

## Clinical Study Synopsis

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## Clinical Trial Results Synopsis

Study Design Description		
Study Sponsor:	Bayer Healthcare Pharmaceuticals Inc	
Study Number:	13266	EudraCT number: 2008-006914-62 NCT00863746
Study Phase:	III	
Official Study Title:	A Phase III, multi-center, placebo-controlled trial of Sorafenib (BAY 43-9006) in patients with relapsed or refractory advanced predominantly non-squamous Non-Small Cell Lung Cancer (NSCLC) after 2 or 3 previous treatment regimens for advanced disease	
Therapeutic Area:	Oncology	
Test Product		
Name of Test Product:	Sorafenib (Nexavar, BAY 43-9006)	
Name of Active Ingredient:	4-{4-[3-(4-Chloro-3-trifluoromethyl-phenyl)-ureido]-phenoxy}-pyridine-2-carboxylic acid methylamide-4-methylbenzenesulfonate	
Dose and Mode of Administration:	400 mg (2 tablets of 200 mg) twice daily (bid), mornings and evenings. Total daily dose 800 mg Oral	
Reference Therapy/Placebo		
Reference Therapy:	Placebo	
Dose and Mode of Administration:	2 tablets bid, mornings and evenings Oral	
Duration of Treatment:	Sorafenib was taken on a continuous basis with each treatment cycle consisting of 21 days and with no interruption between cycles. A patients study treatment was continued until the occurrence of: <ul style="list-style-type: none"><li>• Unequivocal evidence of disease progression;</li><li>• Intolerable toxicity;</li><li>• Patient's consent was withdrawn.</li></ul> Treatment could be discontinued at the investigator's discretion	
Studied period:	Date of first subjects' first visit:	29 APR 2009
	Date of last subjects' last visit:	02 APR 2013 (data cut-off date: 16 MAR 2012)
Premature Study Suspension / Termination:	Not applicable	

