



SYNOPTIC CLINICAL STUDY REPORT FOR NLG2101

A PHASE 2 DOUBLE-BLINDED, RANDOMIZED, PLACEBO- CONTROLLED STUDY OF INDOXIMOD IN COMBINATION WITH A TAXANE CHEMOTHERAPY IN METASTATIC BREAST CANCER

| | |
|--------------------------|--|
| Protocol Number: | NLG2101 |
| Test Drug: | Indoximod (1-methyl-D-tryptophan) |
| IND Number | 078189 |
| Study Phase: | 2 |
| Study Dates: | 26 Aug 2013 (date of first informed consent) – 17 Aug 2017 (date of last survival follow-up contact) |
| Principal Investigators: | <u>Dr. Soliman</u> (lead investigator) – H. Lee Moffitt Cancer Center, Tampa, FL; <u>Dr. Karwal</u> – University of Iowa, Iowa City, IA; <u>Dr. Daily</u> – University of Florida, Gainesville, FL; <u>Dr. Montero</u> – Cleveland Clinic, Cleveland, OH; <u>Dr. Poklepovic</u> – Virginia Commonwealth University, Richmond, VA; <u>Dr. Dillon</u> – University of Virginia, Charlottesville, VA; <u>Dr. Truica</u> – Penn State Hershey Cancer Institute, Hershey, PA; <u>Dr. Melin</u> – Wake Forest Baptist Health, Winston – Salem, NC; <u>Dr. Oldham</u> – Lynchburg Hematology Oncology Clinica, Lynchburg, VA; <u>Dr. Patel</u> – Vince Lombardi Cancer Clinic, Green Bay, WI; <u>Dr. Gilman</u> – Lankenau Medical Center, Wynnewood, PA; Bryn Mawr Hospital, Bryn Mawr, PA; Paoli Hospital, Paoli, PA; <u>Dr. Bopodi</u> – Augusta University, Augusta, GA; <u>Dr. Panella</u> – University of Tennessee Medical Center, Knoxville, TN; <u>Dr. Bruetman</u> – Indiana University Health Goshen Center for Cancer Care, Goshen, IN; <u>Dr. Treisman</u> – Wheaton Fransciscan Healthcare Reiman Cancer Center, Franklin, WI; <u>Dr. Samuel</u> – Cleveland Clinic Florida, Weston, FL; <u>Dr.</u> |

Volterra, Eastchester Center for Cancer Care, Bronx, NY; Dr. Ibrahim – University of Texas MD Anderson Cancer Center, Houston, TX; Dr. Nikolinakos – University Cancer and Blood Center, Athens, GA; Dr. Danciu – University of Illinois Cancer Center, Chicago, IL; Dr. MacLaughlin – Peninsula Cancer Institute, Newport News, VA; Dr. Karaszewska – Komed, Poland; Dr. Lesniewski-Kmak – Gdynia, Pomorskie, Poland; Dr. Pikel – Gdańsk, Poland; Dr. Tomczak – Poznan, Poland; Dr. Nowecki – Warszawa, Poland; Dr. Cedrych – Krakow, Poland; Dr. Suszko-Kazarnowicz – Olsztyn, Poland; Dr. Slomian – Poland; Dr. Slowinska – Olsztyn, Poland; Dr. Deliiewski – Gorzow Wielkopolski, Poland.

Sponsor Signatory: Eugene P. Kennedy, MD, FACS
Chief Medical Officer
Phone: (515) 296-5555
Fax: (515) 296-3520

Sponsor: NewLink Genetics Corporation
2503 South Loop Drive, Suite 5100
Ames, Iowa 50010

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This study was conducted in compliance with Good Clinical Practices, including the archiving of essential documents.

SYNOPTIC CLINICAL STUDY REPORT APPROVAL

Sponsor: NewLink Genetics Corporation; 2503 South Loop Drive, Suite 5100; Ames, Iowa 50010

Clinical Protocol Number: NLG2101

Drug Name: Indoximod (1-methyl-D-tryptophan)

Protocol Title: A Phase 2 double-blinded, randomized, placebo-controlled study of indoximod in combination with a taxane chemotherapy in metastatic breast cancer

Approved by:



09 December 2019

Eugene P. Kennedy, MD, FACS
Chief Medical Officer

Date

TABLE OF CONTENTS

| | | |
|------------|--|------------|
| 1 | SYNOPSIS | 6 |
| 2 | TABLES, FIGURES, AND GRAPHS REFERRED TO BUT NOT INCLUDED IN THE TEXT | 21 |
| 2.1 | Demographic Data..... | 21 |
| 2.1.1 | Analysis Populations and Subject Disposition | 21 |
| 2.1.2 | Demographics..... | 24 |
| 2.1.3 | Exposure and Compliance | 33 |
| 2.2 | Efficacy Data | 50 |
| 2.3 | Safety Data | 61 |
| 2.3.1 | Display of Adverse Events | 61 |
| 2.3.2 | Display of Laboratory Data | 122 |
| 2.3.3 | Display of Vital signs Data..... | 153 |
| 2.3.4 | ECOG data | 196 |
| 2.3.5 | Narratives of Deaths, other Serious and Certain Other Significant Adverse Events | 198 |
| 4 | APPENDICES | 202 |
| 4.1 | Study Information..... | 202 |
| 4.1.1 | Protocol and Protocol Amendments | 202 |
| 4.2 | Subject Data Listings | 202 |
| 4.2.1 | Discontinued Subjects | 202 |
| 4.2.2 | Protocol Deviations | 202 |
| 4.2.3 | Subjects Excluded from the Efficacy Analysis..... | 202 |
| 4.2.4 | Demographic Data..... | 202 |
| 4.2.5 | Compliance and/or Drug Concentration Data..... | 203 |
| 4.2.6 | Individual Efficacy Response Data | 203 |
| 4.2.7 | Adverse Event Listings (Each Subject) | 203 |
| 4.2.8 | Listing of Individual Laboratory Measurements by Subject | 203 |

TABLE OF TABLES

| | |
|--|-----------|
| Table 1 Treatment Regimen Description | 8 |
| Table 2 Paclitaxel Dose Modifications | 10 |
| Table 3 Progression-free survival (Safety Analysis Set) | 15 |
| Table 4 Objective Response Rate (Safety Analysis Set)..... | 16 |
| Table 5 Most Common ($\geq 5\%$ in Any Treatment Arm) Treatment-emergent Adverse Events (Safety Analysis Set)..... | 17 |

1 SYNOPSIS

| Name of Sponsor/Company | Name of Finished Product | Name of Active Ingredient |
|--|--------------------------|---------------------------|
| NewLink Genetics Corporation | Indoximod | 1-methyl-D-tryptophan |
| Protocol Number: NLG2101 | | |
| Title of Study: A Phase 2 double-blinded, randomized, placebo-controlled study of indoximod in combination with a taxane chemotherapy in metastatic breast cancer | | |
| Investigators and Study Centers: The study was conducted by 34 investigators in 43 centers across 2 countries (United States [US] and Poland). The following investigators (affiliation[s]) were involved in the study: | | |
| <ul style="list-style-type: none">• Dr. Hatem Soliman (lead investigator)– H. Lee Moffitt Cancer Center, Tampa, FL• Dr. Mark Karwal – University of Iowa, Iowa City, IA• Dr. Karen Daily – University of Florida, Gainesville, FL• Dr. Alberto Montero – Cleveland Clinic, Cleveland, OH• Dr. Andrew Poklepovic – Virginia Commonwealth University, Richmond, VA• Dr. Patrick Dillon – University of Virginia, Charlottesville, VA• Dr. Cristina Truica – Penn State Hershey Cancer Institute, Hershey, PA• Dr. Susan Melin – Wake Forest Baptist Health, Winston – Salem, NC• Dr. Dwight Oldham – Lynchburg Hematology Oncology Clinic, Lynchburg, VA• Dr. Dhimant Patel – Vince Lombardi Cancer Clinic, Green Bay, WI• Dr. Paul Gilman – Lankenau Medical Center, Wynnewood, PA; Bryn Mawr Hospital, Bryn Mawr, PA; Paoli Hospital, Paoli, PA• Dr. Hima Boppidi – Augusta University, Augusta, GA• Dr. Timothy Panella - University of Tennessee Medical Center, Knoxville, TN• Dr. Daniel Bruetman – Indiana University Health Goshen Center for Cancer Care, Goshen, IN• Dr. Jonathan Treisman – Wheaton Fransciscan Healthcare Reiman Cancer Center, Franklin, WI• Dr. Thomas Samuel – Cleveland Clinic Florida, Weston, FL• Dr. Fabio Volterra, Eastchester Center for Cancer Care, Bronx, NY• Dr. Nuhad Ibrahim – University of Texas MD Anderson Cancer Center, Houston, TX• Dr. Petros Nikolinakos – University Cancer and Blood Center, Athens, GA• Dr. Oana Danciu – University of Illinois Cancer Center, Chicago, IL• Dr. William MacLaughlin – Peninsula Cancer Institute, Newport News, VA• Dr. Boguslawa Karaszewska – Komed, Poland• Dr. Krysztof Lesniewski-Kmak – Gdynia, Pomorskie, Poland• Dr. Joanna Pikiel – Gdansk, Poland• Dr. Piotr Tomczak – Poznan, Poland• Dr. Zbigniew Nowecki – Warswaza, Poland | | |

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|--|---------------------------------------|---------------------------|
| NewLink Genetics Corporation | Indoximod | 1-methyl-D-tryptophan |
| <ul style="list-style-type: none">• Dr. Ida Cedrych – Krakow, Poland• Dr. Suszko-Kazarnowicz – Olsztyn, Poland• Dr. Grzegorz Slomian – Poland• Dr. Anna Slowinska – Olsztyn, Poland• Dr. Bartlomiej Deliiewski – Gorzow Wielkopolski, Poland | | |
| Publication (reference): None at time of writing this report | | |
| Study Period (years): 26 Aug 2013 (date of first informed consent) – 17 Aug 2017 (date of last survival follow-up contact) | Phase of Development: 2 | |
| Objectives <u>Primary Objective:</u> <ul style="list-style-type: none">• To assess the progression-free survival (PFS) after treatment with docetaxel or paclitaxel in combination with indoximod compared to docetaxel or paclitaxel alone in metastatic breast cancer. <u>Secondary Objective:</u> <ul style="list-style-type: none">• To assess the median overall survival after treatment with docetaxel or paclitaxel in combination with indoximod compared to docetaxel or paclitaxel alone in metastatic breast cancer and to conduct correlative scientific studies of subject samples to determine the mechanism of any observed pathologic variables and clinical benefits. As well as assess the objective response rate, as measured by Response Evaluation Criteria In Solid Tumors (RECIST) version 1.1, of docetaxel or paclitaxel in combination with indoximod compared to docetaxel or paclitaxel alone in addition to safety. | | |
| Methodology: This was a double-blind, placebo-controlled study in patients with metastatic breast cancer designed to assess the PFS after treatment with docetaxel or paclitaxel in combination with indoximod compared to docetaxel or paclitaxel given alone: <ul style="list-style-type: none">• Arm 1A: docetaxel 75 mg/m² intravenous (IV) given every 3 weeks on Day 8 along with placebo oral (PO) given twice daily (BID) on Days 1-14.• Arm 1B: docetaxel 75 mg/m² IV given every 3 weeks on Day 8 along with indoximod PO given BID on Days 1-14.• Arm 2A: paclitaxel 80 mg/m² IV given weekly x 3 followed by a week of rest (28-day cycle) along with placebo PO given BID on Days 1-21.• Arm 2B: paclitaxel 80 mg/m² IV given weekly x 3 followed by a week of rest (28-day cycle) along with indoximod PO given BID on Days 1-21. All arms of study were open for enrollment at United States (US) sites. Only Arms 1A and 1B were open for enrollment outside the US. At enrollment into the study, the treating physician had to determine whether a subject was to be enrolled on the docetaxel or the paclitaxel arm of the study. This had to be done prior to randomization and changes after randomization were not permitted. Treatment was administered on an outpatient basis. Reported adverse events (AEs) and potential risks as well as appropriate dose modifications are described below. | | |

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No investigational or commercial agents or therapies other than those described below could be administered with the intent to treat the patient's malignancy (Table 1).

Table 1 Treatment Regimen Description

| Agent | Premedication/ Precautions | Dose | Route | Schedule | Cycle Length |
|----------------------|--|-------------------------------|-------------------------|---|---|
| Indoximod or placebo | On an empty stomach with water | 1200 mg (six 200-mg capsules) | Twice daily; oral | Days 1-14 with docetaxel or Days 1-21 with paclitaxel | 21 days with docetaxel, 28 days with paclitaxel |
| Docetaxel | Premedicate with dexamethasone 8 mg (oral) twice daily for 3 days starting 1 day prior to docetaxel | 75 mg/m ² | Intravenous over 1 hour | Day 8 | 21 days |
| Paclitaxel | Standard institutional premedication orders utilizing dexamethasone and anti-histamines should be used | 80 mg/m ² | Intravenous over 1 hour | Weekly x3 of 4-week cycle | 28 days |

Indoximod or Placebo Administration

The six 200-mg capsules (1200 mg) had to be taken on an empty stomach with water by mouth at least one hour before breakfast and one hour prior to dinner. The medication had to be taken on Days 1-14 of each 21-day cycle (2 weeks on, 1 week off) when given with docetaxel or on Days 1-21 of each 28-day cycle (3 weeks on, 1 week off) when given with paclitaxel. No specific premedication was required. Patients had to be advised to wear ultraviolet (UV) protective eyewear when exposed to direct sunlight outdoors while on indoximod.

Subjects were asked to document daily indoximod/placebo on a medication diary during treatment, which was collected by the institution as source documentation.

Docetaxel administration

Docetaxel is a cytotoxic anticancer drug and, as with other potentially toxic compounds, caution had to be exercised when handling and preparing docetaxel solutions. The use of gloves was recommended. If docetaxel injection concentrate came into contact with the skin, it needed to be immediately and thoroughly washed with soap and water. If docetaxel injection concentrate came into contact with mucosa, they needed to be immediately and thoroughly washed with water.

Contact of the docetaxel concentrate with plasticized polyvinylchloride (PVC) equipment or devices used to prepare solutions for infusion was not recommended. In order to minimize patient exposure to the plasticizer DEHP (di-2-ethylhexyl phthalate), which could be leached from PVC infusion bags or sets, the final docetaxel dilution for infusion had to be stored in bottles (glass, polypropylene) or plastic bags (polypropylene, polyolefin) and administered through polyethylene-lined administration sets.

Preparation and Administration: The docetaxel had to be prepared for administration per the packaging insert instructions. Docetaxel had to be administered IV as a 1-hour infusion under ambient room temperature and lighting conditions. All subjects had to be premedicated with oral corticosteroids such as dexamethasone 16 mg per day (e.g., 8 mg BID) for 3 days starting 1 day prior to docetaxel to reduce the severity of fluid retention and hypersensitivity reactions. Subjects had to be observed closely for hypersensitivity reactions, especially during the first and second infusions. Severe hypersensitivity reactions characterized by generalized rash/erythema, hypotension and/or bronchospasm, or very rarely fatal anaphylaxis, have been reported in patients premedicated with 3 days of corticosteroids. Severe hypersensitivity reactions required immediate discontinuation of the

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| docetaxel infusion and aggressive therapy. Subjects with a history of severe hypersensitivity reactions could not be rechallenged with docetaxel. | | |
| Hypersensitivity reactions could occur within a few minutes following initiation of a docetaxel infusion. If minor reactions such as flushing or localized skin reactions occurred, interruption of therapy was not required. All patients had to be premedicated with an oral corticosteroid prior to the initiation of the infusion of docetaxel. H1 (diphenhydramine, chlorimpramine) and H2 histamine (ranitidine) receptor blockers and/or slowing the infusion rate could be used to minimize mild infusion reactions as needed. | | |
| <p><i>In vitro</i> studies have shown that the metabolism of docetaxel could be modified by the concomitant administration of compounds that induce, inhibit, or are metabolized by cytochrome P450 type 3A4 (CYP3A4), such as cyclosporine, terfenadine, ketoconazole, erythromycin, and troleandomycin. Caution had to be exercised with these drugs when treating patients receiving docetaxel as there was a potential for a significant interaction. <i>In vivo</i> investigations showed that caution had to be exercised when administering ketoconazole to patients as concomitant therapy since there was a potential for a significant interaction. Docetaxel had to be administered with caution in patients concomitantly receiving protease inhibitors which are inhibitors and substrates of CYP3A4.</p> | | |
| <u>Paclitaxel administration</u> | | |
| Paclitaxel is a cytotoxic anticancer drug and, as with other potentially toxic compounds, caution had to be exercised when handling and preparing paclitaxel solutions. The use of gloves was recommended. If paclitaxel injection concentrate came into contact with the skin, it needed to be immediately and thoroughly washed with soap and water. If paclitaxel injection concentrate came into contact with mucosa, they needed to be immediately and thoroughly washed with water. | | |
| <u>Preparation and Administration:</u> The paclitaxel had to be prepared for administration per the packaging insert instructions. Paclitaxel was administered as a 1-hour IV infusion using non-PVC tubing and connectors. A 22-micron filter had to be placed on the distal end of the infusion line. Nothing else was to be infused through the line where paclitaxel was being administered. | | |
| Subjects received prophylactic anti-allergy standard institutional premedications utilizing dexamethasone and anti-histamines. | | |
| <u>Reported AEs and Potential Risks</u> | | |
| In addition to routine reporting, several AEs with possible relationship to indoximod (such as abdominal pain, nausea, etc) required expedited reporting. A complete list is provided in the protocol. | | |
| A list of AEs with possible relationship to docetaxel and paclitaxel is provided in the package inserts. | | |
| <u>Appropriate Dose Modifications</u> | | |
| <u>Docetaxel:</u> Subjects could not be given docetaxel with an absolute neutrophil count (ANC) <1500 cells/mm ³ . Subjects who were dosed at 75 mg/m ² and who experienced either febrile neutropenia or neutrophils <500 cells/mm ³ for more than 1 week during docetaxel therapy had to have the dosage adjusted from 75 mg/m ² to 60 mg/m ² . The use of peg-filgrastim (Neulasta/Neupogen) was permitted if the patient experienced febrile neutropenia or an ANC <500 cells/mm ³ for >7 days. Prophylactic use of Neulasta/Neupogen was allowed if the treating physician deemed it necessary for patient safety. If the subject continued to experience these reactions at 60 mg/m ² despite adequate supportive care, the treatment had to be discontinued. Subjects who developed >grade 3 peripheral neuropathy had to have docetaxel treatment discontinued entirely. | | |
| In case of aspartate aminotransferase (AST)/alanine aminotransferase (ALT) >2.5 to <5 x the upper limit of normal (ULN) and alkaline phosphatase (ALP) <2.5 x ULN, or AST/ALT >1.5 to <5 x ULN and ALP >2.5 to <5 x ULN, docetaxel at 75 mg/m ² had to be reduced to 60 mg/m ² . If this occurred at 60 mg/m ² treatment had to be stopped. In case of AST/ALT >5 x ULN and/or ALP >5 x ULN docetaxel had to be stopped. | | |

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Dosing of both drugs had to be withheld for any other grade 3 or 4 non-hematologic or hematologic toxicity not described above until it resolved to a grade 0-1. Dosing delays of up to 21 days for resolution of AEs were permitted.

Paclitaxel: Paclitaxel could not be administered to subjects with a baseline ANC <1500 cells/mm³. In order to monitor the occurrence of myelotoxicity, it was recommended that weekly peripheral blood cell counts were performed on all patients receiving paclitaxel. An overview of paclitaxel dose modifications is given in Table 2.

Table 2 Paclitaxel Dose Modifications

| Event | Dose Modification |
|--|---|
| ANC ≤800 mm ³ or platelets ≤50000/mm ³ | Hold treatment until ANC >800 mm ³ and platelets >50,000/mm ³ , then resume with weekly paclitaxel dosage reduced by 10 mg/m ² . |
| Grade 2 motor or sensory neuropathies | Reduce weekly paclitaxel dosage by 10 mg/m ² without interrupting planned treatment. |
| Other non-hematologic AEs grade 2 or grade 3 | Hold treatment until AEs resolve to grade ≤1, then resume with weekly paclitaxel dosage reduced by 10 mg/m ² . |
| Treatment delay >3 weeks | Decrease weekly paclitaxel dosage by 10 mg/m ² or consider discontinuing treatment. |

Subjects who cannot tolerate paclitaxel at 60 mg/m² were to discontinue treatment.

The use of peg-filgrastim (Neulasta/Neupogen) was permitted if the subject experienced febrile neutropenia or an ANC <500 cells/mm³ for >7 days. Prophylactic use of Neulasta/Neupogen was allowed if the treating physician deemed it necessary for subject safety. If the subject continued to experience these reactions at a reduced dose despite adequate supportive care, the treatment had to be discontinued.

Although the occurrence of peripheral neuropathy was frequent, the development of severe symptomatology was unusual. Subjects who developed >grade 3 peripheral neuropathy had to have paclitaxel treatment discontinued entirely.

Indoximod: In general, indoximod was very well tolerated in both Phase 1 studies and seldom required any dose reductions. If a dose reduction was deemed necessary due to intolerance from taking the required number of pills or a grade 3-4 nausea, one dose reduction to 800 mg BID (PO) was permitted. If this was not tolerated then discontinuation of the study treatment was required.

Number of Subjects (planned and analyzed):

- Planned: up to 154 subjects (77 subjects per treatment arm 1:1 randomization – indoximod/placebo).
- Enrolled: 169 subjects (88 on indoximod and 81 on placebo).
- Treated: 164 subjects (85 on indoximod and 79 on placebo).

The study was prematurely discontinued in June 2017 after it became clear that there was no supporting evidence that receiving indoximod immunotherapy benefits patients over the existing standard of care chemotherapy. All subjects that were still in this study at this point, were immediately discontinued (n=47; 23 in the indoximod arm and 24 in the placebo arm).

Diagnosis and Criteria for Inclusion:

Inclusion Criteria:

In order to be considered eligible, all of the following criteria must have been met:

1. Subjects had to have histologically or cytologically confirmed estrogen receptor (ER)/ progesterone receptor (PR) +/–; human epithelial growth factor receptor 2 (HER2) –, metastatic breast cancer.
2. Subjects had to have metastatic disease that was evaluable on imaging studies. Subjects could have measurable disease, defined as at least one lesion that could be accurately measured in at least one dimension

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| (longest diameter to be recorded for non-nodal lesions and short axis for nodal lesions) as >20 mm with conventional techniques or as >10 mm with spiral computed tomography (CT) scan, magnetic resonance imaging (MRI), or calipers by clinical examination. Subjects could also have non-measurable disease only as defined by RECIST 1.1, particularly subjects with bone only metastatic disease. These subjects were also eligible if their disease could be documented / evaluated by bone scans, positron emission tomography (PET), or MRI. | | |
| 3. Any number of prior endocrine therapies in the metastatic setting were allowed. The subject could not have received any prior chemotherapy agents in the metastatic setting. Prior treatment with adjuvant docetaxel or paclitaxel was allowed if disease relapse occurred greater than 6 months from the completion of adjuvant therapy. | | |
| 4. Age >18 years. Because no dosing or AE data were currently available on the use of docetaxel or paclitaxel in combination with indoximod in subjects <18 years of age, children were excluded from this study. | | |
| 5. Eastern Cooperative Oncology Group (ECOG) performance status ≤ 1 . | | |
| 6. Life expectancy of >4 months. | | |
| 7. Subjects had to have normal organ and marrow function as defined below: | | |
| <ul style="list-style-type: none">• Leukocytes $\geq 3000/\mu\text{L}$.• ANC $\geq 1500/\mu\text{L}$.• Platelets $\geq 100,000/\mu\text{L}$.• Total bilirubin within normal institutional limits.• AST/ALT $\leq 2.5 \times$ institutional ULN.• Creatinine within normal institutional limits or creatinine clearance $\geq 60 \text{ mL/min}/1.73 \text{ m}^2$ for subjects with creatinine levels above institutional normal. | | |
| 8. Subjects with known brain metastases were only eligible after their tumors had been treated with definitive resection and/or radiotherapy and they were neurologically stable for at least 1 month off steroids. | | |
| 9. The effects of indoximod on the developing human fetus are unknown. For this reason and because indoximod may affect maternal immune tolerance of the fetus, sexually active women of child-bearing potential had to agree to use adequate forms of contraception prior to study entry and for the duration of study participation. Use of contraception or abstinence had to continue for a minimum of 1 month after completion of the study. If a woman became pregnant or it was suspected she was pregnant while participating in this study, she had to discontinue the study drug and inform her treating physician immediately. Also men had to be discouraged from fathering children while on treatment. | | |
| 10. Ability to understand and the willingness to sign a written informed consent document. | | |
| <u>Exclusion Criteria:</u> | | |
| Subjects presenting with any of the following could not participate in the study: | | |
| 1. Subjects who had had chemotherapy for the treatment of metastatic breast cancer were not eligible. Subjects who had had radiotherapy within 3 weeks prior to entering the study or those who had not recovered from AEs due to agents administered >3 weeks earlier were not eligible. | | |
| 2. Subjects who were currently receiving any other investigational agents. | | |
| 3. Subjects with known active, untreated brain metastases had to be excluded from this clinical study because of their poor prognosis and because they often develop progressive neurologic dysfunction that could confound the evaluation of neurologic and other AEs. Those with previously treated inactive brain metastases | | |

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| with no evidence of active disease documented on brain MRI ≥ 4 weeks after radiation and off all steroids could be eligible. | | |
| 4. History of allergic reactions attributed to compounds of similar chemical or biologic composition to docetaxel or tryptophan containing substances. This included L-tryptophan or 5-hydroxy-tryptophan supplements. Also subjects with a history of severe hypersensitivity reactions to docetaxel or to other drugs formulated with polysorbate 80 were excluded. | | |
| 5. Uncontrolled intercurrent illness including, but not limited to, ongoing or active infection, symptomatic congestive heart failure, unstable angina pectoris, cardiac arrhythmia, or psychiatric illness/social situations that would limit compliance with study requirements. | | |
| 6. Pregnant women were excluded from this study because indoximod is an immunoregulatory agent with the potential for abortifacient effects due to fetal rejection by the maternal immune system. Because there was an unknown but potential risk for AEs in nursing infants secondary to treatment of the mother with indoximod, breastfeeding had to be discontinued if the mother was treated with indoximod. Also, docetaxel and paclitaxel were category D cytotoxic agents and were not administered to pregnant females. | | |
| 7. Known human immunodeficiency virus (HIV)-positive subjects and those with other acquired/inherited immunodeficiencies were ineligible due to the possibility of affecting the response to indoximod and the higher risk of active opportunistic infections. | | |
| 8. Subjects with more than one active malignancy at the time of enrollment. | | |
| 9. Subjects who had received any prior experimental active immunotherapy consisting of targeted monoclonal antibodies (ipilimumab) or pharmaceutical compounds were excluded. | | |
| 10. Subjects with any active autoimmune disease (i.e., psoriasis, extensive atopic dermatitis, asthma, inflammatory bowel disease, multiple sclerosis, uveitis, vasculitis), chronic inflammatory condition, or any condition requiring concurrent use of any systemic immunosuppressants or steroids for any reason were excluded from the study. Any subject with an allo-transplant of any kind was excluded as well. This included those with a xenograft heart valve to avoid the potential risk of any immune reaction causing valvular degeneration. Mild-intermittent asthma requiring only occasional beta-agonist inhaler use or mild localized eczema were not excluded. | | |
| Subject Disposition: The study was prematurely discontinued in June 2017 after it became clear that there was no supporting evidence that receiving indoximod immunotherapy benefits patients over the existing standard of care chemotherapy. All subjects that were still in this study at this point, were immediately discontinued (n=47; 23 in the indoximod arm and 24 in the placebo arm). | | |
| A total of 169 subjects were enrolled (88 in the indoximod arm and 81 in the placebo arm). Of these subjects, 164 (85 and 79 subjects, respectively) were treated. | | |
| None of the subjects completed treatment. The most common reasons for treatment discontinuation were disease progression (56 subjects [65.9%] in the indoximod arm and 43 subjects [54.4%] in the placebo arm), withdrawal of consent (8 subjects [9.4%] and 13 subjects [16.5%], respectively), and the occurrence of AEs (6 subjects [7.1%] and 11 subjects [13.9%], respectively). | | |
| None of the subjects completed the study. The most common reasons for study discontinuation were death (35 subjects [41.2%] and 29 subjects [36.7%], respectively), sponsor decision (24 subjects [28.2%] and 24 subjects [30.4%], respectively), disease progression (12 subjects [14.1%] and 11 subjects [13.9%], respectively). | | |
| Key Demographics: The mean (standard deviation [SD]) age of the population was 56.3 (10.53) years. Most subjects were female (161 subjects [98.2%]) and White (137 subjects [83.5%]). Subject's baseline ECOG status | | |

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| was mostly 0 (84 subjects [51.5%]) or 1 (76 subjects [46.6%]) and most subjects had more than 1 disease site (131 subjects [79.9%]). The taxane chosen for most subjects was docetaxel (121 subjects [73.8%]). | | |
| Most subject's hormone receptor status was positive (118 subjects [72.0%]). In the subgroup of subjects with a negative hormone receptor status, the mean (SD) age was 56.1 (10.95) years. Subjects in this subgroup also mostly had a baseline ECOG status of 0 (21 subjects [46.7%]) or 1 (23 subjects [51.1%]) and more than 1 disease site (35 subjects [76.1%]). | | |
| Demographics were generally well balanced between the treatment arms. | | |
| Test Product, Dose and Mode of Administration, Batch Number: Subjects received either docetaxel or paclitaxel in combination with indoximod or docetaxel or paclitaxel given with placebo. Indoximod and placebo was supplied by NewLink Genetics as 200-mg capsules. Lot numbers for the indoximod capsules were: 11JM-265, 064I0614, 036I0315, 081I0815, 129I1215, and 039I0216. Lot numbers for the placebo capsules were: 11JM-255, 080I0814, and 080I0815. Docetaxel and paclitaxel were commercially obtained. | | |
| Duration of Treatment: In the absence of treatment delays due to AE(s), treatment could continue until one of the following criteria applied: <ul style="list-style-type: none">• Disease progression.• Intercurrent illness that prevented further administration of treatment.• Unacceptable AE(s).• Subject decided to withdraw from the study.• General or specific changes in the subject's condition rendered him/her unacceptable for further treatment in the judgment of the investigator. Subjects who were taken off docetaxel or paclitaxel due to toxicity could remain on study drug alone at the discretion of the investigator. They could remain on study drug until evidence of progression. This could only be done after a thorough discussion between the subject and the investigator as half of these subjects would be on placebo alone in this situation. Such discussion had to be documented in the subject's medical record. It was expected that only subjects who had, in the opinion of the investigator, demonstrated a better than expected response to study treatment (objective response or prolonged disease stabilization) could be considered for study drug alone. Subjects were followed for up to five years, until they were lost to follow up, or death, whichever occurred first. Subjects removed from study for unacceptable AE(s) were followed for resolution or stabilization of the AE as well. Follow-up off study was performed using telephone contact, correspondence with treating physicians, and death records as necessary to update vital status at least every 6 months. | | |
| Endpoints for Evaluation: <ul style="list-style-type: none">• PFS: Disease progression was assessed every 6 weeks by tumor measurements (radiologic evaluation).• Median overall survival and objective response rate.• Safety/toxicity: Safety was assessed by the evaluation of AEs, clinical laboratory measurements, vital signs measurements, and physical examinations. | | |

Statistical Methods:

Sample Size/Accrual Rate:

A planned accrual of up to 154 subjects (77 per study arm [indoximod versus [vs] placebo]) were planned to enter this two treatment parallel-design study. Accounting for a dropout rate of up to 10% (evenly distributed between the two arms), 154 subjects enrolled with analysis planned to occur after 95 events were observed would provide at least 80% power with a one-sided type I error rate of 0.10 to detect a hazard ratio (HR) of 0.64. The assumed accrual period was 18 months, the follow up period was 6 months, and the median PFS for the control group was 5 months. The accrual pattern across time periods was assumed to be uniform (all periods equal). This sample size was calculated based on a one-sided log rank test.

Stratification Factors:

Stratification between arms included physician choice of taxane (docetaxel or paclitaxel), hormone receptor status (+ vs. -), and number of disease sites (1, >1).

Analysis of Efficacy and Safety Endpoints:

All data were summarized using descriptive statistics. If needed, the point estimates and 95% confidence intervals (CIs) were calculated.

Primary endpoint: PFS, defined as the time from study entry to documentation of radiologic progressive disease or death, whichever was earlier, between the two treatment arms. PFS was summarized using the method of Kaplan-Meier. CIs for the median and survival rates at different time points were constructed when appropriate. The stratified logrank test was used to evaluate the treatment efficacy while accounting for the three stratification factors.

Additional supportive analyses could be performed using Cox proportional hazard models to adjust for stratification variables as well as covariates. An exploratory analysis was performed to compare PFS between taxane strata.

Safety/toxicity: Toxicity data were described for both treatment arms using Common Terminology Criteria for Adverse Events (CTCAE) Version 4.03 terminology with frequency and grade of AEs.

Overall survival: Overall survival between the two treatment arms were analyzed in a similar manner to the primary endpoint of PFS.

Objective response rate: Objective response rate (complete and partial response [CR and PR]) as defined by RECIST 1.1 was analyzed using a Fisher exact test between the two treatment arms. Objective response rate estimates and 95% Wilson CIs were presented.

Retrospective exploratory analysis of clinical/pathologic variables: Regression techniques were employed to study the relationships of these biomarkers with the study treatment after adjusting for important prognostic predictors and/or possible confounders such as age (≤ 50 or > 50) and hormone receptor status (e.g., estrogen receptor or progesterone receptor = + or -). Transformations such as log and square root were considered to ensure there was no serious departure from the usual regression distributional assumptions, when appropriate. For instance, mixed effects models could be utilized to evaluate these biomarkers and their change patterns over time as related to the study treatment. Consideration was also given to dichotomize these measurements when appropriate.

Data Safety Monitoring Committee:

The Independent Data Safety Monitoring Committee (DSMC) was responsible for periodic review of the safety data from NewLink Genetics Corporation study NLG2101. The DSMC reviewed the study protocol and other appropriate study documents, proposed appropriate safety summaries and analyses, and periodically reviewed data on safety and outcome. The DSMC convened quarterly. The DSMC had full access to all data needed for the safety assessments. For the assessment of safety during the closed sessions, the DSMC received safety data displayed by treatment arm as well as pooled safety data for subjects across all groups. All data from prior to randomization through the most recently completed cycle was provided for each subject. A data cut-off date was established that allows a reasonable amount of time to process the data required for the DSMC meeting, for

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|---|--------------------------|---------------------------|
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| example, all data that was available at least 2 weeks in advance of the meeting. Such data were accurate to the extent possible. | | |
| The DSMC was tasked with reviewing the data from a safety standpoint and could make recommendations regarding stopping the study from a safety perspective at any time. A detailed description of analysis methods was prepared by the Sponsor designated study biostatistician in the study's statistical analysis plan (SAP). | | |

Summary of Results:

Efficacy:

PFS:

Overall, the median (95% CI) PFS was lower in the indoximod group (6.0 [4.5, 8.1]) compared with the placebo group (8.4 [5.6, 9.8]). The hazard ratio (95% CI) was 1.144 (0.813, 1.609). When comparing different strata, the median (95% CI) PFS was 7.9 (5.7, 9.5) when indoximod was combined with docetaxel and 3.6 (1.9, 5.5) when indoximod was combined with paclitaxel.

Table 3 Progression-free survival (Safety Analysis Set)

| Variable Statistic | Indoximod N=85 | Placebo N=79 | Overall N=164 |
|--|------------------|-------------------|---------------------|
| Number of subjects with events, n (%) | | | |
| Disease progression | 66 (80.5) | 53 (69.7) | 119 (75.3) |
| Death | 6 (7.3) | 12 (15.8) | 18 (11.4) |
| Number of subjects censored ^a | 10 (12.2) | 11 (14.5) | 21 (13.3) |
| Summary of statistics of PFS ^b | | | |
| Q1 (95% CI) | 2.5 (1.9, 3.8) | 4.7 (2.5, 5.5) | 3.5 (2.3, 4.6) |
| Median (95% CI) | 6.0 (4.5, 8.1) | 8.4 (5.6, 9.8) | 7.3 (5.6, 8.7) |
| Q3 (95% CI) | 11.0 (8.8, 16.1) | 11.6 (10.0, 15.3) | 11.5 (10.0, 12.9) |
| Follow-up time ^c | | | |
| n | 82 | 76 | 158 |
| Mean (SD) | 7.5 (6.61) | 8.1 (5.49) | 7.8 (6.08) |
| Median | 5.8 | 7.3 | 6.0 |
| Q1, Q3 | 2.5, 10.2 | 3.9, 11.1 | 2.8, 11.0 |
| Min, Max | 0.1, 31.3 | 1.1, 26.5 | 0.1, 31.3 |
| Hazard ratio (95% CI), Indoximod versus placebo ^d | | | 1.44 (0.813, 1.609) |

CI=confidence interval; N=number of subjects per treatment group; PFS=progression-free survival; Q1=quartile 1; Q3=quartile 3; SD=standard deviation

Note: There were 6 subjects without definitive lack of progression documented and therefore they were excluded from this summary.

^a Subjects who have not experienced disease progression or death at the time of analysis will be censored at the last time that lack of definitive progression was objectively documented.

^b Progression Free Survival (PFS) represents the number of months from first dose to disease progression or death, whichever occurs first. Kaplan-Meier estimates were used.

^c Follow up time is the number of months from first dose to progressive disease, death or last time lack of definitive progression was documented.

^d Based on Cox Proportional Hazards Model.

Overall Survival:

The median (95% CI) overall survival was not different in the indoximod group (21.6 [16.0, 39.1]) compared with the placebo group (21.2 [19.1, 32.1]).

Objective Response Rate:

Overall, the proportion of subjects with complete response was 3.5% in the indoximod group and 2.5% in the placebo group. The proportion of subjects with partial response was 36.5% and 34.2%, respectively. The

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| NewLink Genetics Corporation | Indoximod | 1-methyl-D-tryptophan |

proportion of subjects with a complete or partial response was not statistically different in the indoximod versus the placebo group ($p=0.7414$).

Table 4 Objective Response Rate (Safety Analysis Set)

| Variable Statistic | Indoximod N=85 | Placebo N=79 | Overall N=164 |
|------------------------------|-------------------|-----------------|------------------|
| | n (%) | | |
| RECIST best response | | | |
| Complete response | 3 (3.5) | 2 (2.5) | 5 (3.0) |
| Partial response | 31 (36.5) | 27 (34.2) | 58 (35.4) |
| Stable disease | 31 (36.5) | 35 (44.3) | 66 (40.2) |
| Progressive disease | 13 (15.3) | 9 (11.4) | 22 (13.4) |
| Not evaluable | 0 | 0 | 0 |
| Complete or partial response | 34 (40.0) | 29 (36.7) | 63 (38.4) |
| p-value ^a | 0.7414 | | |
| 95% CI ^b | 33.1, 54.6 | 29.3, 51.2 | 34.2, 49.7 |

CI=confidence interval; N=number of subjects per treatment group; RECIST= Response Evaluation Criteria In Solid Tumors

^a P-value based on Fishers Exact Test.

^b 95%CI is based on Wilsons Method.

Safety:

Extent of Exposure

In the indoximod group, subjects received a mean (SD) cumulative dose of 1713233 (1327420 mg). The mean (SD) number of actual pills taken was 1428 (1106) in the indoximod group and 1660 (1315) in the placebo group. Mean compliance ranged from 85-100% in both groups. A total of 19 subjects (22.4%) in the indoximod group and 17 subjects (21.5%) in the placebo group modified their dose during the study.

Adverse Events (General Overview):

The majority of subjects in both treatment arms had ≥ 1 treatment-emergent adverse event (TEAE) (85 subjects [100.0%] in the indoximod group and 78 subjects [98.7%] in the placebo group). Related TEAEs occurred in 58 subjects (68.2%) and 63 subjects (79.7%), respectively. TEAEs of \geq grade 3 in severity occurred in 51 subjects (60.0%) and 48 subjects (60.8%), respectively.

Four subjects (4.7%) in the indoximod arm and 2 subjects (2.5%) in the placebo arm died due to unrelated TEAEs during the study. Also, 29 subjects (34.1%) and 28 subjects (35.4%) had ≥ 1 serious TEAE (which included the deaths cited above). A total of 11 subjects (12.9%) and 10 subjects (12.7%), respectively, permanently discontinued the study due to ≥ 1 TEAE.

