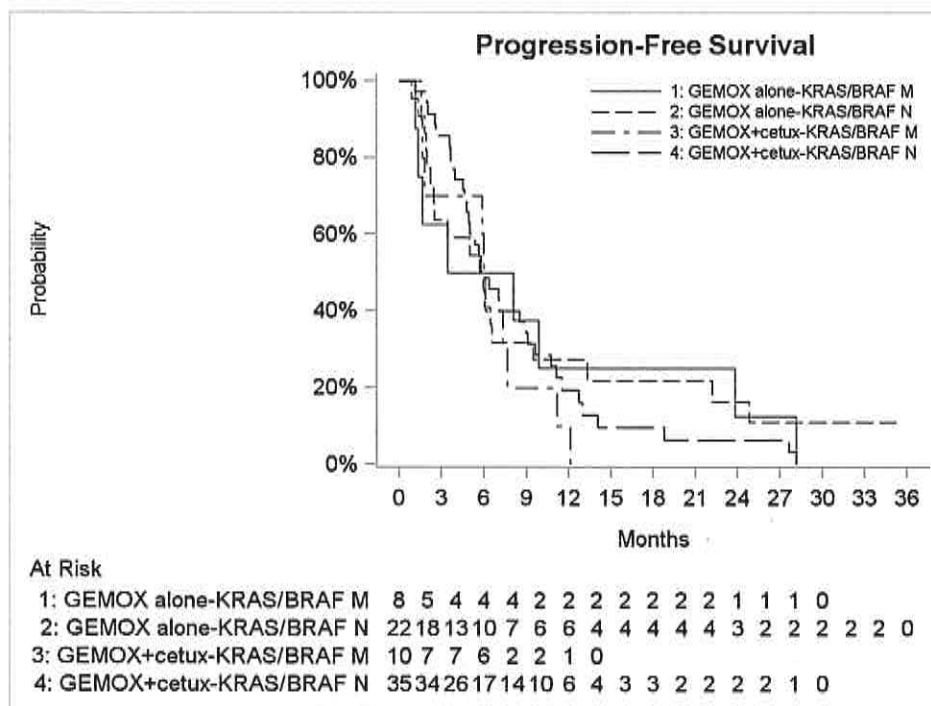
**Figure 11 Overall Survival according to KRAS tumor status and treatment arm**



**Figure 12 Progression Free Survival according to KRAS tumor status and treatment arm**



**Figure 13 Overall Survival according to KRAS/BRAF tumor status and treatment arm**



**Figure 14 Progression Free Survival according to KRAS/BRAF tumor status and treatment arm**

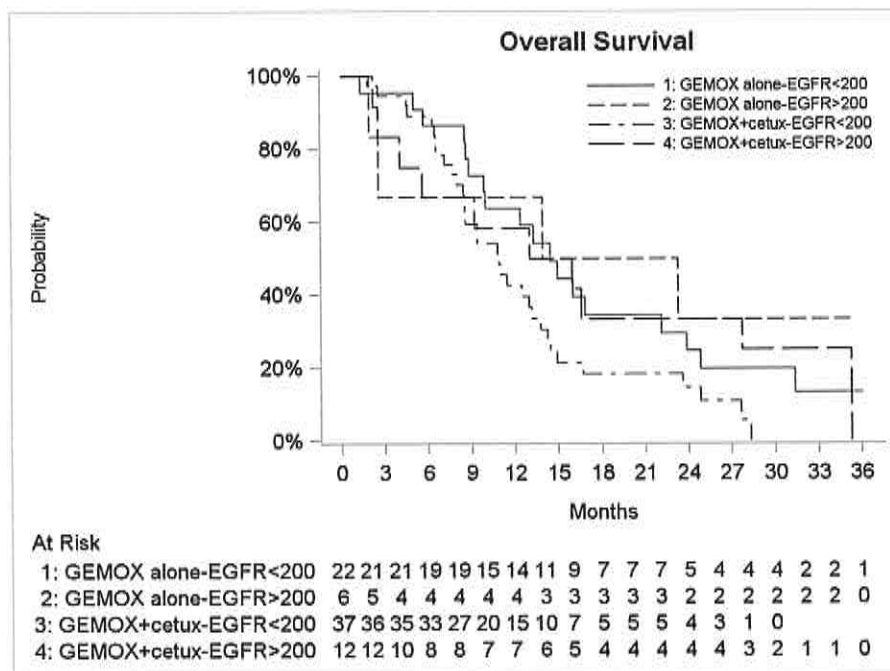


Figure 15 Overall Survival according to EGFR tumor status and treatment arm

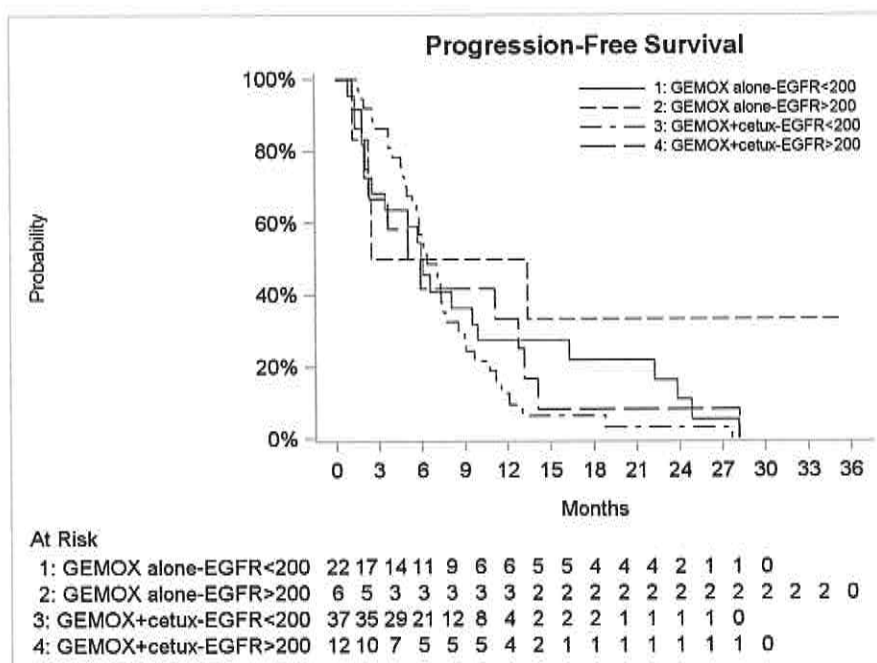


Figure 16 Progression Free Survival according to EGFR tumor status and treatment arm