<p><b>Substantial Study Protocol Amendments</b></p>	<p><b>Amendment 1 (16 Jun 2009)</b> local amendment for Japan centers only to enable investigators to detect the potential risk of interstitial lung disease (ILD) at an early stage by specifying timepoints of radiological assessments.</p> <p><b>Amendment 2 (21 May 2009)</b> local amendment for Japan centers only to reduce the dose of radiation to patients enrolled into the study.</p> <p><b>Amendment 3 (16 JUL 2009)</b> inclusion criteria changed to clarify that patients with a history of metastatic or meningeal tumors were to be asymptomatic and off steroid treatment 14 days before study entry; exclusion of patients with CTCAE grade 2 or 3 bleeding within 4 weeks of administration of study drug; a change allowing prior investigational anti-cancer regimens if at least one drug was approved for the treatment of NSCLC and if all drugs were stopped at least 4 weeks before first dose of study drug; patients would be removed from study treatment if they required dose reductions below level 2 due to toxicity or due to progression or recurrence of the underlying cancer.</p> <p><b>Amendment 4 (16 FEB 2010)</b> in the inclusion criteria adjuvant or neo-adjuvant anti-cancer treatments after a relapse within 1 year of therapy was counted as a prior treatment regimen. Maintenance anti-cancer treatment was counted as a separate regimen; patients with current chronic active or acute hepatitis B or C and patients with previously untreated or concurrent cancer in a site distinct from the primary site or histology of NSCLC were excluded from the study. Prior anti-cancer drugs for NSCLC were to be completed at least 3 weeks prior to first dose of study drug. The evaluation of urine samples was deleted from the pharmacogenomics biomarker evaluation. A separate consent form for long-term follow-up for patients withdrawing from the treatment was required. An interim analysis for futility only would be performed when approximately 50% of the planned death events for the final analysis were observed.</p> <p><b>Amendment 5 (09 NOV 2010)</b> Text was added stating that the power of the study was increased to 92.6%, and that the number of events (deaths) were increased to 572. Overall survival data were to be considered mature after 572 events estimated to occur after 30 to 32 months. Furthermore, no interim analysis was to be performed, and additional details were given regarding prior treatments that were to be excluded during the study (e.g. VEGF(R) inhibitors).</p> <p><b>Protocol amendment 6 (24 MAY 2012)</b> Ongoing patients receiving sorafenib at the time of overall study unblinding following primary completion could continue if considered beneficial by the principal investigator at the site. Sorafenib was to be administered in accordance with the protocol until the patient could access sorafenib outside the study or no longer benefited from treatment.</p>
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<b>Study Center(s):</b>	This multinational study with patients screened in 157 centers across 33 countries in: <b>Northern and Western Europe</b> [Italy (9) Spain (9), United Kingdom (8), France (8), Sweden (5), Germany (7), Netherlands (6), Greece (3), Belgium (3), Austria (2), Turkey (5)] Israel (6), and South Africa (3), <b>Eastern Europe</b> [(Bulgaria (5), Hungary (6), Poland (5), Russia (5))], <b>North America</b> [(United States (2), Canada (1))], <b>South America</b> (Brazil (7), Chile (2), Peru (3)), and <b>Asia-Pacific</b> [China (12), Hong Kong (2), India (3), Indonesia (1), Japan (8), Pakistan (2), Philippines (4), Republic Of Korea (5), Singapore (2), Taiwan (5), and Thailand (3)].
<b>Methodology:</b>	<p><b>Overall Survival (OS):</b> All randomized patients were followed for survival information. After discontinuation of study drug treatment, patients continued into the post-treatment follow-up period and were contacted every months until death was recorded.</p> <p>Tumor response and disease progression assessments was based on investigator's assessment according to the Response Evaluation Criteria in Solid Tumors (RECIST) (version 1.0) tumor response criteria of the chest, abdomen and pelvis. Non-target lesions were also recorded.</p> <p>Radiological assessments (CT/MRI) were performed at screening, and every 6 weeks (after the first dose of study drug).</p> <p>PRO on Health Related Quality of Life (HRQoL), lung cancer symptoms, and health state utilities were using the European Organization for Research and Treatment of Cancer Core Quality of Life Questionnaire for Palliative Care (EORTC QLQ-C15-PAL), the EORTC QLQ-lung cancer module (EORTC QLQ-LC13), and the EuroQol-5D (EQ-5D).</p> <p>An independent Data Monitoring Committee (DMC) was instituted for this study to evaluate the safety and efficacy data during the conduct of the study. However, no formal interim analysis for futility or efficacy was conducted.</p>
<b>Indication/ Main Inclusion Criteria:</b>	<p>Advanced predominantly non-squamous NSCLC</p> <p>Patients of <math>\geq 18</math> years of age (<math>\geq 20</math> for Japan) with NSCLC fulfilling the following criteria:</p> <ul style="list-style-type: none"> <li>• Advanced relapsed or refractory predominantly non-squamous NSCLC. The diagnosis was required to have been confirmed cyto-/ histologically;</li> <li>• At least 2 but not more than 3 prior treatment regimens for advanced NSCLC;</li> <li>• Eastern Cooperative Oncology Group (ECOG) performance status (PS) of 0 or 1;</li> <li>• Measurable disease, with measurable lesions accurately measurable one-dimensionally as <math>\geq 20</math> mm by computed tomography (CT), positron emission tomography (PET), X-ray, magnetic resonance imaging (MRI), and as <math>\geq 10</math> mm by spiral CT, or non-measurable disease assessed by conventional techniques as <math>&lt; 20</math> mm or <math>&lt; 10</math> mm by spiral CT. Assessments were according to the Response Evaluation Criteria in Solid Tumors (RECIST version 1.0);</li> <li>• Adequate bone marrow, liver and renal function as assessed by clinical laboratory examinations</li> <li>• Life expectancy of at least 12 weeks</li> </ul>