Adverse Events (Most Common Events):

The most common TEAEs (preferred terms [PTs] in order of overall incidence; PTs observed in $\geq 10\%$ of subjects overall) were alopecia (44.7% in the indoximod group and 64.6% in the placebo group, respectively), fatigue (61.2% and 45.6%, respectively), nausea (47.1% and 48.1%, respectively), diarrhea (35.3% and 39.2%, respectively), constipation (28.2% and 34.2%, respectively), edema peripheral (30.6% and 29.1%, respectively), vomiting (23.5% and 35.4%, respectively), anemia (32.9% and 19.0%, respectively), headache (22.4% and 30.4%, respectively), neuropathy peripheral (22.4% and 24.1%, respectively), decreased appetite (20.0% and 24.1%, respectively), bone pain (22.4% and 17.7%, respectively), arthralgia (20.0% and 20.3%, respectively), dizziness (12.9% and 24.1%, respectively), dysgeusia (14.1% and 21.5%, respectively), neutropenia (16.5% and 19.0%, respectively), abdominal pain (15.3% and 19.0%, respectively), dyspnea (18.8% and 15.2%, respectively), lymphocyte count decreased (21.2% and 12.7%, respectively), hyperglycemia (23.5% and 8.9%, respectively), cough (20.0% and 12.7%, respectively), peripheral sensory neuropathy (11.8% and 17.7%, respectively), insomnia (15.3% and 13.9%, respectively), asthenia (10.6% and 17.7%, respectively), lacrimation increased (11.8% and 13.9%, respectively), back pain (9.4% and 16.5%, respectively), stomatitis (12.9% and

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| 12.7%, respectively), pain in extremity (15.3% and 10.1%, respectively), AST increased (12.9% and 11.4%, respectively), myalgia (8.2% and 15.2%, respectively), vision blurred (9.4% and 12.7%, respectively), white blood cell (WBC) count decreased (9.4% and 12.7%, respectively), urinary tract infection (5.9% and 15.2%, respectively), pruritus (11.8% and 8.9%, respectively), rash (8.2% and 12.7%, respectively), and rash maculopapular (11.8% and 8.9%, respectively) (Table 5). Of these, events reported with a higher incidence ($\geq 5\%$ difference in incidence) in the indoximod group were anemia, fatigue, lymphocyte count decreased, hyperglycemia, pain in extremity, and cough. Events reported with a higher incidence in the placebo group were constipation, asthenia, urinary tract infection, back pain, myalgia, dizziness, headache, peripheral sensory neuropathy, vomiting, dysgeusia, and alopecia. | | | |
| System Organ Class Preferred Term | Indoximod N=85 | Placebo N=79 | |
| | | n (%) | |
| Blood and lymphatic system disorders | 43 (50.6) | 32 (40.5) | 75 (45.7) |
| Anaemia | 28 (32.9) | 15 (19.0) | 43 (26.2) |
| Febrile neutropenia | 2 (2.4) | 4 (5.1) | 6 (3.7) |
| Neutropenia | 14 (16.5) | 15 (19.0) | 29 (17.7) |
| Cardiac disorders | 12 (14.1) | 9 (11.4) | 21 (12.8) |
| Sinus tachycardia | 4 (4.7) | 5 (6.3) | 9 (5.5) |
| Ear and labyrinth disorders | 3 (3.5) | 10 (12.7) | 13 (7.9) |
| Eye disorders | 20 (23.5) | 27 (34.2) | 47 (28.7) |
| Dry eye | 0 | 4 (5.1) | 4 (2.4) |
| Lacrimation increased | 10 (11.8) | 11 (13.9) | 21 (12.8) |
| Vision blurred | 8 (9.4) | 10 (12.7) | 18 (11.0) |
| Visual impairment | 1 (1.2) | 4 (5.1) | 5 (3.0) |
| Gastrointestinal disorders | 63 (74.1) | 64 (81.0) | 127 (77.4) |
| Abdominal distension | 2 (2.4) | 5 (6.3) | 7 (4.3) |
| Abdominal pain | 13 (15.3) | 15 (19.0) | 28 (17.1) |
| Abdominal pain upper | 3 (3.5) | 6 (7.6) | 9 (5.5) |
| Constipation | 24 (28.2) | 27 (34.2) | 51 (31.1) |
| Diarrhea | 30 (35.3) | 31 (39.2) | 61 (37.2) |
| Dry mouth | 6 (7.1) | 5 (6.3) | 11 (6.7) |
| Gastroesophageal reflux disease | 4 (4.7) | 4 (5.1) | 8 (4.9) |
| Nausea | 40 (47.1) | 38 (48.1) | 78 (47.6) |
| Oral pain | 3 (3.5) | 6 (7.6) | 9 (5.5) |
| Stomatitis | 11 (12.9) | 10 (12.7) | 21 (12.8) |
| Vomiting | 20 (23.5) | 28 (35.4) | 48 (29.3) |
| General disorders and administration site conditions | 68 (80.0) | 60 (75.9) | 128 (78.0) |
| Asthenia | 9 (10.6) | 14 (17.7) | 23 (14.0) |
| Fatigue | 52 (61.2) | 36 (45.6) | 88 (53.7) |
| Mucosal inflammation | 5 (5.9) | 3 (3.8) | 8 (4.9) |
| Non-cardiac chest pain | 4 (4.7) | 5 (6.3) | 9 (5.5) |
| Oedema peripheral | 26 (30.6) | 23 (29.1) | 49 (29.9) |
| Pain | 0 | 4 (5.1) | 4 (2.4) |
| Pyrexia | 6 (7.1) | 7 (8.9) | 13 (7.9) |
| Hepatobiliary disorders | 4 (4.7) | 7 (8.9) | 11 (6.7) |
| Infections and infestations | 32 (37.6) | 38 (48.1) | 70 (42.7) |
| Nasopharyngitis | 2 (2.4) | 4 (5.1) | 6 (3.7) |
| Pneumonia | 3 (3.5) | 4 (5.1) | 7 (4.3) |
| Upper respiratory tract infections | 9 (10.6) | 7 (8.9) | 16 (9.8) |
| Urinary tract infection | 5 (5.9) | 12 (15.2) | 17 (10.4) |
| Injury, poisoning, and procedural complications | 7 (8.2) | 14 (7.7) | 21 (12.8) |
| Fall | 1 (1.2) | 4 (5.1) | 5 (3.0) |

| Name of Sponsor/Company | Name of Finished Product | Name of Active Ingredient | |
|--|--------------------------|---------------------------|------------------|
| NewLink Genetics Corporation | Indoximod | 1-methyl-D-tryptophan | |
| Table 5 Most Common ($\geq 5\%$ in Any Treatment Arm) Treatment-emergent Adverse Events (Safety Analysis Set), continued | | | |
| System Organ Class Preferred Term | Indoximod N=85 | Placebo N=79 | Overall N=164 |
| | | n (%) | |
| Investigations | | | |
| ALT increased | 37 (37.6) | 33 (41.8) | 65 (39.6) |
| AST increased | 6 (7.1) | 7 (8.9) | 13 (7.9) |
| Blood bilirubin increased | 11 (12.9) | 9 (11.4) | 20 (12.2) |
| Blood ALP increased | 1 (1.2) | 4 (5.1) | 5 (3.0) |
| Blood creatinine increased | 6 (7.1) | 3 (3.8) | 9 (5.5) |
| Lymphocyte count decreased | 8 (9.4) | 3 (3.8) | 11 (6.7) |
| Neutrophil count decreased | 18 (21.2) | 10 (12.7) | 28 (17.1) |
| Weight decreased | 6 (7.1) | 6 (7.6) | 12 (7.3) |
| WBC count decreased | 2 (2.4) | 10 (12.7) | 8 (4.9) |
| Metabolism and nutrition disorders | 51 (60.0) | 40 (50.6) | 18 (11.0) |
| Decreased appetite | 17 (20.0) | 19 (24.1) | 91 (55.5) |
| Dehydration | 4 (4.7) | 6 (7.6) | 36 (22.0) |
| Hypercalcemia | 5 (5.9) | 4 (5.1) | 10 (6.1) |
| Hyperglycemia | 20 (23.5) | 7 (8.9) | 9 (5.5) |
| Hyperkalemia | 5 (5.9) | 4 (5.1) | 27 (16.5) |
| Hypoalbuminemia | 5 (5.9) | 5 (6.3) | 9 (5.5) |
| Hypocalcemia | 6 (7.1) | 6 (7.6) | 11 (6.7) |
| Hypokalemia | 8 (9.4) | 8 (10.1) | 14 (8.5) |
| Hyponatremia | 5 (5.9) | 3 (3.8) | 13 (7.9) |
| Hypophosphatemia | 6 (7.1) | 6 (7.6) | 8 (4.9) |
| Musculoskeletal and connective tissue disorders | 56 (65.9) | 45 (57.0) | 12 (7.3) |
| Arthralgia | 17 (20.0) | 16 (20.3) | 101 (61.6) |
| Back pain | 8 (9.4) | 13 (16.5) | 33 (20.1) |
| Bone pain | 19 (22.4) | 14 (17.7) | 21 (12.8) |
| Muscular weakness | 5 (5.9) | 7 (8.9) | 33 (20.1) |
| Musculoskeletal chest pain | 8 (9.4) | 7 (8.9) | 15 (9.1) |
| Musculoskeletal pain | 5 (5.9) | 4 (5.1) | 12 (7.3) |
| Myalgia | 5 (5.9) | 12 (15.2) | 9 (5.5) |
| Pain in extremity | 7 (8.2) | 8 (10.1) | 19 (11.6) |
| Nervous system disorders | 13 (15.3) | 13 (15.3) | 21 (12.8) |
| Dizziness | 17 (20.0) | 19 (24.1) | 111 (67.7) |
| Dysgeusia | 12 (14.1) | 17 (21.5) | 30 (18.3) |
| Headache | 19 (22.4) | 24 (30.4) | 29 (17.7) |
| Neuropathy peripheral | 19 (22.4) | 19 (24.1) | 43 (26.2) |
| Paraesthesia | 3 (3.5) | 6 (7.6) | 38 (23.2) |
| Peripheral sensory neuropathy | 7 (8.2) | 12 (15.2) | 9 (5.5) |
| Psychiatric disorders | 5 (5.9) | 14 (17.7) | 24 (14.6) |
| Anxiety | 7 (8.2) | 5 (6.3) | 42 (25.6) |
| Insomnia | 13 (15.3) | 11 (13.9) | 12 (7.3) |
| Renal and urinary disorders | 13 (15.3) | 13 (15.3) | 24 (14.6) |
| Reproductive system and breast disorders | 4 (4.7) | 7 (8.9) | 11 (6.7) |
| Respiratory, thoracic, and mediastinal disorders | 5 (5.9) | 3 (3.8) | 8 (4.9) |
| Cough | 17 (20.0) | 10 (12.7) | 79 (48.2) |
| Dyspnea | 16 (18.8) | 12 (15.2) | 27 (16.5) |
| Epistaxis | 2 (2.4) | 5 (6.3) | 28 (17.1) |
| Nasal congestion | 9 (10.6) | 1 (1.3) | 7 (4.3) |
| Oropharyngeal pain | 4 (4.7) | 10 (12.7) | 10 (6.1) |
| Pleural effusion | 5 (5.9) | 2 (2.5) | 14 (8.5) |
| Productive cough | 6 (7.1) | 0 | 7 (4.3) |
| Skin and subcutaneous tissue disorders | 5 (5.9) | 6 (7.6) | 6 (3.7) |
| Alopecia | 17 (20.0) | 117 (71.3) | 5 (3.0) |
| | 38 (44.7) | 51 (64.6) | 89 (54.3) |

| Name of Sponsor/Company | Name of Finished Product | Name of Active Ingredient |
|------------------------------|--------------------------|---------------------------|
| NewLink Genetics Corporation | Indoximod | 1-methyl-D-tryptophan |

Table 5 Most Common ($\geq 5\%$ in Any Treatment Arm) Treatment-emergent Adverse Events (Safety Analysis Set), continued

| System Organ Class Preferred Term | Indoximod N=85 | Placebo N=79 | Overall N=164 |
|--------------------------------------|-------------------|-----------------|------------------|
| | n (%) | | |
| Dry skin | 2 (2.4) | 10 (12.7) | 12 (7.3) |
| Hyperhidrosis | 2 (2.4) | 4 (5.1) | 6 (3.7) |
| Nail discolouration | 5 (5.9) | 10 (12.7) | 15 (9.1) |
| Onychomadesis | 2 (2.4) | 5 (6.3) | 7 (4.3) |
| Pruritus | 10 (11.8) | 7 (8.9) | 17 (10.4) |
| Rash | 7 (8.2) | 10 (12.7) | 17 (10.4) |
| Rash maculo-papular | 10 (11.8) | 7 (8.9) | 17 (10.4) |
| Vascular disorders | 12 (14.1) | 19 (24.1) | 31 (18.9) |
| Flushing | 4 (4.7) | 4 (5.1) | 8 (4.9) |
| Hot flush | 2 (2.4) | 4 (5.1) | 6 (3.7) |
| Hypotension | 3 (3.5) | 5 (6.3) | 8 (4.9) |
| Lymphoedema | 2 (2.4) | 4 (5.1) | 6 (3.7) |

ALT=alanine aminotransferase; ALP=alkaline phosphatase; AST=aspartate aminotransferase; MedDRA=Medical Dictionary for Regulatory Activities; N=number of subjects per treatment group; n=number of subjects with event

Adverse Events were coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given system organ class, that subject was counted once for that system organ class. If a subject experienced more than one event within a given preferred term, that subject was counted only once for that preferred term.

Adverse Events (Related Events):

Related TEAEs occurred in 58 subjects (68.2%) in the indoximod group and 63 subjects (79.7%) in the placebo group. The most common related TEAEs (PTs in order of overall incidence; PTs observed in $\geq 10\%$ of subjects overall) were fatigue (29.4% in the indoximod group and 34.2% in the placebo group, respectively), nausea (16.5% and 26.6%, respectively), diarrhea (9.4% and 20.3%, respectively), decreased appetite (11.8% and 13.9%, respectively), headache (10.6% and 13.9%, respectively), and lymphocyte count decreased (14.1% and 8.9%, respectively).

Adverse Events (Most Common >Grade 3 Events)

TEAEs of \geq grade 3 in severity occurred in 51 subjects (60.0%) in the indoximod group and 48 subjects (60.8%) in the placebo group. The only grade 3 events observed in $>10\%$ of subjects overall was neutropenia (9.4% in the indoximod group and 17.7% in the placebo group).

Adverse Events (Most Common Serious Events):

A total of 29 subjects (34.1%) in the indoximod group and 28 subjects (35.4%) in the placebo group had ≥ 1 serious TEAE. The most common serious TEAEs (PTs in order of overall incidence; PTs observed in $\geq 1\%$ of subjects overall) were dyspnea (4.7% in the indoximod group and 0% in the placebo group), respiratory failure (2.4% and 1.3%, respectively), febrile neutropenia (1.2% and 2.5%, respectively), asthenia (0% and 3.8%, respectively), pneumonia (2.4% and 1.3%, respectively), anemia, large intestine perforation, non-cardiac chest pain, and headache (2.4% and 0%, respectively, each), hepatitis, urinary tract infection, dehydration, pleural effusion, and pulmonary embolism (1.2% and 1.3%, respectively, each).

Adverse Events (Events Leading to Death):

Four subjects (4.7%) in the indoximod arm and 2 subjects (2.5%) in the placebo arm died during the study:

- Subject 2101038 (indoximod group) was a 35-year-old female with a positive hormone receptor status (ER+/PR-) who died due to multi-organ failure. This subject entered the study with infiltrating ductal carcinoma (T1, N1, M1).

| Name of Sponsor/Company | Name of Finished Product | Name of Active Ingredient |
|---|--------------------------|---------------------------|
| NewLink Genetics Corporation | Indoximod | 1-methyl-D-tryptophan |
| <ul style="list-style-type: none">• Subject 2101082 (indoximod group) was a 39-year-old female with a negative hormone receptor status who died due to respiratory failure. This subject entered the study with infiltrating ductal carcinoma (T2, N1, M0).• Subject 2101099 (indoximod group) was a 58-year-old female with a positive hormone receptor (ER+/PR+) status who died due to cardiopulmonary failure. This subject entered the study with an unspecified cancer (T2, N0, M0).• Subject 2101137 (indoximod group) was a 59-year-old female with a positive hormone receptor (ER+/PR+) status who suddenly died (PT: sudden death). This subject entered the study with infiltrating ductal carcinoma (T2, N1, M0).• Subject 2101032 (placebo group) was a 50-year-old female with a negative hormone receptor status who died due to sepsis. This subject entered the study with infiltrating ductal carcinoma (T2, N1, M1).• Subject 2101047 (placebo group) was a 69-year-old female with a positive hormone receptor status (ER+/PR+) who died due to respiratory failure. This subject entered the study with infiltrating ductal carcinoma (T4, N3, M1). | | |
| Individual subject narratives are provided in Section 2.3.5. | | |
| <p>Clinical Laboratory: Shifts in clinical laboratory values over time were reviewed. Overall, no unexpected changes occurred given the disease status of the population and the known safety profile of the 3 cancer treatments provided.</p> | | |
| <p>Vital Signs: Overall, no unexpected changes occurred given the disease status of the population and the known safety profile of the 3 cancer treatments provided.</p> | | |
| <p>Conclusions:</p> | | |
| <p>Efficacy:</p> <ul style="list-style-type: none">• The median (95% CI) PFS was lower in the indoximod group (6.0 [4.5, 8.1]) compared with the placebo group (8.4 [5.6, 9.8]).• The median (95% CI) overall survival was not different in the indoximod group (21.6 [16.0, 39.1]) compared with the placebo group (21.2 [19.1, 32.1]).• The proportion of subjects with a complete or partial response was not statistically different in the indoximod versus the placebo group ($p=0.7414$). | | |
| <p>Safety:</p> <ul style="list-style-type: none">• The majority of subjects in both treatment arms had ≥ 1 TEAE (85 subjects [100.0%] in the indoximod group and 78 subjects [98.7%] in the placebo group).• Related TEAEs occurred in 58 subjects (68.2%) and 63 subjects (79.7%), respectively. TEAEs of \geqgrade 3 in severity occurred in 51 subjects (60.0%) and 48 subjects (60.8%), respectively.• Four subjects (4.7%) in the indoximod arm and 2 subjects (2.5%) in the placebo arm died due to unrelated TEAEs during the study. Further, 29 subjects (34.1%) and 28 subjects (35.4%) had ≥ 1 serious TEAE (which included the deaths cited above). A total of 11 subjects (12.9%) and 10 subjects (12.7%), respectively, permanently discontinued the study due to ≥ 1 TEAE.• No unexpected changes in laboratory or vital signs parameters occurred given the disease status of the population and the known safety profile of the 3 cancer treatments provided. | | |
| <p>Date of the Report: 17 Jul 2019</p> | | |

2 TABLES, FIGURES, AND GRAPHS REFERRED TO BUT NOT INCLUDED IN THE TEXT

2.1 Demographic Data

2.1.1 Analysis Populations and Subject Disposition

| Table Number | Table Title |
|------------------------------|---|
| Table 14.1.1 | Subject disposition (Safety Analysis Set) |

Table 14.1.1
Subject Disposition (Safety Analysis Set)

| Analysis group, n (%) | NLG-2101 | Placebo | Overall |
|--|------------|------------|-------------|
| Number of Subjects Screened | 88 | 81 | 169 |
| Number of Subjects Enrolled [1] | 88 (100.0) | 81 (100.0) | 169 (100.0) |
| Number of Subjects Treated [2] | 85 (96.6) | 79 (97.5) | 164 (97.0) |
| Number of Subjects Dosed with Indoximod [3] | 85 (100.0) | 0 | 85 (51.8) |
| Number of Subjects Who Discontinued Treatment | 85 (100.0) | 79 (100.0) | 164 (100.0) |
| Intercurrent illness that prevents further administration of treatment | 0 | 1 (1.3) | 1 (0.6) |
| Adverse Event | 6 (7.1) | 11 (13.9) | 17 (10.4) |
| Withdrawal of consent | 8 (9.4) | 13 (16.5) | 21 (12.8) |
| Investigator decision | 6 (7.1) | 5 (6.3) | 11 (6.7) |
| Sponsor decision | 3 (3.5) | 2 (2.5) | 5 (3.0) |
| Disease Progression | 56 (65.9) | 43 (54.4) | 99 (60.4) |
| Non-compliance | 1 (1.2) | 2 (2.5) | 3 (1.8) |
| Death | 3 (3.5) | 0 | 3 (1.8) |
| Other | 2 (2.4) | 2 (2.5) | 4 (2.4) |

Table 14.1.1
Subject Disposition (Safety Analysis Set)

| Analysis group, n (%) | NLG-2101 | Placebo | Overall |
|---|------------|------------|-------------|
| Number of Subjects Who Discontinued Study | | | |
| Disease Progression | 85 (100.0) | 79 (100.0) | 164 (100.0) |
| Adverse Event | 12 (14.1) | 11 (13.9) | 23 (14.0) |
| Unable to comply with protocol | 1 (1.2) | 2 (2.5) | 3 (1.8) |
| Lost to follow-up | 1 (1.2) | 0 | 1 (0.6) |
| Withdrawal of consent | 3 (3.5) | 4 (5.1) | 7 (4.3) |
| Investigator decision | 8 (9.4) | 7 (8.9) | 15 (9.1) |
| Sponsor decision | 1 (1.2) | 0 | 1 (0.6) |
| Death | 24 (28.2) | 24 (30.4) | 48 (29.3) |
| Other | 35 (41.2) | 29 (36.7) | 64 (39.0) |
| | 0 | 2 (2.5) | 2 (1.2) |

2.1.2 Demographics

| Table Number | Table Title |
|--------------------------------|--|
| Table 14.1.2 | Demographic and baseline characteristics (Safety Analysis Set) |
| Table 14.1.2.1 | Demographic and baseline characteristics: negative hormone receptors (Safety Analysis Set) |
| Table 14.1.3 | Disease history (Safety Analysis Set) |

Table 14.1.2
Demographic and Baseline Characteristics (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---------------------------|-----------|--------------------|-------------------|--------------------|
| Age (years) | | | | |
| | n | 85 | 79 | 164 |
| | Mean (SD) | 56.5 (10.13) | 56.0 (11.00) | 56.3 (10.53) |
| | Median | 58.0 | 57.0 | 58.0 |
| | Q1, Q3 | 52.0, 63.0 | 48.0, 64.0 | 50.5, 64.0 |
| | Min, Max | 29, 76 | 29, 85 | 29, 85 |
| Gender | | | | |
| Male | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Female | n (%) | 84(98.8) | 77(97.5) | 161(98.2) |
| Race | | | | |
| White | n (%) | 71(83.5) | 66(83.5) | 137(83.5) |
| Black or African American | n (%) | 12(14.1) | 10(12.7) | 22(13.4) |
| Asian | n (%) | 1(1.2) | 0 | 1(0.6) |
| Other | n (%) | 1(1.2) | 3(3.8) | 4(2.4) |
| Ethnicity | | | | |
| Hispanic or Latino | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Not Hispanic or Latino | n (%) | 80(94.1) | 75(94.9) | 155(94.5) |
| Not Reported | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |

Table 14.1.2
Demographic and Baseline Characteristics (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|----------------------|-----------|--------------------|-------------------|--------------------|
| Country | | | | |
| Poland | n (%) | 25(29.4) | 25(31.6) | 50(30.5) |
| United States | n (%) | 60(70.6) | 54(68.4) | 114(69.5) |
| Baseline Height (cm) | | | | |
| | n | 80 | 71 | 151 |
| | Mean (SD) | 162.8 (7.556) | 162.7 (7.275) | 162.8 (7.401) |
| | Median | 164.5 | 162.0 | 163.0 |
| | Q1, Q3 | 159.5, 167.6 | 157.4, 168.5 | 157.5, 168.0 |
| | Min, Max | 137.2, 181.0 | 149.0, 178.0 | 137.2, 181.0 |
| Baseline Weight (kg) | | | | |
| | n | 82 | 74 | 156 |
| | Mean (SD) | 76.71 (17.401) | 77.01 (18.887) | 76.85 (18.063) |
| | Median | 74.10 | 73.85 | 74.00 |
| | Q1, Q3 | 63.80, 88.00 | 63.00, 88.70 | 63.10, 88.35 |
| | Min, Max | 46.8, 129.4 | 46.0, 134.0 | 46.0, 134.0 |

Table 14.1.2
Demographic and Baseline Characteristics (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|-------------------------|-----------|--------------------|-------------------|--------------------|
| Baseline ECOG Status | | | | |
| 0 | n (%) | 41(48.8) | 43(54.4) | 84(51.5) |
| 1 | n (%) | 41(48.8) | 35(44.3) | 76(46.6) |
| 2 | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Missing | n (%) | 1 | 0 | 1 |
| Hormone Receptor Status | | | | |
| Negative | n (%) | 23(27.1) | 23(29.1) | 46(28.0) |
| Positive | n (%) | 62(72.9) | 56(70.9) | 118(72.0) |
| Number of Disease Sites | | | | |
| 1 | n (%) | 20(23.5) | 13(16.5) | 33(20.1) |
| >1 | n (%) | 65(76.5) | 66(83.5) | 131(79.9) |
| Choice of Taxane | | | | |
| Docetaxel | n (%) | 62(72.9) | 59(74.7) | 121(73.8) |
| Paclitaxel | n (%) | 23(27.1) | 20(25.3) | 43(26.2) |

Table 14.1.2.1
Demographic and Baseline Characteristics: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---------------------------|-----------|--------------------|-------------------|-------------------|
| Age (years) | | | | |
| | n | 23 | 23 | 46 |
| | Mean (SD) | 57.2 (10.83) | 55.0 (11.20) | 56.1 (10.95) |
| | Median | 59.0 | 54.0 | 58.5 |
| | Q1, Q3 | 52.0, 64.0 | 47.0, 64.0 | 50.0, 64.0 |
| | Min, Max | 31, 73 | 29, 74 | 29, 74 |
| Gender | | | | |
| Female | n (%) | 23(100.0) | 23(100.0) | 46(100.0) |
| Race | | | | |
| White | n (%) | 14(60.9) | 21(91.3) | 35(76.1) |
| Black or African American | n (%) | 9(39.1) | 2(8.7) | 11(23.9) |
| Ethnicity | | | | |
| Not Hispanic or Latino | n (%) | 23(100.0) | 22(95.7) | 45(97.8) |
| Not Reported | n (%) | 0 | 1(4.3) | 1(2.2) |

Table 14.1.2.1
Demographic and Baseline Characteristics: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|----------------------|-----------|--------------------|-------------------|-------------------|
| Country | | | | |
| Poland | n (%) | 2(8.7) | 5(21.7) | 7(15.2) |
| United States | n (%) | 21(91.3) | 18(78.3) | 39(84.8) |
| Baseline Height (cm) | | | | |
| | n | 21 | 22 | 43 |
| | Mean (SD) | 164.7 (6.999) | 162.4 (7.239) | 163.5 (7.137) |
| | Median | 165.0 | 162.3 | 165.0 |
| | Q1, Q3 | 162.0, 167.6 | 156.0, 168.5 | 157.5, 168.0 |
| | Min, Max | 148.5, 181.0 | 149.0, 175.2 | 148.5, 181.0 |
| Baseline Weight (kg) | | | | |
| | n | 23 | 23 | 46 |
| | Mean (SD) | 84.15 (19.917) | 76.78 (22.238) | 80.47 (21.204) |
| | Median | 78.50 | 75.00 | 76.65 |
| | Q1, Q3 | 71.50, 96.50 | 57.90, 88.70 | 64.00, 90.90 |
| | Min, Max | 53.5, 129.4 | 47.5, 127.7 | 47.5, 129.4 |

Table 14.1.2.1
Demographic and Baseline Characteristics: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|-------------------------|-----------|--------------------|-------------------|-------------------|
| Baseline ECOG Status | | | | |
| 0 | n (%) | 11(50.0) | 10(43.5) | 21(46.7) |
| 1 | n (%) | 10(45.5) | 13(56.5) | 23(51.1) |
| 2 | n (%) | 1(4.5) | 0 | 1(2.2) |
| Missing | n (%) | 1 | 0 | 1 |
| Hormone Receptor Status | | | | |
| Negative | n (%) | 23(100.0) | 23(100.0) | 46(100.0) |
| Number of Disease Sites | | | | |
| 1 | n (%) | 5(21.7) | 6(26.1) | 11(23.9) |
| >1 | n (%) | 18(78.3) | 17(73.9) | 35(76.1) |
| Choice of Taxane | | | | |
| Docetaxel | n (%) | 14(60.9) | 16(69.6) | 30(65.2) |
| Paclitaxel | n (%) | 9(39.1) | 7(30.4) | 16(34.8) |

Table 14.1.3
Disease History (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---------------------------------|-----------|--------------------|-------------------|--------------------|
| Initial Histology | | | | |
| Infiltrating ductal carcinoma | n (%) | 50 (58.8) | 56 (70.9) | 106 (64.6) |
| Infiltrating lobular carcinoma | n (%) | 6 (7.1) | 7 (8.9) | 13 (7.9) |
| Inflammatory carcinoma | n (%) | 2 (2.4) | 1 (1.3) | 3 (1.8) |
| Medullary carcinoma | n (%) | 1 (1.2) | 0 | 1 (0.6) |
| Other | n (%) | 26 (30.6) | 15 (19.0) | 41 (25.0) |
| Initial T Classification | | | | |
| TX | n (%) | 2 (2.4) | 2 (2.5) | 4 (2.4) |
| T0 | n (%) | 0 | 1 (1.3) | 1 (0.6) |
| Tis | n (%) | 1 (1.2) | 1 (1.3) | 2 (1.2) |
| T1 | n (%) | 17 (20.0) | 26 (32.9) | 43 (26.2) |
| T2 | n (%) | 45 (52.9) | 20 (25.3) | 65 (39.6) |
| T3 | n (%) | 8 (9.4) | 11 (13.9) | 19 (11.6) |
| T4 | n (%) | 10 (11.8) | 17 (21.5) | 27 (16.5) |
| Initial N Classification | | | | |
| NX | n (%) | 8 (9.4) | 8 (10.1) | 16 (9.8) |
| N0 | n (%) | 22 (25.9) | 25 (31.6) | 47 (28.7) |
| N1 | n (%) | 32 (37.6) | 29 (36.7) | 61 (37.2) |
| N2 | n (%) | 10 (11.8) | 8 (10.1) | 18 (11.0) |
| N3 | n (%) | 11 (12.9) | 8 (10.1) | 19 (11.6) |
| Initial M Classification | | | | |
| MX | n (%) | 23 (27.1) | 14 (17.7) | 37 (22.6) |
| M0 | n (%) | 42 (49.4) | 45 (57.0) | 87 (53.0) |
| M1 | n (%) | 19 (22.4) | 19 (24.1) | 38 (23.2) |

Table 14.1.3
Disease History (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|-------------------------|-----------|--------------------|-------------------|--------------------|
| HHER2 Status | | | | |
| Positive | n (%) | 1 (1.2) | 2 (2.5) | 3 (1.8) |
| Negative | n (%) | 84 (98.8) | 77 (97.5) | 161 (98.2) |
| Hormone Receptor Status | | | | |
| ER + / PR + | n (%) | 45 (52.9) | 45 (57.0) | 90 (54.9) |
| ER + / PR - | n (%) | 18 (21.2) | 12 (15.2) | 30 (18.3) |
| ER - / PR + | n (%) | 1 (1.2) | 1 (1.3) | 2 (1.2) |
| ER - / PR - | n (%) | 21 (24.7) | 21 (26.6) | 42 (25.6) |
| Method of confirmation | | | | |
| Histological | n (%) | 79 (92.9) | 65 (82.3) | 144 (87.8) |
| Cytological | n (%) | 6 (7.1) | 14 (17.7) | 20 (12.2) |

2.1.3 Exposure and Compliance

| Table Number | Table Title |
|---------------------------------|---|
| Table 14.3.5.1A | Study drug exposure (Safety Analysis Set) |
| Table 14.3.5.1B | Study drug exposure: negative hormone receptors (Safety Analysis Set) |

Table 14.3.5.1A
Study Drug Exposure (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|------------------------|-----------|--------------------|-------------------|--------------------|
| Cumulative Dose (mg) | n | 85 | 0 | 85 |
| | Mean (SD) | 1713233 (1327420) | | 1713233 (1327420) |
| | Median | 1353600 | | 1353600 |
| | Min, Max | 0.0, 5566800 | | 0.0, 5566800 |
| Cycles Received | | | | |
| Received 1 Cycle | n (%) | 4(4.7) | 6(7.6) | 10(6.1) |
| Received 2 Cycles | n (%) | 16(18.8) | 10(12.7) | 26(15.9) |
| Received 3 Cycles | n (%) | 6(7.1) | 4(5.1) | 10(6.1) |
| Received 4 Cycles | n (%) | 9(10.6) | 7(8.9) | 16(9.8) |
| Received 5 Cycles | n (%) | 2(2.4) | 3(3.8) | 5(3.0) |
| Received 6 Cycles | n (%) | 7(8.2) | 7(8.9) | 14(8.5) |
| Received 7 Cycles | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Received 8 Cycles | n (%) | 4(4.7) | 3(3.8) | 7(4.3) |
| Received 9 Cycles | n (%) | 7(8.2) | 4(5.1) | 11(6.7) |
| Received 10 Cycles | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Received 11 Cycles | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Received 12 Cycles | n (%) | 1(1.2) | 6(7.6) | 7(4.3) |
| Received 13 Cycles | n (%) | 5(5.9) | 4(5.1) | 9(5.5) |
| Received 14 Cycles | n (%) | 6(7.1) | 2(2.5) | 8(4.9) |
| Received 15 Cycles | n (%) | 0 | 4(5.1) | 4(2.4) |
| Received 16 Cycles | n (%) | 1(1.2) | 3(3.8) | 4(2.4) |
| Received 17 Cycles | n (%) | 2(2.4) | 0 | 2(1.2) |
| Received 18 Cycles | n (%) | 1(1.2) | 0 | 1(0.6) |
| Received 19 Cycles | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Received 20 Cycles | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Received 21 Cycles | n (%) | 0 | 1(1.3) | 1(0.6) |
| Received 22 Cycles | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Received 23 Cycles | n (%) | 0 | 1(1.3) | 1(0.6) |
| Received 24 Cycles | n (%) | 0 | 1(1.3) | 1(0.6) |
| Received 25 Cycles | n (%) | 2(2.4) | 0 | 2(1.2) |
| Received 27 Cycles | n (%) | 0 | 2(2.5) | 2(1.2) |
| Received 28 Cycles | n (%) | 1(1.2) | 0 | 1(0.6) |
| Received 29 Cycles | n (%) | 0 | 1(1.3) | 1(0.6) |
| Received 31 Cycles | n (%) | 0 | 1(1.3) | 1(0.6) |
| Actual Doses Taken [1] | n | 85 | 79 | 164 |
| | Mean (SD) | 1428 (1106) | 1660 (1315) | 1540 (1213) |

Table 14.3.5.1A
Study Drug Exposure (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|------------------------------|-----------|--------------------|-------------------|--------------------|
| | Median | 1128 | 1344 | 1191 |
| | Min, Max | 0, 4639 | 18, 5196 | 0, 5196 |
| Percent Compliance - Cycle 1 | n | 88 | 79 | 167 |
| | Mean (SD) | 93.76 (10.240) | 92.21 (15.432) | 93.03 (12.941) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 52.9, 100 | 6.0, 100 | 6.0, 100 |
| Percent Compliance - Cycle 2 | n | 80 | 72 | 152 |
| | Mean (SD) | 93.29 (13.648) | 94.75 (9.077) | 93.98 (11.693) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 30.6, 100 | 48.3, 100 | 30.6, 100 |
| Percent Compliance - Cycle 3 | n | 64 | 62 | 126 |
| | Mean (SD) | 95.82 (6.551) | 92.00 (15.368) | 93.94 (11.856) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 59.8, 100 | 17.7, 100 | 17.7, 100 |

Table 14.3.5.1A
Study Drug Exposure (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|------------------------------|-----------|--------------------|-------------------|--------------------|
| Percent Compliance - Cycle 4 | n | 61 | 58 | 119 |
| | Mean (SD) | 90.67 (19.844) | 93.13 (12.074) | 91.87 (16.498) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 0.0, 100 | 28.6, 100 | 0.0, 100 |
| Percent Compliance - Cycle 5 | n | 50 | 52 | 102 |
| | Mean (SD) | 93.12 (15.463) | 95.14 (7.425) | 94.15 (12.036) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 0.0, 100 | 68.6, 100 | 0.0, 100 |
| Percent Compliance - Cycle 6 | n | 46 | 50 | 96 |
| | Mean (SD) | 94.22 (10.503) | 94.00 (9.229) | 94.10 (9.808) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 46.7, 100 | 61.7, 100 | 46.7, 100 |
| Percent Compliance - Cycle 7 | n | 40 | 42 | 82 |
| | Mean (SD) | 95.99 (4.436) | 90.32 (20.598) | 93.08 (15.243) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 74.1, 100 | 0.0, 100 | 0.0, 100 |
| Percent Compliance - Cycle 8 | n | 39 | 40 | 79 |
| | Mean (SD) | 95.59 (7.860) | 95.76 (7.189) | 95.68 (7.480) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 50.0, 100 | 61.7, 100 | 50.0, 100 |

Table 14.3.5.1A
Study Drug Exposure (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|-------------------------------|-----------|--------------------|-------------------|--------------------|
| Percent Compliance - Cycle 9 | n | 36 | 37 | 73 |
| | Mean (SD) | 94.84 (7.450) | 88.58 (21.422) | 91.67 (16.321) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 58.3, 100 | 0.0, 100 | 0.0, 100 |
| Percent Compliance - Cycle 10 | n | 29 | 33 | 62 |
| | Mean (SD) | 92.71 (18.336) | 90.18 (21.702) | 91.36 (20.075) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 0.0, 100 | 0.0, 100 | 0.0, 100 |
| Percent Compliance - Cycle 11 | n | 25 | 30 | 55 |
| | Mean (SD) | 96.46 (3.261) | 94.47 (13.629) | 95.37 (10.270) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 85.4, 100 | 27.7, 100 | 27.7, 100 |
| Percent Compliance - Cycle 12 | n | 25 | 29 | 54 |
| | Mean (SD) | 93.04 (19.475) | 96.40 (4.830) | 94.84 (13.672) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 0.0, 100 | 77.7, 100 | 0.0, 100 |
| Percent Compliance - Cycle 13 | n | 23 | 24 | 47 |
| | Mean (SD) | 88.34 (19.729) | 92.82 (20.129) | 90.63 (19.846) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 25.1, 100 | 0.0, 100 | 0.0, 100 |

Table 14.3.5.1A
Study Drug Exposure (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|-------------------------------|-----------|--------------------|-------------------|--------------------|
| Percent Compliance - Cycle 14 | n | 19 | 18 | 37 |
| | Mean (SD) | 88.36 (23.768) | 94.68 (10.474) | 91.44 (18.560) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 0.0, 100 | 54.9, 100 | 0.0, 100 |
| Percent Compliance - Cycle 15 | n | 12 | 17 | 29 |
| | Mean (SD) | 94.50 (10.059) | 93.18 (12.794) | 93.73 (11.564) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 64.0, 100 | 50.0, 100 | 50.0, 100 |
| Percent Compliance - Cycle 16 | n | 12 | 13 | 25 |
| | Mean (SD) | 94.22 (9.760) | 90.48 (25.561) | 92.28 (19.338) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 64.6, 100 | 5.7, 100 | 5.7, 100 |
| Percent Compliance - Cycle 17 | n | 11 | 9 | 20 |
| | Mean (SD) | 85.33 (28.831) | 96.73 (1.908) | 90.46 (21.746) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 4.0, 100 | 94.6, 100 | 4.0, 100 |
| Percent Compliance - Cycle 18 | n | 9 | 10 | 19 |
| | Mean (SD) | 90.89 (17.732) | 97.10 (2.025) | 94.16 (12.327) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 44.6, 100 | 95.0, 100 | 44.6, 100 |

Table 14.3.5.1A
Study Drug Exposure (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|-------------------------------|-----------|--------------------|-------------------|--------------------|
| Percent Compliance - Cycle 19 | n | 8 | 10 | 18 |
| | Mean (SD) | 91.20 (15.304) | 97.06 (2.074) | 94.46 (10.377) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 54.9, 100 | 94.6, 100 | 54.9, 100 |
| Percent Compliance - Cycle 20 | n | 6 | 10 | 16 |
| | Mean (SD) | 89.22 (22.580) | 87.69 (30.866) | 88.26 (27.242) |
| | Median | 98.00 | 96.00 | 96.00 |
| | Min, Max | 43.3, 100 | 0.0, 100 | 0.0, 100 |
| Percent Compliance - Cycle 21 | n | 4 | 8 | 12 |
| | Mean (SD) | 97.10 (1.943) | 97.50 (2.070) | 97.37 (1.948) |
| | Median | 96.20 | 96.00 | 96.00 |
| | Min, Max | 96.0, 100 | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 22 | n | 4 | 7 | 11 |
| | Mean (SD) | 98.00 (2.309) | 97.14 (1.952) | 97.45 (2.018) |
| | Median | 98.00 | 96.00 | 96.00 |
| | Min, Max | 96.0, 100 | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 23 | n | 3 | 6 | 9 |
| | Mean (SD) | 97.33 (2.309) | 97.33 (2.066) | 97.33 (2.000) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 96.0, 100 | 96.0, 100 | 96.0, 100 |

Table 14.3.5.1A
Study Drug Exposure (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|-------------------------------|-----------|--------------------|-------------------|--------------------|
| Percent Compliance - Cycle 24 | n | 3 | 5 | 8 |
| | Mean (SD) | 97.33 (2.309) | 97.60 (2.191) | 97.50 (2.070) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 96.0, 100 | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 25 | n | 3 | 4 | 7 |
| | Mean (SD) | 97.33 (2.309) | 97.00 (2.000) | 97.14 (1.952) |
| | Median | 96.00 | 96.00 | 96.00 |
| | Min, Max | 96.0, 100 | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 26 | n | 1 | 4 | 5 |
| | Mean (SD) | 100.0 | 97.00 (2.000) | 97.60 (2.191) |
| | Median | 100.0 | 96.00 | 96.00 |
| | Min, Max | 100, 100 | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 27 | n | 1 | 4 | 5 |
| | Mean (SD) | 100.0 | 85.00 (24.739) | 88.00 (22.450) |
| | Median | 100.0 | 96.00 | 96.00 |
| | Min, Max | 100, 100 | 48.0, 100 | 48.0, 100 |
| Percent Compliance - Cycle 28 | n | 1 | 3 | 4 |
| | Mean (SD) | 100.0 | 97.33 (2.309) | 98.00 (2.309) |
| | Median | 100.0 | 96.00 | 98.00 |
| | Min, Max | 100, 100 | 96.0, 100 | 96.0, 100 |

Table 14.3.5.1A
Study Drug Exposure (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|--|-----------|--------------------|-------------------|--------------------|
| Percent Compliance - Cycle 29 | n | 0 | 2 | 2 |
| | Mean (SD) | | 96.00 (0.000) | 96.00 (0.000) |
| | Median | | 96.00 | 96.00 |
| | Min, Max | | 96.0, 96.0 | 96.0, 96.0 |
| Percent Compliance - Cycle 30 | n | 0 | 1 | 1 |
| | Mean (SD) | | 96.00 | 96.00 |
| | Median | | 96.00 | 96.00 |
| | Min, Max | | 96.0, 96.0 | 96.0, 96.0 |
| Percent Compliance - Cycle 31 | n | 0 | 1 | 1 |
| | Mean (SD) | | 96.00 | 96.00 |
| | Median | | 96.00 | 96.00 |
| | Min, Max | | 96.0, 96.0 | 96.0, 96.0 |
| Number of subjects who modified their dose | n (%) | 19(22.4) | 17(21.5) | 36(22.0) |
| Reason for dose modification | | | | |
| Adverse Event | n (%) | 8(9.4) | 9(11.4) | 17(10.4) |
| Other | n (%) | 14(16.5) | 10(12.7) | 24(14.6) |