<b>Study Objectives:</b>	<p>The objective of this phase III study was to compare the efficacy and safety of sorafenib monotherapy plus best supportive care (BSC) versus placebo plus BSC for the treatment of patients with relapsed or refractory advanced predominantly non squamous NSCLC after 2 or 3 prior treatment regimens for advanced disease</p> <p><b>Primary efficacy objective:</b></p> <ul style="list-style-type: none"> <li>• Overall survival (OS)</li> </ul> <p><b>Secondary objectives:</b></p> <ul style="list-style-type: none"> <li>• Progression-free-survival (PFS);</li> <li>• Disease control rate (DCR);</li> <li>• Best overall response rate (ORR);</li> <li>• Time to progression (TTP);</li> <li>• Patient reported outcomes (PRO) on Health Related Quality of Life (HRQoL), lung cancer symptoms, and health state utilities;</li> <li>• Safety.</li> </ul> <p><b>Other objectives:</b></p> <p>Evaluation of biomarkers</p>
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<p><b>Evaluation Criteria:</b></p>	<p><b>Efficacy</b></p> <p>The primary efficacy variable was OS, and the primary efficacy analysis was based on patients in the Full Analysis Set (FAS) population. OS was defined as the time from randomization to death due to any cause.</p> <p>Secondary efficacy variables were:</p> <ul style="list-style-type: none"> <li>• PFS, defined as the time from the date of randomization to the date of first observed disease progression (radiological or clinical, whichever occurred earlier) or death due to any cause, if death occurred before progression was documented.</li> <li>• DCR, defined as the proportion of patients whose best response was ‘complete response’ (CR), ‘partial response’ (PR), or ‘stable disease’ (SD) according to RECIST (version 1.0). In case of SD, measurements had to meet the stable disease criteria at least once after study entry at a minimum interval of 6 weeks.</li> <li>• ORR, defined as the proportion of patients whose best response was CR or PR according to RECIST (version 1.0).</li> <li>• TTP, defined as the time from randomization to the first documented disease progression according to the investigator’s assessment. Disease progression could be radiological progression or clinical progression. TTP for patients without disease progression or death at the time of analysis were to be censored at the date of the last tumor scan</li> <li>• PRO including HRQOL, lung cancer symptom, and general health status as measured by the EORTC QLQ-C15-PAL, the EORTC QLQ-LC13, and the EQ-5D, respectively.</li> </ul> <p><b>Safety</b></p> <p>Safety was assessed based on results of physical examinations including New York Heart Association (NYHA) classification, assessment of ECOG PS, complete review of body systems, vital signs, electrocardiogram (ECG) data, weight, laboratory values, and adverse events (AEs) up to 30 days after termination of treatment.</p> <p>National Cancer Institute (NCI) Common Terminology Criteria for Adverse Events (CTCAE) Version 3.0 was used for assessment of toxicity and serious adverse event (SAE) reporting. Safety analyses were based on the valid for safety (SAF) population, i.e. all patients randomized to treatment who received any study medication.</p>
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<b>Statistical Methods:</b>	<p>The primary efficacy endpoint was OS analyzed in the FAS population. The two treatment groups (sorafenib + BSC and placebo + BSC) were compared using a one-sided log-rank test with an overall alpha of 0.025, stratified by the same stratification factors as used for randomization: Number of prior systemic therapies: '2' or '3'; brain metastases: 'yes' or 'no'; prior epidermal growth factor receptor (EGFR) inhibitor treatment: 'yes' or 'no'; geographic Region: 'core region' or 'non-core region' (Core regions: North America, Northern and Western Europe; Non-core regions: South America, Eastern Europe, Asia-Pacific). The hazard ratio for OS and 95% confidence interval calculated by stratified proportional hazards regression using the same stratification factors as for randomization were provided. Kaplan-Meier estimates and survival curves were also presented for each treatment group.</p> <p>The secondary endpoints PFS and TTP were analyzed as described for the primary efficacy endpoint OS.</p> <p>The secondary endpoints DCR and ORR were analyzed using the one-sided Cochran-Mantel-Haenszel test with an alpha of 0.025 adjusted for the same stratification factors as those used at randomization. The estimates of DCR and ORR by treatment as well as the differences of these rates between treatment groups and the corresponding 95% confidence intervals (CIs) were computed.</p> <p>Subgroup analyses of OS, PFS, TTP, and ORR were performed for the following subgroups: Stratification factors (2 versus 3 prior systemic therapies, brain metastases documented – yes/no, with versus without prior EGFR inhibitor treatment, core geographic region [Group 1: North America, Northern and Western Europe] versus non-core geographic region [Group 2: South America, Eastern Europe, Asia-Pacific] and other subgroups (age [<math>&lt;65</math>, <math>\geq 65</math>, <math>\geq 75</math> years], sex, baseline ECOG performance status 0 or 1, duration of prior EGFR treatment less than 12 weeks or longer than 12 weeks, prior EGFR treatment with PR or CR as best overall response, East Asian population [China, Hong Kong, Japan, Korea, Taiwan], and Non-East-Asian population).</p> <p>All PRO analyses were performed in the PROAS population, i.e., for all FAS patients who had evaluable PRO assessments at baseline and at least one post-baseline assessment. PRO endpoint were summarized by descriptive statistics and treatment effects were analyzed using a mixed linear model (random coefficient model) and applying a 2-sided type-I error of 0.05.</p> <p>PRO endpoints were analyzed using a two-sided significance level of 0.05. Further analyses were conducted to explore treatment effect on HRQOL and to examine the mechanism underlying missing data,</p> <p>The safety data were summarized using descriptive statistics for the SAF population.</p> <p>The final analysis was based on 579 actual death events in the FAS population observed up to and including the data cut-off date of 16 MAR 2012.</p>
<b>Number of Subjects:</b>	<p><b>Planned:</b> Approximately 700 patients (350 in each arm)</p> <p><b>Analyzed:</b> 703 patients</p>

## Study Results

### Results Summary — Subject Disposition and Baseline

Out of 1002 screened NSCLC patients, 703 patients were randomized: 350 to the sorafenib + BSC group and 353 to the placebo + BSC group.

The treatment groups were comparable for **demographics and baseline characteristics**. In the FAS population, most were men (53.1% in the sorafenib + BSC group versus 59.2% in the placebo + BSC group), were younger than 65 years-of-age (68.3% versus 62.9%), and the highest proportion of patients were Asian (50.0% versus 49.3%) followed by White (43.7% versus 44.5%), the majority of patients were past or present smokers, 51.7% versus 61.2%.

The majority of patients had at baseline more than one tumor site. The sites of the cancer were widely distributed, predominantly in the lung with 88.0% in the sorafenib + BSC group and 87.3% in the placebo + BSC group, lymph nodes (54.6% versus 48.2%), pleura (32.6% versus 32.0%), bone (26.0% versus 30.0%), and liver (14.6% versus 19.0%).

The majority of patients had an ECOG status of 1, 66.6% in the sorafenib + BSC and 68.6% in the placebo + BSC group, about one third each a status of 0 (31.4% versus 31.2%). Most patients had tumor node metastasis (TNM) stage IV, 61.1% in the sorafenib + BSC group and 63.2% in the placebo + BSC group, and stage IIIB (17.1% versus 15.3%). TNM grading at study entry was stage IV for 95.4% of the patients in the sorafenib + BSC group and for 94.3% in the placebo + BSC group and stage IIIB (4.6% versus 5.4%).



## Results Summary — Efficacy

The primary efficacy variable was **OS** in non-squamous NSCLC patients treated with sorafenib in combination with BSC compared to placebo in combination with BSC. In total, 579 (82.4%) death events in the FAS population were observed up to and including the data cut-off date. The median OS was 248 days in the sorafenib + BSC and 253 days in the placebo + BSC group, with a hazard ratio (risk of death with sorafenib + BSC versus placebo + BSC) of 0.993 (95% CI: 0.841; 1.174) for data stratified as per CRF, representing no relevant decrease in hazard with sorafenib + BSC versus placebo + BSC. The one-sided stratified log-rank test showed with a p-value of 0.469 for data stratified as per Case Report Form (CRF) no statistically significant difference in treatments on OS. Based on these results, the study did not meet its primary endpoint.

Subgroup analyses of OS were performed in the FAS population. The results indicated no statistically relevant trends favoring sorafenib + BSC treatment; the 95% CIs of all subgroup hazard ratios included unity. The hazard ratios for all subgroups ranged between 0.77 and 1.19 and some decreases were seen for patients within the age group at randomization:  $\geq 75$  years (0.77), from the non-core region (Group 2: South America, Eastern Europe, Asia-Pacific) (HR = 0.89), with presence of brain metastases at baseline: yes (0.85), with number of prior treatments: 2 (0.84), and for the East Asian population (0.84).

Based on investigators' assessments using RECIST version 1.0, there was a statistically significant improvement of the **PFS** in the FAS population. The median 84 days of PFS in the sorafenib + BSC group and of 43 days in the placebo + BSC group were based on 294 (84.0%) and 330 (93.5%) events, respectively. The estimated hazard ratio (risk of progression or death before progression with sorafenib + BSC versus placebo + BSC) was 0.607 (95% CI: 0.514; 0.717) for data stratified as per CRF representing a decrease of about 39% in hazard with sorafenib + BSC versus placebo + BSC. The stratified one-sided log-rank test showed a statistically significant p-value of  $<0.0001$ .

Subgroup PFS results showed clear trends favoring sorafenib + BSC for almost all subgroups. Decreases in PFS hazard ratios were between 10% and 55% and corresponding 95% CIs being below unity were observed for almost all patient subgroups, except for the core-region (Group 1: North America, Northern/Western Europe), age groups 65 – 74 years and  $\geq 75$  years.