Table 14.3.5.1B
Study Drug Exposure: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|------------------------------|-----------|--------------------|-------------------|--------------------|
| Cumulative Dose (mg) | n | 23 | 0 | 23 |
| | Mean (SD) | 1426017 (1269995) | | 1426017 (1269995) |
| | Median | 885600 | | 885600 |
| | Min, Max | 28800.0, 4089600 | | 28800.0, 4089600 |
| Cycles Received | | | | |
| Received 1 Cycle | n (%) | 3(13.0) | 1(4.3) | 4(8.7) |
| Received 2 Cycles | n (%) | 5(21.7) | 4(17.4) | 9(19.6) |
| Received 3 Cycles | n (%) | 2(8.7) | 2(8.7) | 4(8.7) |
| Received 4 Cycles | n (%) | 3(13.0) | 2(8.7) | 5(10.9) |
| Received 6 Cycles | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Received 7 Cycles | n (%) | 0 | 1(4.3) | 1(2.2) |
| Received 8 Cycles | n (%) | 0 | 1(4.3) | 1(2.2) |
| Received 9 Cycles | n (%) | 3(13.0) | 1(4.3) | 4(8.7) |
| Received 10 Cycles | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Received 11 Cycles | n (%) | 0 | 1(4.3) | 1(2.2) |
| Received 12 Cycles | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Received 13 Cycles | n (%) | 0 | 1(4.3) | 1(2.2) |
| Received 14 Cycles | n (%) | 1(4.3) | 0 | 1(2.2) |
| Received 15 Cycles | n (%) | 0 | 2(8.7) | 2(4.3) |
| Received 16 Cycles | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Received 20 Cycles | n (%) | 1(4.3) | 0 | 1(2.2) |
| Received 24 Cycles | n (%) | 0 | 1(4.3) | 1(2.2) |
| Received 27 Cycles | n (%) | 0 | 1(4.3) | 1(2.2) |
| Actual Doses Taken [1] | n | 23 | 23 | 46 |
| | Mean (SD) | 1188 (1058) | 1620 (1290) | 1404 (1187) |
| | Median | 738 | 1092 | 1002 |
| | Min, Max | 24, 3408 | 87, 4422 | 24, 4422 |
| Percent Compliance - Cycle 1 | n | 23 | 23 | 46 |
| | Mean (SD) | 93.29 (11.391) | 89.45 (19.644) | 91.37 (15.995) |
| | Median | 98.80 | 96.90 | 97.25 |
| | Min, Max | 56.7, 100 | 21.4, 100 | 21.4, 100 |
| Percent Compliance - Cycle 2 | n | 20 | 22 | 42 |
| | Mean (SD) | 91.96 (15.459) | 95.31 (9.885) | 93.72 (12.794) |
| | Median | 98.20 | 99.40 | 98.80 |

Table 14.3.5.1B
Study Drug Exposure: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 | Placebo | Overall |
|------------------------------|-----------|----------------|----------------|----------------|
| | | (N=23) | (N=23) | (N=46) |
| | Min, Max | 39.3, 100 | 56.7, 100 | 39.3, 100 |
| Percent Compliance - Cycle 3 | n | 15 | 17 | 32 |
| | Mean (SD) | 96.91 (4.895) | 88.92 (24.212) | 92.66 (18.160) |
| | Median | 98.80 | 97.30 | 98.20 |
| | Min, Max | 81.3, 100 | 17.7, 100 | 17.7, 100 |

Table 14.3.5.1B
Study Drug Exposure: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|------------------------------|-----------|--------------------|-------------------|-------------------|
| Percent Compliance - Cycle 4 | n | 13 | 15 | 28 |
| | Mean (SD) | 95.84 (7.143) | 95.37 (8.962) | 95.59 (8.024) |
| | Median | 97.60 | 97.10 | 97.35 |
| | Min, Max | 72.9, 100 | 64.3, 100 | 64.3, 100 |
| Percent Compliance - Cycle 5 | n | 10 | 14 | 24 |
| | Mean (SD) | 96.17 (5.586) | 96.76 (7.069) | 96.51 (6.367) |
| | Median | 98.20 | 100.0 | 99.40 |
| | Min, Max | 82.1, 100 | 73.2, 100 | 73.2, 100 |
| Percent Compliance - Cycle 6 | n | 9 | 14 | 23 |
| | Mean (SD) | 97.33 (2.961) | 96.92 (7.042) | 97.08 (5.704) |
| | Median | 97.60 | 100.0 | 100.0 |
| | Min, Max | 91.8, 100 | 73.2, 100 | 73.2, 100 |
| Percent Compliance - Cycle 7 | n | 8 | 12 | 20 |
| | Mean (SD) | 98.03 (2.259) | 94.54 (14.130) | 95.94 (10.979) |
| | Median | 98.80 | 99.40 | 99.40 |
| | Min, Max | 94.6, 100 | 50.0, 100 | 50.0, 100 |
| Percent Compliance - Cycle 8 | n | 8 | 12 | 20 |
| | Mean (SD) | 98.03 (2.259) | 98.68 (1.782) | 98.42 (1.956) |
| | Median | 98.80 | 100.0 | 100.0 |
| | Min, Max | 94.6, 100 | 96.0, 100 | 94.6, 100 |

Table 14.3.5.1B
Study Drug Exposure: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|-------------------------------|-----------|--------------------|-------------------|-------------------|
| Percent Compliance - Cycle 9 | n | 8 | 10 | 18 |
| | Mean (SD) | 93.61 (14.430) | 94.13 (14.343) | 93.90 (13.954) |
| | Median | 100.0 | 99.40 | 100.0 |
| | Min, Max | 58.3, 100 | 53.6, 100 | 53.6, 100 |
| Percent Compliance - Cycle 10 | n | 6 | 9 | 15 |
| | Mean (SD) | 82.37 (40.407) | 96.56 (5.979) | 90.88 (25.599) |
| | Median | 99.80 | 98.80 | 99.60 |
| | Min, Max | 0.0, 100 | 81.3, 100 | 0.0, 100 |
| Percent Compliance - Cycle 11 | n | 4 | 8 | 12 |
| | Mean (SD) | 96.35 (7.300) | 89.81 (25.158) | 91.99 (20.680) |
| | Median | 100.0 | 99.40 | 100.0 |
| | Min, Max | 85.4, 100 | 27.7, 100 | 27.7, 100 |
| Percent Compliance - Cycle 12 | n | 4 | 7 | 11 |
| | Mean (SD) | 98.05 (2.563) | 98.17 (1.958) | 98.13 (2.067) |
| | Median | 98.80 | 98.80 | 98.80 |
| | Min, Max | 94.6, 100 | 96.0, 100 | 94.6, 100 |
| Percent Compliance - Cycle 13 | n | 3 | 6 | 9 |
| | Mean (SD) | 98.20 (3.118) | 98.57 (2.002) | 98.44 (2.229) |
| | Median | 100.0 | 99.70 | 100.0 |
| | Min, Max | 94.6, 100 | 96.0, 100 | 94.6, 100 |

Table 14.3.5.1B
Study Drug Exposure: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|-------------------------------|-----------|--------------------|-------------------|-------------------|
| Percent Compliance - Cycle 14 | n | 3 | 5 | 8 |
| | Mean (SD) | 98.20 (3.118) | 99.20 (1.789) | 98.83 (2.208) |
| | Median | 100.0 | 100.0 | 100.0 |
| | Min, Max | 94.6, 100 | 96.0, 100 | 94.6, 100 |
| Percent Compliance - Cycle 15 | n | 2 | 4 | 6 |
| | Mean (SD) | 100.0 (0.000) | 86.50 (24.406) | 91.00 (20.149) |
| | Median | 100.0 | 98.00 | 100.0 |
| | Min, Max | 100, 100 | 50.0, 100 | 50.0, 100 |
| Percent Compliance - Cycle 16 | n | 2 | 3 | 5 |
| | Mean (SD) | 100.0 (0.000) | 98.67 (2.309) | 99.20 (1.789) |
| | Median | 100.0 | 100.0 | 100.0 |
| | Min, Max | 100, 100 | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 17 | n | 1 | 2 | 3 |
| | Mean (SD) | 100.0 | 98.00 (2.828) | 98.67 (2.309) |
| | Median | 100.0 | 98.00 | 100.0 |
| | Min, Max | 100, 100 | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 18 | n | 1 | 2 | 3 |
| | Mean (SD) | 100.0 | 98.00 (2.828) | 98.67 (2.309) |
| | Median | 100.0 | 98.00 | 100.0 |
| | Min, Max | 100, 100 | 96.0, 100 | 96.0, 100 |

Table 14.3.5.1B
Study Drug Exposure: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|-------------------------------|-----------|--------------------|-------------------|-------------------|
| Percent Compliance - Cycle 19 | n | 1 | 2 | 3 |
| | Mean (SD) | 100.0 | 98.00 (2.828) | 98.67 (2.309) |
| | Median | 100.0 | 98.00 | 100.0 |
| | Min, Max | 100, 100 | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 20 | n | 1 | 2 | 3 |
| | Mean (SD) | 100.0 | 98.00 (2.828) | 98.67 (2.309) |
| | Median | 100.0 | 98.00 | 100.0 |
| | Min, Max | 100, 100 | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 21 | n | 0 | 2 | 2 |
| | Mean (SD) | | 98.00 (2.828) | 98.00 (2.828) |
| | Median | | 98.00 | 98.00 |
| | Min, Max | | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 22 | n | 0 | 2 | 2 |
| | Mean (SD) | | 98.00 (2.828) | 98.00 (2.828) |
| | Median | | 98.00 | 98.00 |
| | Min, Max | | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 23 | n | 0 | 2 | 2 |
| | Mean (SD) | | 98.00 (2.828) | 98.00 (2.828) |
| | Median | | 98.00 | 98.00 |
| | Min, Max | | 96.0, 100 | 96.0, 100 |

Table 14.3.5.1B
Study Drug Exposure: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|-------------------------------|-----------|--------------------|-------------------|-------------------|
| Percent Compliance - Cycle 24 | n | 0 | 2 | 2 |
| | Mean (SD) | | 98.00 (2.828) | 98.00 (2.828) |
| | Median | | 98.00 | 98.00 |
| | Min, Max | | 96.0, 100 | 96.0, 100 |
| Percent Compliance - Cycle 25 | n | 0 | 1 | 1 |
| | Mean (SD) | | 96.00 | 96.00 |
| | Median | | 96.00 | 96.00 |
| | Min, Max | | 96.0, 96.0 | 96.0, 96.0 |
| Percent Compliance - Cycle 26 | n | 0 | 1 | 1 |
| | Mean (SD) | | 96.00 | 96.00 |
| | Median | | 96.00 | 96.00 |
| | Min, Max | | 96.0, 96.0 | 96.0, 96.0 |
| Percent Compliance - Cycle 27 | n | 0 | 1 | 1 |
| | Mean (SD) | | 48.00 | 48.00 |
| | Median | | 48.00 | 48.00 |
| | Min, Max | | 48.0, 48.0 | 48.0, 48.0 |

Table 14.3.5.1B
Study Drug Exposure: Negative Hormone Receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|--|-----------|--------------------|-------------------|-------------------|
| Number of subjects who modified their dose | n (%) | 6(26.1) | 5(21.7) | 11(23.9) |
| Reason for dose modification | | | | |
| Adverse Event | n (%) | 3(13.0) | 2(8.7) | 5(10.9) |
| Other | n (%) | 5(21.7) | 4(17.4) | 9(19.6) |

2.2 Efficacy Data

| Table Number | Table Title |
|---------------------------------|--|
| Table 14.2.1.1A | Progression-free survival (PFS) (Safety Analysis Set) |
| Table 14.2.1.1B | Progression-free survival (PFS): negative hormone receptor (Safety Analysis Set) |
| Table 14.2.1.2A | Comparison of progression-free survival (PFS) rate between two taxane strata (Safety Analysis Set) |
| Table 14.2.1.2B | Comparison of progression-free survival (PFS) rate between two taxane strata: negative hormone receptors (Safety Analysis Set) |
| Table 14.2.2A | Kaplan-Meier estimate of overall survival (OS) (Safety Analysis Set) |
| Table 14.2.2B | Kaplan-Meier estimate of overall survival (OS): negative hormone receptors (Safety Analysis Set) |
| Table 14.2.3A | Objective response rate (Safety Analysis Set) |
| Table 14.2.3B | Objective response rate: negative hormone receptors (Safety Analysis Set) |

Table 14.2.1.1A
Progression Free Survival (PFS) (Safety Analysis Set)

| Variable Statistic | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|--|-----------------|----------------------|--------------------|--------------------|
| Number (%) of Subjects with Events | | | | |
| Disease Progression | n (%) | 66 (80.5%) | 53 (69.7%) | 119 (75.3%) |
| Death | n (%) | 6 (7.3%) | 12 (15.8%) | 18 (11.4%) |
| Number (%) of Subjects Censored [1] | n (%) | 10 (12.2%) | 11 (14.5%) | 21 (13.3%) |
| Summary Statistics of PFS [2] | Q1 (95% CI) | 2.5 (1.9, 3.8) | 4.7 (2.5, 5.5) | 3.5 (2.3, 4.6) |
| | Median (95% CI) | 6.0 (4.5, 8.1) | 8.4 (5.6, 9.8) | 7.3 (5.6, 8.7) |
| | Q3 (95% CI) | 11.0 (8.8, 16.1) | 11.6 (10.0, 15.3) | 11.5 (10.0, 12.9) |
| Follow Up Time [3] | n | 82 | 76 | 158 |
| | Mean (SD) | 7.5 (6.61) | 8.1 (5.49) | 7.8 (6.08) |
| | Median | 5.8 | 7.3 | 6.0 |
| | Q1, Q3 | 2.5, 10.2 | 3.9, 11.1 | 2.8, 11.0 |
| | Min, Max | 0.1, 31.3 | 1.1, 26.5 | 0.1, 31.3 |
| Hazard Ratio, NLG-2101 versus Placebo [4] | HR (95% CI) | 1.144 (0.813, 1.609) | | |

Note: There were 6 subjects without definitive lack of progression documented and therefore they were excluded from this summary.

[1] Subjects who have not experienced disease progression or death at the time of analysis will be censored at the last time that lack of definitive progression was objectively documented.

[2] Progression Free Survival (PFS) represents the number of months from first dose to disease progression or death, whichever occurs first. Kaplan-Meier estimates were used.

[3] Follow up time is the number of months from first dose to progressive disease, death or last time lack of definitive progression was documented.

[4] Based on Cox Proportional Hazards Model.

Table 14.2.1.1B
Progression-Free Survival (PFS): Negative Hormone Receptors (Safety Analysis Set)

| Variable Statistic | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|--|-----------------|----------------------|-------------------|-------------------|
| Number (%) of Subjects with Events | | | | |
| Disease Progression | n (%) | 20 (87.0%) | 18 (78.3%) | 38 (82.6%) |
| Death | n (%) | 1 (4.3%) | 1 (4.3%) | 2 (4.3%) |
| Number (%) of Subjects Censored [1] | n (%) | 2 (8.7%) | 4 (17.4%) | 6 (13.0%) |
| Summary Statistics of PFS [2] | | | | |
| | Q1 (95% CI) | 1.9 (0.1, 2.5) | 2.5 (1.1, 5.1) | 2.2 (1.4, 2.7) |
| | Median (95% CI) | 2.8 (2.2, 5.8) | 7.1 (2.5, 9.6) | 5.1 (2.5, 7.1) |
| | Q3 (95% CI) | 8.1 (3.6, 13.0) | 9.8 (7.3, NA) | 8.8 (6.9, 13.0) |
| Follow Up Time [3] | n | 23 | 23 | 46 |
| | Mean (SD) | 5.1 (4.19) | 6.7 (4.77) | 5.9 (4.51) |
| | Median | 2.8 | 5.5 | 5.1 |
| | Q1, Q3 | 1.9, 8.1 | 2.5, 9.8 | 2.2, 8.8 |
| | Min, Max | 0.1, 13.5 | 1.1, 18.0 | 0.1, 18.0 |
| Hazard Ratio, NLG-2101 versus Placebo [4] | HR (95% CI) | 1.385 (0.738, 2.600) | | |

Note: There were 6 subjects without definitive lack of progression documented and therefore they were excluded from this summary.

[1] Subjects who have not experienced disease progression or death at the time of analysis will be censored at the last time that lack of definitive progression was objectively documented.

[2] Progression Free Survival (PFS) represents the number of months from first dose to disease progression or death, whichever occurs first. Kaplan-Meier estimates were used.

[3] Follow up time is the number of months from first dose to progressive disease, death or last time lack of definitive progression was documented.

[4] Based on Cox Proportional Hazards Model.

Table 14.2.1.2A
Comparison of Progression Free Survival (PFS) Rate between Two Taxane Strata (Safety Analysis Set)

| Variable | Statis -tic | NLG-2101 | | Placebo | | Overall | |
|--|-----------------|---------------------|---------------------|---------------------|---------------------|----------------------|---------------------|
| | | Docetaxel (N=62) | Paclitxel (N=23) | Docetaxel (N=59) | Paclitxel (N=20) | Docetaxel (N=121) | Paclitxel (N=43) |
| Number (%) of Subjects with Events | | | | | | | |
| Disease Progression | n (%) | 45(75.0%) | 21(95.5%) | 39(68.4%) | 14(73.7%) | 84(71.8%) | 35(85.4%) |
| Death | n (%) | 6(10.0%) | 0 | 9(15.8%) | 3(15.8%) | 15(12.8%) | 3(7.3%) |
| Number (%) of Subjects Censored [1] | | | | | | | |
| Summary Statistics of PFS [2] | Q1 (95% CI) | 2.9(1.5,5.7) | 1.9(1.5,2.7) | 4.7(2.5,5.5) | 3.5(1.4,7.3) | 4.0(2.5,5.1) | 2.3(1.8,3.6) |
| | Median (95% CI) | 7.9(5.7,9.5) | 3.6(1.9,5.5) | 8.4(5.5,10.0) | 8.7(3.5,10.9) | 8.1(5.7,9.2) | 5.5(2.7,8.7) |
| | Q3 (95% CI) | 12.3(9.5,20.0) | 5.8(3.6,13.0) | 12.4(10.0,15.4) | 11.0(8.7,16.8) | 12.4(10.3,15.4) | 9.9(7.3,11.6) |

Note: There were 6 subjects without definitive lack of progression documented and therefore they were excluded from this summary.

[1] Subjects who have not experienced disease progression or death at the time of analysis will be censored at the last time that lack of definitive progression was objectively documented.

[2] Progression Free Survival (PFS) represents the number of months from first dose to disease progression or death, whichever occurs first. Kaplan-Meier estimates were used.

[3] Follow up time is the number of months from first dose to progressive disease, death or last time lack of definitive progression was documented.

Table 14.2.1.2A
Comparison of Progression Free Survival (PFS) Rate between Two Taxane Strata (Safety Analysis Set)

| Variable | Statis -tic | ----- NLG-2101 ----- | | ----- Placebo ----- | | ----- Overall ----- | |
|--------------------|----------------|----------------------|---------------------|---------------------|---------------------|----------------------|---------------------|
| | | Docetaxel (N=62) | Paclitxel (N=23) | Docetaxel (N=59) | Paclitxel (N=20) | Docetaxel (N=121) | Paclitxel (N=43) |
| Follow Up Time [3] | n | 60 | 22 | 57 | 19 | 117 | 41 |
| | Mean (SD) | 8.4(7.08) | 5.0(4.27) | 8.2(5.87) | 7.7(4.25) | 8.3(6.49) | 6.2(4.43) |
| | Median | 7.0 | 3.4 | 6.0 | 8.7 | 6.9 | 5.5 |
| | Q1, Q3 | 2.9,11.4 | 1.9,5.8 | 4.0,11.6 | 3.5,10.9 | 3.7,11.5 | 2.3,9.6 |
| | Min, Max | 0.1,31.3 | 1.5,17.9 | 1.1,26.5 | 1.4,16.8 | 0.1,31.3 | 1.4,17.9 |

Note: There were 6 subjects without definitive lack of progression documented and therefore they were excluded from this summary.

[1] Subjects who have not experienced disease progression or death at the time of analysis will be censored at the last time that lack of definitive progression was objectively documented.

[2] Progression Free Survival (PFS) represents the number of months from first dose to disease progression or death, whichever occurs first. Kaplan-Meier estimates were used.

[3] Follow up time is the number of months from first dose to progressive disease, death or last time lack of definitive progression was documented.

Table 14.2.1.2B

Comparison of Progression Free Survival (PFS) Rate between two Taxane Strata: Negative Hormone Receptors (Safety Analysis Set)

| Variable | Statis tic | NLG-2101 | | Placebo | | Overall | |
|--|-----------------|---------------------|--------------------|---------------------|--------------------|---------------------|---------------------|
| | | Docetaxel (N=14) | Paclitxel (N=9) | Docetaxel (N=16) | Paclitxel (N=7) | Docetaxel (N=30) | Paclitxel (N=16) |
| Number (%) of Subjects with Events | | | | | | | |
| Disease Progression | n (%) | 11(78.6%) | 9(100%) | 12(75.0%) | 6(85.7%) | 23(76.7%) | 15(93.8%) |
| Death | n (%) | 1(7.1%) | 0 | 1(6.3%) | 0 | 2(6.7%) | 0 |
| Number (%) of Subjects Censored [1] | | | | | | | |
| Summary Statistics of PFS [2] | Q1 (95% CI) | 1.4(0.1,2.5) | 2.5(1.9,3.6) | 2.5(1.1,5.1) | 1.8(1.4,8.7) | 1.6(1.1,2.5) | 2.4(1.4,5.8) |
| | Median (95% CI) | 2.7(1.2,6.9) | 3.6(1.9,11.0) | 5.1(2.5,9.8) | 8.7(1.4,9.9) | 5.1(2.5,6.9) | 6.6(2.3,9.6) |
| | Q3 (95% CI) | 6.9(2.5,NA) | 8.8(2.7,13.0) | 9.8(5.1,NA) | 9.9(7.3,NA) | 8.1(5.5,NA) | 9.8(5.8,13.0) |

Note: There were 6 subjects without definitive lack of progression documented and therefore they were excluded from this summary.

[1] Subjects who have not experienced disease progression or death at the time of analysis will be censored at the last time that lack of definitive progression was objectively documented.

[2] Progression Free Survival (PFS) represents the number of months from first dose to disease progression or death, whichever occurs first. Kaplan-Meier estimates were used.

[3] Follow up time is the number of months from first dose to progressive disease, death or last time lack of definitive progression was documented.

Table 14.2.1.2B

Comparison of Progression Free Survival (PFS) Rate between two Taxane Strata: Negative Hormone Receptors (Safety Analysis Set)

| Variable | Statist -tic | ----- NLG-2101 ----- | | ----- Placebo ----- | | ----- Overall ----- | |
|--------------------|-----------------|----------------------|--------------------|---------------------|--------------------|---------------------|---------------------|
| | | Docetaxel (N=14) | Paclitxel (N=9) | Docetaxel (N=16) | Paclitxel (N=7) | Docetaxel (N=30) | Paclitxel (N=16) |
| Follow Up Time [3] | n | 14 | 9 | 16 | 7 | 30 | 16 |
| | Mean (SD) | 4.6(4.29) | 5.7(4.19) | 6.5(5.19) | 7.1(3.94) | 5.6(4.80) | 6.3(4.01) |
| | Median | 2.7 | 3.6 | 5.1 | 8.7 | 4.9 | 6.6 |
| | Q1, Q3 | 1.4,6.9 | 2.5,8.8 | 2.5,9.3 | 1.8,9.9 | 1.6,8.1 | 2.4,9.8 |
| | Min, Max | 0.1,13.5 | 1.9,13.0 | 1.1,18.0 | 1.4,11.1 | 0.1,18.0 | 1.4,13.0 |

Note: There were 6 subjects without definitive lack of progression documented and therefore they were excluded from this summary.

[1] Subjects who have not experienced disease progression or death at the time of analysis will be censored at the last time that lack of definitive progression was objectively documented.

[2] Progression Free Survival (PFS) represents the number of months from first dose to disease progression or death, whichever occurs first. Kaplan-Meier estimates were used.

[3] Follow up time is the number of months from first dose to progressive disease, death or last time lack of definitive progression was documented.

Table 14.2.2A
Kaplan-Meier Estimate of Overall Survival (OS) (Safety Analysis Set)

| Variable Statistic | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|-------------------------------------|-----------------|--------------------|--------------------|--------------------|
| Number (%) of Subjects with Events | | | | |
| Death | n (%) | 43 (50.6%) | 37 (46.8%) | 80 (48.8%) |
| Number (%) of Subjects Censored [1] | n (%) | 42 (49.4%) | 42 (53.2%) | 84 (51.2%) |
| Summary Statistics of OS [2] | | | | |
| | Q1 (95% CI) | 9.9 (6.8, 12.9) | 10.9 (6.0, 16.3) | 10.2 (7.5, 12.4) |
| | Median (95% CI) | 21.6 (16.0, 39.1) | 21.2 (19.1, 32.1) | 21.4 (19.4, 31.2) |
| | Q3 (95% CI) | 39.1 (31.2, NA) | NA (23.70, NA) | 39.1 (31.2, NA) |
| Follow Up Time [3] | n | 85 | 79 | 164 |
| | Mean (SD) | 16.5 (9.30) | 15.3 (8.53) | 16.0 (8.93) |
| | Median | 18.6 | 16.6 | 17.4 |
| | Q1, Q3 | 9.2, 21.9 | 7.5, 21.2 | 8.8, 21.6 |
| | Min, Max | 0.1, 39.4 | 0.3, 35.0 | 0.1, 39.4 |

[1] Subjects who are still alive at the time of analysis will be censored at the last day they were known to be alive.

[2] Overall (OS) represents the number of months from first dose to the date of death. Kaplan-Meier estimates are presented.

[3] Follow up time is the number of months from first dose to death or last time that subject was known to be alive was documented.

Table 14.2.2B
Kaplan-Meier Estimate of Overall Survival (OS): Negative Hormone Receptors (Safety Analysis Set)

| Variable Statistic | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|-------------------------------------|-----------------|--------------------|-------------------|--------------------|
| Number (%) of Subjects with Events | | | | |
| Death | n (%) | 16 (69.6%) | 11 (47.8%) | 27 (58.7%) |
| Number (%) of Subjects Censored [1] | n (%) | 7 (30.4%) | 12 (52.2%) | 19 (41.3%) |
| Summary Statistics of OS [2] | | | | |
| | Q1 (95% CI) | 6.8 (0.1, 10.0) | 10.2 (3.4, 19.5) | 8.0 (3.6, 10.4) |
| | Median (95% CI) | 10.6 (8.0, 21.6) | 21.2 (10.2, NA) | 15.4 (10.2, 21.6) |
| | Q3 (95% CI) | NA (12.60, NA) | NA (21.20, NA) | NA (21.20, NA) |
| Follow Up Time [3] | n | 23 | 23 | 46 |
| | Mean (SD) | 13.6 (9.74) | 14.0 (8.20) | 13.8 (8.90) |
| | Median | 10.6 | 12.1 | 11.8 |
| | Q1, Q3 | 6.8, 20.5 | 7.4, 20.3 | 7.4, 20.5 |
| | Min, Max | 0.1, 35.7 | 3.4, 32.7 | 0.1, 35.7 |

[1] Subjects who are still alive at the time of analysis will be censored at the last day they were known to be alive.

[2] Overall (OS) represents the number of months from first dose to the date of death. Kaplan-Meier estimates are presented.

[3] Follow up time is the number of months from first dose to death or last time that subject was known to be alive was documented.

Table 14.2.3A
Objective Response Rate (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|------------------------------|-----------|--------------------|-------------------|--------------------|
| RECIST Best Response | | | | |
| Complete Response | n (%) | 3 (3.5) | 2 (2.5) | 5 (3.0) |
| Partial response | n (%) | 31 (36.5) | 27 (34.2) | 58 (35.4) |
| Stable disease | n (%) | 31 (36.5) | 35 (44.3) | 66 (40.2) |
| Progressive disease | n (%) | 13 (15.3) | 9 (11.4) | 22 (13.4) |
| Not Evaluable | n (%) | 0 | 0 | 0 |
| Complete or Partial Response | n (%) | 34 (40.0) | 29 (36.7) | 63 (38.4) |
| P-value [1] | | 0.7414 | | |
| 95% CI [2] | | 33.1, 54.6 | 29.3, 51.2 | 34.2, 49.7 |

[1] P-value based on Fishers Exact Test.

[2] 95%CI is based on Wilsons Method.

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Output Generation: 04/09/2018 16:24

Table 14.2.3B
Objective Response Rate: Negative Hormone receptors (Safety Analysis Set)

| Parameter | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|------------------------------|-----------|--------------------|-------------------|-------------------|
| RECIST Best Response | | | | |
| Complete Response | n (%) | 1 (4.3) | 1 (4.3) | 2 (4.3) |
| Partial response | n (%) | 5 (21.7) | 8 (34.8) | 13 (28.3) |
| Stable disease | n (%) | 9 (39.1) | 8 (34.8) | 17 (37.0) |
| Progressive disease | n (%) | 5 (21.7) | 5 (21.7) | 10 (21.7) |
| Not Evaluable | n (%) | 0 | 0 | 0 |
| Complete or Partial Response | n (%) | 6 (26.1) | 9 (39.1) | 15 (32.6) |
| P-value [1] | | 0.5311 | | |
| 95% CI [2] | | 14.5, 51.9 | 23.3, 61.3 | 23.0, 50.8 |

[1] P-value based on Fishers Exact Test.

[2] 95%CI is based on Wilsons Method.

Program: s2101resp.sas

Output Generation: 04/09/2018 16:24

2.3 Safety Data

2.3.1 Display of Adverse Events

| Table Number | Table Title |
|---------------------------------|---|
| Table 14.3.1.1A | Summary of treatment-emergent adverse events (Safety Analysis Set) |
| Table 14.3.1.1B | Summary of treatment-emergent adverse events: negative hormone receptors (Safety Analysis Set) |
| Table 14.3.1.2A | Treatment-emergent adverse events by system organ class and preferred term (Safety Analysis Set) |
| Table 14.3.1.2B | Treatment-emergent adverse events by system organ class and preferred term: negative hormone receptors (Safety Analysis Set) |
| Table 14.3.1.3A | Related treatment-emergent adverse events by system organ class and preferred term (Safety Analysis Set) |
| Table 14.3.1.4A | Serious treatment-emergent adverse events by system organ class and preferred term (Safety Analysis Set) |
| Table 14.3.1.4B | Serious treatment-emergent adverse events by system organ class and preferred term: negative hormone receptors (Safety Analysis Set) |
| Table 14.3.1.5A | Treatment-emergent adverse events with CTCAE severity \geq grade 3 by system organ class and preferred term (Safety Analysis Set) |
| Table 14.3.1.5B | Treatment-emergent adverse events with CTCAE severity \geq grade 3 by system organ class and preferred term: negative hormone receptors (Safety Analysis Set) |
| Table 14.3.2.1 | Listing of adverse events leading to subject deaths (Safety Analysis Set) |
| Table 14.3.2.2 | Listing of subjects with serious adverse events (Safety Analysis Set) |
| Table 14.3.2.3 | Listing of subjects with adverse events leading to study discontinuation (Safety Analysis Set) |

Table 14.3.1.1A
Summary of Treatment-Emergent Adverse Events (Safety Analysis Set)

| Category | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|--|-----------|--------------------|-------------------|--------------------|
| At Least 1 TEAE | n (%) | 85 (100) | 78 (98.7) | 163 (99.4) |
| At Least 1 Related TEAE | n (%) | 58 (68.2) | 63 (79.7) | 121 (73.8) |
| At Least 1 Grade >=3 TEAE | n (%) | 51 (60.0) | 48 (60.8) | 99 (60.4) |
| At Least 1 SAE | n (%) | 29 (34.1) | 28 (35.4) | 57 (34.8) |
| At Least 1 TEAE Leading to Study Discontinuation | n (%) | 11 (12.9) | 10 (12.7) | 21 (12.8) |
| At Least 1 TEAE Leading to Death | n (%) | 4 (4.7) | 2 (2.5) | 6 (3.7) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.
If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ael.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.1B
Summary of Treatment-Emergent Adverse Events: Negative Hormone Receptors (Safety Analysis Set)

| Category | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|--|-----------|--------------------|-------------------|-------------------|
| At Least 1 TEAE | n (%) | 23 (100) | 22 (95.7) | 45 (97.8) |
| At Least 1 Related TEAE | n (%) | 17 (73.9) | 20 (87.0) | 37 (80.4) |
| At Least 1 Grade >=3 TEAE | n (%) | 15 (65.2) | 13 (56.5) | 28 (60.9) |
| At Least 1 SAE | n (%) | 10 (43.5) | 7 (30.4) | 17 (37.0) |
| At Least 1 TEAE Leading to Study Discontinuation | n (%) | 2 (8.7) | 1 (4.3) | 3 (6.5) |
| At Least 1 TEAE Leading to Death | n (%) | 1 (4.3) | 1 (4.3) | 2 (4.3) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.
If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ael.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| At Least 1 TEAE | n (%) | 85(100.0) | 78(98.7) | 163(99.4) |
| Blood and lymphatic system disorders | n (%) | 43(50.6) | 32(40.5) | 75(45.7) |
| Anaemia | n (%) | 28(32.9) | 15(19.0) | 43(26.2) |
| Febrile neutropenia | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Granulocytopenia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Iron deficiency anaemia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Leukocytosis | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Leukopenia | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Lymphopenia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Neutropenia | n (%) | 14(16.5) | 15(19.0) | 29(17.7) |
| Pancytopenia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Thrombocytopenia | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Thrombocytosis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Cardiac disorders | n (%) | 12(14.1) | 9(11.4) | 21(12.8) |
| Angina pectoris | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Arrhythmia | n (%) | 2(2.4) | 0 | 2(1.2) |
| Atrial fibrillation | n (%) | 0 | 1(1.3) | 1(0.6) |
| Coronary artery disease | n (%) | 0 | 1(1.3) | 1(0.6) |
| Coronary artery insufficiency | n (%) | 0 | 1(1.3) | 1(0.6) |
| Palpitations | n (%) | 2(2.4) | 0 | 2(1.2) |
| Pericardial effusion | n (%) | 1(1.2) | 0 | 1(0.6) |
| Sinus tachycardia | n (%) | 4(4.7) | 5(6.3) | 9(5.5) |
| Tachycardia | n (%) | 3(3.5) | 0 | 3(1.8) |
| Ear and labyrinth disorders | n (%) | 3(3.5) | 10(12.7) | 13(7.9) |
| Cerumen impaction | n (%) | 1(1.2) | 0 | 1(0.6) |
| Ear discomfort | n (%) | 0 | 1(1.3) | 1(0.6) |
| Ear pain | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Hearing impaired | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hypoacusis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Tinnitus | n (%) | 0 | 3(3.8) | 3(1.8) |
| Vertigo | n (%) | 1(1.2) | 3(3.8) | 4(2.4) |
| Vertigo labyrinthine | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic n (%) | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|--------------------|--------------------|-------------------|--------------------|
| Vestibular disorder | | 0 | 1(1.3) | 1(0.6) |
| Endocrine disorders | n (%) | 0 | 1(1.3) | 1(0.6) |
| Adrenal insufficiency | n (%) | 0 | 1(1.3) | 1(0.6) |
| Eye disorders | n (%) | 20(23.5) | 27(34.2) | 47(28.7) |
| Altered visual depth perception | n (%) | 0 | 1(1.3) | 1(0.6) |
| Chalazion | n (%) | 0 | 1(1.3) | 1(0.6) |
| Conjunctival haemorrhage | n (%) | 0 | 1(1.3) | 1(0.6) |
| Conjunctivitis | n (%) | 3(3.5) | 0 | 3(1.8) |
| Dry eye | n (%) | 0 | 4(5.1) | 4(2.4) |
| Eye irritation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Eye pain | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Eyelid oedema | n (%) | 1(1.2) | 0 | 1(0.6) |
| Lacrimation increased | n (%) | 10(11.8) | 11(13.9) | 21(12.8) |
| Ocular hyperaemia | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Periorbital oedema | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Photophobia | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Photopsia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Vision blurred | n (%) | 8(9.4) | 10(12.7) | 18(11.0) |
| Visual impairment | n (%) | 1(1.2) | 4(5.1) | 5(3.0) |
| Gastrointestinal disorders | n (%) | 63(74.1) | 64(81.0) | 127(77.4) |
| Abdominal distension | n (%) | 2(2.4) | 5(6.3) | 7(4.3) |
| Abdominal pain | n (%) | 13(15.3) | 15(19.0) | 28(17.1) |
| Abdominal pain upper | n (%) | 3(3.5) | 6(7.6) | 9(5.5) |
| Aphthous stomatitis | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Ascites | n (%) | 1(1.2) | 3(3.8) | 4(2.4) |
| Colitis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Constipation | n (%) | 24(28.2) | 27(34.2) | 51(31.1) |
| Dental caries | n (%) | 0 | 1(1.3) | 1(0.6) |
| Diarrhoea | n (%) | 30(35.3) | 31(39.2) | 61(37.2) |
| Dry mouth | n (%) | 6(7.1) | 5(6.3) | 11(6.7) |
| Dyspepsia | n (%) | 4(4.7) | 3(3.8) | 7(4.3) |
| Dysphagia | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Enterocolitis | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

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Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Eructation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Faecal incontinence | n (%) | 1(1.2) | 0 | 1(0.6) |
| Flatulence | n (%) | 0 | 1(1.3) | 1(0.6) |
| Gastric dilatation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Gastric stenosis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Gastric varices haemorrhage | n (%) | 0 | 1(1.3) | 1(0.6) |
| Gastrointestinal haemorrhage | n (%) | 1(1.2) | 0 | 1(0.6) |
| Gastrooesophageal reflux disease | n (%) | 4(4.7) | 4(5.1) | 8(4.9) |
| Gingival bleeding | n (%) | 0 | 1(1.3) | 1(0.6) |
| Gingival erythema | n (%) | 0 | 1(1.3) | 1(0.6) |
| Gingival pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Glossitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Glossodynia | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Haemorrhoidal haemorrhage | n (%) | 1(1.2) | 0 | 1(0.6) |
| Haemorrhoids | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Hypoesthesia oral | n (%) | 0 | 1(1.3) | 1(0.6) |
| Ileus | n (%) | 1(1.2) | 0 | 1(0.6) |
| Large intestine perforation | n (%) | 2(2.4) | 0 | 2(1.2) |
| Lip dry | n (%) | 1(1.2) | 0 | 1(0.6) |
| Lip swelling | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mouth ulceration | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mucous stools | n (%) | 0 | 2(2.5) | 2(1.2) |
| Nausea | n (%) | 40(47.1) | 38(48.1) | 78(47.6) |
| Obstruction gastric | n (%) | 0 | 1(1.3) | 1(0.6) |
| Oesophageal pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Oesophagitis | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Oral discomfort | n (%) | 1(1.2) | 0 | 1(0.6) |
| Oral pain | n (%) | 3(3.5) | 6(7.6) | 9(5.5) |
| Paraesthesia oral | n (%) | 1(1.2) | 0 | 1(0.6) |
| Reflux gastritis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Salivary hypersecretion | n (%) | 0 | 1(1.3) | 1(0.6) |
| Stomatitis | n (%) | 11(12.9) | 10(12.7) | 21(12.8) |
| Swollen tongue | n (%) | 1(1.2) | 0 | 1(0.6) |
| Tongue coated | n (%) | 1(1.2) | 0 | 1(0.6) |
| Vomiting | n (%) | 20(23.5) | 28(35.4) | 48(29.3) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|--|-----------|--------------------|-------------------|--------------------|
| General disorders and administration site conditions | n (%) | 68(80.0) | 60(75.9) | 128(78.0) |
| Asthenia | n (%) | 9(10.6) | 14(17.7) | 23(14.0) |
| Axillary pain | n (%) | 1(1.2) | 3(3.8) | 4(2.4) |
| Catheter site oedema | n (%) | 0 | 1(1.3) | 1(0.6) |
| Catheter site pain | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Chest discomfort | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Chest pain | n (%) | 0 | 2(2.5) | 2(1.2) |
| Chills | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Device dislocation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Early satiety | n (%) | 1(1.2) | 0 | 1(0.6) |
| Effusion | n (%) | 0 | 1(1.3) | 1(0.6) |
| Face oedema | n (%) | 2(2.4) | 3(3.8) | 5(3.0) |
| Facial pain | n (%) | 1(1.2) | 0 | 1(0.6) |
| Fatigue | n (%) | 52(61.2) | 36(45.6) | 88(53.7) |
| Gait disturbance | n (%) | 2(2.4) | 3(3.8) | 5(3.0) |
| Generalised oedema | n (%) | 1(1.2) | 0 | 1(0.6) |
| Influenza like illness | n (%) | 2(2.4) | 3(3.8) | 5(3.0) |
| Injection site pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Localised oedema | n (%) | 3(3.5) | 2(2.5) | 5(3.0) |
| Malaise | n (%) | 0 | 2(2.5) | 2(1.2) |
| Mucosal dryness | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mucosal inflammation | n (%) | 5(5.9) | 3(3.8) | 8(4.9) |
| Multi-organ failure | n (%) | 1(1.2) | 0 | 1(0.6) |
| Non-cardiac chest pain | n (%) | 4(4.7) | 5(6.3) | 9(5.5) |
| Oedema | n (%) | 3(3.5) | 2(2.5) | 5(3.0) |
| Oedema peripheral | n (%) | 26(30.6) | 23(29.1) | 49(29.9) |
| Pain | n (%) | 0 | 4(5.1) | 4(2.4) |
| Pyrexia | n (%) | 6(7.1) | 7(8.9) | 13(7.9) |
| Spinal pain | n (%) | 1(1.2) | 3(3.8) | 4(2.4) |
| Sudden death | n (%) | 1(1.2) | 0 | 1(0.6) |
| Thrombosis in device | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hepatobiliary disorders | n (%) | 4(4.7) | 7(8.9) | 11(6.7) |
| Bile duct stenosis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Cholecystitis | n (%) | 1(1.2) | 0 | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Hepatic failure | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hepatic pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hepatitis | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hyperbilirubinaemia | n (%) | 0 | 2(2.5) | 2(1.2) |
| Hypertransaminasaemia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Jaundice | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Immune system disorders | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Allergic sinusitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hypersensitivity | n (%) | 1(1.2) | 0 | 1(0.6) |
| Seasonal allergy | n (%) | 1(1.2) | 0 | 1(0.6) |
| Infections and infestations | n (%) | 32(37.6) | 38(48.1) | 70(42.7) |
| Atypical pneumonia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Bacterial infection | n (%) | 0 | 1(1.3) | 1(0.6) |
| Bronchitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Candidiasis | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Cellulitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Clostridium difficile infection | n (%) | 0 | 1(1.3) | 1(0.6) |
| Cystitis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Diverticulitis | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Ear infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Eye infection | n (%) | 0 | 3(3.8) | 3(1.8) |
| Fungal infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Fungal skin infection | n (%) | 0 | 1(1.3) | 1(0.6) |
| Furuncle | n (%) | 1(1.2) | 0 | 1(0.6) |
| Herpes zoster | n (%) | 0 | 1(1.3) | 1(0.6) |
| Infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Influenza | n (%) | 0 | 1(1.3) | 1(0.6) |
| Laryngitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Lung infection | n (%) | 0 | 1(1.3) | 1(0.6) |
| Mucosal infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Nail infection | n (%) | 0 | 2(2.5) | 2(1.2) |
| Nasopharyngitis | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Onychomycosis | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Oral candidiasis | n (%) | 3(3.5) | 1(1.3) | 4(2.4) |

Adverse Events are coded using MedDRA version 16.0.