Similarly to PFS, prolongation of median **TTP** was observed in the FAS population. The median TTP based on investigators' assessments was 89 days in the sorafenib + BSC group and 43 days in the placebo + BSC group, based on 243 (69.4%) and 298 (84.4%) events, respectively. The estimated hazard ratio (risk of progression with sorafenib + BSC versus placebo + BSC) was 0.542 (95% CI: 0.453; 0.649) for data stratified as per CRF representing a 46% decrease in hazard with sorafenib + BSC versus placebo + BSC. The stratified one-sided log-rank test had a statistically significant p-value of  $<0.0001$ .

Subgroup TTP results showed clear trends favoring sorafenib + BSC for almost all subgroups. Decreases in TTP hazard ratios were between 31% and 56% and corresponding 95% CIs being below unity were observed for almost all patient subgroups, except for the age group  $\geq 75$  years.

Regarding the **ORR**, a statistically significant effect and clinical benefit was observed for sorafenib + BSC treatment over placebo + BSC treatment in the analyses. In the FAS population, the overall response rate based on the investigator's assessments was 4.86% (95% CI: 2.85%; 7.66%) for the sorafenib + BSC group and 0.85% (95% CI: 0.18%; 2.46%) for the placebo + BSC group (one-sided  $p = 0.000876$  for CRF based data and  $p = 0.001001$  for Interactive voice response system (IVRS) based data).

The **DCR** in the FAS population was statistically significantly higher in the sorafenib + BSC group compared to the placebo + BSC group based on the investigators' assessments: 47.14% (95% CI: 41.81%; 52.52%) for the sorafenib + BSC group and 24.65% (95% CI: 20.24%; 29.48%) for the placebo + BSC group (one sided  $p < 0.000001$ , independently from source of stratification data).

The **PRO** endpoints of HRQOL, lung cancer symptom, and health state utilities were measured by the EORTC QLQ-C15-PAL, EORTC QLQ-LC13, and EQ-5D, respectively, and were similar between the treatment groups at baseline. There was no difference in global health status/QoL, physical and emotional functioning between the two treatment groups, as measured by the EORTC QLQ-C15-PAL. More problems with ‘alopecia’, ‘dysphagia’, and ‘sore mouth’ were seen in the sorafenib + BSC compared with the placebo + BSC group as indicated by EORTC QLQ-LC13. Exploratory analyses, applying a classification into improved, stable or worsened item scales for patients, showed statistically significant differences in emotional functioning ( $p = 0.004$ ), i.e. more improvements in the sorafenib + BSC group and more stable patients in the placebo + BSC group. A higher fraction of sorafenib + BSC patients in the worsened category were observed for the items ‘dysphagia’, ‘alopecia’, and ‘sore mouth’, indicating a relation to treatment with the study drug. For the items ‘coughing’, ‘pain (general)’, ‘pain in arm or shoulder’ and ‘pain in chest’ the fraction of sorafenib + BSC patients showing improvement was higher compared with the placebo + BSC group. There was no difference in health state utilities or Visual analogue scale (VAS) scores as measured by EQ-5D between the treatments groups.

### Results Summary — Safety

The safety conclusions presented in this report reflect data accumulated up to and including the cut-off date of 16 MAR 2012.

**Duration of exposure** to study drug in the SAF population was not similar between the treatment groups. The median treatment duration was 12.0 weeks in sorafenib + BSC group and 6.3 weeks in placebo + BSC group. The mean actual daily dose in the FAS population for the sorafenib + BSC group was 720.10 mg and 796.07 mg for the placebo + BSC group. By category, 64% of the patients in the sorafenib + BSC group received an actual daily dose of 800 mg and 34.9% of the patients less than 800 mg. In the placebo + BSC group, 92.9% of the patients received an actual daily dose of 800 mg.

The **overall incidence of Treatment-Emergent Adverse Events (TEAEs)** was comparable between the treatment groups. In the SAF population, at least one TEAE was reported for most patients during the whole study: 342 (98.8%) patients in the sorafenib + BSC group and 318 (90.6%) patients in the placebo + BSC group. Treatment-emergent AEs considered to be related to treatment with sorafenib/placebo were more commonly reported in the sorafenib + BSC than in placebo + BSC group, for 304 (87.9%) patients versus 173 (49.3%) patients, respectively.

**SAEs** were slightly more common in the sorafenib + BSC than in the placebo + BSC group: 135 (39.0%) versus 111 (31.6%) patients, respectively. Most SAEs were not related to sorafenib/placebo-treatment based on investigator-assessment. Treatment-related SAEs were slightly more common in the sorafenib + BSC group than in the placebo + BSC group: sorafenib/placebo-related (8.1% versus 3.1%).

There were fewer grade 1 and 2 but more **grade 3** TEAEs in the sorafenib + BSC group compared to the placebo + BSC group; 4.9% versus 19.1% for grade 1, 26.6% versus 32.8% for grade 2, and 40.2% versus 18.8% for grade 3. The incidence of **grade 4** AEs was similar, 4.0% versus 6.8%, respectively.

During the study, the **most common TEAEs by CTCAE category** in the SAF population and reported with higher incidence in the sorafenib + BSC versus the placebo + BSC group were gastrointestinal events (74.0% versus 49.3%), dermatology/skin events (77.2% versus 23.1%), constitutional symptoms (54.0% versus 39.0%), metabolic laboratory events (32.1% versus 13.7%), and general cardiac events (22.3% versus 7.7%). Also the incidences of pain events (57.5% versus 49.9%), infection (25.7% versus 18.8%), hemorrhage/bleeding (16.2% versus

11.1%), blood/bone marrow events (15.6% versus 8.0%), and cardiac arrhythmia events (11.3% versus 5.7%) were slightly higher in the sorafenib + BSC than in the placebo + BSC group.

Common TEAEs by CTCAE category with comparable incidences were pulmonary/upper respiratory events (47.1% versus 43.3%), neurology events (21.1% versus 17.4%), deaths (7.2% versus 4.0%), musculoskeletal/soft tissue events (5.8% versus 6.0%), and ocular/visual events (5.5% versus 1.7%).

The following **TEAEs by CTCAE term** were more common in the sorafenib + BSC group compared to the placebo + BSC group: hand-foot skin reaction (55.2% versus 6.0%), rash/desquamation (40.5% versus 12.3%), fatigue (36.1% versus 27.6%), diarrhea (35.8% versus 12.0%), anorexia (30.3% versus 17.7%), hypertension (19.7% versus 4.6%), alopecia (18.8% versus 1.1%), mucositis (functional/symptomatic) oral cavity (16.8% versus 4.3%), weight loss (12.4% versus 4.8%), fever (11.6% versus 5.4%), pain, abdomen NOS (10.4% versus 4.0%), proteinuria (7.8% versus 1.7%), and hypokalemia (7.2% versus 0.9%).

The **most common grade 3 to 5 TEAEs**, reported at higher incidence in the sorafenib + BSC versus the placebo + BSC group, included hand-foot skin reactions (16.2% versus 0.6%), fatigue (8.7% versus 4.3%), hypertension (5.5% versus 0.6%), and rash/desquamation (4.0% versus 0%). Common but with comparable incidences was death not associated with CTCAE term, disease progression NOS (5.8% versus 3.7%).

The **most common sorafenib/placebo-related TEAEs ( $\geq 5\%$ ) by CTCAE category** which had clearly a higher incidence in the sorafenib + BSC group were dermatology/skin events (75.1% in the sorafenib + BSC group versus 18.8% in the placebo + BSC group), gastrointestinal events (55.8% versus 24.8%), constitutional symptoms (26.0% versus 14.2%), pain (21.1% versus 5.7%), metabolic/laboratory events (17.9% versus 4.6%), and cardiac general events (17.1% versus 4.0%). Disparate incidences were also seen for hemorrhage/bleeding events (8.4% versus 2.8%) and blood/bone marrow events (7.8% versus 2.3%).

The **most common sorafenib/placebo-related TEAEs by CTCAE term** which had a higher incidence (difference  $\geq 10\%$ ) in the sorafenib + BSC group included: hand-foot skin reaction (54.9% versus 6.0%), rash/desquamation (38.2% versus 10.5%), diarrhea (30.1% versus 6.6%), fatigue (21.4% versus 11.7%), anorexia (19.7% versus 6.8%), alopecia (17.6% versus 0.9%), hypertension (16.2% versus 3.4%), and mucositis (functional/symptomatic) oral cavity (13.9% versus 3.1%).

The **most common treatment-emergent SAEs** with higher incidence in the sorafenib + BSC group in the SAF population were (sorafenib + BSC versus placebo + BSC group): infection with normal ANC, lung (pneumonia) (3.2% versus 1.1%), death not associated with CTCAE term, disease progression NOS (5.8% versus 3.7%), and constitutional symptoms - other (specify) (2.9% versus 1.1%).

**Treatment emergent AEs leading to death (grade 5)** were more common in the sorafenib + BSC group than in the placebo + BSC group: 80 (23.1%) versus 46 (13.1%) patients, respectively, in the SAF population. In the SAF population, deaths within 30 days after end of study medication were reported for 22.8% of the patients in the sorafenib + BSC group and for 12.5% in the placebo + BSC group.