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If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Oral herpes | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Oral infection | n (%) | 2(2.4) | 0 | 2(1.2) |
| Paronychia | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Periodontitis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Pharyngitis | n (%) | 0 | 2(2.5) | 2(1.2) |
| Pneumonia | n (%) | 3(3.5) | 4(5.1) | 7(4.3) |
| Respiratory tract infection | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Rhinitis | n (%) | 3(3.5) | 0 | 3(1.8) |
| Sepsis | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Sinusitis | n (%) | 3(3.5) | 2(2.5) | 5(3.0) |
| Skin infection | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Tinea infection | n (%) | 0 | 1(1.3) | 1(0.6) |
| Upper respiratory tract infection | n (%) | 9(10.6) | 7(8.9) | 16(9.8) |
| Urinary tract infection | n (%) | 5(5.9) | 12(15.2) | 17(10.4) |
| Vaginal infection | n (%) | 2(2.4) | 0 | 2(1.2) |
| Vaginitis bacterial | n (%) | 0 | 1(1.3) | 1(0.6) |
| Viral infection | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Viral upper respiratory tract infection | n (%) | 0 | 1(1.3) | 1(0.6) |
| Vulvovaginal mycotic infection | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Wound infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| | | | | |
| Injury, poisoning and procedural complications | n (%) | 7(8.2) | 14(17.7) | 21(12.8) |
| Ankle fracture | n (%) | 0 | 1(1.3) | 1(0.6) |
| Contrast media reaction | n (%) | 0 | 1(1.3) | 1(0.6) |
| Contusion | n (%) | 0 | 2(2.5) | 2(1.2) |
| Fall | n (%) | 1(1.2) | 4(5.1) | 5(3.0) |
| Femur fracture | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Graft complication | n (%) | 1(1.2) | 0 | 1(0.6) |
| Ilium fracture | n (%) | 0 | 1(1.3) | 1(0.6) |
| Infusion related reaction | n (%) | 3(3.5) | 2(2.5) | 5(3.0) |
| Nail injury | n (%) | 0 | 2(2.5) | 2(1.2) |
| Post procedural discharge | n (%) | 0 | 1(1.3) | 1(0.6) |
| Post procedural haemorrhage | n (%) | 0 | 1(1.3) | 1(0.6) |
| Scar | n (%) | 0 | 1(1.3) | 1(0.6) |
| Wound complication | n (%) | 2(2.4) | 0 | 2(1.2) |

Adverse Events are coded using MedDRA version 16.0.

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If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Investigations | n (%) | 32(37.6) | 33(41.8) | 65(39.6) |
| Alanine aminotransferase increased | n (%) | 6(7.1) | 7(8.9) | 13(7.9) |
| Anion gap decreased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Aspartate aminotransferase increased | n (%) | 11(12.9) | 9(11.4) | 20(12.2) |
| Blood albumin decreased | n (%) | 3(3.5) | 0 | 3(1.8) |
| Blood alkaline phosphatase increased | n (%) | 6(7.1) | 3(3.8) | 9(5.5) |
| Blood bilirubin increased | n (%) | 1(1.2) | 4(5.1) | 5(3.0) |
| Blood calcium decreased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Blood calcium increased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Blood creatine increased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Blood creatinine increased | n (%) | 8(9.4) | 3(3.8) | 11(6.7) |
| Blood folate decreased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Blood glucose increased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Blood lactate dehydrogenase increased | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Blood potassium decreased | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Blood pressure decreased | n (%) | 0 | 3(3.8) | 3(1.8) |
| Blood sodium decreased | n (%) | 0 | 1(1.3) | 1(0.6) |
| C-reactive protein increased | n (%) | 0 | 1(1.3) | 1(0.6) |
| General physical condition normal | n (%) | 1(1.2) | 0 | 1(0.6) |
| Haemoglobin decreased | n (%) | 3(3.5) | 1(1.3) | 4(2.4) |
| Heart rate increased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hepatic enzyme increased | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| International normalised ratio increased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Lipase increased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Lymphocyte count decreased | n (%) | 18(21.2) | 10(12.7) | 28(17.1) |
| Mean cell volume abnormal | n (%) | 0 | 1(1.3) | 1(0.6) |
| Neutrophil count decreased | n (%) | 6(7.1) | 6(7.6) | 12(7.3) |
| Platelet count decreased | n (%) | 4(4.7) | 1(1.3) | 5(3.0) |
| Platelet count increased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Protein total decreased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Vitamin B12 decreased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Vitamin D decreased | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Weight decreased | n (%) | 2(2.4) | 6(7.6) | 8(4.9) |
| Weight increased | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| White blood cell count decreased | n (%) | 8(9.4) | 10(12.7) | 18(11.0) |
| White blood cell count increased | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

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If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Metabolism and nutrition disorders | n (%) | 51(60.0) | 40(50.6) | 91(55.5) |
| Cachexia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Decreased appetite | n (%) | 17(20.0) | 19(24.1) | 36(22.0) |
| Dehydration | n (%) | 4(4.7) | 6(7.6) | 10(6.1) |
| Hypercalcaemia | n (%) | 5(5.9) | 4(5.1) | 9(5.5) |
| Hyperglycaemia | n (%) | 20(23.5) | 7(8.9) | 27(16.5) |
| Hyperkalaemia | n (%) | 5(5.9) | 4(5.1) | 9(5.5) |
| Hypernatraemia | n (%) | 4(4.7) | 0 | 4(2.4) |
| Hyperphosphataemia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hyperuricaemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hypoalbuminaemia | n (%) | 6(7.1) | 5(6.3) | 11(6.7) |
| Hypocalcaemia | n (%) | 8(9.4) | 6(7.6) | 14(8.5) |
| Hypochloraemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hypoglycaemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hypokalaemia | n (%) | 5(5.9) | 8(10.1) | 13(7.9) |
| Hypomagnesaemia | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Hyonatraemia | n (%) | 5(5.9) | 3(3.8) | 8(4.9) |
| Hypophosphataemia | n (%) | 6(7.1) | 6(7.6) | 12(7.3) |
| Increased appetite | n (%) | 1(1.2) | 0 | 1(0.6) |
| Malnutrition | n (%) | 0 | 1(1.3) | 1(0.6) |
| Vitamin D deficiency | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Musculoskeletal and connective tissue disorders | n (%) | 56(65.9) | 45(57.0) | 101(61.6) |
| Arthralgia | n (%) | 17(20.0) | 16(20.3) | 33(20.1) |
| Back pain | n (%) | 8(9.4) | 13(16.5) | 21(12.8) |
| Bone pain | n (%) | 19(22.4) | 14(17.7) | 33(20.1) |
| Flank pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Groin pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Joint range of motion decreased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Joint stiffness | n (%) | 1(1.2) | 0 | 1(0.6) |
| Joint swelling | n (%) | 2(2.4) | 3(3.8) | 5(3.0) |
| Muscle spasms | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Muscle tightness | n (%) | 0 | 1(1.3) | 1(0.6) |
| Muscular weakness | n (%) | 8(9.4) | 7(8.9) | 15(9.1) |
| Musculoskeletal chest pain | n (%) | 5(5.9) | 7(8.9) | 12(7.3) |

Adverse Events are coded using MedDRA version 16.0.

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If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Musculoskeletal pain | n (%) | 5(5.9) | 4(5.1) | 9(5.5) |
| Musculoskeletal stiffness | n (%) | 0 | 1(1.3) | 1(0.6) |
| Myalgia | n (%) | 7(8.2) | 12(15.2) | 19(11.6) |
| Neck pain | n (%) | 2(2.4) | 0 | 2(1.2) |
| Osteonecrosis of jaw | n (%) | 0 | 1(1.3) | 1(0.6) |
| Pain in extremity | n (%) | 13(15.3) | 8(10.1) | 21(12.8) |
| Pain in jaw | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Pathological fracture | n (%) | 0 | 1(1.3) | 1(0.6) |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) | n (%) | 0 | 3(3.8) | 3(1.8) |
| Metastases to meninges | n (%) | 0 | 1(1.3) | 1(0.6) |
| Skin papilloma | n (%) | 0 | 1(1.3) | 1(0.6) |
| Tumour haemorrhage | n (%) | 0 | 1(1.3) | 1(0.6) |
| Nervous system disorders | n (%) | 55(64.7) | 56(70.9) | 111(67.7) |
| Burning sensation | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Convulsion | n (%) | 0 | 1(1.3) | 1(0.6) |
| Disturbance in attention | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Dizziness | n (%) | 11(12.9) | 19(24.1) | 30(18.3) |
| Dysgeusia | n (%) | 12(14.1) | 17(21.5) | 29(17.7) |
| Epilepsy | n (%) | 0 | 1(1.3) | 1(0.6) |
| Headache | n (%) | 19(22.4) | 24(30.4) | 43(26.2) |
| Hyperesthesia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hypersomnia | n (%) | 0 | 2(2.5) | 2(1.2) |
| Hypoesthesia | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Memory impairment | n (%) | 0 | 1(1.3) | 1(0.6) |
| Migraine | n (%) | 1(1.2) | 0 | 1(0.6) |
| Neuralgia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Neuropathy peripheral | n (%) | 19(22.4) | 19(24.1) | 38(23.2) |
| Paraesthesia | n (%) | 3(3.5) | 6(7.6) | 9(5.5) |
| Parkinson's disease | n (%) | 1(1.2) | 0 | 1(0.6) |
| Parosmia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Peripheral motor neuropathy | n (%) | 1(1.2) | 0 | 1(0.6) |
| Peripheral sensorimotor neuropathy | n (%) | 4(4.7) | 1(1.3) | 5(3.0) |
| Peripheral sensory neuropathy | n (%) | 10(11.8) | 14(17.7) | 24(14.6) |

Adverse Events are coded using MedDRA version 16.0.

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If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Polyneuropathy | n (%) | 3(3.5) | 2(2.5) | 5(3.0) |
| Reflexes abnormal | n (%) | 1(1.2) | 0 | 1(0.6) |
| Restless legs syndrome | n (%) | 0 | 1(1.3) | 1(0.6) |
| Sinus headache | n (%) | 1(1.2) | 0 | 1(0.6) |
| Somnolence | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Syncope | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Tremor | n (%) | 3(3.5) | 0 | 3(1.8) |
| Trigeminal neuralgia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Psychiatric disorders | n (%) | 25(29.4) | 17(21.5) | 42(25.6) |
| Affective disorder | n (%) | 0 | 1(1.3) | 1(0.6) |
| Anxiety | n (%) | 7(8.2) | 5(6.3) | 12(7.3) |
| Confusional state | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Depression | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Disorientation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Insomnia | n (%) | 13(15.3) | 11(13.9) | 24(14.6) |
| Mental status changes | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mood altered | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Panic attack | n (%) | 0 | 1(1.3) | 1(0.6) |
| Restlessness | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Renal and urinary disorders | n (%) | 4(4.7) | 7(8.9) | 11(6.7) |
| Dysuria | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Haematuria | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hydronephrosis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Micturition urgency | n (%) | 1(1.2) | 0 | 1(0.6) |
| Pollakiuria | n (%) | 0 | 1(1.3) | 1(0.6) |
| Renal failure acute | n (%) | 1(1.2) | 0 | 1(0.6) |
| Urinary incontinence | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Urinary tract inflammation | n (%) | 0 | 1(1.3) | 1(0.6) |
| Reproductive system and breast disorders | n (%) | 5(5.9) | 3(3.8) | 8(4.9) |
| Breast pain | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Ovarian vein thrombosis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Premature menopause | n (%) | 1(1.2) | 0 | 1(0.6) |
| Vaginal discharge | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|--|--------------|--------------------|-------------------|--------------------|
| Vulvovaginal dryness | n (%) | 1(1.2) | 0 | 1(0.6) |
| Vulvovaginal pruritus | n (%) | 2(2.4) | 0 | 2(1.2) |
| Respiratory, thoracic and mediastinal disorders | n (%) | 43(50.6) | 36(45.6) | 79(48.2) |
| Allergic sinusitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Atelectasis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Cough | n (%) | 17(20.0) | 10(12.7) | 27(16.5) |
| Dysphonia | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Dyspnoea | n (%) | 16(18.8) | 12(15.2) | 28(17.1) |
| Dyspnoea exertional | n (%) | 4(4.7) | 3(3.8) | 7(4.3) |
| Epistaxis | n (%) | 2(2.4) | 5(6.3) | 7(4.3) |
| Hypoxia | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Laryngeal inflammation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Nasal congestion | n (%) | 9(10.6) | 1(1.3) | 10(6.1) |
| Nasal dryness | n (%) | 0 | 1(1.3) | 1(0.6) |
| Nasal obstruction | n (%) | 1(1.2) | 0 | 1(0.6) |
| Nasal ulcer | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Oropharyngeal pain | n (%) | 4(4.7) | 10(12.7) | 14(8.5) |
| Pharyngeal inflammation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Pleural effusion | n (%) | 5(5.9) | 2(2.5) | 7(4.3) |
| Pleuritic pain | n (%) | 1(1.2) | 0 | 1(0.6) |
| Productive cough | n (%) | 6(7.1) | 0 | 6(3.7) |
| Pulmonary embolism | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Pulmonary haemorrhage | n (%) | 1(1.2) | 0 | 1(0.6) |
| Respiratory failure | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Respiratory tract inflammation | n (%) | 0 | 1(1.3) | 1(0.6) |
| Rhinitis allergic | n (%) | 0 | 2(2.5) | 2(1.2) |
| Rhinorrhoea | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Sinus congestion | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Upper respiratory tract congestion | n (%) | 1(1.2) | 0 | 1(0.6) |
| Upper-airway cough syndrome | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Wheezing | n (%) | 2(2.4) | 3(3.8) | 5(3.0) |
| Skin and subcutaneous tissue disorders | n (%) | 56(65.9) | 61(77.2) | 117(71.3) |
| Alopecia | n (%) | 38(44.7) | 51(64.6) | 89(54.3) |
| Blister | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Dermatitis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Dermatitis acneiform | n (%) | 2(2.4) | 3(3.8) | 5(3.0) |
| Dry skin | n (%) | 2(2.4) | 10(12.7) | 12(7.3) |
| Eczema | n (%) | 1(1.2) | 0 | 1(0.6) |
| Erythema | n (%) | 3(3.5) | 0 | 3(1.8) |
| Erythema multiforme | n (%) | 0 | 1(1.3) | 1(0.6) |
| Exfoliative rash | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hyperhidrosis | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Madarosis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Nail bed disorder | n (%) | 1(1.2) | 0 | 1(0.6) |
| Nail bed tenderness | n (%) | 1(1.2) | 0 | 1(0.6) |
| Nail discolouration | n (%) | 5(5.9) | 10(12.7) | 15(9.1) |
| Nail disorder | n (%) | 4(4.7) | 2(2.5) | 6(3.7) |
| Nail growth abnormal | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Nail ridging | n (%) | 4(4.7) | 3(3.8) | 7(4.3) |
| Night sweats | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Onychalgia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Onychoclasia | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Onycholysis | n (%) | 2(2.4) | 0 | 2(1.2) |
| Onychomadesis | n (%) | 2(2.4) | 5(6.3) | 7(4.3) |
| Pain of skin | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Palmar erythema | n (%) | 0 | 1(1.3) | 1(0.6) |
| Palmar-plantar erythrodysaesthesia syndrome | n (%) | 3(3.5) | 4(5.1) | 7(4.3) |
| Pruritus | n (%) | 10(11.8) | 7(8.9) | 17(10.4) |
| Pruritus generalised | n (%) | 1(1.2) | 0 | 1(0.6) |
| Rash | n (%) | 7(8.2) | 10(12.7) | 17(10.4) |
| Rash erythematous | n (%) | 1(1.2) | 0 | 1(0.6) |
| Rash follicular | n (%) | 1(1.2) | 0 | 1(0.6) |
| Rash maculo-papular | n (%) | 10(11.8) | 7(8.9) | 17(10.4) |
| Rash pruritic | n (%) | 0 | 1(1.3) | 1(0.6) |
| Rosacea | n (%) | 1(1.2) | 0 | 1(0.6) |
| Scar pain | n (%) | 2(2.4) | 0 | 2(1.2) |
| Skin discolouration | n (%) | 1(1.2) | 0 | 1(0.6) |
| Skin disorder | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Skin exfoliation | n (%) | 3(3.5) | 0 | 3(1.8) |
| Skin lesion | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2A
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Skin ulcer | n (%) | 0 | 1(1.3) | 1(0.6) |
| Swelling face | n (%) | 3(3.5) | 0 | 3(1.8) |
| Urticaria | n (%) | 0 | 2(2.5) | 2(1.2) |
| Surgical and medical procedures | n (%) | 0 | 1(1.3) | 1(0.6) |
| Colporrhaphy | n (%) | 0 | 1(1.3) | 1(0.6) |
| Vascular disorders | n (%) | 12(14.1) | 19(24.1) | 31(18.9) |
| Deep vein thrombosis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Embolism | n (%) | 0 | 1(1.3) | 1(0.6) |
| Flushing | n (%) | 4(4.7) | 4(5.1) | 8(4.9) |
| Hot flush | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Hypertension | n (%) | 3(3.5) | 2(2.5) | 5(3.0) |
| Hypotension | n (%) | 3(3.5) | 5(6.3) | 8(4.9) |
| Lymphoedema | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Varicose vein | n (%) | 0 | 1(1.3) | 1(0.6) |
| Vena cava thrombosis | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2B

Treatment-Emergent Adverse Events by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---|-----------|--------------------|-------------------|-------------------|
| At Least 1 TEAE | n (%) | 23(100.0) | 22(95.7) | 45(97.8) |
| Blood and lymphatic system disorders | n (%) | 13(56.5) | 9(39.1) | 22(47.8) |
| Anaemia | n (%) | 11(47.8) | 4(17.4) | 15(32.6) |
| Febrile neutropenia | n (%) | 2(8.7) | 0 | 2(4.3) |
| Granulocytopenia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Leukocytosis | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Lymphopenia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Neutropenia | n (%) | 2(8.7) | 2(8.7) | 4(8.7) |
| Thrombocytopenia | n (%) | 1(4.3) | 0 | 1(2.2) |
| Thrombocytosis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Cardiac disorders | n (%) | 3(13.0) | 2(8.7) | 5(10.9) |
| Angina pectoris | n (%) | 1(4.3) | 0 | 1(2.2) |
| Sinus tachycardia | n (%) | 2(8.7) | 2(8.7) | 4(8.7) |
| Ear and labyrinth disorders | n (%) | 1(4.3) | 4(17.4) | 5(10.9) |
| Ear pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Tinnitus | n (%) | 0 | 2(8.7) | 2(4.3) |
| Vertigo | n (%) | 0 | 2(8.7) | 2(4.3) |
| Vestibular disorder | n (%) | 0 | 1(4.3) | 1(2.2) |
| Eye disorders | n (%) | 6(26.1) | 9(39.1) | 15(32.6) |
| Altered visual depth perception | n (%) | 0 | 1(4.3) | 1(2.2) |
| Conjunctivitis | n (%) | 2(8.7) | 0 | 2(4.3) |
| Dry eye | n (%) | 0 | 1(4.3) | 1(2.2) |
| Eye pain | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Lacrimation increased | n (%) | 2(8.7) | 5(21.7) | 7(15.2) |
| Ocular hyperaemia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Photophobia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Vision blurred | n (%) | 2(8.7) | 4(17.4) | 6(13.0) |
| Visual impairment | n (%) | 0 | 1(4.3) | 1(2.2) |
| Gastrointestinal disorders | n (%) | 16(69.6) | 19(82.6) | 35(76.1) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2B

Treatment-Emergent Adverse Events by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|--|-----------|--------------------|-------------------|-------------------|
| Abdominal distension | n (%) | 0 | 1(4.3) | 1(2.2) |
| Abdominal pain | n (%) | 4(17.4) | 6(26.1) | 10(21.7) |
| Abdominal pain upper | n (%) | 1(4.3) | 3(13.0) | 4(8.7) |
| Colitis | n (%) | 1(4.3) | 0 | 1(2.2) |
| Constipation | n (%) | 7(30.4) | 7(30.4) | 14(30.4) |
| Diarrhoea | n (%) | 9(39.1) | 9(39.1) | 18(39.1) |
| Dry mouth | n (%) | 2(8.7) | 0 | 2(4.3) |
| Dysphagia | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Flatulence | n (%) | 0 | 1(4.3) | 1(2.2) |
| Gastrointestinal haemorrhage | n (%) | 1(4.3) | 0 | 1(2.2) |
| Glossodynia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Haemorrhoids | n (%) | 0 | 1(4.3) | 1(2.2) |
| Lip dry | n (%) | 1(4.3) | 0 | 1(2.2) |
| Mucous stools | n (%) | 0 | 1(4.3) | 1(2.2) |
| Nausea | n (%) | 10(43.5) | 15(65.2) | 25(54.3) |
| Oral pain | n (%) | 2(8.7) | 2(8.7) | 4(8.7) |
| Reflux gastritis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Stomatitis | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Vomiting | n (%) | 7(30.4) | 7(30.4) | 14(30.4) |
| General disorders and administration site conditions | n (%) | 19(82.6) | 15(65.2) | 34(73.9) |
| Asthenia | n (%) | 3(13.0) | 2(8.7) | 5(10.9) |
| Axillary pain | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Chest discomfort | n (%) | 0 | 1(4.3) | 1(2.2) |
| Chest pain | n (%) | 0 | 1(4.3) | 1(2.2) |
| Chills | n (%) | 0 | 2(8.7) | 2(4.3) |
| Device dislocation | n (%) | 1(4.3) | 0 | 1(2.2) |
| Effusion | n (%) | 0 | 1(4.3) | 1(2.2) |
| Face oedema | n (%) | 0 | 2(8.7) | 2(4.3) |
| Fatigue | n (%) | 16(69.6) | 11(47.8) | 27(58.7) |
| Gait disturbance | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Injection site pain | n (%) | 0 | 1(4.3) | 1(2.2) |
| Localised oedema | n (%) | 0 | 1(4.3) | 1(2.2) |
| Mucosal inflammation | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2B

Treatment-Emergent Adverse Events by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---|-----------|--------------------|-------------------|-------------------|
| Non-cardiac chest pain | n (%) | 2(8.7) | 3(13.0) | 5(10.9) |
| Oedema | n (%) | 2(8.7) | 0 | 2(4.3) |
| Oedema peripheral | n (%) | 7(30.4) | 5(21.7) | 12(26.1) |
| Pyrexia | n (%) | 3(13.0) | 2(8.7) | 5(10.9) |
| Thrombosis in device | n (%) | 0 | 1(4.3) | 1(2.2) |
| Hepatobiliary disorders | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Bile duct stenosis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Hepatic failure | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hepatic pain | n (%) | 0 | 1(4.3) | 1(2.2) |
| Hepatitis | n (%) | 1(4.3) | 0 | 1(2.2) |
| Infections and infestations | n (%) | 10(43.5) | 11(47.8) | 21(45.7) |
| Atypical pneumonia | n (%) | 1(4.3) | 0 | 1(2.2) |
| Bronchitis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Candidiasis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Diverticulitis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Eye infection | n (%) | 0 | 2(8.7) | 2(4.3) |
| Infection | n (%) | 1(4.3) | 0 | 1(2.2) |
| Laryngitis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Nail infection | n (%) | 0 | 1(4.3) | 1(2.2) |
| Nasopharyngitis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Onychomycosis | n (%) | 1(4.3) | 0 | 1(2.2) |
| Oral candidiasis | n (%) | 2(8.7) | 0 | 2(4.3) |
| Oral herpes | n (%) | 1(4.3) | 0 | 1(2.2) |
| Oral infection | n (%) | 1(4.3) | 0 | 1(2.2) |
| Paronychia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Pharyngitis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Pneumonia | n (%) | 3(13.0) | 2(8.7) | 5(10.9) |
| Rhinitis | n (%) | 1(4.3) | 0 | 1(2.2) |
| Sepsis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Sinusitis | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Skin infection | n (%) | 0 | 1(4.3) | 1(2.2) |
| Upper respiratory tract infection | n (%) | 2(8.7) | 3(13.0) | 5(10.9) |
| Urinary tract infection | n (%) | 1(4.3) | 5(21.7) | 6(13.0) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2B
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic n (%) | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---|--------------------|--------------------|-------------------|-------------------|
| Vulvovaginal mycotic infection | | 1(4.3) | 0 | 1(2.2) |
| Injury, poisoning and procedural complications | n (%) | 2(8.7) | 5(21.7) | 7(15.2) |
| Ankle fracture | n (%) | 0 | 1(4.3) | 1(2.2) |
| Contusion | n (%) | 0 | 1(4.3) | 1(2.2) |
| Fall | n (%) | 0 | 2(8.7) | 2(4.3) |
| Infusion related reaction | n (%) | 1(4.3) | 0 | 1(2.2) |
| Nail injury | n (%) | 0 | 2(8.7) | 2(4.3) |
| Post procedural discharge | n (%) | 0 | 1(4.3) | 1(2.2) |
| Wound complication | n (%) | 1(4.3) | 0 | 1(2.2) |
| Investigations | n (%) | 7(30.4) | 12(52.2) | 19(41.3) |
| Alanine aminotransferase increased | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Aspartate aminotransferase increased | n (%) | 1(4.3) | 3(13.0) | 4(8.7) |
| Blood alkaline phosphatase increased | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Blood bilirubin increased | n (%) | 0 | 2(8.7) | 2(4.3) |
| Blood pressure decreased | n (%) | 0 | 2(8.7) | 2(4.3) |
| Haemoglobin decreased | n (%) | 1(4.3) | 0 | 1(2.2) |
| Lymphocyte count decreased | n (%) | 6(26.1) | 5(21.7) | 11(23.9) |
| Neutrophil count decreased | n (%) | 2(8.7) | 2(8.7) | 4(8.7) |
| Platelet count decreased | n (%) | 0 | 1(4.3) | 1(2.2) |
| Weight decreased | n (%) | 0 | 3(13.0) | 3(6.5) |
| Weight increased | n (%) | 0 | 1(4.3) | 1(2.2) |
| White blood cell count decreased | n (%) | 4(17.4) | 4(17.4) | 8(17.4) |
| Metabolism and nutrition disorders | n (%) | 13(56.5) | 15(65.2) | 28(60.9) |
| Decreased appetite | n (%) | 4(17.4) | 7(30.4) | 11(23.9) |
| Dehydration | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hypercalcaemia | n (%) | 0 | 2(8.7) | 2(4.3) |
| Hyperglycaemia | n (%) | 3(13.0) | 2(8.7) | 5(10.9) |
| Hyperkalaemia | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Hypernatraemia | n (%) | 3(13.0) | 0 | 3(6.5) |
| Hyperphosphataemia | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hypoalbuminaemia | n (%) | 4(17.4) | 2(8.7) | 6(13.0) |
| Hypocalcaemia | n (%) | 3(13.0) | 3(13.0) | 6(13.0) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2B

Treatment-Emergent Adverse Events by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---|-----------|--------------------|-------------------|-------------------|
| Hypokalaemia | n (%) | 3(13.0) | 1(4.3) | 4(8.7) |
| Hypomagnesaemia | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hyponatraemia | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Hypophosphataemia | n (%) | 4(17.4) | 3(13.0) | 7(15.2) |
| Increased appetite | n (%) | 1(4.3) | 0 | 1(2.2) |
| Musculoskeletal and connective tissue disorders | n (%) | 16(69.6) | 10(43.5) | 26(56.5) |
| Arthralgia | n (%) | 2(8.7) | 4(17.4) | 6(13.0) |
| Back pain | n (%) | 3(13.0) | 4(17.4) | 7(15.2) |
| Bone pain | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Joint stiffness | n (%) | 1(4.3) | 0 | 1(2.2) |
| Joint swelling | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Muscle spasms | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Muscular weakness | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Musculoskeletal chest pain | n (%) | 3(13.0) | 2(8.7) | 5(10.9) |
| Musculoskeletal pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Musculoskeletal stiffness | n (%) | 0 | 1(4.3) | 1(2.2) |
| Myalgia | n (%) | 1(4.3) | 3(13.0) | 4(8.7) |
| Neck pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Pain in extremity | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Nervous system disorders | n (%) | 14(60.9) | 19(82.6) | 33(71.7) |
| Convulsion | n (%) | 0 | 1(4.3) | 1(2.2) |
| Dizziness | n (%) | 3(13.0) | 7(30.4) | 10(21.7) |
| Dysgeusia | n (%) | 1(4.3) | 3(13.0) | 4(8.7) |
| Headache | n (%) | 7(30.4) | 9(39.1) | 16(34.8) |
| Hyperaesthesia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Hypoesthesia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Neuropathy peripheral | n (%) | 6(26.1) | 8(34.8) | 14(30.4) |
| Peripheral sensorimotor neuropathy | n (%) | 0 | 1(4.3) | 1(2.2) |
| Peripheral sensory neuropathy | n (%) | 4(17.4) | 4(17.4) | 8(17.4) |
| Syncope | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Tremor | n (%) | 1(4.3) | 0 | 1(2.2) |
| Psychiatric disorders | n (%) | 5(21.7) | 4(17.4) | 9(19.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2B
Treatment-Emergent Adverse Events by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---|-----------|--------------------|-------------------|-------------------|
| Anxiety | n (%) | 0 | 1(4.3) | 1(2.2) |
| Confusional state | n (%) | 0 | 1(4.3) | 1(2.2) |
| Insomnia | n (%) | 4(17.4) | 3(13.0) | 7(15.2) |
| Mental status changes | n (%) | 1(4.3) | 0 | 1(2.2) |
| Restlessness | n (%) | 1(4.3) | 0 | 1(2.2) |
| Renal and urinary disorders | n (%) | 3(13.0) | 4(17.4) | 7(15.2) |
| Dysuria | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Hydronephrosis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Micturition urgency | n (%) | 1(4.3) | 0 | 1(2.2) |
| Renal failure acute | n (%) | 1(4.3) | 0 | 1(2.2) |
| Urinary incontinence | n (%) | 0 | 1(4.3) | 1(2.2) |
| Urinary tract inflammation | n (%) | 0 | 1(4.3) | 1(2.2) |
| Reproductive system and breast disorders | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Breast pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Vaginal discharge | n (%) | 0 | 1(4.3) | 1(2.2) |
| Vulvovaginal dryness | n (%) | 1(4.3) | 0 | 1(2.2) |
| Respiratory, thoracic and mediastinal disorders | n (%) | 15(65.2) | 12(52.2) | 27(58.7) |
| Atelectasis | n (%) | 1(4.3) | 0 | 1(2.2) |
| Cough | n (%) | 6(26.1) | 5(21.7) | 11(23.9) |
| Dyspnoea | n (%) | 8(34.8) | 2(8.7) | 10(21.7) |
| Dyspnoea exertional | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Epistaxis | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Hypoxia | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Nasal congestion | n (%) | 3(13.0) | 0 | 3(6.5) |
| Oropharyngeal pain | n (%) | 1(4.3) | 5(21.7) | 6(13.0) |
| Pleural effusion | n (%) | 3(13.0) | 1(4.3) | 4(8.7) |
| Productive cough | n (%) | 2(8.7) | 0 | 2(4.3) |
| Pulmonary embolism | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Pulmonary haemorrhage | n (%) | 1(4.3) | 0 | 1(2.2) |
| Respiratory failure | n (%) | 1(4.3) | 0 | 1(2.2) |
| Respiratory tract inflammation | n (%) | 0 | 1(4.3) | 1(2.2) |
| Rhinorrhoea | n (%) | 0 | 1(4.3) | 1(2.2) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.2B

Treatment-Emergent Adverse Events by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---|-----------|--------------------|-------------------|-------------------|
| Upper respiratory tract congestion | n (%) | 1(4.3) | 0 | 1(2.2) |
| Wheezing | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Skin and subcutaneous tissue disorders | n (%) | 13(56.5) | 20(87.0) | 33(71.7) |
| Alopecia | n (%) | 6(26.1) | 17(73.9) | 23(50.0) |
| Dermatitis acneiform | n (%) | 0 | 2(8.7) | 2(4.3) |
| Dry skin | n (%) | 0 | 3(13.0) | 3(6.5) |
| Eczema | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hyperhidrosis | n (%) | 0 | 2(8.7) | 2(4.3) |
| Nail bed disorder | n (%) | 1(4.3) | 0 | 1(2.2) |
| Nail discolouration | n (%) | 0 | 2(8.7) | 2(4.3) |
| Nail ridging | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Onychoclasia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Onychomadesis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Palmar-plantar erythrodysaesthesia syndrome | n (%) | 0 | 1(4.3) | 1(2.2) |
| Pruritus | n (%) | 2(8.7) | 2(8.7) | 4(8.7) |
| Rash | n (%) | 2(8.7) | 2(8.7) | 4(8.7) |
| Rash maculo-papular | n (%) | 4(17.4) | 3(13.0) | 7(15.2) |
| Rash pruritic | n (%) | 0 | 1(4.3) | 1(2.2) |
| Skin disorder | n (%) | 1(4.3) | 0 | 1(2.2) |
| Skin exfoliation | n (%) | 1(4.3) | 0 | 1(2.2) |
| Urticaria | n (%) | 0 | 1(4.3) | 1(2.2) |
| Vascular disorders | n (%) | 1(4.3) | 6(26.1) | 7(15.2) |
| Flushing | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Hot flush | n (%) | 0 | 2(8.7) | 2(4.3) |
| Lymphoedema | n (%) | 0 | 2(8.7) | 2(4.3) |
| Varicose vein | n (%) | 0 | 1(4.3) | 1(2.2) |
| Vena cava thrombosis | n (%) | 0 | 1(4.3) | 1(2.2) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.3A
Related Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| At Least 1 Treatment Related TEAE | n (%) | 58(68.2) | 63(79.7) | 121(73.8) |
| Blood and lymphatic system disorders | n (%) | 13(15.3) | 9(11.4) | 22(13.4) |
| Anaemia | n (%) | 10(11.8) | 4(5.1) | 14(8.5) |
| Febrile neutropenia | n (%) | 0 | 2(2.5) | 2(1.2) |
| Leukopenia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Neutropenia | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Pancytopenia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Thrombocytopenia | n (%) | 0 | 2(2.5) | 2(1.2) |
| Thrombocytosis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Cardiac disorders | n (%) | 1(1.2) | 0 | 1(0.6) |
| Arrhythmia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Ear and labyrinth disorders | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hypoacusis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Vertigo | n (%) | 1(1.2) | 0 | 1(0.6) |
| Endocrine disorders | n (%) | 0 | 1(1.3) | 1(0.6) |
| Adrenal insufficiency | n (%) | 0 | 1(1.3) | 1(0.6) |
| Eye disorders | n (%) | 9(10.6) | 10(12.7) | 19(11.6) |
| Dry eye | n (%) | 0 | 3(3.8) | 3(1.8) |
| Eye irritation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Eyelid oedema | n (%) | 1(1.2) | 0 | 1(0.6) |
| Lacrimation increased | n (%) | 3(3.5) | 5(6.3) | 8(4.9) |
| Photophobia | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Photopsia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Vision blurred | n (%) | 6(7.1) | 5(6.3) | 11(6.7) |
| Gastrointestinal disorders | n (%) | 32(37.6) | 39(49.4) | 71(43.3) |
| Abdominal distension | n (%) | 1(1.2) | 4(5.1) | 5(3.0) |
| Abdominal pain | n (%) | 3(3.5) | 5(6.3) | 8(4.9) |
| Abdominal pain upper | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Colitis | n (%) | 1(1.2) | 0 | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 05/06/2018 23:10

Table 14.3.1.3A
Related Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|--|-----------|--------------------|-------------------|--------------------|
| Constipation | n (%) | 9(10.6) | 6(7.6) | 15(9.1) |
| Diarrhoea | n (%) | 8(9.4) | 16(20.3) | 24(14.6) |
| Dry mouth | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Dyspepsia | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Dysphagia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Faecal incontinence | n (%) | 1(1.2) | 0 | 1(0.6) |
| Gastric dilatation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Gastrooesophageal reflux disease | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Lip dry | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mucous stools | n (%) | 0 | 1(1.3) | 1(0.6) |
| Nausea | n (%) | 14(16.5) | 21(26.6) | 35(21.3) |
| Oral pain | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Paraesthesia oral | n (%) | 1(1.2) | 0 | 1(0.6) |
| Reflux gastritis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Stomatitis | n (%) | 4(4.7) | 2(2.5) | 6(3.7) |
| Vomiting | n (%) | 7(8.2) | 9(11.4) | 16(9.8) |
| General disorders and administration site conditions | n (%) | 30(35.3) | 36(45.6) | 66(40.2) |
| Asthenia | n (%) | 3(3.5) | 7(8.9) | 10(6.1) |
| Chills | n (%) | 0 | 1(1.3) | 1(0.6) |
| Early satiety | n (%) | 1(1.2) | 0 | 1(0.6) |
| Effusion | n (%) | 0 | 1(1.3) | 1(0.6) |
| Face oedema | n (%) | 0 | 1(1.3) | 1(0.6) |
| Fatigue | n (%) | 25(29.4) | 27(34.2) | 52(31.7) |
| Gait disturbance | n (%) | 0 | 1(1.3) | 1(0.6) |
| Influenza like illness | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Malaise | n (%) | 0 | 1(1.3) | 1(0.6) |
| Mucosal dryness | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mucosal inflammation | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Oedema | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Oedema peripheral | n (%) | 2(2.4) | 7(8.9) | 9(5.5) |
| Pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Pyrexia | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hepatobiliary disorders | n (%) | 0 | 2(2.5) | 2(1.2) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 05/06/2018 23:10

Table 14.3.1.3A
Related Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Hepatitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Jaundice | n (%) | 0 | 1(1.3) | 1(0.6) |
| Infections and infestations | n (%) | 5(5.9) | 3(3.8) | 8(4.9) |
| Infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mucosal infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Nail infection | n (%) | 0 | 1(1.3) | 1(0.6) |
| Onychomycosis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Oral candidiasis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Pneumonia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Upper respiratory tract infection | n (%) | 2(2.4) | 0 | 2(1.2) |
| Vulvovaginal mycotic infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Wound infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Injury, poisoning and procedural complications | n (%) | 1(1.2) | 0 | 1(0.6) |
| Wound complication | n (%) | 1(1.2) | 0 | 1(0.6) |
| Investigations | n (%) | 16(18.8) | 14(17.7) | 30(18.3) |
| Alanine aminotransferase increased | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Aspartate aminotransferase increased | n (%) | 3(3.5) | 2(2.5) | 5(3.0) |
| Blood albumin decreased | n (%) | 2(2.4) | 0 | 2(1.2) |
| Blood alkaline phosphatase increased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Blood bilirubin increased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Blood calcium decreased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Blood creatinine increased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Blood pressure decreased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Blood sodium decreased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Haemoglobin decreased | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Lipase increased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Lymphocyte count decreased | n (%) | 12(14.1) | 7(8.9) | 19(11.6) |
| Neutrophil count decreased | n (%) | 4(4.7) | 3(3.8) | 7(4.3) |
| Platelet count decreased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Weight decreased | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| White blood cell count decreased | n (%) | 5(5.9) | 6(7.6) | 11(6.7) |
| White blood cell count increased | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 05/06/2018 23:10

Table 14.3.1.3A
Related Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Metabolism and nutrition disorders | n (%) | 20(23.5) | 20(25.3) | 40(24.4) |
| Decreased appetite | n (%) | 10(11.8) | 11(13.9) | 21(12.8) |
| Dehydration | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hyperglycaemia | n (%) | 5(5.9) | 2(2.5) | 7(4.3) |
| Hyperkalaemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hypernatraemia | n (%) | 2(2.4) | 0 | 2(1.2) |
| Hyperuricaemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hypoalbuminaemia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hypocalcaemia | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Hypoglycaemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hypokalaemia | n (%) | 1(1.2) | 3(3.8) | 4(2.4) |
| Hypomagnesaemia | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hyponatraemia | n (%) | 0 | 2(2.5) | 2(1.2) |
| Hypophosphataemia | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Malnutrition | n (%) | 0 | 1(1.3) | 1(0.6) |
| Musculoskeletal and connective tissue disorders | n (%) | 10(11.8) | 13(16.5) | 23(14.0) |
| Arthralgia | n (%) | 4(4.7) | 2(2.5) | 6(3.7) |
| Back pain | n (%) | 0 | 4(5.1) | 4(2.4) |
| Bone pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Flank pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Groin pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Muscle spasms | n (%) | 1(1.2) | 0 | 1(0.6) |
| Muscle tightness | n (%) | 0 | 1(1.3) | 1(0.6) |
| Muscular weakness | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Musculoskeletal pain | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Myalgia | n (%) | 2(2.4) | 3(3.8) | 5(3.0) |
| Pain in extremity | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Nervous system disorders | n (%) | 15(17.6) | 25(31.6) | 40(24.4) |
| Burning sensation | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Disturbance in attention | n (%) | 2(2.4) | 0 | 2(1.2) |
| Dizziness | n (%) | 2(2.4) | 5(6.3) | 7(4.3) |
| Dysgeusia | n (%) | 4(4.7) | 8(10.1) | 12(7.3) |
| Headache | n (%) | 9(10.6) | 11(13.9) | 20(12.2) |
| Hypersomnia | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 05/06/2018 23:10