The most common grade 5 AEs by CTCAE category in the sorafenib + BSC versus the placebo + BSC group were pulmonary/upper respiratory events (7.5% versus 7.1%), death (7.2% versus 4.0%), and infection (2.0% versus 0.9%). The most common grade 5 pulmonary/upper respiratory TEAE was dyspnea (6.4% versus 5.7%), and the most common TEAE reported as

death was death not associated with CTCAE term, disease progression NOS (5.8% versus 3.7%). Most fatal infections affected the airways, i.e., lung, bronchus, or the upper airway.

Grade 5 SAEs were reported in 23.1% versus 13.1% of the patients, respectively. Of these, 1.4% patients in the sorafenib + BSC group and none of the patients in the placebo + BSC group had sorafenib/placebo-related SAEs.

**Treatment-emergent AEs led to discontinuation of study medication** slightly more often in the sorafenib + BSC group (12.4%) than in the placebo + BSC group (6.3%) in the SAF population.

Overall, the most common TEAEs leading to discontinuation were dermatology/skin events: 11 (3.2%) patients in the sorafenib + BSC group and 2 (0.6%) in the placebo + BSC group. Of these, the most common were hand-foot skin reactions (2.3% versus 0.3%). Pulmonary/upper respiratory events were the next most common reasons: 4 (1.2%) and 6 (1.7%) patients, respectively. Of these, the most common AE was dyspnea in 2 (0.6%) versus 5 (1.4%) patients, respectively.

Dermatology/skin events were the **most common TEAEs leading to dose reductions** in the SAF population (22.0% versus 0.3%). Dose interruptions were also most commonly due to dermatology/skin events (27.2% versus 0.9%).

In the **laboratory analyses**, the most common grade 3 hematological abnormalities were those affecting lymphocytes with slightly higher incidence in the sorafenib + BSC group compared to the placebo + BSC group (9.2% versus 3.4%). All other grade 3 and 4 hematological abnormalities were rarely registered, i.e., in not more than in 0.9% of the patients in either treatment group. In the SAF population, grade 3 hypophosphatemia was the most common biochemical abnormality group (14.2% versus 2.3%).

The **ECOG status** was 0 or 1 for all but one patient at screening. For most of the patients in both treatment groups, the ECOG status had not changed during treatment: 53.0% patients in the sorafenib + BSC group and 56.8% patients in the placebo + BSC group in the FAS population.

## Other evaluations

Tumor and/or plasma mutation data were available from 347 patients (49% of the study population). EGFR and Proto-oncogene V-Ki-ras2 Kirsten rat sarcoma viral oncogene

Homolog (KRAS) mutations were detected in 89 (26%) and 68 (20%) patients, respectively, and were well balanced between treatment arms. Analysis of the interaction between EGFR mutation status and treatment effect on survival suggested that patients with EGFR mutations benefitted from sorafenib, while those with wild-type EGFR did not ( $p = 0.023$ ). Median OS was 2-fold longer in patients with EGFR mutations receiving sorafenib than in those receiving placebo (423 versus 197 days; HR = 0.48;  $p = 0.002$ ). In patients with wild-type EGFR there was no significant survival difference between treatment arms (HR = 0.92;  $p = 0.559$ ). Similar findings resulted from analysis of the interaction of EGFR mutations with sorafenib effect on PFS ( $p = 0.015$ ), where patients with EGFR mutations (HR = 0.27;  $p < 0.001$ ) benefitted more from sorafenib treatment than those without (HR = 0.62;  $p < 0.001$ ). KRAS mutation status was not predictive of sorafenib efficacy.

These exploratory genetic biomarker analyses suggest that advanced NSCLC patients with EGFR mutations may derive survival benefit from receiving 3rd/4th line sorafenib. However, these results must be interpreted with caution due to the retrospective nature of the analyses and to the small, non-representative composition of the genetic biomarker subpopulation analyzed in this



trial. Any identified correlates of sorafenib response would need to be confirmed in a separate trial and would require further study to determine predictive or clinical utility.

### **Updated information after 16 Mar 2012**

Updated safety results for 10 patients who were still receiving study medication after 16 MAR 2012 until the last patient last visit on 2 APR 2013 (the data-cut-off date for this last patient was 24 Apr 2013) were reported. In addition, any new or updates to serious adverse events (SAEs) were presented in narratives for these patients.