Table 14.3.1.3A
Related Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Neuropathy peripheral | n (%) | 3(3.5) | 2(2.5) | 5(3.0) |
| Paraesthesia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Parkinson's disease | n (%) | 1(1.2) | 0 | 1(0.6) |
| Parosmia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Peripheral sensory neuropathy | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Somnolence | n (%) | 0 | 1(1.3) | 1(0.6) |
| Tremor | n (%) | 1(1.2) | 0 | 1(0.6) |
| Psychiatric disorders | n (%) | 3(3.5) | 5(6.3) | 8(4.9) |
| Anxiety | n (%) | 1(1.2) | 0 | 1(0.6) |
| Confusional state | n (%) | 0 | 2(2.5) | 2(1.2) |
| Insomnia | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Mood altered | n (%) | 0 | 1(1.3) | 1(0.6) |
| Restlessness | n (%) | 0 | 1(1.3) | 1(0.6) |
| Reproductive system and breast disorders | n (%) | 1(1.2) | 0 | 1(0.6) |
| Vulvovaginal pruritus | n (%) | 1(1.2) | 0 | 1(0.6) |
| Respiratory, thoracic and mediastinal disorders | n (%) | 9(10.6) | 8(10.1) | 17(10.4) |
| Cough | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Dyspnoea | n (%) | 4(4.7) | 2(2.5) | 6(3.7) |
| Laryngeal inflammation | n (%) | 1(1.2) | 0 | 1(0.6) |
| Nasal ulcer | n (%) | 0 | 1(1.3) | 1(0.6) |
| Oropharyngeal pain | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Pleural effusion | n (%) | 1(1.2) | 0 | 1(0.6) |
| Productive cough | n (%) | 1(1.2) | 0 | 1(0.6) |
| Rhinorrhoea | n (%) | 1(1.2) | 0 | 1(0.6) |
| Upper-airway cough syndrome | n (%) | 1(1.2) | 0 | 1(0.6) |
| Wheezing | n (%) | 0 | 1(1.3) | 1(0.6) |
| Skin and subcutaneous tissue disorders | n (%) | 15(17.6) | 26(32.9) | 41(25.0) |
| Alopecia | n (%) | 6(7.1) | 10(12.7) | 16(9.8) |
| Dermatitis acneiform | n (%) | 0 | 1(1.3) | 1(0.6) |
| Dry skin | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Erythema multiforme | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hyperhidrosis | n (%) | 0 | 2(2.5) | 2(1.2) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 05/06/2018 23:10

Table 14.3.1.3A
Related Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Nail discolouration | n (%) | 0 | 1(1.3) | 1(0.6) |
| Night sweats | n (%) | 0 | 1(1.3) | 1(0.6) |
| Onychoclasia | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Onychomadesis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Pain of skin | n (%) | 1(1.2) | 0 | 1(0.6) |
| Palmar erythema | n (%) | 0 | 1(1.3) | 1(0.6) |
| Palmar-plantar erythrodysaesthesia syndrome | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Pruritus | n (%) | 3(3.5) | 2(2.5) | 5(3.0) |
| Rash | n (%) | 4(4.7) | 6(7.6) | 10(6.1) |
| Rash maculo-papular | n (%) | 4(4.7) | 5(6.3) | 9(5.5) |
| Skin disorder | n (%) | 0 | 1(1.3) | 1(0.6) |
| Skin lesion | n (%) | 0 | 1(1.3) | 1(0.6) |
| | | | | |
| Vascular disorders | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Flushing | n (%) | 2(2.4) | 0 | 2(1.2) |
| Hot flush | n (%) | 0 | 3(3.8) | 3(1.8) |
| Hypotension | n (%) | 0 | 1(1.3) | 1(0.6) |
| Lymphoedema | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 05/06/2018 23:10

Table 14.3.1.4A
Serious Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|--|-----------|--------------------|-------------------|--------------------|
| At Least 1 Serious TEAE | n (%) | 29(34.1) | 28(35.4) | 57(34.8) |
| Blood and lymphatic system disorders | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Anaemia | n (%) | 2(2.4) | 0 | 2(1.2) |
| Febrile neutropenia | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Neutropenia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Cardiac disorders | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Coronary artery disease | n (%) | 0 | 1(1.3) | 1(0.6) |
| Coronary artery insufficiency | n (%) | 0 | 1(1.3) | 1(0.6) |
| Tachycardia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Ear and labyrinth disorders | n (%) | 0 | 1(1.3) | 1(0.6) |
| Vertigo labyrinthine | n (%) | 0 | 1(1.3) | 1(0.6) |
| Endocrine disorders | n (%) | 0 | 1(1.3) | 1(0.6) |
| Adrenal insufficiency | n (%) | 0 | 1(1.3) | 1(0.6) |
| Gastrointestinal disorders | n (%) | 5(5.9) | 3(3.8) | 8(4.9) |
| Abdominal pain | n (%) | 1(1.2) | 0 | 1(0.6) |
| Diarrhoea | n (%) | 0 | 1(1.3) | 1(0.6) |
| Enterocolitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Gastrointestinal haemorrhage | n (%) | 1(1.2) | 0 | 1(0.6) |
| Large intestine perforation | n (%) | 2(2.4) | 0 | 2(1.2) |
| Nausea | n (%) | 0 | 1(1.3) | 1(0.6) |
| Vomiting | n (%) | 1(1.2) | 0 | 1(0.6) |
| General disorders and administration site conditions | n (%) | 5(5.9) | 4(5.1) | 9(5.5) |
| Asthenia | n (%) | 0 | 3(3.8) | 3(1.8) |
| Gait disturbance | n (%) | 1(1.2) | 0 | 1(0.6) |
| Multi-organ failure | n (%) | 1(1.2) | 0 | 1(0.6) |
| Non-cardiac chest pain | n (%) | 2(2.4) | 0 | 2(1.2) |
| Pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Sudden death | n (%) | 1(1.2) | 0 | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.4A
Serious Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Hepatobiliary disorders | n (%) | 3(3.5) | 4(5.1) | 7(4.3) |
| Bile duct stenosis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Cholecystitis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hepatic failure | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hepatitis | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hyperbilirubinaemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Jaundice | n (%) | 1(1.2) | 0 | 1(0.6) |
| Infections and infestations | n (%) | 4(4.7) | 5(6.3) | 9(5.5) |
| Cellulitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Clostridium difficile infection | n (%) | 0 | 1(1.3) | 1(0.6) |
| Pneumonia | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Sepsis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Skin infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Urinary tract infection | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Injury, poisoning and procedural complications | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Ankle fracture | n (%) | 0 | 1(1.3) | 1(0.6) |
| Femur fracture | n (%) | 1(1.2) | 0 | 1(0.6) |
| Investigations | n (%) | 0 | 1(1.3) | 1(0.6) |
| Blood bilirubin increased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Metabolism and nutrition disorders | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Dehydration | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hypercalcaemia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hypoglycaemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Musculoskeletal and connective tissue disorders | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Back pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Bone pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Muscular weakness | n (%) | 1(1.2) | 0 | 1(0.6) |
| Pain in extremity | n (%) | 1(1.2) | 0 | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.4A
Serious Treatment-Emergent Adverse Events by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) | n (%) | 0 | 1(1.3) | 1(0.6) |
| Metastases to meninges | n (%) | 0 | 1(1.3) | 1(0.6) |
| Nervous system disorders | n (%) | 3(3.5) | 1(1.3) | 4(2.4) |
| Headache | n (%) | 2(2.4) | 0 | 2(1.2) |
| Parkinson's disease | n (%) | 1(1.2) | 0 | 1(0.6) |
| Peripheral sensory neuropathy | n (%) | 0 | 1(1.3) | 1(0.6) |
| Psychiatric disorders | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mental status changes | n (%) | 1(1.2) | 0 | 1(0.6) |
| Reproductive system and breast disorders | n (%) | 1(1.2) | 0 | 1(0.6) |
| Breast pain | n (%) | 1(1.2) | 0 | 1(0.6) |
| Respiratory, thoracic and mediastinal disorders | n (%) | 8(9.4) | 2(2.5) | 10(6.1) |
| Dyspnoea | n (%) | 4(4.7) | 0 | 4(2.4) |
| Pleural effusion | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Pulmonary embolism | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Respiratory failure | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Skin and subcutaneous tissue disorders | n (%) | 0 | 2(2.5) | 2(1.2) |
| Palmar-plantar erythrodysesthesia syndrome | n (%) | 0 | 1(1.3) | 1(0.6) |
| Rash maculo-papular | n (%) | 0 | 1(1.3) | 1(0.6) |
| Vascular disorders | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Deep vein thrombosis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hypotension | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.4B

Serious Treatment-Emergent Adverse Events by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|--|-----------|--------------------|-------------------|-------------------|
| At Least 1 Serious TEAE | n (%) | 10(43.5) | 7(30.4) | 17(37.0) |
| Blood and lymphatic system disorders | n (%) | 1(4.3) | 0 | 1(2.2) |
| Febrile neutropenia | n (%) | 1(4.3) | 0 | 1(2.2) |
| Gastrointestinal disorders | n (%) | 1(4.3) | 0 | 1(2.2) |
| Gastrointestinal haemorrhage | n (%) | 1(4.3) | 0 | 1(2.2) |
| General disorders and administration site conditions | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Asthenia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Gait disturbance | n (%) | 1(4.3) | 0 | 1(2.2) |
| Non-cardiac chest pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hepatobiliary disorders | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Bile duct stenosis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Hepatitis | n (%) | 1(4.3) | 0 | 1(2.2) |
| Infections and infestations | n (%) | 2(8.7) | 3(13.0) | 5(10.9) |
| Pneumonia | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Sepsis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Urinary tract infection | n (%) | 0 | 1(4.3) | 1(2.2) |
| Injury, poisoning and procedural complications | n (%) | 0 | 1(4.3) | 1(2.2) |
| Ankle fracture | n (%) | 0 | 1(4.3) | 1(2.2) |
| Metabolism and nutrition disorders | n (%) | 1(4.3) | 0 | 1(2.2) |
| Dehydration | n (%) | 1(4.3) | 0 | 1(2.2) |
| Musculoskeletal and connective tissue disorders | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Back pain | n (%) | 0 | 1(4.3) | 1(2.2) |
| Muscular weakness | n (%) | 1(4.3) | 0 | 1(2.2) |
| Nervous system disorders | n (%) | 1(4.3) | 0 | 1(2.2) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.4B

Serious Treatment-Emergent Adverse Events by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---|-----------|--------------------|-------------------|-------------------|
| Headache | n (%) | 1(4.3) | 0 | 1(2.2) |
| Psychiatric disorders | n (%) | 1(4.3) | 0 | 1(2.2) |
| Mental status changes | n (%) | 1(4.3) | 0 | 1(2.2) |
| Reproductive system and breast disorders | n (%) | 1(4.3) | 0 | 1(2.2) |
| Breast pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Respiratory, thoracic and mediastinal disorders | n (%) | 5(21.7) | 1(4.3) | 6(13.0) |
| Dyspnoea | n (%) | 2(8.7) | 0 | 2(4.3) |
| Pleural effusion | n (%) | 1(4.3) | 0 | 1(2.2) |
| Pulmonary embolism | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Respiratory failure | n (%) | 1(4.3) | 0 | 1(2.2) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.5A
Treatment-Emergent Adverse Events with CTCAE Severity >= Grade 3 by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|--|-----------|--------------------|-------------------|--------------------|
| At Least 1 TEAE with CTCAE Severity >= Grade 3 | n (%) | 51(60.0) | 48(60.8) | 99(60.4) |
| Blood and lymphatic system disorders | n (%) | 19(22.4) | 20(25.3) | 39(23.8) |
| Anaemia | n (%) | 7(8.2) | 3(3.8) | 10(6.1) |
| Febrile neutropenia | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Granulocytopenia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Leukocytosis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Leukopenia | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Neutropenia | n (%) | 8(9.4) | 14(17.7) | 22(13.4) |
| Thrombocytopenia | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Cardiac disorders | n (%) | 0 | 1(1.3) | 1(0.6) |
| Coronary artery insufficiency | n (%) | 0 | 1(1.3) | 1(0.6) |
| Gastrointestinal disorders | n (%) | 8(9.4) | 13(16.5) | 21(12.8) |
| Abdominal pain | n (%) | 4(4.7) | 1(1.3) | 5(3.0) |
| Ascites | n (%) | 0 | 1(1.3) | 1(0.6) |
| Constipation | n (%) | 0 | 1(1.3) | 1(0.6) |
| Diarrhoea | n (%) | 1(1.2) | 6(7.6) | 7(4.3) |
| Enterocolitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Gastrointestinal haemorrhage | n (%) | 1(1.2) | 0 | 1(0.6) |
| Large intestine perforation | n (%) | 2(2.4) | 0 | 2(1.2) |
| Nausea | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Oesophagitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Stomatitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Vomiting | n (%) | 3(3.5) | 1(1.3) | 4(2.4) |
| General disorders and administration site conditions | n (%) | 13(15.3) | 9(11.4) | 22(13.4) |
| Asthenia | n (%) | 2(2.4) | 4(5.1) | 6(3.7) |
| Axillary pain | n (%) | 1(1.2) | 0 | 1(0.6) |
| Fatigue | n (%) | 6(7.1) | 4(5.1) | 10(6.1) |
| Gait disturbance | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mucosal inflammation | n (%) | 1(1.2) | 0 | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.5A

Treatment-Emergent Adverse Events with CTCAE Severity >= Grade 3 by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Multi-organ failure | n (%) | 1(1.2) | 0 | 1(0.6) |
| Non-cardiac chest pain | n (%) | 2(2.4) | 0 | 2(1.2) |
| Oedema peripheral | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Sudden death | n (%) | 1(1.2) | 0 | 1(0.6) |
| | | | | |
| Hepatobiliary disorders | n (%) | 3(3.5) | 5(6.3) | 8(4.9) |
| Bile duct stenosis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Cholecystitis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hepatic failure | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hepatic pain | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hepatitis | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hyperbilirubinaemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Jaundice | n (%) | 1(1.2) | 0 | 1(0.6) |
| | | | | |
| Infections and infestations | n (%) | 5(5.9) | 8(10.1) | 13(7.9) |
| Cellulitis | n (%) | 0 | 1(1.3) | 1(0.6) |
| Clostridium difficile infection | n (%) | 0 | 1(1.3) | 1(0.6) |
| Pneumonia | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Sepsis | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Skin infection | n (%) | 1(1.2) | 0 | 1(0.6) |
| Urinary tract infection | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| | | | | |
| Injury, poisoning and procedural complications | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Ankle fracture | n (%) | 0 | 1(1.3) | 1(0.6) |
| Fall | n (%) | 1(1.2) | 0 | 1(0.6) |
| Femur fracture | n (%) | 1(1.2) | 0 | 1(0.6) |
| | | | | |
| Investigations | n (%) | 8(9.4) | 11(13.9) | 19(11.6) |
| Alanine aminotransferase increased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Aspartate aminotransferase increased | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Blood alkaline phosphatase increased | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Blood bilirubin increased | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hepatic enzyme increased | n (%) | 1(1.2) | 0 | 1(0.6) |
| Lymphocyte count decreased | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.5A
Treatment-Emergent Adverse Events with CTCAE Severity >= Grade 3 by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|-----------|--------------------|-------------------|--------------------|
| Neutrophil count decreased | n (%) | 3(3.5) | 4(5.1) | 7(4.3) |
| White blood cell count decreased | n (%) | 1(1.2) | 5(6.3) | 6(3.7) |
| White blood cell count increased | n (%) | 0 | 1(1.3) | 1(0.6) |
| Metabolism and nutrition disorders | n (%) | 9(10.6) | 7(8.9) | 16(9.8) |
| Cachexia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Decreased appetite | n (%) | 1(1.2) | 0 | 1(0.6) |
| Dehydration | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Hypercalcaemia | n (%) | 2(2.4) | 0 | 2(1.2) |
| Hyperglycaemia | n (%) | 3(3.5) | 0 | 3(1.8) |
| Hyperkalaemia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hypocalcaemia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hypoglycaemia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Hypokalaemia | n (%) | 2(2.4) | 0 | 2(1.2) |
| Hyponatraemia | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |
| Hypophosphataemia | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Malnutrition | n (%) | 0 | 1(1.3) | 1(0.6) |
| Musculoskeletal and connective tissue disorders | n (%) | 6(7.1) | 6(7.6) | 12(7.3) |
| Back pain | n (%) | 2(2.4) | 2(2.5) | 4(2.4) |
| Bone pain | n (%) | 2(2.4) | 3(3.8) | 5(3.0) |
| Muscular weakness | n (%) | 1(1.2) | 0 | 1(0.6) |
| Musculoskeletal chest pain | n (%) | 1(1.2) | 0 | 1(0.6) |
| Myalgia | n (%) | 0 | 1(1.3) | 1(0.6) |
| Pain in extremity | n (%) | 1(1.2) | 0 | 1(0.6) |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) | n (%) | 0 | 1(1.3) | 1(0.6) |
| Metastases to meninges | n (%) | 0 | 1(1.3) | 1(0.6) |
| Nervous system disorders | n (%) | 4(4.7) | 4(5.1) | 8(4.9) |
| Headache | n (%) | 1(1.2) | 2(2.5) | 3(1.8) |
| Parkinson's disease | n (%) | 1(1.2) | 0 | 1(0.6) |
| Peripheral sensory neuropathy | n (%) | 0 | 1(1.3) | 1(0.6) |
| Polyneuropathy | n (%) | 0 | 1(1.3) | 1(0.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.5A
Treatment-Emergent Adverse Events with CTCAE Severity >= Grade 3 by System Organ Class and Preferred Term (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic n (%) | NLG-2101 (N=85) | Placebo (N=79) | Overall (N=164) |
|---|--------------------|--------------------|-------------------|--------------------|
| Syncope | n (%) | 2(2.4) | 0 | 2(1.2) |
| Psychiatric disorders | n (%) | 1(1.2) | 0 | 1(0.6) |
| Mental status changes | n (%) | 1(1.2) | 0 | 1(0.6) |
| Reproductive system and breast disorders | n (%) | 2(2.4) | 0 | 2(1.2) |
| Breast pain | n (%) | 1(1.2) | 0 | 1(0.6) |
| Premature menopause | n (%) | 1(1.2) | 0 | 1(0.6) |
| Respiratory, thoracic and mediastinal disorders | n (%) | 11(12.9) | 4(5.1) | 15(9.1) |
| Atelectasis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Dyspnoea | n (%) | 6(7.1) | 2(2.5) | 8(4.9) |
| Hypoxia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Pleural effusion | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Pleuritic pain | n (%) | 1(1.2) | 0 | 1(0.6) |
| Pulmonary embolism | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Respiratory failure | n (%) | 2(2.4) | 1(1.3) | 3(1.8) |
| Skin and subcutaneous tissue disorders | n (%) | 3(3.5) | 3(3.8) | 6(3.7) |
| Alopecia | n (%) | 1(1.2) | 0 | 1(0.6) |
| Nail growth abnormal | n (%) | 0 | 1(1.3) | 1(0.6) |
| Onycholysis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Palmar-plantar erythrodysaesthesia syndrome | n (%) | 0 | 2(2.5) | 2(1.2) |
| Rash maculo-papular | n (%) | 1(1.2) | 0 | 1(0.6) |
| Vascular disorders | n (%) | 4(4.7) | 1(1.3) | 5(3.0) |
| Deep vein thrombosis | n (%) | 1(1.2) | 0 | 1(0.6) |
| Hypertension | n (%) | 2(2.4) | 0 | 2(1.2) |
| Hypotension | n (%) | 1(1.2) | 1(1.3) | 2(1.2) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.5B

Treatment-Emergent Adverse Events with CTCAE Severity >= Grade 3 by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|--|-----------|--------------------|-------------------|-------------------|
| At Least 1 TEAE with CTCAE Severity >= Grade 3 | n (%) | 15(65.2) | 13(56.5) | 28(60.9) |
| Blood and lymphatic system disorders | n (%) | 7(30.4) | 2(8.7) | 9(19.6) |
| Anaemia | n (%) | 4(17.4) | 0 | 4(8.7) |
| Febrile neutropenia | n (%) | 2(8.7) | 0 | 2(4.3) |
| Granulocytopenia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Leukocytosis | n (%) | 1(4.3) | 0 | 1(2.2) |
| Neutropenia | n (%) | 0 | 1(4.3) | 1(2.2) |
| Thrombocytopenia | n (%) | 1(4.3) | 0 | 1(2.2) |
| Gastrointestinal disorders | n (%) | 2(8.7) | 4(17.4) | 6(13.0) |
| Abdominal pain | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Diarrhoea | n (%) | 0 | 2(8.7) | 2(4.3) |
| Gastrointestinal haemorrhage | n (%) | 1(4.3) | 0 | 1(2.2) |
| Nausea | n (%) | 0 | 1(4.3) | 1(2.2) |
| General disorders and administration site conditions | n (%) | 5(21.7) | 3(13.0) | 8(17.4) |
| Asthenia | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Axillary pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Fatigue | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Gait disturbance | n (%) | 1(4.3) | 0 | 1(2.2) |
| Mucosal inflammation | n (%) | 1(4.3) | 0 | 1(2.2) |
| Non-cardiac chest pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Oedema peripheral | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| Hepatobiliary disorders | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Bile duct stenosis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Hepatic failure | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hepatic pain | n (%) | 0 | 1(4.3) | 1(2.2) |
| Hepatitis | n (%) | 1(4.3) | 0 | 1(2.2) |
| Infections and infestations | n (%) | 3(13.0) | 4(17.4) | 7(15.2) |
| Pneumonia | n (%) | 3(13.0) | 1(4.3) | 4(8.7) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.5B

Treatment-Emergent Adverse Events with CTCAE Severity >= Grade 3 by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---|-----------|--------------------|-------------------|-------------------|
| Sepsis | n (%) | 0 | 1(4.3) | 1(2.2) |
| Urinary tract infection | n (%) | 0 | 2(8.7) | 2(4.3) |
| Injury, poisoning and procedural complications | n (%) | 0 | 1(4.3) | 1(2.2) |
| Ankle fracture | n (%) | 0 | 1(4.3) | 1(2.2) |
| Investigations | n (%) | 2(8.7) | 3(13.0) | 5(10.9) |
| Blood alkaline phosphatase increased | n (%) | 0 | 1(4.3) | 1(2.2) |
| Lymphocyte count decreased | n (%) | 2(8.7) | 2(8.7) | 4(8.7) |
| Neutrophil count decreased | n (%) | 1(4.3) | 1(4.3) | 2(4.3) |
| White blood cell count decreased | n (%) | 1(4.3) | 2(8.7) | 3(6.5) |
| Metabolism and nutrition disorders | n (%) | 3(13.0) | 2(8.7) | 5(10.9) |
| Dehydration | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hyperglycaemia | n (%) | 2(8.7) | 0 | 2(4.3) |
| Hypocalcaemia | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hypokalaemia | n (%) | 1(4.3) | 0 | 1(2.2) |
| Hypophosphataemia | n (%) | 0 | 2(8.7) | 2(4.3) |
| Musculoskeletal and connective tissue disorders | n (%) | 3(13.0) | 1(4.3) | 4(8.7) |
| Back pain | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Muscular weakness | n (%) | 1(4.3) | 0 | 1(2.2) |
| Musculoskeletal chest pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Nervous system disorders | n (%) | 2(8.7) | 0 | 2(4.3) |
| Headache | n (%) | 1(4.3) | 0 | 1(2.2) |
| Syncope | n (%) | 1(4.3) | 0 | 1(2.2) |
| Psychiatric disorders | n (%) | 1(4.3) | 0 | 1(2.2) |
| Mental status changes | n (%) | 1(4.3) | 0 | 1(2.2) |
| Reproductive system and breast disorders | n (%) | 1(4.3) | 0 | 1(2.2) |
| Breast pain | n (%) | 1(4.3) | 0 | 1(2.2) |
| Respiratory, thoracic and mediastinal disorders | n (%) | 7(30.4) | 2(8.7) | 9(19.6) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.1.5B

Treatment-Emergent Adverse Events with CTCAE Severity >= Grade 3 by System Organ Class and Preferred Term: Negative Hormone Receptors (Safety Analysis Set)

| System Organ Class (SOC) Preferred Term (PT) | Statistic | NLG-2101 (N=23) | Placebo (N=23) | Overall (N=46) |
|---|-----------|--------------------|-------------------|-------------------|
| Atelectasis | n (%) | 1(4.3) | 0 | 1(2.2) |
| Dyspnoea | n (%) | 4(17.4) | 1(4.3) | 5(10.9) |
| Hypoxia | n (%) | 1(4.3) | 0 | 1(2.2) |
| Pleural effusion | n (%) | 2(8.7) | 0 | 2(4.3) |
| Pulmonary embolism | n (%) | 2(8.7) | 1(4.3) | 3(6.5) |
| Respiratory failure | n (%) | 1(4.3) | 0 | 1(2.2) |
| Skin and subcutaneous tissue disorders | n (%) | 1(4.3) | 0 | 1(2.2) |
| Alopecia | n (%) | 1(4.3) | 0 | 1(2.2) |

Adverse Events are coded using MedDRA version 16.0.

Note: If a subject experienced more than one event within a given SOC, that subject is counted once for that SOC.

If a subject experienced more than one event within a given PT, that subject is counted only once for that PT.

Program: s2101ae2.sas

Output Generation: 04/09/2018 16:24

Table 14.3.2.1
Listing of Adverse Events Leading to Subject Deaths (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ Race [1] S: System Organ Class V:multi-organ failure P:Multi-organ failure S:General disorders and administration site conditions | Start Date(Day) 2015-04-30 (130) | Stop Date(Day) 2015-05-08 (138) | CTCAE Grade Fatal (Grade 5) | O:Outcome R:Relation A:Action Taken O:FATAL R:Unrelated A:DRUG WITHDRAWN |
|-----------------|--|---|--|--------------------------------------|---|
| Subject 2101038 | 39/F/BL V:Respiratory Failure P:Respiratory failure S:Respiratory, thoracic and mediastinal disorders | 2015-06-01 (4) | 2015-06-01 (4) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |
| 2101082 | 58/F/WH V:cardio-pulmonary failure P:Respiratory failure S:Respiratory, thoracic and mediastinal disorders | 2015-08-24 (54) | 2015-08-24 (54) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DOSE NOT CHANGED |
| 2101137 | 59/F/WH V:Sudden death P:Sudden death S:General disorders and administration site conditions | 2016-02-23 (148) | 2016-02-23 (148) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.1
Listing of Adverse Events Leading to Subject Deaths (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim | Start Date(Day) | Stop Date(Day) | CTCAE Grade | O:Outcome |
|-----------------|---|--------------------|---------------------|--------------------|--|
| Subject 2101032 | Age/Sex/ P:Preferred Term Race [1] S:System Organ Class 50/F/WH V:sepsis P:Sepsis S:Infections and infestations | 2015-03-16 (97) | 2015-04-04 (116) | Fatal (Grade 5) | R:Relation A:Action Taken O:FATAL R:Unrelated A:DRUG WITHDRAWN |
| 2101047 | 69/F/BL V:respiratory failure P:Respiratory failure S:Respiratory, thoracic and mediastinal disorders | 2015-03-15 (41) | 2015-03-18 (44) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ P:Preferred Term Race [1] S:System Organ Class 64/F/WH V:Respiratory, thoracic, and mediastinal disorders-other, post obstructive pneumonia P:Pneumonia S:Infections and infestations | Start Date(Day) 2013-10-01 (22) | Stop Date(Day) 2013-10-03 (24) | CTCAE Grade Severe (Grade 3) | O:Outcome R:Relation A:Action Taken O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
|-----------------|---|---------------------------------------|--------------------------------------|------------------------------------|--|
| Subject 2101001 | 63/F/WH V:colonic perforation P:Large intestine perforation S:Gastrointestinal disorders | 2014-04-12 (178) | 2014-05-15 (211) | Life Threatening (Grade 4) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| 2101004 | 54/F/WH V:NON CARDIAC CHEST PAIN P:Non-cardiac chest pain S:General disorders and administration site conditions | 2014-01-08 (69) | 2014-01-12 (73) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101021 | 72/F/WH V:Dyspnea P:Dyspnoea S:Respiratory, thoracic and mediastinal disorders | 2015-03-03 (155) | 2015-03-12 (164) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| 2101022 | 66/F/WH V:Non-cardiac chest Pain P:Non-cardiac chest pain S:General disorders and administration site conditions | 2014-10-17 (11) | 2014-10-27 (21) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| | 66/F/WH V:Pleural Effusion P:Pleural effusion S:Respiratory, thoracic and mediastinal disorders | 2014-10-10 (4) | 2014-10-11 (5) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101026 | 56/F/WH V:skin infection P:Skin infection S:Infections and infestations | 2015-01-16 (82) | 2015-01-19 (85) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| Subject | A:AE Verbatim Age/Sex/ Race [1] S:System Organ Class | Start Date(Day) P:Preferred Term | Stop Date(Day) | CTCAE Grade | O:Outcome R:Relation A:Action Taken |
|---------|--|-------------------------------------|---------------------|-----------------------|---|
| 2101038 | 35/F/WH V:multi-organ failure P:Multi-organ failure S:General disorders and administration site conditions | 2015-04-30 (130) | 2015-05-08 (138) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |
| 2101041 | 31/F/WH V:breast pain P:Breast pain S:Reproductive system and breast disorders | 2015-01-12 (7) | 2015-01-13 (8) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DOSE NOT CHANGED |
| 2101042 | 67/F/WH V:fracture R femur P:Femur fracture S:Injury, poisoning and procedural complications | 2015-02-18 (36) | 2015-02-19 (37) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101051 | 61/F/WH V:febrile neutropenia P:Febrile neutropenia S:Blood and lymphatic system disorders | 2015-03-19 (36) | 2015-03-26 (43) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DOSE NOT CHANGED |
| 2101061 | 64/F/WH V:DEHYDRATION P:Dehydration S:Metabolism and nutrition disorders | 2015-05-18 (75) | 2015-05-19 (76) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 64/F/WH V:DYSPIA P:Dyspnoea S:Respiratory, thoracic and mediastinal disorders | 2016-05-03 (426) | 2016-05-05 (428) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| Subject | A:AE Verbatim Age/Sex/ P:Preferred Term Race [1] S:System Organ Class 64/F/WH V:PNEUMONIA P:Pneumonia S:Infections and infestations | Start Date(Day) 2015-04-30 (57) | Stop Date(Day) 2015-05-03 (60) | CTCAE Grade Severe (Grade 3) | O:Outcome R:Relation A:Action Taken O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
|---------|--|---------------------------------------|--------------------------------------|------------------------------------|--|
| | | | | | |
| 2101062 | 65/F/WH V:Cholecystitis P:Cholecystitis S:Hepatobiliary disorders | 2015-07-26 (138) | 2015-08-13 (156) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101069 | 51/F/WH V:Dyspnea P:Dyspnoea S:Respiratory, thoracic and mediastinal disorders | 2015-05-02 (18) | 2015-05-08 (24) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DOSE NOT CHANGED |
| | 51/F/WH V:headache P:Headache S:Nervous system disorders | 2015-07-06 (83) | 2015-07-10 (87) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101072 | 49/F/WH V:Anemia P:Anaemia S:Blood and lymphatic system disorders | 2015-05-04 (7) | 2015-05-05 (8) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101077 | 72/F/WH V:Gait disturbance P:Gait disturbance S:General disorders and administration site conditions | 2016-03-06 (293) | 2016-03-08 (295) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DOSE NOT CHANGED |
| 2101082 | 39/F/BL V:Respiratory Failure P:Respiratory failure S:Respiratory, thoracic and mediastinal disorders | 2015-06-01 (4) | 2015-06-01 (4) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ P:Preferred Term Race [1] S:System Organ Class | Start Date(Day) 58/F/WH V:headache P:Headache S:Nervous system disorders | Stop Date(Day) 2016-07-04 (389) | CTCAE Grade Moderate (Grade 2) | O:Outcome R:Relation A:Action Taken O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
|-----------------|---|---|---------------------------------------|--------------------------------------|--|
| Subject 2101090 | 66/F/BL V:Generalized Muscle Weakness P:Muscular weakness S:Musculoskeletal and connective tissue disorders | 2016-04-04 (267) | ONGOING | Severe (Grade 3) | O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 66/F/BL V:Mental Status Change P:Mental status changes S:Psychiatric disorders | 2016-04-22 (285) | ONGOING | Severe (Grade 3) | O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 66/F/BL V:Pulmonary Embolism P:Pulmonary embolism S:Respiratory, thoracic and mediastinal disorders | 2015-09-08 (58) | 2015-09-13 (63) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A: |
| 2101099 | 58/F/WH V:Abdominal pain P:Abdominal pain S:Gastrointestinal disorders | 2015-08-23 (53) | ONGOING | Severe (Grade 3) | O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 58/F/WH V:cardio-pulmonary failure P:Respiratory failure S:Respiratory, thoracic and mediastinal disorders | 2015-08-24 (54) | 2015-08-24 (54) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DOSE NOT CHANGED |
| 2101109 | 57/F/WH V:Dyspnea P:Dyspnoea S:Respiratory, thoracic and mediastinal disorders | 2016-01-20 (176) | 2016-02-09 (196) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| Subject | A:AE Verbatim | Start Date(Day) | Stop Date(Day) | CTCAE Grade | O:Outcome |
|---------|---|---------------------|---------------------|-------------------------------|---|
| | Age/Sex/ Race [1] | | | | R:Relation |
| | P:Preferred Term S:System Organ Class | | | | A:Action Taken |
| 2101110 | 57/F/WH V:jaundice P:Jaundice S:Hepatobiliary disorders | 2016-02-08 (190) | 2016-02-13 (195) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101124 | 60/F/WH V:Parkinson's Disease P:Parkinson's disease S:Nervous system disorders | 2015-11-24 (78) | 2016-02-15 (161) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Probable A:DRUG WITHDRAWN |
| 2101128 | 52/F/WH V:Gastrointestinal hemorrhage P:Gastrointestinal haemorrhage S:Gastrointestinal disorders | 2015-12-02 (78) | ONGOING | Severe (Grade 3) | O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 52/F/WH V:Hepatitis P:Hepatitis S:Hepatobiliary disorders | 2015-11-18 (64) | ONGOING | Life Threatening (Grade 4) | O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101137 | 59/F/WH V:Sudden death P:Sudden death S:General disorders and administration site conditions | 2016-02-23 (148) | 2016-02-23 (148) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |
| 2101138 | 62/F/WH V:Colonic perforation P:Large intestine perforation S:Gastrointestinal disorders | 2015-11-16 (47) | 2015-12-11 (72) | Life Threatening (Grade 4) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| | 62/F/WH V:Urinary Tract Infection P:Urinary tract infection S:Infections and infestations | 2015-10-25 (25) | 2015-10-28 (28) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ P:Preferred Term Race [1] S:System Organ Class | Start Date(Day) 51/F/WH V:anemia P:Anaemia S:Blood and lymphatic system disorders | Stop Date(Day) 2015-11-09 (8) | CTCAE Grade Moderate (Grade 2) | O:Outcome R:Relation A:Action Taken O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
|-----------------|--|--|-------------------------------------|--------------------------------------|---|
| Subject 2101140 | 51/F/WH V:tachycardia P:Tachycardia S:Cardiac disorders | 2016-05-24 (205) | 2016-05-26 (207) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101156 | 48/F/WH V:deep vein thrombosis P:Deep vein thrombosis S:Vascular disorders | 2016-02-17 (69) | 2016-03-10 (91) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DOSE NOT CHANGED |
| | 48/F/WH V:vomiting P:Vomiting S:Gastrointestinal disorders | 2016-02-23 (75) | 2016-02-27 (79) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101163 | 57/F/WH V:Hypercalcemia P:Hypercalcaemia S:Metabolism and nutrition disorders | 2016-01-30 (6) | 2016-03-16 (52) | Life Threatening (Grade 4) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101166 | 54/F/WH V:left upper limb pain P:Pain in extremity S:Musculoskeletal and connective tissue disorders | 2016-05-24 (118) | 2016-06-17 (142) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ P:Preferred Term Race [1] S:System Organ Class 45/F/OT V:Adrenal Insufficiency P:Adrenal insufficiency S:Endocrine disorders | Start Date(Day) 2013-12-07 (73) | Stop Date(Day) 2014-06-04 (252) | CTCAE Grade Moderate (Grade 2) | O:Outcome R:Relation A:Action Taken O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
|-----------------|--|---------------------------------------|---------------------------------------|-----------------------------------|---|
| Subject 2101002 | 45/F/OT V:BONE PAIN P:Bone pain S:Musculoskeletal and connective tissue disorders | 2013-10-03 (8) | 2013-10-08 (13) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101005 | 51/F/WH V:Febrile Neutropenia P:Febrile neutropenia S:Blood and lymphatic system disorders | 2014-01-01 (38) | 2014-01-08 (45) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
| 2101028 | 41/F/WH V:Dehydration P:Dehydration S:Metabolism and nutrition disorders | 2014-12-22 (35) | 2014-12-23 (36) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
| 2101029 | 66/F/WH V:Hepatobiliary disorders-other (Hepatitis) P:Hepatitis S:Hepatobiliary disorders | 2015-01-26 (75) | 2015-01-29 (78) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
| 2101032 | 50/F/WH V:sepsis P:Sepsis S:Infections and infestations | 2015-03-16 (97) | 2015-04-04 (116) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |
| 2101045 | 34/F/OT V:Rash, Maculo-papular P:Rash maculo-papular S:Skin and subcutaneous tissue disorders | 2015-05-24 (119) | 2015-05-26 (121) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Unlikely A:DOSE NOT CHANGED |

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Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ P:Preferred Term Race [1] S:System Organ Class | Start Date(Day) 69/F/BL V:pleural effusion P:Pleural effusion S:Respiratory, thoracic and mediastinal disorders | Stop Date(Day) 2015-03-03 (29) | CTCAE Grade Severe (Grade 3) | O:Outcome R:Relation A:Action Taken O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DRUG WITHDRAWN |
|-----------------|--|--|--------------------------------------|------------------------------------|--|
| Subject 2101047 | 69/F/BL V:respiratory failure P:Respiratory failure S:Respiratory, thoracic and mediastinal disorders | | 2015-03-15 (41) | 2015-03-18 (44) | Fatal (Grade 5) |
| 2101048 | 48/F/WH V:Blood bilirubin increased P:Blood bilirubin increased S:Investigations | | 2015-07-25 (171) | ONGOING | Life Threatening (Grade 4) |
| | 48/F/WH V:Palmar-plantar syndrome P:Palmar-plantar erythrodysaesthesia syndrome S:Skin and subcutaneous tissue disorders | | 2015-07-25 (171) | ONGOING | Severe (Grade 3) |
| | 48/F/WH V:enterocolitis P:Enterocolitis S:Gastrointestinal disorders | | 2015-07-15 (161) | 2015-07-18 (164) | Severe (Grade 3) |
| 2101049 | 33/F/WH V:Hepatic Failure P:Hepatic failure S:Hepatobiliary disorders | | 2015-06-12 (123) | ONGOING | Severe (Grade 3) |
| 2101054 | 57/F/WH V:biliary duct stenosis P:Bile duct stenosis S:Hepatobiliary disorders | | 2016-05-11 (449) | 2016-05-15 (453) | Severe (Grade 3) |

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Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| Subject | A:AE Verbatim Age/Sex/ Race [1] S:System Organ Class | Start Date(Day) P:Preferred Term | Stop Date(Day) | CTCAE Grade | O:Outcome R:Relation A:Action Taken |
|---------|--|-------------------------------------|---------------------|-------------------------------|---|
| 2101071 | 66/F/WH V:Diarrhea P:Diarrhoea S:Gastrointestinal disorders | 2015-05-29 (32) | 2015-06-03 (37) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
| 2101075 | 59/F/WH V:vertigo (labyrinth disorder) P:Vertigo labyrinthine S:Ear and labyrinth disorders | 2016-11-04 (541) | 2016-11-08 (545) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101078 | 85/F/WH V:coronary insufficiency artery P:Coronary artery insufficiency S:Cardiac disorders | 2015-09-26 (130) | 2015-10-02 (136) | Life Threatening (Grade 4) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| 2101079 | 56/F/WH V:cellulitis (soft tissue infection) P:Cellulitis S:Infections and infestations | 2015-07-06 (41) | 2015-07-20 (55) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| | 56/F/WH V:hypoglycemia P:Hypoglycaemia S:Metabolism and nutrition disorders | 2015-06-12 (17) | 2015-06-12 (17) | Life Threatening (Grade 4) | O:RECOVERED/RESOLVED R:Possible A:DOSE NOT CHANGED |
| | 56/F/WH V:peripheral sensory neuropathy P:Peripheral sensory neuropathy S:Nervous system disorders | 2015-07-06 (41) | 2015-07-20 (55) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| 2101081 | 65/F/WH V:generalized weakness P:Asthenia S:General disorders and administration site conditions | 2016-03-21 (299) | 2016-03-23 (301) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DOSE NOT CHANGED |

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Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ Race [1] S:System Organ Class 57/F/WH V:Neutropenia P:Neutropenia S:Blood and lymphatic system disorders | Start Date(Day) 2015-10-06 (120) | Stop Date(Day) 2015-10-12 (126) | CTCAE Grade Life Threatening (Grade 4) | O:Outcome R:Relation A:Action Taken O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
|-----------------|--|--|---------------------------------------|--|---|
| Subject 2101084 | 57/F/WH V:Hypotension P:Hypotension S:Vascular disorders | 2015-08-10 (69) | 2015-08-12 (71) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101085 | 54/F/WH V:urinary tract infection P:Urinary tract infection S:Infections and infestations | 2015-10-10 (128) | 2015-10-20 (138) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101088 | 49/F/WH V:febrile neutropenia P:Febrile neutropenia S:Blood and lymphatic system disorders | 2015-07-14 (15) | 2015-07-16 (17) | Life Threatening (Grade 4) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101101 | 63/F/WH V:Right Lung Pneumonia P:Pneumonia S:Infections and infestations | 2015-09-10 (66) | 2015-09-16 (72) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DOSE NOT CHANGED |
| 2101105 | 65/F/WH V:INTRACTABLE PAIN P:Pain S:General disorders and administration site conditions | 2016-04-04 (265) | 2016-04-06 (267) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101112 | 54/F/WH V:hyperbilirubinemia P:Hyperbilirubinaemia S:Hepatobiliary disorders | 2015-08-17 (8) | 2015-09-21 (43) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |

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Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ P:Preferred Term Race [1] S:System Organ Class | Start Date(Day) | Stop Date(Day) | CTCAE Grade | O:Outcome R:Relation A:Action Taken O:RECOVERED/RESOLVED |
|-----------------|---|---------------------|---------------------|-----------------------|--|
| Subject 2101118 | Race [1] S:System Organ Class 74/F/WH V:Ankle Fracture P:Ankle fracture S:Injury, poisoning and procedural complications | 2015-11-24 (85) | 2016-02-15 (168) | Severe (Grade 3) | R:Unlikely A:DOSE NOT CHANGED |
| 2101121 | 68/F/WH V:back pain P:Back pain S:Musculoskeletal and connective tissue disorders | 2015-09-02 (2) | 2015-09-07 (7) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 68/F/WH V:thromboembolic event--acute pulmonary embolism P:Pulmonary embolism S:Respiratory, thoracic and mediastinal disorders | 2015-11-17 (78) | 2015-11-20 (81) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101123 | 77/F/WH V:Asthenia P:Asthenia S:General disorders and administration site conditions | 2015-09-18 (12) | 2015-09-25 (19) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Highly Probable/Definite A:DOSE NOT CHANGED |
| | 77/F/WH V:Clostridium difficile infection P:Clostridium difficile infection S:Infections and infestations | 2016-02-05 (152) | 2016-02-17 (164) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| | 77/F/WH V:Nausea P:Nausea S:Gastrointestinal disorders | 2015-10-17 (41) | 2015-10-22 (46) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |

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Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.2
Listing of Subjects with Serious Adverse Events (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim | Start | Stop | CTCAE | O:Outcome |
|---------|---|---------------------|---------------------|-------------------------------|---|
| Subject | Age/Sex/ P:Preferred Term | Date(Day) | Date(Day) | Grade | R:Relation |
| 2101126 | Race [1] S:System Organ Class 60/F/BL V:leptomeningeal carcinomatosis P:Metastases to meninges S:Neoplasms benign, malignant and unspecified (incl cysts and polyps) | 2015-12-18 (95) | 2015-12-23 (100) | Severe (Grade 3) | A:Action Taken O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101136 | 60/F/WH V:asthenia P:Asthenia S:General disorders and administration site conditions | 2016-05-28 (249) | ONGOING | Life Threatening (Grade 4) | O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101148 | 59/F/WH V:coronary artery diseases P:Coronary artery disease S:Cardiac disorders | 2016-04-23 (158) | 2016-04-28 (163) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Unlikely A:DOSE NOT CHANGED |

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Source: Listing 16.2.7.1

Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.3
Listing of Subjects with Adverse Events Leading to Study Discontinuation (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ P:Preferred Term Race [1] S:System Organ Class 63/F/WH V:colonic perforation P:Large intestine perforation S:Gastrointestinal disorders | Start Date(Day) 2014-04-12 (178) | Stop Date(Day) 2014-05-15 (211) | CTCAE Grade Life Threatening (Grade 4) | O:Outcome R:Relation A:Action Taken O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
|-----------------|---|--|---------------------------------------|--|---|
| Subject 2101003 | 54/F/WH V:NON CARDIAC CHEST PAIN P:Non-cardiac chest pain S:General disorders and administration site conditions | 2014-01-08 (69) | 2014-01-12 (73) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101016 | 61/F/BL V:NEUROPATHY,WORSENING OF FEET P:Neuropathy peripheral S:Nervous system disorders | 2015-01-07 (211) | ONGOING | Moderate (Grade 2) | O:NOT RECOVERED/NOT RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| 2101021 | 72/F/WH V:Dyspnea P:Dyspnoea S:Respiratory, thoracic and mediastinal disorders | 2015-03-03 (155) | 2015-03-12 (164) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| 2101082 | 39/F/BL V:Respiratory Failure P:Respiratory failure S:Respiratory, thoracic and mediastinal disorders | 2015-06-01 (4) | 2015-06-01 (4) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |
| | 39/F/BL V:Worsening Dyspnea P:Dyspnoea S:Respiratory, thoracic and mediastinal disorders | 2015-05-31 (3) | ONGOING | Severe (Grade 3) | O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| | 39/F/BL V:Worsening Hypoxia P:Hypoxia S:Respiratory, thoracic and mediastinal disorders | 2015-05-31 (3) | ONGOING | Severe (Grade 3) | O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DRUG WITHDRAWN |

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Output Generation: 05/07/2018 12:10

Table 14.3.2.3
Listing of Subjects with Adverse Events Leading to Study Discontinuation (Safety Analysis Set)

Treatment Group: NLG2101

| Subject | A:AE Verbatim Age/Sex/ Race [1] S:System Organ Class | Start Date(Day) P:Preferred Term | Stop Date(Day) | CTCAE Grade | O:Outcome R:Relation A:Action Taken |
|---------|--|-------------------------------------|---------------------|----------------------------|---|
| 2101093 | 60/F/WH V:Neutropenia P:Neutropenia S:Blood and lymphatic system disorders | 2016-03-15 (272) | 2016-06-23 (372) | Life Threatening (Grade 4) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101099 | 58/F/WH V:cardio-pulmonary failure P:Respiratory failure S:Respiratory, thoracic and mediastinal disorders | 2015-08-24 (54) | 2015-08-24 (54) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DOSE NOT CHANGED |
| 2101110 | 57/F/WH V:Fatigue P:Fatigue S:General disorders and administration site conditions | 2016-02-08 (190) | 2016-02-13 (195) | Mild (Grade 1) | O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
| | 57/F/WH V:increase of liver enzymes P:Hepatic enzyme increased S:Investigations | 2016-02-08 (190) | ONGOING | Severe (Grade 3) | O:NOT RECOVERED/NOT RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 57/F/WH V:jaundice P:Jaundice S:Hepatobiliary disorders | 2016-02-08 (190) | 2016-02-13 (195) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101124 | 60/F/WH V:Parkinson's Disease P:Parkinson's disease S:Nervous system disorders | 2015-11-24 (78) | 2016-02-15 (161) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Probable A:DRUG WITHDRAWN |
| 2101137 | 59/F/WH V:Sudden death P:Sudden death S:General disorders and administration site conditions | 2016-02-23 (148) | 2016-02-23 (148) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |

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Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.3
Listing of Subjects with Adverse Events Leading to Study Discontinuation (Safety Analysis Set)

Treatment Group: NLG2101

| Subject | A:AE Verbatim | Start Date(Day) | Stop Date(Day) | CTCAE Grade | O:Outcome |
|---------|--|--------------------|---------------------|-----------------------|--|
| | Age/Sex/ P:Preferred Term Race [1] S:System Organ Class | | | | R:Relation A:Action Taken |
| 2101150 | 49/F/WH V:Fatigue P:Fatigue S:General disorders and administration site conditions | 2016-02-04 (73) | 2016-04-06 (135) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Possible A:DOSE NOT CHANGED |
| | 49/F/WH V:Neuropathy-peripheral P:Neuropathy peripheral S:Nervous system disorders | 2016-02-04 (73) | 2016-04-06 (135) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 49/F/WH V:Parasthesia P:Paraesthesia S:Nervous system disorders | 2016-02-17 (86) | 2016-04-06 (135) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Highly Probable/Definite A:DOSE REDUCED |

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Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.3
Listing of Subjects with Adverse Events Leading to Study Discontinuation (Safety Analysis Set)

Treatment Group: NLG2101

| | A:AE Verbatim Age/Sex/ P:Preferred Term Race [1] S:System Organ Class V:Adrenal Insufficiency P:Adrenal insufficiency S:Endocrine disorders | Start Date(Day) 2013-12-07 (73) | Stop Date(Day) 2014-06-04 (252) | CTCAE Grade Moderate (Grade 2) | O:Outcome R:Relation A:Action Taken O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
|-----------------|--|---------------------------------------|---------------------------------------|-----------------------------------|---|
| Subject 2101002 | 41/F/OT V:Edema face P:Face oedema S:General disorders and administration site conditions | 2015-02-25 (100) | 2015-03-26 (129) | Mild (Grade 1) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 41/F/WH V:Edema limbs (ankles) P:Oedema peripheral S:General disorders and administration site conditions | 2015-02-12 (87) | 2015-02-25 (100) | Mild (Grade 1) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 41/F/WH V:Edema limbs (ankles) P:Oedema peripheral S:General disorders and administration site conditions | 2015-02-25 (100) | 2015-03-26 (129) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101029 | 66/F/WH V:ALT INCREASED WORSENING P:Alanine aminotransferase increased S:Investigations | 2015-01-23 (72) | 2015-03-05 (113) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| | 66/F/WH V:AST INCREASED, WORSENING P:Aspartate aminotransferase increased S:Investigations | 2015-01-23 (72) | 2015-03-05 (113) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| 2101047 | 69/F/BL V:respiratory failure P:Respiratory failure S:Respiratory, thoracic and mediastinal disorders | 2015-03-15 (41) | 2015-03-18 (44) | Fatal (Grade 5) | O:FATAL R:Unrelated A:DRUG WITHDRAWN |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

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Output Generation: 05/07/2018 12:10

Table 14.3.2.3
Listing of Subjects with Adverse Events Leading to Study Discontinuation (Safety Analysis Set)

Treatment Group: NLG2101

| Subject | A:AE Verbatim Age/Sex/ Race [1] S:System Organ Class | Start Date(Day) P:Preferred Term | Stop Date(Day) | CTCAE Grade | O:Outcome R:Relation |
|---------|---|-------------------------------------|---------------------|-----------------------|--|
| | | | | | A:Action Taken |
| 2101054 | 57/F/WH V:biliary duct stenosis P:Bile duct stenosis S:Hepatobiliary disorders | 2016-05-11 (449) | 2016-05-15 (453) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |
| 2101068 | 69/F/WH V:Diarrhea P:Diarrhoea S:Gastrointestinal disorders | 2015-05-26 (42) | 2015-05-29 (45) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Probable A:DRUG WITHDRAWN |
| | 69/F/WH V:Fatigue P:Fatigue S:General disorders and administration site conditions | 2015-05-26 (42) | ONGOING | Moderate (Grade 2) | O:NOT RECOVERED/NOT RESOLVED R:Probable A:DRUG WITHDRAWN |
| | 69/F/WH V:Mucositis P:Mucosal inflammation S:General disorders and administration site conditions | 2015-05-24 (40) | 2015-05-29 (45) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
| | 69/F/WH V:Vomiting P:Vomiting S:Gastrointestinal disorders | 2015-05-26 (42) | 2015-06-05 (52) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
| 2101079 | 56/F/WH V:palmar-plantar erythrodysesthesia syndrome P:Palmar-plantar erythrodysaesthesia syndrome S:Skin and subcutaneous tissue disorders | 2015-06-27 (32) | 2015-07-20 (55) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Possible A:DRUG WITHDRAWN |
| | 56/F/WH V:peripheral sensory neuropathy P:Peripheral sensory neuropathy S:Nervous system disorders | 2015-07-06 (41) | 2015-07-20 (55) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unlikely A:DRUG WITHDRAWN |

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Program: tl2101ae.sas

Output Generation: 05/07/2018 12:10

Table 14.3.2.3
Listing of Subjects with Adverse Events Leading to Study Discontinuation (Safety Analysis Set)

Treatment Group: NLG2101

| Subject | A:AE Verbatim | Start Date(Day) | Stop Date(Day) | CTCAE Grade | O:Outcome |
|---------|--|--------------------|---------------------|-----------------------|---|
| | Age/Sex/ P:Preferred Term Race [1] S:System Organ Class | | | | R:Relation |
| 2101112 | 54/F/WH V:hyperbilirubinemia P:Hyperbilirubinaemia S:Hepatobiliary disorders | 2015-08-17 (8) | 2015-09-21 (43) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |
| 2101123 | 77/F/WH V:Appetite loss P:Decreased appetite S:Metabolism and nutrition disorders | 2015-09-22 (16) | 2015-09-25 (19) | Moderate (Grade 2) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| | 77/F/WH V:Neutropenia P:Neutropenia S:Blood and lymphatic system disorders | 2015-09-21 (15) | 2015-09-23 (17) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DOSE NOT CHANGED |
| 2101126 | 60/F/BL V:leptomeningeal carcinomatosis P:Metastases to meninges S:Neoplasms benign, malignant and unspecified (incl cysts and polyps) | 2015-12-18 (95) | 2015-12-23 (100) | Severe (Grade 3) | O:RECOVERED/RESOLVED R:Unrelated A:DRUG WITHDRAWN |

[1] Abbreviations: Sex Code: M = Male, F = Female. Race Code: BL = Black or African American, AI = American Indian or Alaska Native, AS = Asian, NH = Native Hawaiian, WH = White, OT = Other.

Source: Listing 16.2.7.1

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2.3.2 Display of Laboratory Data

| Table Number | Table Title |
|-----------------|--|
| Table 14.3.5.3A | Laboratory shifts from baseline based on the normal range: hematology (Safety Analysis Set) |
| Table 14.3.5.3B | Laboratory shifts from baseline based on the normal range: chemistry (Safety Analysis Set) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|--------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Basophils ($10^9/L$) | Low | 0 (0.0) | 0 (0.0) | 1 (1.2) | 0 (0.0) | 1 (1.2) |
| | | Normal | 3 (3.5) | 52 (61.2) | 5 (5.9) | 1 (1.2) | 61 (71.8) |
| | | High | 0 (0.0) | 0 (0.0) | 2 (2.4) | 0 (0.0) | 2 (2.4) |
| | | Missing | 0 (0.0) | 8 (9.4) | 0 (0.0) | 13 (15.3) | 21 (24.7) |
| | | Total | 3 (3.5) | 60 (70.6) | 8 (9.4) | 14 (16.5) | 85 (100.0) |
| PLACEBO | Basophils ($10^9/L$) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 2 (2.5) | 51 (64.6) | 7 (8.9) | 2 (2.5) | 62 (78.5) |
| | | High | 0 (0.0) | 1 (1.3) | 2 (2.5) | 0 (0.0) | 3 (3.8) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 11 (13.9) | 14 (17.7) |
| | | Total | 2 (2.5) | 55 (69.6) | 9 (11.4) | 13 (16.5) | 79 (100.0) |
| NLG2101 | Basophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 9 (10.6) | 1 (1.2) | 3 (3.5) | 13 (15.3) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 1 (1.2) | 0 (0.0) | 71 (83.5) | 72 (84.7) |
| | | Total | 0 (0.0) | 10 (11.8) | 1 (1.2) | 74 (87.1) | 85 (100.0) |
| PLACEBO | Basophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 6 (7.6) | 0 (0.0) | 1 (1.3) | 7 (8.9) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 3 (3.8) | 1 (1.3) | 68 (86.1) | 72 (91.1) |
| | | Total | 0 (0.0) | 9 (11.4) | 1 (1.3) | 69 (87.3) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|----------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Eosinophils ($10^9/L$) | Low | 1 (1.2) | 0 (0.0) | 1 (1.2) | 0 (0.0) | 2 (2.4) |
| | | Normal | 20 (23.5) | 34 (40.0) | 6 (7.1) | 1 (1.2) | 61 (71.8) |
| | | High | 1 (1.2) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Missing | 0 (0.0) | 8 (9.4) | 0 (0.0) | 13 (15.3) | 21 (24.7) |
| | | Total | 22 (25.9) | 42 (49.4) | 7 (8.2) | 14 (16.5) | 85 (100.0) |
| PLACEBO | Eosinophils ($10^9/L$) | Low | 3 (3.8) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Normal | 13 (16.5) | 39 (49.4) | 6 (7.6) | 2 (2.5) | 60 (75.9) |
| | | High | 1 (1.3) | 0 (0.0) | 1 (1.3) | 0 (0.0) | 2 (2.5) |
| | | Missing | 1 (1.3) | 2 (2.5) | 0 (0.0) | 11 (13.9) | 14 (17.7) |
| | | Total | 18 (22.8) | 41 (51.9) | 7 (8.9) | 13 (16.5) | 79 (100.0) |
| NLG2101 | Eosinophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 7 (8.2) | 2 (2.4) | 4 (4.7) | 13 (15.3) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 1 (1.2) | 0 (0.0) | 71 (83.5) | 72 (84.7) |
| | | Total | 0 (0.0) | 8 (9.4) | 2 (2.4) | 75 (88.2) | 85 (100.0) |
| PLACEBO | Eosinophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.3) | 1 (1.3) |
| | | Normal | 0 (0.0) | 5 (6.3) | 1 (1.3) | 0 (0.0) | 6 (7.6) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 1 (1.3) | 3 (3.8) | 0 (0.0) | 68 (86.1) | 72 (91.1) |
| | | Total | 1 (1.3) | 8 (10.1) | 1 (1.3) | 69 (87.3) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|--------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Hemoglobin (g/L) | Low | 33 (38.8) | 0 (0.0) | 1 (1.2) | 0 (0.0) | 34 (40.0) |
| | | Normal | 33 (38.8) | 12 (14.1) | 4 (4.7) | 1 (1.2) | 50 (58.8) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.2) | 1 (1.2) |
| | | Total | 66 (77.6) | 12 (14.1) | 5 (5.9) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Hemoglobin (g/L) | Low | 18 (22.8) | 0 (0.0) | 0 (0.0) | 2 (2.5) | 20 (25.3) |
| | | Normal | 35 (44.3) | 23 (29.1) | 0 (0.0) | 1 (1.3) | 59 (74.7) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 53 (67.1) | 23 (29.1) | 0 (0.0) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Lymphocytes ($10^9/L$) | Low | 16 (18.8) | 0 (0.0) | 0 (0.0) | 1 (1.2) | 17 (20.0) |
| | | Normal | 37 (43.5) | 14 (16.5) | 1 (1.2) | 0 (0.0) | 52 (61.2) |
| | | High | 0 (0.0) | 1 (1.2) | 1 (1.2) | 0 (0.0) | 2 (2.4) |
| | | Missing | 7 (8.2) | 3 (3.5) | 0 (0.0) | 4 (4.7) | 14 (16.5) |
| | | Total | 60 (70.6) | 18 (21.2) | 2 (2.4) | 5 (5.9) | 85 (100.0) |
| PLACEBO | Lymphocytes ($10^9/L$) | Low | 17 (21.5) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 17 (21.5) |
| | | Normal | 28 (35.4) | 20 (25.3) | 1 (1.3) | 1 (1.3) | 50 (63.3) |
| | | High | 0 (0.0) | 0 (0.0) | 1 (1.3) | 1 (1.3) | 2 (2.5) |
| | | Missing | 6 (7.6) | 2 (2.5) | 0 (0.0) | 2 (2.5) | 10 (12.7) |
| | | Total | 51 (64.6) | 22 (27.8) | 2 (2.5) | 4 (5.1) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|----------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Lymphocytes/Leukocytes (%) | Low | 2 (2.4) | 0 (0.0) | 0 (0.0) | 1 (1.2) | 3 (3.5) |
| | | Normal | 3 (3.5) | 4 (4.7) | 0 (0.0) | 1 (1.2) | 8 (9.4) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 4 (4.7) | 2 (2.4) | 0 (0.0) | 68 (80.0) | 74 (87.1) |
| | | Total | 9 (10.6) | 6 (7.1) | 0 (0.0) | 70 (82.4) | 85 (100.0) |
| PLACEBO | Lymphocytes/Leukocytes (%) | Low | 3 (3.8) | 0 (0.0) | 1 (1.3) | 1 (1.3) | 5 (6.3) |
| | | Normal | 2 (2.5) | 3 (3.8) | 1 (1.3) | 0 (0.0) | 6 (7.6) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 3 (3.8) | 0 (0.0) | 1 (1.3) | 64 (81.0) | 68 (86.1) |
| | | Total | 8 (10.1) | 3 (3.8) | 3 (3.8) | 65 (82.3) | 79 (100.0) |
| NLG2101 | Monocytes ($10^9/L$) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 17 (20.0) | 21 (24.7) | 20 (23.5) | 1 (1.2) | 59 (69.4) |
| | | High | 0 (0.0) | 0 (0.0) | 10 (11.8) | 0 (0.0) | 10 (11.8) |
| | | Missing | 1 (1.2) | 8 (9.4) | 2 (2.4) | 5 (5.9) | 16 (18.8) |
| | | Total | 18 (21.2) | 29 (34.1) | 32 (37.6) | 6 (7.1) | 85 (100.0) |
| PLACEBO | Monocytes ($10^9/L$) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 15 (19.0) | 26 (32.9) | 20 (25.3) | 1 (1.3) | 62 (78.5) |
| | | High | 0 (0.0) | 1 (1.3) | 5 (6.3) | 1 (1.3) | 7 (8.9) |
| | | Missing | 1 (1.3) | 6 (7.6) | 1 (1.3) | 2 (2.5) | 10 (12.7) |
| | | Total | 16 (20.3) | 33 (41.8) | 26 (32.9) | 4 (5.1) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|--------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Monocytes/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 6 (7.1) | 1 (1.2) | 3 (3.5) | 10 (11.8) |
| | | High | 0 (0.0) | 0 (0.0) | 2 (2.4) | 0 (0.0) | 2 (2.4) |
| | | Missing | 0 (0.0) | 7 (8.2) | 0 (0.0) | 66 (77.6) | 73 (85.9) |
| | | Total | 0 (0.0) | 13 (15.3) | 3 (3.5) | 69 (81.2) | 85 (100.0) |
| PLACEBO | Monocytes/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 6 (7.6) | 1 (1.3) | 1 (1.3) | 8 (10.1) |
| | | High | 0 (0.0) | 1 (1.3) | 1 (1.3) | 0 (0.0) | 2 (2.5) |
| | | Missing | 0 (0.0) | 4 (5.1) | 1 (1.3) | 64 (81.0) | 69 (87.3) |
| | | Total | 0 (0.0) | 11 (13.9) | 3 (3.8) | 65 (82.3) | 79 (100.0) |
| NLG2101 | Neutrophils ($10^9/L$) | Low | 1 (1.2) | 0 (0.0) | 2 (2.4) | 0 (0.0) | 3 (3.5) |
| | | Normal | 9 (10.6) | 15 (17.6) | 36 (42.4) | 1 (1.2) | 61 (71.8) |
| | | High | 0 (0.0) | 0 (0.0) | 6 (7.1) | 0 (0.0) | 6 (7.1) |
| | | Missing | 1 (1.2) | 4 (4.7) | 6 (7.1) | 4 (4.7) | 15 (17.6) |
| | | Total | 11 (12.9) | 19 (22.4) | 50 (58.8) | 5 (5.9) | 85 (100.0) |
| PLACEBO | Neutrophils ($10^9/L$) | Low | 0 (0.0) | 0 (0.0) | 4 (5.1) | 0 (0.0) | 4 (5.1) |
| | | Normal | 5 (6.3) | 11 (13.9) | 43 (54.4) | 1 (1.3) | 60 (75.9) |
| | | High | 0 (0.0) | 2 (2.5) | 2 (2.5) | 1 (1.3) | 5 (6.3) |
| | | Missing | 3 (3.8) | 0 (0.0) | 4 (5.1) | 3 (3.8) | 10 (12.7) |
| | | Total | 8 (10.1) | 13 (16.5) | 53 (67.1) | 5 (6.3) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|----------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Neutrophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 2 (2.4) | 6 (7.1) | 2 (2.4) | 10 (11.8) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 2 (2.4) | 2 (2.4) | 71 (83.5) | 75 (88.2) |
| | | Total | 0 (0.0) | 4 (4.7) | 8 (9.4) | 73 (85.9) | 85 (100.0) |
| PLACEBO | Neutrophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 2 (2.5) | 5 (6.3) | 0 (0.0) | 7 (8.9) |
| | | High | 0 (0.0) | 0 (0.0) | 2 (2.5) | 1 (1.3) | 3 (3.8) |
| | | Missing | 0 (0.0) | 1 (1.3) | 4 (5.1) | 64 (81.0) | 69 (87.3) |
| | | Total | 0 (0.0) | 3 (3.8) | 11 (13.9) | 65 (82.3) | 79 (100.0) |
| NLG2101 | Platelets ($10^9/L$) | Low | 2 (2.4) | 1 (1.2) | 0 (0.0) | 1 (1.2) | 4 (4.7) |
| | | Normal | 8 (9.4) | 42 (49.4) | 14 (16.5) | 0 (0.0) | 64 (75.3) |
| | | High | 0 (0.0) | 0 (0.0) | 13 (15.3) | 0 (0.0) | 13 (15.3) |
| | | Missing | 0 (0.0) | 3 (3.5) | 0 (0.0) | 1 (1.2) | 4 (4.7) |
| | | Total | 10 (11.8) | 46 (54.1) | 27 (31.8) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Platelets ($10^9/L$) | Low | 2 (2.5) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 4 (5.1) |
| | | Normal | 7 (8.9) | 41 (51.9) | 18 (22.8) | 2 (2.5) | 68 (86.1) |
| | | High | 0 (0.0) | 1 (1.3) | 5 (6.3) | 1 (1.3) | 7 (8.9) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 9 (11.4) | 44 (55.7) | 23 (29.1) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|-------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Leukocytes ($10^9/L$) | Low | 3 (3.5) | 0 (0.0) | 2 (2.4) | 0 (0.0) | 5 (5.9) |
| | | Normal | 16 (18.8) | 13 (15.3) | 40 (47.1) | 1 (1.2) | 70 (82.4) |
| | | High | 0 (0.0) | 0 (0.0) | 6 (7.1) | 0 (0.0) | 6 (7.1) |
| | | Missing | 2 (2.4) | 1 (1.2) | 0 (0.0) | 1 (1.2) | 4 (4.7) |
| | | Total | 21 (24.7) | 14 (16.5) | 48 (56.5) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Leukocytes ($10^9/L$) | Low | 2 (2.5) | 1 (1.3) | 1 (1.3) | 0 (0.0) | 4 (5.1) |
| | | Normal | 14 (17.7) | 14 (17.7) | 41 (51.9) | 2 (2.5) | 71 (89.9) |
| | | High | 0 (0.0) | 0 (0.0) | 3 (3.8) | 1 (1.3) | 4 (5.1) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 16 (20.3) | 15 (19.0) | 45 (57.0) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|--------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Basophils ($10^9/L$) | Low | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Normal | 0 (0.0) | 59 (69.4) | 1 (1.2) | 1 (1.2) | 61 (71.8) |
| | | High | 0 (0.0) | 1 (1.2) | 1 (1.2) | 0 (0.0) | 2 (2.4) |
| | | Missing | 0 (0.0) | 8 (9.4) | 0 (0.0) | 13 (15.3) | 21 (24.7) |
| | | Total | 0 (0.0) | 69 (81.2) | 2 (2.4) | 14 (16.5) | 85 (100.0) |
| PLACEBO | Basophils ($10^9/L$) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 1 (1.3) | 55 (69.6) | 4 (5.1) | 2 (2.5) | 62 (78.5) |
| | | High | 0 (0.0) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 11 (13.9) | 14 (17.7) |
| | | Total | 1 (1.3) | 61 (77.2) | 4 (5.1) | 13 (16.5) | 79 (100.0) |
| NLG2101 | Basophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 10 (11.8) | 0 (0.0) | 3 (3.5) | 13 (15.3) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 1 (1.2) | 0 (0.0) | 71 (83.5) | 72 (84.7) |
| | | Total | 0 (0.0) | 11 (12.9) | 0 (0.0) | 74 (87.1) | 85 (100.0) |
| PLACEBO | Basophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 6 (7.6) | 0 (0.0) | 1 (1.3) | 7 (8.9) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 4 (5.1) | 0 (0.0) | 68 (86.1) | 72 (91.1) |
| | | Total | 0 (0.0) | 10 (12.7) | 0 (0.0) | 69 (87.3) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|----------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Eosinophils ($10^9/L$) | Low | 0 (0.0) | 1 (1.2) | 1 (1.2) | 0 (0.0) | 2 (2.4) |
| | | Normal | 3 (3.5) | 56 (65.9) | 1 (1.2) | 1 (1.2) | 61 (71.8) |
| | | High | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Missing | 0 (0.0) | 8 (9.4) | 0 (0.0) | 13 (15.3) | 21 (24.7) |
| | | Total | 3 (3.5) | 66 (77.6) | 2 (2.4) | 14 (16.5) | 85 (100.0) |
| PLACEBO | Eosinophils ($10^9/L$) | Low | 0 (0.0) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Normal | 8 (10.1) | 49 (62.0) | 1 (1.3) | 2 (2.5) | 60 (75.9) |
| | | High | 0 (0.0) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 2 (2.5) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 11 (13.9) | 14 (17.7) |
| | | Total | 8 (10.1) | 57 (72.2) | 1 (1.3) | 13 (16.5) | 79 (100.0) |
| NLG2101 | Eosinophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 9 (10.6) | 0 (0.0) | 4 (4.7) | 13 (15.3) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 1 (1.2) | 0 (0.0) | 71 (83.5) | 72 (84.7) |
| | | Total | 0 (0.0) | 10 (11.8) | 0 (0.0) | 75 (88.2) | 85 (100.0) |
| PLACEBO | Eosinophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.3) | 1 (1.3) |
| | | Normal | 0 (0.0) | 6 (7.6) | 0 (0.0) | 0 (0.0) | 6 (7.6) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 1 (1.3) | 3 (3.8) | 0 (0.0) | 68 (86.1) | 72 (91.1) |
| | | Total | 1 (1.3) | 9 (11.4) | 0 (0.0) | 69 (87.3) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|--------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Hemoglobin (g/L) | Low | 30 (35.3) | 4 (4.7) | 0 (0.0) | 0 (0.0) | 34 (40.0) |
| | | Normal | 17 (20.0) | 30 (35.3) | 2 (2.4) | 1 (1.2) | 50 (58.8) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.2) | 1 (1.2) |
| | | Total | 47 (55.3) | 34 (40.0) | 2 (2.4) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Hemoglobin (g/L) | Low | 17 (21.5) | 1 (1.3) | 0 (0.0) | 2 (2.5) | 20 (25.3) |
| | | Normal | 19 (24.1) | 39 (49.4) | 0 (0.0) | 1 (1.3) | 59 (74.7) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 36 (45.6) | 40 (50.6) | 0 (0.0) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Lymphocytes ($10^9/L$) | Low | 13 (15.3) | 3 (3.5) | 0 (0.0) | 1 (1.2) | 17 (20.0) |
| | | Normal | 13 (15.3) | 39 (45.9) | 0 (0.0) | 0 (0.0) | 52 (61.2) |
| | | High | 1 (1.2) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | | Missing | 5 (5.9) | 5 (5.9) | 0 (0.0) | 4 (4.7) | 14 (16.5) |
| | | Total | 32 (37.6) | 48 (56.5) | 0 (0.0) | 5 (5.9) | 85 (100.0) |
| PLACEBO | Lymphocytes ($10^9/L$) | Low | 15 (19.0) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 17 (21.5) |
| | | Normal | 14 (17.7) | 34 (43.0) | 1 (1.3) | 1 (1.3) | 50 (63.3) |
| | | High | 0 (0.0) | 1 (1.3) | 0 (0.0) | 1 (1.3) | 2 (2.5) |
| | | Missing | 3 (3.8) | 5 (6.3) | 0 (0.0) | 2 (2.5) | 10 (12.7) |
| | | Total | 32 (40.5) | 42 (53.2) | 1 (1.3) | 4 (5.1) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|----------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Lymphocytes/Leukocytes (%) | Low | 1 (1.2) | 1 (1.2) | 0 (0.0) | 1 (1.2) | 3 (3.5) |
| | | Normal | 2 (2.4) | 5 (5.9) | 0 (0.0) | 1 (1.2) | 8 (9.4) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 3 (3.5) | 3 (3.5) | 0 (0.0) | 68 (80.0) | 74 (87.1) |
| | | Total | 6 (7.1) | 9 (10.6) | 0 (0.0) | 70 (82.4) | 85 (100.0) |
| PLACEBO | Lymphocytes/Leukocytes (%) | Low | 3 (3.8) | 0 (0.0) | 1 (1.3) | 1 (1.3) | 5 (6.3) |
| | | Normal | 2 (2.5) | 4 (5.1) | 0 (0.0) | 0 (0.0) | 6 (7.6) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 2 (2.5) | 1 (1.3) | 1 (1.3) | 64 (81.0) | 68 (86.1) |
| | | Total | 7 (8.9) | 5 (6.3) | 2 (2.5) | 65 (82.3) | 79 (100.0) |
| NLG2101 | Monocytes ($10^9/L$) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 4 (4.7) | 50 (58.8) | 4 (4.7) | 1 (1.2) | 59 (69.4) |
| | | High | 0 (0.0) | 4 (4.7) | 6 (7.1) | 0 (0.0) | 10 (11.8) |
| | | Missing | 0 (0.0) | 9 (10.6) | 2 (2.4) | 5 (5.9) | 16 (18.8) |
| | | Total | 4 (4.7) | 63 (74.1) | 12 (14.1) | 6 (7.1) | 85 (100.0) |
| PLACEBO | Monocytes ($10^9/L$) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 5 (6.3) | 49 (62.0) | 7 (8.9) | 1 (1.3) | 62 (78.5) |
| | | High | 0 (0.0) | 5 (6.3) | 1 (1.3) | 1 (1.3) | 7 (8.9) |
| | | Missing | 0 (0.0) | 8 (10.1) | 0 (0.0) | 2 (2.5) | 10 (12.7) |
| | | Total | 5 (6.3) | 62 (78.5) | 8 (10.1) | 4 (5.1) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|--------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Monocytes/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 7 (8.2) | 0 (0.0) | 3 (3.5) | 10 (11.8) |
| | | High | 0 (0.0) | 0 (0.0) | 2 (2.4) | 0 (0.0) | 2 (2.4) |
| | | Missing | 0 (0.0) | 7 (8.2) | 0 (0.0) | 66 (77.6) | 73 (85.9) |
| | | Total | 0 (0.0) | 14 (16.5) | 2 (2.4) | 69 (81.2) | 85 (100.0) |
| PLACEBO | Monocytes/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 6 (7.6) | 1 (1.3) | 1 (1.3) | 8 (10.1) |
| | | High | 0 (0.0) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 2 (2.5) |
| | | Missing | 0 (0.0) | 4 (5.1) | 1 (1.3) | 64 (81.0) | 69 (87.3) |
| | | Total | 0 (0.0) | 12 (15.2) | 2 (2.5) | 65 (82.3) | 79 (100.0) |
| NLG2101 | Neutrophils ($10^9/L$) | Low | 2 (2.4) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Normal | 4 (4.7) | 47 (55.3) | 9 (10.6) | 1 (1.2) | 61 (71.8) |
| | | High | 0 (0.0) | 3 (3.5) | 3 (3.5) | 0 (0.0) | 6 (7.1) |
| | | Missing | 0 (0.0) | 7 (8.2) | 4 (4.7) | 4 (4.7) | 15 (17.6) |
| | | Total | 6 (7.1) | 58 (68.2) | 16 (18.8) | 5 (5.9) | 85 (100.0) |
| PLACEBO | Neutrophils ($10^9/L$) | Low | 3 (3.8) | 0 (0.0) | 1 (1.3) | 0 (0.0) | 4 (5.1) |
| | | Normal | 5 (6.3) | 39 (49.4) | 15 (19.0) | 1 (1.3) | 60 (75.9) |
| | | High | 0 (0.0) | 3 (3.8) | 1 (1.3) | 1 (1.3) | 5 (6.3) |
| | | Missing | 1 (1.3) | 4 (5.1) | 2 (2.5) | 3 (3.8) | 10 (12.7) |
| | | Total | 9 (11.4) | 46 (58.2) | 19 (24.1) | 5 (6.3) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|----------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Neutrophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 1 (1.2) | 5 (5.9) | 2 (2.4) | 2 (2.4) | 10 (11.8) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 2 (2.4) | 2 (2.4) | 71 (83.5) | 75 (88.2) |
| | | Total | 1 (1.2) | 7 (8.2) | 4 (4.7) | 73 (85.9) | 85 (100.0) |
| PLACEBO | Neutrophils/Leukocytes (%) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 5 (6.3) | 2 (2.5) | 0 (0.0) | 7 (8.9) |
| | | High | 1 (1.3) | 0 (0.0) | 1 (1.3) | 1 (1.3) | 3 (3.8) |
| | | Missing | 0 (0.0) | 1 (1.3) | 4 (5.1) | 64 (81.0) | 69 (87.3) |
| | | Total | 1 (1.3) | 6 (7.6) | 7 (8.9) | 65 (82.3) | 79 (100.0) |
| NLG2101 | Platelets ($10^9/L$) | Low | 1 (1.2) | 2 (2.4) | 0 (0.0) | 1 (1.2) | 4 (4.7) |
| | | Normal | 6 (7.1) | 55 (64.7) | 3 (3.5) | 0 (0.0) | 64 (75.3) |
| | | High | 0 (0.0) | 8 (9.4) | 5 (5.9) | 0 (0.0) | 13 (15.3) |
| | | Missing | 0 (0.0) | 3 (3.5) | 0 (0.0) | 1 (1.2) | 4 (4.7) |
| | | Total | 7 (8.2) | 68 (80.0) | 8 (9.4) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Platelets ($10^9/L$) | Low | 0 (0.0) | 4 (5.1) | 0 (0.0) | 0 (0.0) | 4 (5.1) |
| | | Normal | 4 (5.1) | 58 (73.4) | 4 (5.1) | 2 (2.5) | 68 (86.1) |
| | | High | 0 (0.0) | 2 (2.5) | 4 (5.1) | 1 (1.3) | 7 (8.9) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 4 (5.1) | 64 (81.0) | 8 (10.1) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3A
Laboratory Shifts From Baseline Based on the Normal Range: Hematology (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|-------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Leukocytes ($10^9/L$) | Low | 4 (4.7) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 5 (5.9) |
| | | Normal | 9 (10.6) | 52 (61.2) | 8 (9.4) | 1 (1.2) | 70 (82.4) |
| | | High | 0 (0.0) | 2 (2.4) | 4 (4.7) | 0 (0.0) | 6 (7.1) |
| | | Missing | 2 (2.4) | 1 (1.2) | 0 (0.0) | 1 (1.2) | 4 (4.7) |
| | | Total | 15 (17.6) | 56 (65.9) | 12 (14.1) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Leukocytes ($10^9/L$) | Low | 2 (2.5) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 4 (5.1) |
| | | Normal | 8 (10.1) | 49 (62.0) | 12 (15.2) | 2 (2.5) | 71 (89.9) |
| | | High | 1 (1.3) | 1 (1.3) | 1 (1.3) | 1 (1.3) | 4 (5.1) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 11 (13.9) | 52 (65.8) | 13 (16.5) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|----------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Albumin (g/L) | Low | 11 (12.9) | 1 (1.2) | 0 (0.0) | 1 (1.2) | 13 (15.3) |
| | | Normal | 18 (21.2) | 47 (55.3) | 2 (2.4) | 2 (2.4) | 69 (81.2) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 2 (2.4) | 1 (1.2) | 0 (0.0) | 3 (3.5) |
| | | Total | 29 (34.1) | 50 (58.8) | 3 (3.5) | 3 (3.5) | 85 (100.0) |
| PLACEBO | Albumin (g/L) | Low | 4 (5.1) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 6 (7.6) |
| | | Normal | 19 (24.1) | 46 (58.2) | 2 (2.5) | 3 (3.8) | 70 (88.6) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 2 (2.5) | 1 (1.3) | 0 (0.0) | 3 (3.8) |
| | | Total | 23 (29.1) | 50 (63.3) | 3 (3.8) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Alkaline Phosphatase (U/L) | Low | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Normal | 0 (0.0) | 46 (54.1) | 12 (14.1) | 0 (0.0) | 58 (68.2) |
| | | High | 0 (0.0) | 1 (1.2) | 19 (22.4) | 1 (1.2) | 21 (24.7) |
| | | Missing | 0 (0.0) | 3 (3.5) | 1 (1.2) | 1 (1.2) | 5 (5.9) |
| | | Total | 0 (0.0) | 51 (60.0) | 32 (37.6) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Alkaline Phosphatase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 3 (3.8) | 40 (50.6) | 9 (11.4) | 2 (2.5) | 54 (68.4) |
| | | High | 0 (0.0) | 1 (1.3) | 21 (26.6) | 1 (1.3) | 23 (29.1) |
| | | Missing | 0 (0.0) | 1 (1.3) | 1 (1.3) | 0 (0.0) | 2 (2.5) |
| | | Total | 3 (3.8) | 42 (53.2) | 31 (39.2) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|----------------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Alanine Aminotransferase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 1 (1.2) | 58 (68.2) | 10 (11.8) | 1 (1.2) | 70 (82.4) |
| | | High | 0 (0.0) | 4 (4.7) | 7 (8.2) | 1 (1.2) | 12 (14.1) |
| | | Missing | 0 (0.0) | 2 (2.4) | 1 (1.2) | 0 (0.0) | 3 (3.5) |
| | | Total | 1 (1.2) | 64 (75.3) | 18 (21.2) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Alanine Aminotransferase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 2 (2.5) | 47 (59.5) | 9 (11.4) | 2 (2.5) | 60 (75.9) |
| | | High | 0 (0.0) | 2 (2.5) | 14 (17.7) | 1 (1.3) | 17 (21.5) |
| | | Missing | 0 (0.0) | 1 (1.3) | 1 (1.3) | 0 (0.0) | 2 (2.5) |
| | | Total | 2 (2.5) | 50 (63.3) | 24 (30.4) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Aspartate Aminotransferase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 48 (56.5) | 15 (17.6) | 1 (1.2) | 64 (75.3) |
| | | High | 0 (0.0) | 4 (4.7) | 13 (15.3) | 1 (1.2) | 18 (21.2) |
| | | Missing | 0 (0.0) | 1 (1.2) | 2 (2.4) | 0 (0.0) | 3 (3.5) |
| | | Total | 0 (0.0) | 53 (62.4) | 30 (35.3) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Aspartate Aminotransferase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 35 (44.3) | 15 (19.0) | 2 (2.5) | 52 (65.8) |
| | | High | 1 (1.3) | 1 (1.3) | 22 (27.8) | 1 (1.3) | 25 (31.6) |
| | | Missing | 0 (0.0) | 1 (1.3) | 1 (1.3) | 0 (0.0) | 2 (2.5) |
| | | Total | 1 (1.3) | 37 (46.8) | 38 (48.1) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|----------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Bicarbonate (mmol/L) | Low | 7 (8.2) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 9 (10.6) |
| | | Normal | 20 (23.5) | 31 (36.5) | 5 (5.9) | 2 (2.4) | 58 (68.2) |
| | | High | 0 (0.0) | 4 (4.7) | 3 (3.5) | 1 (1.2) | 8 (9.4) |
| | | Missing | 5 (5.9) | 0 (0.0) | 1 (1.2) | 4 (4.7) | 10 (11.8) |
| | | Total | 32 (37.6) | 37 (43.5) | 9 (10.6) | 7 (8.2) | 85 (100.0) |
| PLACEBO | Bicarbonate (mmol/L) | Low | 2 (2.5) | 1 (1.3) | 2 (2.5) | 0 (0.0) | 5 (6.3) |
| | | Normal | 19 (24.1) | 31 (39.2) | 4 (5.1) | 3 (3.8) | 57 (72.2) |
| | | High | 0 (0.0) | 0 (0.0) | 4 (5.1) | 0 (0.0) | 4 (5.1) |
| | | Missing | 2 (2.5) | 1 (1.3) | 0 (0.0) | 10 (12.7) | 13 (16.5) |
| | | Total | 23 (29.1) | 33 (41.8) | 10 (12.7) | 13 (16.5) | 79 (100.0) |
| NLG2101 | Calcium (mmol/L) | Low | 4 (4.7) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 4 (4.7) |
| | | Normal | 22 (25.9) | 36 (42.4) | 10 (11.8) | 2 (2.4) | 70 (82.4) |
| | | High | 0 (0.0) | 2 (2.4) | 8 (9.4) | 0 (0.0) | 10 (11.8) |
| | | Missing | 0 (0.0) | 0 (0.0) | 1 (1.2) | 0 (0.0) | 1 (1.2) |
| | | Total | 26 (30.6) | 38 (44.7) | 19 (22.4) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Calcium (mmol/L) | Low | 3 (3.8) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 4 (5.1) |
| | | Normal | 14 (17.7) | 42 (53.2) | 9 (11.4) | 3 (3.8) | 68 (86.1) |
| | | High | 0 (0.0) | 3 (3.8) | 3 (3.8) | 0 (0.0) | 6 (7.6) |
| | | Missing | 0 (0.0) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Total | 17 (21.5) | 47 (59.5) | 12 (15.2) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|---------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Chloride (mmol/L) | Low | 2 (2.4) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Normal | 18 (21.2) | 53 (62.4) | 4 (4.7) | 2 (2.4) | 77 (90.6) |
| | | High | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Missing | 1 (1.2) | 2 (2.4) | 1 (1.2) | 0 (0.0) | 4 (4.7) |
| | | Total | 21 (24.7) | 57 (67.1) | 5 (5.9) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Chloride (mmol/L) | Low | 3 (3.8) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 4 (5.1) |
| | | Normal | 15 (19.0) | 41 (51.9) | 11 (13.9) | 2 (2.5) | 69 (87.3) |
| | | High | 0 (0.0) | 0 (0.0) | 2 (2.5) | 1 (1.3) | 3 (3.8) |
| | | Missing | 0 (0.0) | 1 (1.3) | 1 (1.3) | 1 (1.3) | 3 (3.8) |
| | | Total | 18 (22.8) | 43 (54.4) | 14 (17.7) | 4 (5.1) | 79 (100.0) |
| NLG2101 | Creatinine (umol/L) | Low | 9 (10.6) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 11 (12.9) |
| | | Normal | 12 (14.1) | 47 (55.3) | 8 (9.4) | 2 (2.4) | 69 (81.2) |
| | | High | 0 (0.0) | 0 (0.0) | 5 (5.9) | 0 (0.0) | 5 (5.9) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 21 (24.7) | 49 (57.6) | 13 (15.3) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Creatinine (umol/L) | Low | 6 (7.6) | 0 (0.0) | 0 (0.0) | 1 (1.3) | 7 (8.9) |
| | | Normal | 21 (26.6) | 40 (50.6) | 4 (5.1) | 2 (2.5) | 67 (84.8) |
| | | High | 0 (0.0) | 3 (3.8) | 1 (1.3) | 0 (0.0) | 4 (5.1) |
| | | Missing | 0 (0.0) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Total | 27 (34.2) | 44 (55.7) | 5 (6.3) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|--------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Glucose (mmol/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 6 (7.1) | 34 (40.0) | 0 (0.0) | 40 (47.1) |
| | | High | 0 (0.0) | 2 (2.4) | 41 (48.2) | 2 (2.4) | 45 (52.9) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 0 (0.0) | 8 (9.4) | 75 (88.2) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Glucose (mmol/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 1 (1.3) | 4 (5.1) | 33 (41.8) | 2 (2.5) | 40 (50.6) |
| | | High | 0 (0.0) | 2 (2.5) | 35 (44.3) | 1 (1.3) | 38 (48.1) |
| | | Missing | 0 (0.0) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Total | 1 (1.3) | 7 (8.9) | 68 (86.1) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Potassium (mmol/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.2) | 1 (1.2) |
| | | Normal | 11 (12.9) | 54 (63.5) | 14 (16.5) | 1 (1.2) | 80 (94.1) |
| | | High | 0 (0.0) | 3 (3.5) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Missing | 0 (0.0) | 0 (0.0) | 1 (1.2) | 0 (0.0) | 1 (1.2) |
| | | Total | 11 (12.9) | 57 (67.1) | 15 (17.6) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Potassium (mmol/L) | Low | 1 (1.3) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Normal | 10 (12.7) | 46 (58.2) | 11 (13.9) | 3 (3.8) | 70 (88.6) |
| | | High | 1 (1.3) | 3 (3.8) | 1 (1.3) | 0 (0.0) | 5 (6.3) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Total | 12 (15.2) | 52 (65.8) | 12 (15.2) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|-----------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Lactate Dehydrogenase (U/L) | Low | 1 (1.2) | 1 (1.2) | 1 (1.2) | 1 (1.2) | 4 (4.7) |
| | | Normal | 2 (2.4) | 15 (17.6) | 30 (35.3) | 0 (0.0) | 47 (55.3) |
| | | High | 1 (1.2) | 1 (1.2) | 26 (30.6) | 2 (2.4) | 30 (35.3) |
| | | Missing | 0 (0.0) | 2 (2.4) | 2 (2.4) | 0 (0.0) | 4 (4.7) |
| | | Total | 4 (4.7) | 19 (22.4) | 59 (69.4) | 3 (3.5) | 85 (100.0) |
| PLACEBO | Lactate Dehydrogenase (U/L) | Low | 1 (1.3) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Normal | 0 (0.0) | 14 (17.7) | 21 (26.6) | 0 (0.0) | 35 (44.3) |
| | | High | 0 (0.0) | 0 (0.0) | 33 (41.8) | 3 (3.8) | 36 (45.6) |
| | | Missing | 0 (0.0) | 2 (2.5) | 5 (6.3) | 0 (0.0) | 7 (8.9) |
| | | Total | 1 (1.3) | 16 (20.3) | 59 (74.7) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Phosphate (mmol/L) | Low | 1 (1.2) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | | Normal | 19 (22.4) | 34 (40.0) | 18 (21.2) | 2 (2.4) | 73 (85.9) |
| | | High | 0 (0.0) | 0 (0.0) | 1 (1.2) | 0 (0.0) | 1 (1.2) |
| | | Missing | 1 (1.2) | 2 (2.4) | 5 (5.9) | 1 (1.2) | 9 (10.6) |
| | | Total | 21 (24.7) | 37 (43.5) | 24 (28.2) | 3 (3.5) | 85 (100.0) |
| PLACEBO | Phosphate (mmol/L) | Low | 2 (2.5) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 2 (2.5) |
| | | Normal | 15 (19.0) | 35 (44.3) | 10 (12.7) | 3 (3.8) | 63 (79.7) |
| | | High | 0 (0.0) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Missing | 6 (7.6) | 3 (3.8) | 2 (2.5) | 0 (0.0) | 11 (13.9) |
| | | Total | 23 (29.1) | 41 (51.9) | 12 (15.2) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|-----------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Protein (g/L) | Low | 5 (5.9) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 5 (5.9) |
| | | Normal | 35 (41.2) | 39 (45.9) | 0 (0.0) | 2 (2.4) | 76 (89.4) |
| | | High | 0 (0.0) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | | Missing | 1 (1.2) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | | Total | 41 (48.2) | 42 (49.4) | 0 (0.0) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Protein (g/L) | Low | 3 (3.8) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 6 (7.6) |
| | | Normal | 26 (32.9) | 35 (44.3) | 5 (6.3) | 3 (3.8) | 69 (87.3) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 2 (2.5) | 1 (1.3) | 1 (1.3) | 4 (5.1) |
| | | Total | 29 (36.7) | 40 (50.6) | 6 (7.6) | 4 (5.1) | 79 (100.0) |
| NLG2101 | Sodium (mmol/L) | Low | 2 (2.4) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 4 (4.7) |
| | | Normal | 13 (15.3) | 58 (68.2) | 5 (5.9) | 2 (2.4) | 78 (91.8) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 2 (2.4) | 1 (1.2) | 0 (0.0) | 3 (3.5) |
| | | Total | 15 (17.6) | 62 (72.9) | 6 (7.1) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Sodium (mmol/L) | Low | 3 (3.8) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 4 (5.1) |
| | | Normal | 12 (15.2) | 54 (68.4) | 3 (3.8) | 3 (3.8) | 72 (91.1) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 1 (1.3) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Total | 16 (20.3) | 57 (72.2) | 3 (3.8) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Worst Post-Baseline Result | | | | Total n (%) |
|-----------------|------------------------|----------|----------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Bilirubin (umol/L) | Low | 1 (1.2) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Normal | 9 (10.6) | 66 (77.6) | 5 (5.9) | 2 (2.4) | 82 (96.5) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 10 (11.8) | 68 (80.0) | 5 (5.9) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Bilirubin (umol/L) | Low | 2 (2.5) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 2 (2.5) |
| | | Normal | 7 (8.9) | 59 (74.7) | 7 (8.9) | 3 (3.8) | 76 (96.2) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Total | 9 (11.4) | 60 (75.9) | 7 (8.9) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Urea Nitrogen (mmol/L) | Low | 0 (0.0) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | | Normal | 8 (9.4) | 52 (61.2) | 16 (18.8) | 2 (2.4) | 78 (91.8) |
| | | High | 0 (0.0) | 1 (1.2) | 1 (1.2) | 0 (0.0) | 2 (2.4) |
| | | Missing | 0 (0.0) | 0 (0.0) | 2 (2.4) | 1 (1.2) | 3 (3.5) |
| | | Total | 8 (9.4) | 55 (64.7) | 19 (22.4) | 3 (3.5) | 85 (100.0) |
| PLACEBO | Urea Nitrogen (mmol/L) | Low | 2 (2.5) | 0 (0.0) | 1 (1.3) | 0 (0.0) | 3 (3.8) |
| | | Normal | 11 (13.9) | 42 (53.2) | 9 (11.4) | 3 (3.8) | 65 (82.3) |
| | | High | 1 (1.3) | 1 (1.3) | 6 (7.6) | 0 (0.0) | 8 (10.1) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Total | 14 (17.7) | 46 (58.2) | 16 (20.3) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|----------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Albumin (g/L) | Low | 11 (12.9) | 1 (1.2) | 0 (0.0) | 1 (1.2) | 13 (15.3) |
| | | Normal | 10 (11.8) | 57 (67.1) | 0 (0.0) | 2 (2.4) | 69 (81.2) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 3 (3.5) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Total | 21 (24.7) | 61 (71.8) | 0 (0.0) | 3 (3.5) | 85 (100.0) |
| PLACEBO | Albumin (g/L) | Low | 4 (5.1) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 6 (7.6) |
| | | Normal | 8 (10.1) | 59 (74.7) | 0 (0.0) | 3 (3.8) | 70 (88.6) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Total | 12 (15.2) | 64 (81.0) | 0 (0.0) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Alkaline Phosphatase (U/L) | Low | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Normal | 0 (0.0) | 49 (57.6) | 9 (10.6) | 0 (0.0) | 58 (68.2) |
| | | High | 0 (0.0) | 7 (8.2) | 13 (15.3) | 1 (1.2) | 21 (24.7) |
| | | Missing | 0 (0.0) | 4 (4.7) | 0 (0.0) | 1 (1.2) | 5 (5.9) |
| | | Total | 0 (0.0) | 61 (71.8) | 22 (25.9) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Alkaline Phosphatase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 1 (1.3) | 46 (58.2) | 5 (6.3) | 2 (2.5) | 54 (68.4) |
| | | High | 0 (0.0) | 10 (12.7) | 12 (15.2) | 1 (1.3) | 23 (29.1) |
| | | Missing | 0 (0.0) | 1 (1.3) | 1 (1.3) | 0 (0.0) | 2 (2.5) |
| | | Total | 1 (1.3) | 57 (72.2) | 18 (22.8) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|----------------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Alanine Aminotransferase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 2 (2.4) | 64 (75.3) | 3 (3.5) | 1 (1.2) | 70 (82.4) |
| | | High | 0 (0.0) | 5 (5.9) | 6 (7.1) | 1 (1.2) | 12 (14.1) |
| | | Missing | 0 (0.0) | 3 (3.5) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Total | 2 (2.4) | 72 (84.7) | 9 (10.6) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Alanine Aminotransferase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 1 (1.3) | 52 (65.8) | 5 (6.3) | 2 (2.5) | 60 (75.9) |
| | | High | 0 (0.0) | 7 (8.9) | 9 (11.4) | 1 (1.3) | 17 (21.5) |
| | | Missing | 0 (0.0) | 1 (1.3) | 1 (1.3) | 0 (0.0) | 2 (2.5) |
| | | Total | 1 (1.3) | 60 (75.9) | 15 (19.0) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Aspartate Aminotransferase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 54 (63.5) | 9 (10.6) | 1 (1.2) | 64 (75.3) |
| | | High | 0 (0.0) | 10 (11.8) | 7 (8.2) | 1 (1.2) | 18 (21.2) |
| | | Missing | 0 (0.0) | 3 (3.5) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Total | 0 (0.0) | 67 (78.8) | 16 (18.8) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Aspartate Aminotransferase (U/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 44 (55.7) | 6 (7.6) | 2 (2.5) | 52 (65.8) |
| | | High | 0 (0.0) | 7 (8.9) | 17 (21.5) | 1 (1.3) | 25 (31.6) |
| | | Missing | 0 (0.0) | 1 (1.3) | 1 (1.3) | 0 (0.0) | 2 (2.5) |
| | | Total | 0 (0.0) | 52 (65.8) | 24 (30.4) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|----------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Bicarbonate (mmol/L) | Low | 3 (3.5) | 6 (7.1) | 0 (0.0) | 0 (0.0) | 9 (10.6) |
| | | Normal | 5 (5.9) | 48 (56.5) | 3 (3.5) | 2 (2.4) | 58 (68.2) |
| | | High | 0 (0.0) | 6 (7.1) | 1 (1.2) | 1 (1.2) | 8 (9.4) |
| | | Missing | 1 (1.2) | 4 (4.7) | 1 (1.2) | 4 (4.7) | 10 (11.8) |
| | | Total | 9 (10.6) | 64 (75.3) | 5 (5.9) | 7 (8.2) | 85 (100.0) |
| PLACEBO | Bicarbonate (mmol/L) | Low | 2 (2.5) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 5 (6.3) |
| | | Normal | 5 (6.3) | 48 (60.8) | 1 (1.3) | 3 (3.8) | 57 (72.2) |
| | | High | 0 (0.0) | 1 (1.3) | 3 (3.8) | 0 (0.0) | 4 (5.1) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 10 (12.7) | 13 (16.5) |
| | | Total | 7 (8.9) | 55 (69.6) | 4 (5.1) | 13 (16.5) | 79 (100.0) |
| NLG2101 | Calcium (mmol/L) | Low | 3 (3.5) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 4 (4.7) |
| | | Normal | 12 (14.1) | 54 (63.5) | 2 (2.4) | 2 (2.4) | 70 (82.4) |
| | | High | 0 (0.0) | 8 (9.4) | 2 (2.4) | 0 (0.0) | 10 (11.8) |
| | | Missing | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Total | 15 (17.6) | 64 (75.3) | 4 (4.7) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Calcium (mmol/L) | Low | 0 (0.0) | 4 (5.1) | 0 (0.0) | 0 (0.0) | 4 (5.1) |
| | | Normal | 6 (7.6) | 59 (74.7) | 0 (0.0) | 3 (3.8) | 68 (86.1) |
| | | High | 0 (0.0) | 5 (6.3) | 1 (1.3) | 0 (0.0) | 6 (7.6) |
| | | Missing | 0 (0.0) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Total | 6 (7.6) | 69 (87.3) | 1 (1.3) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|---------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Chloride (mmol/L) | Low | 0 (0.0) | 3 (3.5) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Normal | 5 (5.9) | 69 (81.2) | 1 (1.2) | 2 (2.4) | 77 (90.6) |
| | | High | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Missing | 0 (0.0) | 4 (4.7) | 0 (0.0) | 0 (0.0) | 4 (4.7) |
| | | Total | 5 (5.9) | 77 (90.6) | 1 (1.2) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Chloride (mmol/L) | Low | 2 (2.5) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 4 (5.1) |
| | | Normal | 5 (6.3) | 61 (77.2) | 1 (1.3) | 2 (2.5) | 69 (87.3) |
| | | High | 1 (1.3) | 1 (1.3) | 0 (0.0) | 1 (1.3) | 3 (3.8) |
| | | Missing | 0 (0.0) | 1 (1.3) | 1 (1.3) | 1 (1.3) | 3 (3.8) |
| | | Total | 8 (10.1) | 65 (82.3) | 2 (2.5) | 4 (5.1) | 79 (100.0) |
| NLG2101 | Creatinine (umol/L) | Low | 6 (7.1) | 5 (5.9) | 0 (0.0) | 0 (0.0) | 11 (12.9) |
| | | Normal | 6 (7.1) | 59 (69.4) | 2 (2.4) | 2 (2.4) | 69 (81.2) |
| | | High | 0 (0.0) | 2 (2.4) | 3 (3.5) | 0 (0.0) | 5 (5.9) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 12 (14.1) | 66 (77.6) | 5 (5.9) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Creatinine (umol/L) | Low | 5 (6.3) | 1 (1.3) | 0 (0.0) | 1 (1.3) | 7 (8.9) |
| | | Normal | 11 (13.9) | 53 (67.1) | 1 (1.3) | 2 (2.5) | 67 (84.8) |
| | | High | 0 (0.0) | 3 (3.8) | 1 (1.3) | 0 (0.0) | 4 (5.1) |
| | | Missing | 0 (0.0) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Total | 16 (20.3) | 58 (73.4) | 2 (2.5) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|--------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Glucose (mmol/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 21 (24.7) | 19 (22.4) | 0 (0.0) | 40 (47.1) |
| | | High | 0 (0.0) | 18 (21.2) | 25 (29.4) | 2 (2.4) | 45 (52.9) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 0 (0.0) | 39 (45.9) | 44 (51.8) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Glucose (mmol/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Normal | 0 (0.0) | 19 (24.1) | 19 (24.1) | 2 (2.5) | 40 (50.6) |
| | | High | 0 (0.0) | 11 (13.9) | 26 (32.9) | 1 (1.3) | 38 (48.1) |
| | | Missing | 0 (0.0) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Total | 0 (0.0) | 31 (39.2) | 45 (57.0) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Potassium (mmol/L) | Low | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.2) | 1 (1.2) |
| | | Normal | 4 (4.7) | 72 (84.7) | 3 (3.5) | 1 (1.2) | 80 (94.1) |
| | | High | 0 (0.0) | 3 (3.5) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Missing | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Total | 4 (4.7) | 76 (89.4) | 3 (3.5) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Potassium (mmol/L) | Low | 1 (1.3) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Normal | 4 (5.1) | 61 (77.2) | 2 (2.5) | 3 (3.8) | 70 (88.6) |
| | | High | 0 (0.0) | 5 (6.3) | 0 (0.0) | 0 (0.0) | 5 (6.3) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Total | 5 (6.3) | 69 (87.3) | 2 (2.5) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|-----------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Lactate Dehydrogenase (U/L) | Low | 0 (0.0) | 3 (3.5) | 0 (0.0) | 1 (1.2) | 4 (4.7) |
| | | Normal | 0 (0.0) | 38 (44.7) | 9 (10.6) | 0 (0.0) | 47 (55.3) |
| | | High | 0 (0.0) | 4 (4.7) | 24 (28.2) | 2 (2.4) | 30 (35.3) |
| | | Missing | 0 (0.0) | 2 (2.4) | 2 (2.4) | 0 (0.0) | 4 (4.7) |
| | | Total | 0 (0.0) | 47 (55.3) | 35 (41.2) | 3 (3.5) | 85 (100.0) |
| PLACEBO | Lactate Dehydrogenase (U/L) | Low | 1 (1.3) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Normal | 0 (0.0) | 29 (36.7) | 6 (7.6) | 0 (0.0) | 35 (44.3) |
| | | High | 0 (0.0) | 3 (3.8) | 30 (38.0) | 3 (3.8) | 36 (45.6) |
| | | Missing | 0 (0.0) | 4 (5.1) | 3 (3.8) | 0 (0.0) | 7 (8.9) |
| | | Total | 1 (1.3) | 36 (45.6) | 39 (49.4) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Phosphate (mmol/L) | Low | 0 (0.0) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | | Normal | 9 (10.6) | 59 (69.4) | 3 (3.5) | 2 (2.4) | 73 (85.9) |
| | | High | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 1 (1.2) |
| | | Missing | 0 (0.0) | 5 (5.9) | 3 (3.5) | 1 (1.2) | 9 (10.6) |
| | | Total | 9 (10.6) | 67 (78.8) | 6 (7.1) | 3 (3.5) | 85 (100.0) |
| PLACEBO | Phosphate (mmol/L) | Low | 0 (0.0) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 2 (2.5) |
| | | Normal | 4 (5.1) | 51 (64.6) | 5 (6.3) | 3 (3.8) | 63 (79.7) |
| | | High | 0 (0.0) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Missing | 3 (3.8) | 8 (10.1) | 0 (0.0) | 0 (0.0) | 11 (13.9) |
| | | Total | 7 (8.9) | 64 (81.0) | 5 (6.3) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|-----------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Protein (g/L) | Low | 4 (4.7) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 5 (5.9) |
| | | Normal | 21 (24.7) | 53 (62.4) | 0 (0.0) | 2 (2.4) | 76 (89.4) |
| | | High | 0 (0.0) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | | Missing | 0 (0.0) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | | Total | 25 (29.4) | 58 (68.2) | 0 (0.0) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Protein (g/L) | Low | 2 (2.5) | 4 (5.1) | 0 (0.0) | 0 (0.0) | 6 (7.6) |
| | | Normal | 10 (12.7) | 56 (70.9) | 0 (0.0) | 3 (3.8) | 69 (87.3) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 1 (1.3) | 4 (5.1) |
| | | Total | 12 (15.2) | 63 (79.7) | 0 (0.0) | 4 (5.1) | 79 (100.0) |
| NLG2101 | Sodium (mmol/L) | Low | 0 (0.0) | 4 (4.7) | 0 (0.0) | 0 (0.0) | 4 (4.7) |
| | | Normal | 2 (2.4) | 74 (87.1) | 0 (0.0) | 2 (2.4) | 78 (91.8) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 3 (3.5) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Total | 2 (2.4) | 81 (95.3) | 0 (0.0) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Sodium (mmol/L) | Low | 2 (2.5) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 4 (5.1) |
| | | Normal | 4 (5.1) | 64 (81.0) | 1 (1.3) | 3 (3.8) | 72 (91.1) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 1 (1.3) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Total | 7 (8.9) | 68 (86.1) | 1 (1.3) | 3 (3.8) | 79 (100.0) |