### **Summary of updated safety results of the addendum report:**

Two patients had SAEs:

One patient with WORSENING of DYSPNEA (CTCAE Grade 2), BRONCHOPNEUMONIA (Grade 3), followed approximately 5 months later by an episode of MIGRAINE headache (CTCAE grade 3). All events were reported to have resolved with remedial drug therapy. The events were considered unrelated to study drug by both the investigator and the sponsor. This patient terminated the study on 07 FEB 2013 due to transferal to the treatment discontinuation study.

One patient with TRANSIENT ISCHEMIC ATTACK (TIA) (CTCAE Grade 4), CIRCILATORY COLLAPASE (Grade 5), RESPIRATORY FAILURE (Grade 5). These SAEs resulted in the death of the patient. The events were considered unrelated to study drug treatment by both the investigator and the sponsor but was judged to be due to the patient's underlying disease.

One patient had events that were considered of interest:

One patient with two episodes of HYPERURICEMIA (CTCAE Grade 4). Both occurrences of these events were reported to have resolved following remedial drug therapy and were not considered to be related to the study drug treatment by either the investigators or the sponsor. This patient was also reported to have developed ENDOMETRIAL CANCER (CTCAE Grade 3) considered as unrelated to the study drug. The patient developed progressive disease of non-small cell lung cancer and discontinued participation in the study.

Extended narratives were prepared for 7 patients were also prepared for the other 7 patients who were still receiving study drug treatment after the data cut-off date of 16 MAR 2012. Adverse events (AEs) that were considered by the investigator as related to study drug and that occurred or continued after 16 MAR 2012 were reported for the following 4 patients.

One patient with RASH ON LEGS (CTCAE Grade 1) reported to have resolved and SKIN BLISTERS UPPER and LOWER LEGS (CTCAE Grade 1) reported as ongoing. The last day of study drug treatment for this patient was 08 JAN 2013. The primary reason for termination of study for this patient was transferral to the treatment continuation study.

One patient with ALOPECIA (CTCAE Grade 1). This event was reported to have resolved after 9 months. The patient discontinued the study due to progressive disease on 20 Sep 2012. The last day of study drug treatment for this patient was on 4 AUG 2011.

One patient with HAND FOOT SKIN REACTION (CTCAE Grade 1) resolved after 2 weeks, HYPOPHOSPHATEMIA (CTCAE Grade 2) resolved after 3 weeks, and MUCOSITIS of THE ORAL CAVITY (CTCAE Grade 1) resolved after 4 weeks. The last day of study drug treatment for this patient was 01 APR 2013. The primary reason for termination of study for this patient was transferral to the treatment continuation study.

One patient with ongoing FATIGUE (CTCAE Grade 2) with outcome unchanged after dose of study drug was reduced and two episodes of DIARRHEA (CTCAE Grade 2) [resolved after dose of study drug reduced and remedial drug therapy] and CTCAE Grade 1 [unchanged after remedial drug therapy]). The last day of study drug treatment for this patient was 18 DEC 2012. The primary reason for termination of

study was disease progression.

Of these 7 patients, 3 discontinued the study due to progressive disease and 4 patients discontinued due to transferal to the treatment continuation study.

#### Conclusion(s)

The study did not meet its primary endpoint. There was no benefit in OS with sorafenib as a monotherapy for patients who had received 2 to 3 previous treatments for advanced, non-squamous NSCLC.

In the secondary endpoint analyses based on investigators' assessments, there was a statistically significant improvement of the PFS and prolongation of TTP.

No significant differences in patient's global health status/quality of life and functioning (physical and emotional) were observed between the two treatment groups.

The adverse events reported under sorafenib treatment were in general as expected and were manageable with best supportive care. There were no new or previously unreported significant toxicities reported during this study.

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## Product Identification Information

<b>Product Type</b>	Drug
<b>US Brand/Trade Name(s)</b>	Nexavar
<b>Brand/Trade Name(s) ex-US</b>	Nexavar
<b>Generic Name</b>	Sorafenib
<b>Main Product Company Code</b>	BAY43-9006
<b>Other Company Code(s)</b>	BAY54-9085
<b>Chemical Description</b>	(1) 2-Pyridinecarboxamide, 4-[4-[[[4-chloro-3trifluoromethyl)phenyl]amino]carbonyl]amino]phenoxy]-N-methyl-(2) 4-(4-{3.[4-chloro-3-(trifluoromethyl)phenyl]ureido}phenoxy)-N2-methylpyridine-2-carboxamide
<b>Other Product Aliases</b>	Sorafenib tosylate

Date of last Update/Change:

28 Apr 2012