Table 14.3.5.3B
Laboratory Shifts From Baseline Based on the Normal Range: Chemistry (Safety Analysis Set)

| Treatment Group | Parameter | Baseline | Last Post-Baseline Assessment | | | | Total n (%) |
|-----------------|------------------------|----------|-------------------------------|--------------|------------|---------------|-------------|
| | | | Low n (%) | Normal n (%) | High n (%) | Missing n (%) | |
| NLG2101 | Bilirubin (umol/L) | Low | 1 (1.2) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 3 (3.5) |
| | | Normal | 1 (1.2) | 76 (89.4) | 3 (3.5) | 2 (2.4) | 82 (96.5) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Total | 2 (2.4) | 78 (91.8) | 3 (3.5) | 2 (2.4) | 85 (100.0) |
| PLACEBO | Bilirubin (umol/L) | Low | 1 (1.3) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 2 (2.5) |
| | | Normal | 0 (0.0) | 68 (86.1) | 5 (6.3) | 3 (3.8) | 76 (96.2) |
| | | High | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | | Missing | 0 (0.0) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 1 (1.3) |
| | | Total | 1 (1.3) | 70 (88.6) | 5 (6.3) | 3 (3.8) | 79 (100.0) |
| NLG2101 | Urea Nitrogen (mmol/L) | Low | 0 (0.0) | 2 (2.4) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | | Normal | 4 (4.7) | 69 (81.2) | 3 (3.5) | 2 (2.4) | 78 (91.8) |
| | | High | 0 (0.0) | 1 (1.2) | 1 (1.2) | 0 (0.0) | 2 (2.4) |
| | | Missing | 0 (0.0) | 2 (2.4) | 0 (0.0) | 1 (1.2) | 3 (3.5) |
| | | Total | 4 (4.7) | 74 (87.1) | 4 (4.7) | 3 (3.5) | 85 (100.0) |
| PLACEBO | Urea Nitrogen (mmol/L) | Low | 2 (2.5) | 1 (1.3) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Normal | 6 (7.6) | 54 (68.4) | 2 (2.5) | 3 (3.8) | 65 (82.3) |
| | | High | 0 (0.0) | 6 (7.6) | 2 (2.5) | 0 (0.0) | 8 (10.1) |
| | | Missing | 0 (0.0) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 3 (3.8) |
| | | Total | 8 (10.1) | 64 (81.0) | 4 (5.1) | 3 (3.8) | 79 (100.0) |

2.3.3 Display of Vital signs Data

| Table Number | Table Title |
|----------------|---|
| Table 14.3.5.4 | Summary of vital signs and changes from baseline by visit (Safety Analysis Set) |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Heart Rate (beats/min) | Screening | n | 83 | 83 | 79 | 79 |
| | | Mean (SD) | 84.9 (14.66) | -0.4 (5.77) | 84.8 (12.45) | 0.0 (7.24) |
| | | Median | 84.0 | 0.0 | 84.0 | 0.0 |
| | | Min, Max | 56, 120 | -24, 24 | 60, 118 | -33, 27 |
| | Cycle 1 Day 1 | n | 23 | 23 | 20 | 20 |
| | | Mean (SD) | 86.7 (13.39) | 0.0 (0.00) | 84.9 (14.98) | 0.0 (0.00) |
| | | Median | 86.0 | 0.0 | 82.5 | 0.0 |
| | | Min, Max | 63, 110 | 0, 0 | 65, 112 | 0, 0 |
| | Cycle 1 Day 8 | n | 59 | 57 | 56 | 56 |
| | | Mean (SD) | 87.6 (15.62) | 3.4 (12.49) | 87.4 (17.55) | 2.7 (12.97) |
| | | Median | 84.0 | 3.0 | 84.5 | 1.5 |
| | | Min, Max | 63, 117 | -29, 29 | 60, 143 | -35, 36 |
| | Cycle 2 Day 1 | n | 23 | 23 | 19 | 19 |
| | | Mean (SD) | 87.6 (16.37) | 0.8 (12.27) | 88.9 (14.38) | 3.5 (10.72) |
| | | Median | 84.0 | -3.0 | 87.0 | 4.0 |
| | | Min, Max | 70, 125 | -16, 25 | 67, 120 | -11, 23 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Heart Rate (beats/min) | Cycle 2 Day 8 | n | 56 | 54 | 55 | 55 |
| | | Mean (SD) | 88.4 (13.25) | 4.4 (15.75) | 86.5 (15.71) | 1.1 (12.99) |
| | | Median | 86.5 | 7.0 | 84.0 | -2.0 |
| | | Min, Max | 67, 118 | -45, 35 | 59, 126 | -20, 31 |
| | Cycle 3 Day 1 | n | 14 | 14 | 14 | 14 |
| | | Mean (SD) | 82.9 (13.32) | -0.9 (10.58) | 86.3 (12.86) | 1.9 (18.71) |
| | | Median | 85.5 | -1.5 | 80.5 | 1.5 |
| | | Min, Max | 57, 100 | -19, 28 | 71, 108 | -31, 37 |
| | Cycle 3 Day 8 | n | 50 | 48 | 47 | 47 |
| | | Mean (SD) | 88.8 (13.73) | 4.8 (15.80) | 87.4 (14.16) | 2.4 (13.33) |
| | | Median | 90.0 | 6.0 | 82.0 | -1.0 |
| | | Min, Max | 57, 113 | -52, 36 | 59, 121 | -23, 39 |
| | Cycle 4 Day 1 | n | 12 | 12 | 13 | 13 |
| | | Mean (SD) | 81.7 (13.66) | -4.8 (9.49) | 83.1 (14.92) | -3.8 (16.08) |
| | | Median | 83.0 | -4.0 | 78.0 | 0.0 |
| | | Min, Max | 54, 102 | -24, 8 | 65, 114 | -38, 22 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Heart Rate (beats/min) | Cycle 4 Day 8 | n | 46 | 45 | 45 | 45 |
| | | Mean (SD) | 91.6 (16.16) | 7.7 (19.56) | 87.8 (13.61) | 2.9 (16.13) |
| | | Median | 91.0 | 7.0 | 89.0 | 3.0 |
| | | Min, Max | 61, 125 | -46, 54 | 66, 121 | -42, 27 |
| | Cycle 5 Day 1 | n | 7 | 7 | 11 | 11 |
| | | Mean (SD) | 86.4 (14.13) | -0.7 (10.08) | 77.5 (11.60) | -10 (18.20) |
| | | Median | 85.0 | -1.0 | 72.0 | 0.0 |
| | | Min, Max | 70, 113 | -14, 11 | 66, 102 | -46, 13 |
| | Cycle 5 Day 8 | n | 42 | 41 | 42 | 42 |
| | | Mean (SD) | 92.7 (14.28) | 8.9 (17.02) | 87.2 (13.83) | 2.1 (14.63) |
| | | Median | 95.5 | 10.0 | 84.0 | 1.5 |
| | | Min, Max | 63, 124 | -31, 52 | 70, 118 | -18, 41 |
| | Cycle 6 Day 1 | n | 6 | 6 | 10 | 10 |
| | | Mean (SD) | 87.3 (15.62) | 0.0 (12.49) | 83.4 (12.89) | -2.8 (19.45) |
| | | Median | 87.5 | 2.0 | 79.0 | 4.5 |
| | | Min, Max | 66, 111 | -20, 15 | 70, 110 | -42, 22 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Heart Rate (beats/min) | Cycle 6 Day 8 | n | 40 | 40 | 40 | 40 |
| | | Mean (SD) | 92.5 (13.77) | 9.6 (17.30) | 88.1 (14.92) | 2.6 (13.28) |
| | | Median | 91.0 | 10.5 | 86.5 | 2.5 |
| | | Min, Max | 66, 121 | -24, 49 | 68, 130 | -24, 55 |
| | Cycle 7 Day 1 | n | 4 | 4 | 7 | 7 |
| | | Mean (SD) | 80.3 (10.47) | -6.5 (13.53) | 80.7 (15.69) | 2.1 (12.75) |
| | | Median | 84.0 | -3.0 | 76.0 | 2.0 |
| | | Min, Max | 65, 88 | -25, 5 | 65, 111 | -16, 19 |
| | Cycle 7 Day 8 | n | 33 | 33 | 31 | 31 |
| | | Mean (SD) | 93.8 (16.79) | 11.5 (18.76) | 85.0 (12.84) | -0.1 (14.99) |
| | | Median | 94.0 | 9.0 | 82.0 | -1.0 |
| | | Min, Max | 68, 140 | -24, 64 | 70, 118 | -30, 42 |
| | Cycle 8 Day 1 | n | 4 | 4 | 9 | 9 |
| | | Mean (SD) | 84.3 (9.46) | -2.5 (14.89) | 88.3 (13.10) | 3.2 (16.78) |
| | | Median | 87.0 | 2.0 | 89.0 | 1.0 |
| | | Min, Max | 71, 92 | -23, 9 | 66, 106 | -33, 24 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Heart Rate (beats/min) | Cycle 8 Day 8 | n | 33 | 33 | 28 | 28 |
| | | Mean (SD) | 90.8 (13.18) | 8.5 (15.92) | 85.9 (13.71) | 1.8 (12.26) |
| | | Median | 89.0 | 8.0 | 82.0 | 2.0 |
| | | Min, Max | 71, 121 | -35, 47 | 67, 120 | -27, 22 |
| | Cycle 9 Day 1 | n | 3 | 3 | 7 | 7 |
| | | Mean (SD) | 77.0 (6.93) | -6.7 (17.01) | 77.3 (8.88) | -5.1 (16.94) |
| | | Median | 81.0 | 0.0 | 74.0 | -5.0 |
| | | Min, Max | 69, 81 | -26, 6 | 66, 89 | -33, 21 |
| | Cycle 9 Day 8 | n | 30 | 30 | 26 | 26 |
| | | Mean (SD) | 86.9 (12.64) | 3.8 (18.60) | 83.6 (12.34) | -0.1 (11.38) |
| | | Median | 86.5 | 6.0 | 81.5 | 0.0 |
| | | Min, Max | 65, 115 | -31, 53 | 62, 125 | -22, 22 |
| | Cycle 10 Day 1 | n | 2 | 2 | 7 | 7 |
| | | Mean (SD) | 80.5 (7.78) | -4.5 (23.33) | 77.1 (10.95) | -5.3 (16.35) |
| | | Median | 80.5 | -4.5 | 79.0 | -11 |
| | | Min, Max | 75, 86 | -21, 12 | 57, 88 | -24, 18 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Heart Rate (beats/min) | Cycle 10 Day 8 | n | 26 | 26 | 26 | 26 |
| | | Mean (SD) | 89.3 (12.89) | 8.6 (18.42) | 84.3 (14.94) | 1.1 (14.75) |
| | | Median | 87.5 | 9.5 | 81.0 | -0.5 |
| | | Min, Max | 69, 119 | -30, 42 | 63, 132 | -43, 29 |
| | Cycle 11 Day 1 | n | 2 | 2 | 5 | 5 |
| | | Mean (SD) | 74.5 (20.51) | -11 (10.61) | 75.6 (8.85) | -2.2 (26.92) |
| | | Median | 74.5 | -11 | 78.0 | 7.0 |
| | | Min, Max | 60, 89 | -18, -3 | 62, 83 | -50, 15 |
| | Cycle 11 Day 8 | n | 23 | 23 | 25 | 25 |
| | | Mean (SD) | 85.3 (15.18) | 5.0 (17.62) | 81.8 (14.06) | -1.7 (14.50) |
| | | Median | 80.0 | 7.0 | 80.0 | 0.0 |
| | | Min, Max | 63, 115 | -29, 43 | 54, 113 | -31, 38 |
| | Cycle 12 Day 1 | n | 2 | 2 | 4 | 4 |
| | | Mean (SD) | 74.5 (23.33) | -11 (7.78) | 86.8 (3.30) | 6.5 (19.12) |
| | | Median | 74.5 | -11 | 87.0 | 15.0 |
| | | Min, Max | 58, 91 | -16, -5 | 83, 90 | -22, 18 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Heart Rate (beats/min) | Cycle 12 Day 8 | n | 22 | 22 | 25 | 25 |
| | | Mean (SD) | 89.9 (13.82) | 10.6 (15.67) | 82.5 (15.95) | -1.1 (13.47) |
| | | Median | 89.5 | 10.5 | 80.0 | -1.0 |
| | | Min, Max | 65, 123 | -20, 40 | 62, 132 | -29, 29 |
| | Cycle 13 Day 1 | n | 1 | 1 | 3 | 3 |
| | | Mean (SD) | 89.0 (NA) | -18 (NA) | 92.7 (9.29) | 11.0 (30.45) |
| | | Median | 89.0 | -18 | 90.0 | 17.0 |
| | | Min, Max | 89, 89 | -18, -18 | 85, 103 | -22, 38 |
| | Cycle 13 Day 8 | n | 17 | 17 | 22 | 22 |
| | | Mean (SD) | 87.1 (15.78) | 7.3 (19.41) | 84.4 (15.68) | 0.7 (15.75) |
| | | Median | 85.0 | 10.0 | 84.5 | 2.0 |
| | | Min, Max | 65, 112 | -31, 46 | 45, 115 | -31, 32 |
| | Cycle 14 Day 1 | n | 1 | 1 | 2 | 2 |
| | | Mean (SD) | 79.0 (NA) | -28 (NA) | 96.5 (4.95) | 8.0 (38.18) |
| | | Median | 79.0 | -28 | 96.5 | 8.0 |
| | | Min, Max | 79, 79 | -28, -28 | 93, 100 | -19, 35 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|------------------------|----------------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Heart Rate (beats/min) | Cycle 14 Day 8 | n | 14 | 14 | 18 | 18 |
| | | Mean (SD) | 84.1 (15.90) | 4.1 (19.94) | 84.7 (17.13) | 1.8 (12.51) |
| | | Median | 80.0 | 5.0 | 85.5 | 0.5 |
| | | Min, Max | 61, 114 | -27, 34 | 59, 125 | -19, 22 |
| | Off-Study Evaluation | n | 69 | 68 | 62 | 62 |
| | | Mean (SD) | 86.9 (12.82) | 1.7 (13.44) | 88.7 (14.15) | 3.2 (15.29) |
| | | Median | 85.0 | 0.5 | 86.5 | 4.0 |
| | | Min, Max | 61, 121 | -28, 31 | 64, 127 | -30, 35 |
| | Additional Visit 1 | n | 20 | 20 | 2 | 2 |
| | | Mean (SD) | 92.6 (11.46) | 28.1 (13.28) | 75.5 (4.95) | -25 (7.78) |
| | | Median | 94.0 | 27.0 | 75.5 | -25 |
| | | Min, Max | 70, 110 | 2, 48 | 72, 79 | -30, -19 |
| | Additional Visit 2 | n | 78 | 78 | 137 | 137 |
| | | Mean (SD) | 88.8 (13.30) | 2.2 (12.03) | 79.9 (12.02) | -0.1 (15.86) |
| | | Median | 88.0 | 0.5 | 80.0 | 1.0 |
| | | Min, Max | 65, 125 | -23, 32 | 48, 131 | -46, 35 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Heart Rate (beats/min) | Unscheduled | n | 24 | 24 | 26 | 26 |
| | | Mean (SD) | 90.5 (9.62) | 9.1 (12.63) | 83.3 (14.95) | 2.6 (14.53) |
| | | Median | 89.0 | 10.0 | 80.0 | 2.0 |
| | | Min, Max | 64, 118 | -11, 37 | 51, 118 | -36, 31 |
| Respiratory Rate (breaths/min) | Screening | n | 77 | 77 | 69 | 69 |
| | | Mean (SD) | 17.3 (1.50) | -0.1 (1.23) | 17.2 (2.00) | 0.0 (1.17) |
| | | Median | 18.0 | 0.0 | 17.0 | 0.0 |
| | | Min, Max | 12, 20 | -4, 4 | 12, 24 | -4, 4 |
| | Cycle 1 Day 1 | n | 20 | 20 | 20 | 20 |
| | | Mean (SD) | 17.7 (2.54) | 0.0 (0.00) | 17.9 (1.79) | 0.0 (0.00) |
| | | Median | 18.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 12, 22 | 0, 0 | 16, 22 | 0, 0 |
| | Cycle 1 Day 8 | n | 56 | 54 | 50 | 48 |
| | | Mean (SD) | 17.4 (1.64) | 0.0 (1.69) | 17.1 (1.51) | 0.2 (2.04) |
| | | Median | 17.0 | 0.0 | 17.0 | 0.0 |
| | | Min, Max | 15, 22 | -4, 4 | 14, 20 | -6, 5 |
| | Cycle 2 Day 1 | n | 21 | 19 | 19 | 19 |
| | | Mean (SD) | 17.3 (1.23) | -0.6 (2.04) | 17.9 (2.05) | 0.2 (1.77) |
| | | Median | 18.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 15, 20 | -4, 4 | 16, 24 | -4, 4 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Respiratory Rate (breaths/min) | Cycle 2 Day 8 | n | 53 | 51 | 49 | 46 |
| | | Mean (SD) | 17.3 (1.60) | -0.1 (1.87) | 17.1 (1.80) | 0.2 (1.85) |
| | | Median | 17.0 | 0.0 | 16.0 | 0.0 |
| | | Min, Max | 15, 20 | -4, 4 | 13, 22 | -6, 6 |
| | Cycle 3 Day 1 | n | 13 | 12 | 14 | 14 |
| | | Mean (SD) | 17.1 (2.10) | -0.8 (2.17) | 17.9 (2.23) | 0.2 (1.58) |
| | | Median | 16.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 14, 20 | -4, 4 | 16, 24 | -2, 4 |
| | Cycle 3 Day 8 | n | 46 | 44 | 43 | 39 |
| | | Mean (SD) | 17.0 (1.45) | -0.5 (1.70) | 17.0 (1.45) | 0.1 (1.46) |
| | | Median | 16.0 | 0.0 | 17.0 | 0.0 |
| | | Min, Max | 14, 20 | -4, 4 | 14, 20 | -4, 4 |
| | Cycle 4 Day 1 | n | 12 | 11 | 13 | 13 |
| | | Mean (SD) | 17.3 (1.78) | -0.5 (3.11) | 17.1 (2.10) | -0.6 (1.71) |
| | | Median | 16.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 16, 20 | -6, 4 | 12, 20 | -4, 2 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Respiratory Rate (breaths/min) | Cycle 4 Day 8 | n | 45 | 42 | 43 | 38 |
| | | Mean (SD) | 16.8 (1.32) | -0.6 (1.77) | 16.9 (1.36) | 0.1 (1.47) |
| | | Median | 16.0 | 0.0 | 17.0 | 0.0 |
| | | Min, Max | 12, 20 | -4, 4 | 12, 20 | -4, 2 |
| | Cycle 5 Day 1 | n | 7 | 6 | 11 | 11 |
| | | Mean (SD) | 16.9 (1.07) | -1.7 (3.44) | 16.7 (1.35) | -0.5 (0.93) |
| | | Median | 16.0 | -2.0 | 16.0 | 0.0 |
| | | Min, Max | 16, 18 | -6, 4 | 16, 20 | -2, 0 |
| | Cycle 5 Day 8 | n | 42 | 39 | 40 | 35 |
| | | Mean (SD) | 17.0 (1.40) | -0.3 (1.83) | 17.2 (1.58) | 0.1 (1.54) |
| | | Median | 17.0 | 0.0 | 16.0 | 0.0 |
| | | Min, Max | 13, 22 | -4, 6 | 14, 20 | -4, 3 |
| | Cycle 6 Day 1 | n | 6 | 5 | 10 | 10 |
| | | Mean (SD) | 17.7 (1.51) | -0.4 (2.97) | 17.2 (1.69) | -0.2 (1.48) |
| | | Median | 18.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 16, 20 | -4, 4 | 14, 20 | -2, 2 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Respiratory Rate (breaths/min) | Cycle 6 Day 8 | n | 40 | 38 | 39 | 33 |
| | | Mean (SD) | 16.9 (1.17) | -0.4 (1.90) | 17.3 (1.34) | 0.4 (1.48) |
| | | Median | 16.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 16, 20 | -4, 4 | 14, 20 | -4, 2 |
| | Cycle 7 Day 1 | n | 4 | 3 | 9 | 9 |
| | | Mean (SD) | 17.5 (1.00) | -0.7 (2.31) | 17.3 (1.00) | 0.2 (1.56) |
| | | Median | 18.0 | -2.0 | 18.0 | 0.0 |
| | | Min, Max | 16, 18 | -2, 2 | 16, 18 | -2, 2 |
| | Cycle 7 Day 8 | n | 33 | 32 | 31 | 25 |
| | | Mean (SD) | 17.2 (1.79) | -0.0 (2.39) | 16.8 (1.28) | -0.3 (1.28) |
| | | Median | 17.0 | 0.0 | 17.0 | 0.0 |
| | | Min, Max | 14, 24 | -6, 8 | 14, 20 | -4, 2 |
| | Cycle 8 Day 1 | n | 3 | 3 | 9 | 9 |
| | | Mean (SD) | 16.7 (1.15) | -2.0 (2.00) | 17.6 (1.33) | 0.4 (1.33) |
| | | Median | 16.0 | -2.0 | 18.0 | 0.0 |
| | | Min, Max | 16, 18 | -4, 0 | 16, 20 | -2, 2 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Respiratory Rate (breaths/min) | Cycle 8 Day 8 | n | 32 | 31 | 28 | 22 |
| | | Mean (SD) | 17.1 (1.45) | -0.0 (1.89) | 16.8 (1.87) | 0.1 (1.72) |
| | | Median | 17.0 | 0.0 | 16.0 | 0.0 |
| | | Min, Max | 13, 20 | -4, 4 | 12, 20 | -4, 4 |
| | Cycle 9 Day 1 | n | 3 | 2 | 6 | 6 |
| | | Mean (SD) | 19.7 (3.79) | -0.5 (3.54) | 17.3 (1.03) | 0.0 (1.26) |
| | | Median | 18.0 | -0.5 | 18.0 | 0.0 |
| | | Min, Max | 17, 24 | -3, 2 | 16, 18 | -2, 2 |
| | Cycle 9 Day 8 | n | 29 | 28 | 26 | 20 |
| | | Mean (SD) | 16.8 (1.27) | -0.4 (1.77) | 17.1 (1.94) | 0.1 (1.74) |
| | | Median | 16.0 | 0.0 | 16.5 | 0.0 |
| | | Min, Max | 14, 19 | -4, 3 | 14, 20 | -4, 4 |
| | Cycle 10 Day 1 | n | 2 | 2 | 7 | 7 |
| | | Mean (SD) | 19.0 (1.41) | 1.0 (4.24) | 17.1 (2.79) | -0.3 (2.69) |
| | | Median | 19.0 | 1.0 | 18.0 | 0.0 |
| | | Min, Max | 18, 20 | -2, 4 | 12, 20 | -4, 4 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Respiratory Rate (breaths/min) | Cycle 10 Day 8 | n | 26 | 24 | 26 | 20 |
| | | Mean (SD) | 16.7 (1.00) | -0.4 (1.53) | 16.7 (1.65) | -0.1 (1.61) |
| | | Median | 17.0 | 0.0 | 16.0 | 0.0 |
| | | Min, Max | 14, 18 | -4, 2 | 14, 20 | -2, 4 |
| | Cycle 11 Day 1 | n | 2 | 2 | 5 | 5 |
| | | Mean (SD) | 18.5 (3.54) | 0.5 (0.71) | 18.0 (0.00) | 0.4 (0.89) |
| | | Median | 18.5 | 0.5 | 18.0 | 0.0 |
| | | Min, Max | 16, 21 | 0, 1 | 18, 18 | 0, 2 |
| | Cycle 11 Day 8 | n | 23 | 21 | 25 | 19 |
| | | Mean (SD) | 16.8 (1.24) | -0.3 (1.28) | 17.0 (1.81) | 0.3 (1.60) |
| | | Median | 17.0 | 0.0 | 17.0 | 0.0 |
| | | Min, Max | 14, 20 | -3, 1 | 13, 20 | -2, 4 |
| | Cycle 12 Day 1 | n | 2 | 2 | 4 | 4 |
| | | Mean (SD) | 19.0 (1.41) | 1.0 (1.41) | 17.0 (1.15) | -0.5 (1.91) |
| | | Median | 19.0 | 1.0 | 17.0 | -1.0 |
| | | Min, Max | 18, 20 | 0, 2 | 16, 18 | -2, 2 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Respiratory Rate (breaths/min) | Cycle 12 Day 8 | n | 22 | 20 | 24 | 18 |
| | | Mean (SD) | 16.4 (0.96) | -0.8 (1.64) | 17.3 (1.70) | 0.3 (1.50) |
| | | Median | 16.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 14, 18 | -4, 2 | 14, 20 | -2, 3 |
| | Cycle 13 Day 1 | n | 1 | 1 | 3 | 3 |
| | | Mean (SD) | 18.0 (NA) | -2.0 (NA) | 18.7 (1.15) | 1.3 (1.15) |
| | | Median | 18.0 | -2.0 | 18.0 | 2.0 |
| | | Min, Max | 18, 18 | -2, -2 | 18, 20 | 0, 2 |
| | Cycle 13 Day 8 | n | 17 | 15 | 22 | 17 |
| | | Mean (SD) | 16.6 (1.41) | -0.7 (1.87) | 18.0 (1.59) | 0.9 (1.83) |
| | | Median | 16.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 14, 19 | -4, 2 | 15, 20 | -2, 4 |
| | Cycle 14 Day 1 | n | 1 | 1 | 2 | 2 |
| | | Mean (SD) | 18.0 (NA) | -2.0 (NA) | 20.0 (0.00) | 2.0 (0.00) |
| | | Median | 18.0 | -2.0 | 20.0 | 2.0 |
| | | Min, Max | 18, 18 | -2, -2 | 20, 20 | 2, 2 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------------------------|----------------------|------------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Respiratory Rate (breaths/min) | Cycle 14 Day 8 | n | 14 | 13 | 17 | 12 |
| | | Mean (SD) | 16.9 (1.23) | -0.5 (1.85) | 17.2 (1.59) | 0.1 (1.88) |
| | | Median | 16.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 16, 20 | -4, 3 | 15, 20 | -2, 4 |
| | Off-Study Evaluation | n | 65 | 61 | 53 | 49 |
| | | Mean (SD) | 17.5 (1.77) | 0.1 (1.98) | 17.3 (3.72) | 0.3 (3.94) |
| | | Median | 18.0 | 0.0 | 16.0 | 0.0 |
| | | Min, Max | 13, 22 | -4, 4 | 12, 40 | -6, 23 |
| | Additional Visit 1 | n | 20 | 20 | 2 | 2 |
| | | Mean (SD) | 15.8 (0.62) | -0.9 (1.37) | 18.0 (2.83) | 0.0 (2.83) |
| | | Median | 16.0 | -2.0 | 18.0 | 0.0 |
| | | Min, Max | 14, 16 | -2, 1 | 16, 20 | -2, 2 |
| | Additional Visit 2 | n | 78 | 78 | 132 | 110 |
| | | Mean (SD) | 17.5 (1.70) | -0.3 (1.92) | 17.4 (1.39) | 0.0 (1.76) |
| | | Median | 18.0 | 0.0 | 18.0 | 0.0 |
| | | Min, Max | 13, 22 | -5, 3 | 14, 20 | -4, 4 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Respiratory Rate (breaths/min) | Unscheduled | n | 21 | 21 | 26 | 25 |
| | | Mean (SD) | 16.8 (1.73) | -0.8 (1.97) | 17.1 (1.44) | -0.2 (1.09) |
| | | Median | 16.0 | 0.0 | 16.5 | 0.0 |
| | | Min, Max | 13, 20 | -4, 4 | 16, 21 | -2, 2 |
| Temperature (C) | Screening | n | 79 | 79 | 70 | 70 |
| | | Mean (SD) | 36.58 (0.366) | 0.02 (0.193) | 36.65 (0.337) | 0.06 (0.262) |
| | | Median | 36.60 | 0.00 | 36.70 | 0.00 |
| | | Min, Max | 35.7, 37.3 | -1.0, 0.7 | 35.7, 37.3 | -0.6, 1.0 |
| | Cycle 1 Day 1 | n | 23 | 23 | 20 | 20 |
| | | Mean (SD) | 36.71 (0.365) | 0.00 (0.000) | 36.58 (0.365) | 0.00 (0.000) |
| | | Median | 36.70 | 0.00 | 36.50 | 0.00 |
| | | Min, Max | 36.0, 37.5 | 0.0, 0.0 | 35.9, 37.1 | 0.0, 0.0 |
| | Cycle 1 Day 8 | n | 58 | 54 | 53 | 47 |
| | | Mean (SD) | 36.49 (0.356) | -0.02 (0.423) | 36.55 (0.324) | -0.03 (0.326) |
| | | Median | 36.50 | -0.05 | 36.60 | -0.10 |
| | | Min, Max | 35.8, 37.6 | -1.0, 1.2 | 35.7, 37.7 | -0.6, 1.0 |
| | Cycle 2 Day 1 | n | 23 | 23 | 19 | 19 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| | | Mean (SD) | 36.77 (0.443) | 0.06 (0.459) | 36.78 (0.348) | 0.23 (0.361) |
| | | Median | 36.60 | 0.10 | 36.80 | 0.20 |
| | | Min, Max | 35.9, 37.9 | -0.7, 1.3 | 35.8, 37.5 | -0.4, 1.1 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Temperature (C) | Cycle 2 Day 8 | n | 55 | 51 | 55 | 47 |
| | | Mean (SD) | 36.51 (0.382) | 0.00 (0.393) | 36.59 (0.316) | -0.01 (0.411) |
| | | Median | 36.50 | 0.00 | 36.60 | 0.00 |
| | | Min, Max | 35.5, 37.5 | -0.9, 1.3 | 35.6, 37.3 | -1.2, 1.0 |
| | Cycle 3 Day 1 | n | 14 | 14 | 15 | 15 |
| | | Mean (SD) | 36.86 (0.273) | 0.14 (0.305) | 36.41 (0.323) | -0.12 (0.463) |
| | | Median | 36.80 | 0.05 | 36.40 | 0.00 |
| | | Min, Max | 36.6, 37.6 | -0.2, 0.9 | 35.7, 36.9 | -0.9, 0.5 |
| | Cycle 3 Day 8 | n | 49 | 45 | 47 | 41 |
| | | Mean (SD) | 36.44 (0.384) | -0.02 (0.400) | 36.60 (0.334) | -0.00 (0.346) |
| | | Median | 36.50 | 0.00 | 36.60 | 0.00 |
| | | Min, Max | 35.2, 37.2 | -1.2, 0.8 | 35.7, 37.1 | -0.7, 0.8 |
| | Cycle 4 Day 1 | n | 12 | 12 | 12 | 12 |
| | | Mean (SD) | 36.61 (0.306) | -0.13 (0.341) | 36.65 (0.345) | 0.16 (0.466) |
| | | Median | 36.70 | -0.10 | 36.60 | 0.10 |
| | | Min, Max | 35.9, 36.9 | -0.9, 0.5 | 35.9, 37.3 | -0.6, 1.0 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Temperature (C) | Cycle 4 Day 8 | n | 46 | 42 | 45 | 39 |
| | | Mean (SD) | 36.51 (0.645) | 0.07 (0.696) | 36.46 (0.331) | -0.16 (0.439) |
| | | Median | 36.50 | 0.00 | 36.50 | -0.20 |
| | | Min, Max | 34.6, 39.9 | -1.9, 3.5 | 35.6, 37.0 | -0.8, 0.9 |
| | Cycle 5 Day 1 | n | 7 | 7 | 11 | 11 |
| | | Mean (SD) | 36.63 (0.206) | -0.07 (0.390) | 36.52 (0.473) | -0.01 (0.515) |
| | | Median | 36.60 | -0.20 | 36.70 | -0.10 |
| | | Min, Max | 36.4, 36.9 | -0.7, 0.4 | 35.9, 37.3 | -0.9, 0.9 |
| | Cycle 5 Day 8 | n | 42 | 39 | 42 | 36 |
| | | Mean (SD) | 36.40 (0.362) | -0.04 (0.444) | 36.50 (0.329) | -0.12 (0.388) |
| | | Median | 36.50 | 0.00 | 36.60 | -0.10 |
| | | Min, Max | 35.1, 37.0 | -1.3, 0.8 | 35.7, 37.3 | -0.8, 1.1 |
| | Cycle 6 Day 1 | n | 6 | 6 | 9 | 9 |
| | | Mean (SD) | 36.47 (0.463) | -0.22 (0.402) | 36.58 (0.254) | 0.03 (0.381) |
| | | Median | 36.55 | -0.30 | 36.70 | 0.10 |
| | | Min, Max | 35.6, 36.9 | -0.7, 0.5 | 36.2, 36.9 | -0.7, 0.6 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Temperature (C) | Cycle 6 Day 8 | n | 40 | 38 | 40 | 34 |
| | | Mean (SD) | 36.36 (0.277) | -0.06 (0.304) | 36.49 (0.353) | -0.09 (0.373) |
| | | Median | 36.40 | -0.10 | 36.55 | -0.15 |
| | | Min, Max | 35.7, 36.9 | -0.6, 0.5 | 35.7, 37.3 | -0.6, 0.9 |
| | Cycle 7 Day 1 | n | 4 | 4 | 9 | 9 |
| | | Mean (SD) | 36.53 (0.556) | -0.13 (0.472) | 36.70 (0.287) | 0.22 (0.399) |
| | | Median | 36.50 | 0.05 | 36.70 | 0.10 |
| | | Min, Max | 35.9, 37.2 | -0.8, 0.2 | 36.2, 37.1 | -0.4, 0.8 |
| | Cycle 7 Day 8 | n | 33 | 32 | 31 | 26 |
| | | Mean (SD) | 36.44 (0.337) | 0.04 (0.416) | 36.49 (0.424) | -0.10 (0.459) |
| | | Median | 36.40 | 0.00 | 36.60 | -0.05 |
| | | Min, Max | 35.7, 37.3 | -0.6, 1.1 | 35.7, 37.5 | -1.0, 1.1 |
| | Cycle 8 Day 1 | n | 4 | 4 | 9 | 9 |
| | | Mean (SD) | 36.20 (1.010) | -0.45 (0.806) | 36.64 (0.219) | 0.17 (0.391) |
| | | Median | 36.60 | -0.50 | 36.70 | 0.00 |
| | | Min, Max | 34.7, 36.9 | -1.3, 0.5 | 36.2, 36.9 | -0.4, 0.7 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Temperature (C) | Cycle 8 Day 8 | n | 33 | 31 | 28 | 23 |
| | | Mean (SD) | 36.44 (0.355) | 0.05 (0.370) | 36.60 (0.320) | 0.00 (0.455) |
| | | Median | 36.50 | 0.00 | 36.60 | 0.00 |
| | | Min, Max | 35.6, 37.2 | -0.7, 0.6 | 36.1, 37.6 | -0.7, 1.2 |
| | Cycle 9 Day 1 | n | 3 | 3 | 7 | 7 |
| | | Mean (SD) | 36.33 (0.569) | -0.03 (0.379) | 36.46 (0.378) | 0.11 (0.313) |
| | | Median | 36.50 | -0.20 | 36.40 | 0.20 |
| | | Min, Max | 35.7, 36.8 | -0.3, 0.4 | 35.8, 36.9 | -0.3, 0.5 |
| | Cycle 9 Day 8 | n | 30 | 28 | 26 | 21 |
| | | Mean (SD) | 36.42 (0.319) | 0.04 (0.342) | 36.51 (0.384) | -0.15 (0.407) |
| | | Median | 36.50 | 0.00 | 36.60 | -0.10 |
| | | Min, Max | 35.7, 37.0 | -0.6, 0.8 | 35.7, 37.3 | -1.0, 0.9 |
| | Cycle 10 Day 1 | n | 2 | 2 | 7 | 7 |
| | | Mean (SD) | 36.20 (0.566) | 0.15 (0.495) | 36.64 (0.369) | 0.30 (0.356) |
| | | Median | 36.20 | 0.15 | 36.60 | 0.40 |
| | | Min, Max | 35.8, 36.6 | -0.2, 0.5 | 36.1, 37.1 | -0.3, 0.6 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Temperature (C) | Cycle 10 Day 8 | n | 26 | 24 | 26 | 21 |
| | | Mean (SD) | 36.51 (0.286) | 0.19 (0.326) | 36.58 (0.424) | 0.02 (0.438) |
| | | Median | 36.50 | 0.10 | 36.60 | 0.00 |
| | | Min, Max | 36.0, 37.2 | -0.2, 1.0 | 35.6, 37.7 | -0.8, 1.1 |
| | Cycle 11 Day 1 | n | 2 | 2 | 5 | 5 |
| | | Mean (SD) | 36.25 (0.495) | 0.20 (0.424) | 36.50 (0.274) | 0.18 (0.277) |
| | | Median | 36.25 | 0.20 | 36.50 | 0.10 |
| | | Min, Max | 35.9, 36.6 | -0.1, 0.5 | 36.1, 36.8 | -0.1, 0.6 |
| | Cycle 11 Day 8 | n | 23 | 21 | 25 | 20 |
| | | Mean (SD) | 36.36 (0.644) | 0.03 (0.653) | 36.46 (0.296) | -0.16 (0.477) |
| | | Median | 36.40 | 0.10 | 36.60 | -0.10 |
| | | Min, Max | 33.7, 37.2 | -2.4, 1.0 | 35.7, 36.8 | -1.2, 1.0 |
| | Cycle 12 Day 1 | n | 2 | 2 | 4 | 4 |
| | | Mean (SD) | 36.20 (0.566) | 0.15 (0.495) | 36.73 (0.250) | 0.43 (0.310) |
| | | Median | 36.20 | 0.15 | 36.60 | 0.50 |
| | | Min, Max | 35.8, 36.6 | -0.2, 0.5 | 36.6, 37.1 | 0.0, 0.7 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Temperature (C) | Cycle 12 Day 8 | n | 22 | 20 | 25 | 20 |
| | | Mean (SD) | 36.54 (0.289) | 0.24 (0.314) | 36.56 (0.354) | -0.01 (0.518) |
| | | Median | 36.60 | 0.20 | 36.60 | -0.10 |
| | | Min, Max | 35.9, 37.1 | -0.3, 0.9 | 35.6, 37.2 | -1.2, 1.0 |
| | Cycle 13 Day 1 | n | 1 | 1 | 3 | 3 |
| | | Mean (SD) | 36.10 (NA) | 0.10 (NA) | 36.60 (0.265) | 0.33 (0.252) |
| | | Median | 36.10 | 0.10 | 36.70 | 0.30 |
| | | Min, Max | 36.1, 36.1 | 0.1, 0.1 | 36.3, 36.8 | 0.1, 0.6 |
| | Cycle 13 Day 8 | n | 17 | 15 | 22 | 17 |
| | | Mean (SD) | 36.48 (0.298) | 0.15 (0.318) | 36.54 (0.669) | -0.01 (0.605) |
| | | Median | 36.50 | 0.10 | 36.60 | -0.10 |
| | | Min, Max | 35.9, 37.0 | -0.5, 0.8 | 34.6, 38.4 | -1.4, 1.6 |
| | Cycle 14 Day 1 | n | 1 | 1 | 2 | 2 |
| | | Mean (SD) | 36.50 (NA) | 0.50 (NA) | 36.55 (0.212) | 0.25 (0.636) |
| | | Median | 36.50 | 0.50 | 36.55 | 0.25 |
| | | Min, Max | 36.5, 36.5 | 0.5, 0.5 | 36.4, 36.7 | -0.2, 0.7 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|-----------------|----------------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Temperature (C) | Cycle 14 Day 8 | n | 14 | 13 | 18 | 13 |
| | | Mean (SD) | 36.56 (0.250) | 0.21 (0.296) | 36.58 (0.212) | -0.12 (0.409) |
| | | Median | 36.55 | 0.30 | 36.65 | -0.10 |
| | | Min, Max | 36.1, 37.1 | -0.3, 0.9 | 36.3, 37.0 | -0.7, 0.6 |
| | Off-Study Evaluation | n | 67 | 65 | 55 | 53 |
| | | Mean (SD) | 36.57 (0.382) | -0.01 (0.353) | 36.61 (0.357) | 0.04 (0.468) |
| | | Median | 36.60 | 0.00 | 36.60 | 0.00 |
| | | Min, Max | 35.1, 37.6 | -1.2, 0.7 | 35.8, 37.8 | -0.8, 1.6 |
| | Additional Visit 1 | n | 20 | 20 | 2 | 2 |
| | | Mean (SD) | 36.76 (0.254) | 0.57 (0.247) | 36.45 (0.495) | -0.55 (0.212) |
| | | Median | 36.80 | 0.60 | 36.45 | -0.55 |
| | | Min, Max | 36.3, 37.2 | 0.1, 1.0 | 36.1, 36.8 | -0.7, -0.4 |
| | Additional Visit 2 | n | 78 | 68 | 136 | 110 |
| | | Mean (SD) | 36.58 (0.341) | 0.17 (0.331) | 36.58 (0.307) | 0.04 (0.365) |
| | | Median | 36.60 | 0.20 | 36.60 | -0.05 |
| | | Min, Max | 34.6, 37.3 | -0.5, 0.9 | 35.6, 37.3 | -0.5, 1.1 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|---------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Temperature (C) | Unscheduled | n | 24 | 23 | 25 | 24 |
| | | Mean (SD) | 36.66 (0.365) | 0.04 (0.301) | 36.57 (0.288) | 0.00 (0.280) |
| | | Median | 36.80 | 0.00 | 36.60 | -0.05 |
| | | Min, Max | 35.8, 37.5 | -0.6, 0.8 | 35.7, 37.2 | -0.5, 0.8 |
| Diastolic Blood Pressure (mmHg) | Screening | n | 82 | 82 | 73 | 73 |
| | | Mean (SD) | 76.9 (9.46) | 0.2 (5.22) | 77.4 (12.01) | 1.3 (5.21) |
| | | Median | 78.0 | 0.0 | 80.0 | 0.0 |
| | | Min, Max | 59, 99 | -23, 15 | 50, 105 | -16, 19 |
| | Cycle 1 Day 1 | n | 23 | 23 | 20 | 20 |
| | | Mean (SD) | 77.0 (10.70) | 0.0 (0.00) | 71.0 (9.19) | 0.0 (0.00) |
| | | Median | 74.0 | 0.0 | 70.0 | 0.0 |
| | | Min, Max | 60, 98 | 0, 0 | 59, 100 | 0, 0 |
| | Cycle 1 Day 8 | n | 59 | 56 | 56 | 51 |
| | | Mean (SD) | 77.8 (10.50) | 0.9 (9.94) | 77.5 (8.36) | -1.2 (10.27) |
| | | Median | 77.0 | 0.0 | 79.0 | 0.0 |
| | | Min, Max | 56, 100 | -25, 20 | 48, 94 | -28, 21 |
| | Cycle 2 Day 1 | n | 23 | 23 | 19 | 19 |
| | | Mean (SD) | 75.4 (9.93) | -1.5 (10.25) | 74.2 (10.33) | 3.6 (11.06) |
| | | Median | 78.0 | 0.0 | 73.0 | 2.0 |
| | | Min, Max | 50, 93 | -22, 16 | 59, 92 | -13, 26 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|---------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Diastolic Blood Pressure (mmHg) | Cycle 2 Day 8 | n | 56 | 53 | 55 | 50 |
| | | Mean (SD) | 73.8 (8.47) | -2.9 (9.77) | 77.2 (9.55) | -2.2 (10.73) |
| | | Median | 74.0 | -4.0 | 78.0 | -2.0 |
| | | Min, Max | 52, 90 | -24, 15 | 56, 104 | -30, 29 |
| | Cycle 3 Day 1 | n | 14 | 14 | 15 | 15 |
| | | Mean (SD) | 79.0 (11.58) | 2.2 (11.12) | 72.8 (10.66) | 1.1 (7.81) |
| | | Median | 82.0 | -2.0 | 72.0 | -3.0 |
| | | Min, Max | 58, 96 | -12, 30 | 61, 97 | -10, 12 |
| | Cycle 3 Day 8 | n | 50 | 47 | 47 | 42 |
| | | Mean (SD) | 76.0 (9.19) | -0.6 (10.77) | 77.8 (9.07) | -1.9 (11.02) |
| | | Median | 76.5 | 0.0 | 78.0 | -0.5 |
| | | Min, Max | 55, 94 | -24, 25 | 55, 93 | -26, 25 |
| | Cycle 4 Day 1 | n | 12 | 12 | 13 | 13 |
| | | Mean (SD) | 77.3 (12.43) | 0.8 (12.82) | 73.1 (9.74) | 0.2 (10.58) |
| | | Median | 81.0 | 2.0 | 71.0 | 3.0 |
| | | Min, Max | 46, 93 | -22, 21 | 56, 89 | -20, 14 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|---------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Diastolic Blood Pressure (mmHg) | Cycle 4 Day 8 | n | 46 | 43 | 45 | 40 |
| | | Mean (SD) | 76.4 (7.82) | -0.0 (10.53) | 76.3 (10.99) | -3.4 (11.89) |
| | | Median | 76.0 | 0.0 | 78.0 | -2.5 |
| | | Min, Max | 61, 91 | -24, 22 | 48, 100 | -40, 24 |
| | Cycle 5 Day 1 | n | 7 | 7 | 11 | 11 |
| | | Mean (SD) | 70.1 (11.23) | -0.6 (12.73) | 74.6 (11.89) | 0.5 (8.73) |
| | | Median | 75.0 | 5.0 | 70.0 | 1.0 |
| | | Min, Max | 49, 79 | -16, 16 | 63, 96 | -11, 18 |
| | Cycle 5 Day 8 | n | 42 | 40 | 42 | 37 |
| | | Mean (SD) | 75.4 (10.26) | -1.1 (11.03) | 75.3 (9.65) | -4.7 (10.86) |
| | | Median | 76.0 | -1.5 | 76.0 | -4.0 |
| | | Min, Max | 49, 98 | -27, 23 | 49, 93 | -28, 15 |
| | Cycle 6 Day 1 | n | 6 | 6 | 10 | 10 |
| | | Mean (SD) | 74.0 (4.20) | 3.7 (8.64) | 74.5 (9.31) | 0.4 (8.42) |
| | | Median | 75.0 | 7.0 | 75.0 | 2.0 |
| | | Min, Max | 66, 78 | -13, 10 | 60, 87 | -13, 17 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|---------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Diastolic Blood Pressure (mmHg) | Cycle 6 Day 8 | n | 39 | 38 | 40 | 35 |
| | | Mean (SD) | 74.6 (9.45) | -1.8 (10.31) | 74.9 (10.75) | -4.2 (9.01) |
| | | Median | 75.0 | -2.0 | 76.5 | -3.0 |
| | | Min, Max | 55, 97 | -21, 19 | 43, 98 | -28, 11 |
| | Cycle 7 Day 1 | n | 4 | 4 | 9 | 9 |
| | | Mean (SD) | 78.5 (11.47) | 12.8 (9.78) | 73.7 (9.08) | -0.8 (7.51) |
| | | Median | 76.0 | 13.5 | 76.0 | -1.0 |
| | | Min, Max | 68, 94 | 2, 22 | 58, 83 | -17, 8 |
| | Cycle 7 Day 8 | n | 33 | 33 | 31 | 27 |
| | | Mean (SD) | 72.2 (8.85) | -4.5 (10.47) | 76.2 (9.88) | -4.0 (10.79) |
| | | Median | 72.0 | -4.0 | 75.0 | -3.0 |
| | | Min, Max | 56, 88 | -31, 16 | 56, 100 | -28, 17 |
| | Cycle 8 Day 1 | n | 4 | 4 | 9 | 9 |
| | | Mean (SD) | 71.3 (7.68) | 5.5 (5.69) | 77.7 (11.78) | 3.2 (8.07) |
| | | Median | 70.5 | 8.0 | 80.0 | 4.0 |
| | | Min, Max | 63, 81 | -3, 9 | 58, 97 | -6, 18 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|---------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Diastolic Blood Pressure (mmHg) | Cycle 8 Day 8 | n | 32 | 31 | 28 | 24 |
| | | Mean (SD) | 76.7 (9.62) | 0.1 (11.07) | 75.6 (9.17) | -5.0 (11.88) |
| | | Median | 78.0 | 1.0 | 76.5 | -4.5 |
| | | Min, Max | 60, 93 | -25, 33 | 57, 97 | -30, 17 |
| | Cycle 9 Day 1 | n | 3 | 3 | 7 | 7 |
| | | Mean (SD) | 75.7 (10.07) | 8.0 (9.85) | 73.9 (13.17) | 2.4 (9.48) |
| | | Median | 77.0 | 5.0 | 74.0 | 4.0 |
| | | Min, Max | 65, 85 | 0, 19 | 60, 92 | -16, 15 |
| | Cycle 9 Day 8 | n | 30 | 29 | 26 | 22 |
| | | Mean (SD) | 74.9 (10.78) | -2.0 (13.74) | 75.6 (11.61) | -3.8 (12.13) |
| | | Median | 74.0 | 0.0 | 75.5 | -2.5 |
| | | Min, Max | 50, 106 | -37, 20 | 45, 94 | -34, 13 |
| | Cycle 10 Day 1 | n | 2 | 2 | 7 | 7 |
| | | Mean (SD) | 73.0 (7.07) | 7.5 (6.36) | 70.7 (7.65) | -0.7 (8.04) |
| | | Median | 73.0 | 7.5 | 73.0 | 0.0 |
| | | Min, Max | 68, 78 | 3, 12 | 58, 78 | -13, 14 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|---------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Diastolic Blood Pressure (mmHg) | Cycle 10 Day 8 | n | 26 | 25 | 26 | 22 |
| | | Mean (SD) | 72.1 (9.05) | -4.3 (9.64) | 72.8 (11.31) | -7.0 (11.87) |
| | | Median | 72.5 | -2.0 | 73.0 | -4.5 |
| | | Min, Max | 58, 90 | -26, 11 | 44, 92 | -38, 10 |
| | Cycle 11 Day 1 | n | 2 | 2 | 5 | 5 |
| | | Mean (SD) | 69.0 (2.83) | 3.5 (2.12) | 70.4 (10.36) | -3.2 (8.38) |
| | | Median | 69.0 | 3.5 | 74.0 | -1.0 |
| | | Min, Max | 67, 71 | 2, 5 | 59, 82 | -17, 5 |
| | Cycle 11 Day 8 | n | 23 | 22 | 25 | 21 |
| | | Mean (SD) | 74.0 (7.22) | -2.8 (8.23) | 78.3 (11.16) | -2.1 (8.57) |
| | | Median | 75.0 | -3.5 | 79.0 | 0.0 |
| | | Min, Max | 60, 89 | -16, 13 | 57, 100 | -20, 13 |
| | Cycle 12 Day 1 | n | 2 | 2 | 4 | 4 |
| | | Mean (SD) | 73.0 (2.83) | 7.5 (3.54) | 81.5 (10.97) | 4.3 (10.78) |
| | | Median | 73.0 | 7.5 | 81.0 | 4.5 |
| | | Min, Max | 71, 75 | 5, 10 | 70, 94 | -8, 16 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|---------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Diastolic Blood Pressure (mmHg) | Cycle 12 Day 8 | n | 22 | 21 | 25 | 21 |
| | | Mean (SD) | 77.5 (9.19) | 1.2 (7.30) | 75.8 (9.78) | -5.1 (12.28) |
| | | Median | 79.5 | 1.0 | 78.0 | -3.0 |
| | | Min, Max | 60, 95 | -15, 16 | 57, 92 | -30, 17 |
| | Cycle 13 Day 1 | n | 1 | 1 | 3 | 3 |
| | | Mean (SD) | 71.0 (NA) | 5.0 (NA) | 71.7 (10.21) | -5.3 (9.29) |
| | | Median | 71.0 | 5.0 | 76.0 | -1.0 |
| | | Min, Max | 71, 71 | 5, 5 | 60, 79 | -16, 1 |
| | Cycle 13 Day 8 | n | 17 | 16 | 22 | 18 |
| | | Mean (SD) | 74.3 (7.65) | -3.9 (9.70) | 76.5 (10.87) | -4.1 (11.96) |
| | | Median | 74.0 | -3.5 | 79.0 | -4.5 |
| | | Min, Max | 60, 84 | -27, 10 | 57, 94 | -26, 15 |
| | Cycle 14 Day 1 | n | 1 | 1 | 2 | 2 |
| | | Mean (SD) | 55.0 (NA) | -11 (NA) | 73.0 (15.56) | -3.5 (14.85) |
| | | Median | 55.0 | -11 | 73.0 | -3.5 |
| | | Min, Max | 55, 55 | -11, -11 | 62, 84 | -14, 7 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|---------------------------------|----------------------|------------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Diastolic Blood Pressure (mmHg) | Cycle 14 Day 8 | n | 14 | 14 | 18 | 14 |
| | | Mean (SD) | 75.1 (10.35) | -3.9 (12.34) | 74.3 (9.57) | -7.4 (11.34) |
| | | Median | 73.5 | -4.5 | 74.5 | -6.5 |
| | | Min, Max | 64, 100 | -23, 26 | 50, 92 | -34, 13 |
| | Off-Study Evaluation | n | 68 | 66 | 61 | 57 |
| | | Mean (SD) | 76.0 (9.98) | -0.8 (10.37) | 75.4 (9.90) | -2.1 (9.98) |
| | | Median | 76.0 | -2.0 | 76.0 | -2.0 |
| | | Min, Max | 46, 100 | -30, 22 | 52, 101 | -28, 25 |
| | Additional Visit 1 | n | 20 | 20 | 2 | 2 |
| | | Mean (SD) | 75.2 (9.24) | -3.3 (5.96) | 80.0 (11.31) | -8.5 (3.54) |
| | | Median | 73.5 | -3.0 | 80.0 | -8.5 |
| | | Min, Max | 63, 91 | -19, 7 | 72, 88 | -11, -6 |
| | Additional Visit 2 | n | 78 | 78 | 136 | 118 |
| | | Mean (SD) | 74.5 (11.14) | -4.9 (13.15) | 76.3 (9.34) | -3.2 (9.87) |
| | | Median | 77.0 | -3.0 | 75.0 | -4.0 |
| | | Min, Max | 18, 94 | -63, 21 | 48, 104 | -28, 21 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|---------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Diastolic Blood Pressure (mmHg) | Unscheduled | n | 24 | 24 | 26 | 25 |
| | | Mean (SD) | 71.5 (9.52) | -4.6 (9.75) | 72.1 (6.97) | -3.3 (12.01) |
| | | Median | 73.5 | -5.0 | 72.0 | -5.0 |
| | | Min, Max | 53, 89 | -21, 17 | 60, 87 | -28, 21 |
| Systolic Blood Pressure (mmHg) | Screening | n | 82 | 82 | 73 | 73 |
| | | Mean (SD) | 129 (15.53) | -1.0 (8.98) | 131 (17.73) | 1.1 (7.96) |
| | | Median | 128 | 0.0 | 130 | 0.0 |
| | | Min, Max | 90, 161 | -35, 36 | 92, 190 | -25, 35 |
| | Cycle 1 Day 1 | n | 23 | 23 | 20 | 20 |
| | | Mean (SD) | 135 (17.93) | 0.0 (0.00) | 131 (19.00) | 0.0 (0.00) |
| | | Median | 137 | 0.0 | 132 | 0.0 |
| | | Min, Max | 98, 160 | 0, 0 | 104, 175 | 0, 0 |
| | Cycle 1 Day 8 | n | 59 | 56 | 56 | 51 |
| | | Mean (SD) | 131 (15.67) | 2.0 (14.03) | 128 (14.51) | -1.0 (18.60) |
| | | Median | 130 | 2.0 | 129 | 0.0 |
| | | Min, Max | 92, 160 | -32, 30 | 94, 164 | -66, 39 |
| | Cycle 2 Day 1 | n | 23 | 23 | 19 | 19 |
| | | Mean (SD) | 135 (18.70) | -0.5 (16.40) | 134 (18.20) | 2.9 (16.76) |
| | | Median | 131 | 1.0 | 130 | 1.0 |
| | | Min, Max | 102, 174 | -26, 33 | 106, 176 | -22, 44 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Systolic Blood Pressure (mmHg) | Cycle 2 Day 8 | n | 56 | 53 | 55 | 50 |
| | | Mean (SD) | 130 (17.47) | 0.8 (16.39) | 127 (15.83) | -3.6 (18.19) |
| | | Median | 129 | 0.0 | 126 | 1.0 |
| | | Min, Max | 90, 165 | -30, 35 | 96, 174 | -54, 28 |
| | Cycle 3 Day 1 | n | 14 | 14 | 15 | 15 |
| | | Mean (SD) | 130 (20.60) | -1.1 (18.49) | 133 (16.89) | 2.3 (17.85) |
| | | Median | 133 | -2.0 | 127 | -3.0 |
| | | Min, Max | 93, 171 | -28, 41 | 104, 166 | -27, 30 |
| | Cycle 3 Day 8 | n | 50 | 47 | 47 | 42 |
| | | Mean (SD) | 129 (13.62) | -1.3 (15.06) | 128 (13.42) | -0.8 (17.38) |
| | | Median | 131 | -2.0 | 129 | -1.0 |
| | | Min, Max | 99, 154 | -31, 31 | 90, 155 | -46, 32 |
| | Cycle 4 Day 1 | n | 12 | 12 | 13 | 13 |
| | | Mean (SD) | 128 (17.35) | -3.5 (23.31) | 132 (20.15) | -3.3 (25.12) |
| | | Median | 123 | 0.0 | 129 | 0.0 |
| | | Min, Max | 102, 153 | -39, 30 | 99, 174 | -52, 42 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Systolic Blood Pressure (mmHg) | Cycle 4 Day 8 | n | 46 | 43 | 45 | 40 |
| | | Mean (SD) | 130 (15.20) | 0.6 (16.32) | 126 (11.92) | -3.5 (18.01) |
| | | Median | 132 | 2.0 | 126 | -3.5 |
| | | Min, Max | 104, 160 | -28, 45 | 96, 153 | -50, 30 |
| | Cycle 5 Day 1 | n | 7 | 7 | 11 | 11 |
| | | Mean (SD) | 129 (14.27) | -2.0 (15.67) | 130 (15.06) | -4.3 (21.68) |
| | | Median | 133 | -4.0 | 131 | -1.0 |
| | | Min, Max | 106, 143 | -19, 19 | 102, 153 | -51, 32 |
| | Cycle 5 Day 8 | n | 42 | 40 | 42 | 37 |
| | | Mean (SD) | 133 (16.44) | 3.0 (16.91) | 126 (14.54) | -2.8 (20.81) |
| | | Median | 136 | -1.5 | 125 | -1.0 |
| | | Min, Max | 98, 159 | -29, 33 | 92, 159 | -56, 44 |
| | Cycle 6 Day 1 | n | 6 | 6 | 10 | 10 |
| | | Mean (SD) | 130 (13.29) | 3.3 (15.03) | 131 (16.79) | -4.6 (19.31) |
| | | Median | 133 | 5.5 | 133 | -13 |
| | | Min, Max | 108, 148 | -17, 25 | 107, 156 | -24, 36 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Systolic Blood Pressure (mmHg) | Cycle 6 Day 8 | n | 39 | 38 | 40 | 35 |
| | | Mean (SD) | 127 (16.60) | -2.7 (19.24) | 125 (13.86) | -1.8 (15.70) |
| | | Median | 127 | -4.0 | 124 | -2.0 |
| | | Min, Max | 100, 160 | -54, 34 | 99, 165 | -34, 29 |
| | Cycle 7 Day 1 | n | 4 | 4 | 9 | 9 |
| | | Mean (SD) | 133 (11.70) | 19.5 (11.96) | 129 (7.29) | -5.2 (23.40) |
| | | Median | 132 | 20.5 | 130 | 6.0 |
| | | Min, Max | 120, 148 | 4, 33 | 113, 138 | -47, 18 |
| | Cycle 7 Day 8 | n | 33 | 33 | 31 | 27 |
| | | Mean (SD) | 124 (16.02) | -5.2 (16.80) | 126 (14.77) | -2.3 (17.68) |
| | | Median | 121 | -3.0 | 123 | -1.0 |
| | | Min, Max | 91, 158 | -46, 23 | 99, 160 | -37, 31 |
| | Cycle 8 Day 1 | n | 4 | 4 | 9 | 9 |
| | | Mean (SD) | 113 (4.86) | 0.0 (10.42) | 139 (21.94) | 4.3 (28.35) |
| | | Median | 116 | 3.5 | 142 | 1.0 |
| | | Min, Max | 106, 116 | -15, 8 | 103, 182 | -33, 50 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Systolic Blood Pressure (mmHg) | Cycle 8 Day 8 | n | 32 | 31 | 28 | 24 |
| | | Mean (SD) | 128 (16.40) | -0.2 (15.41) | 127 (12.44) | -2.5 (14.54) |
| | | Median | 129 | 2.0 | 128 | 0.0 |
| | | Min, Max | 100, 165 | -27, 31 | 100, 150 | -40, 17 |
| | Cycle 9 Day 1 | n | 3 | 3 | 7 | 7 |
| | | Mean (SD) | 124 (23.59) | 6.0 (13.53) | 137 (15.49) | -0.7 (30.52) |
| | | Median | 122 | 7.0 | 137 | 10.0 |
| | | Min, Max | 102, 149 | -8, 19 | 121, 167 | -54, 35 |
| | Cycle 9 Day 8 | n | 30 | 29 | 26 | 22 |
| | | Mean (SD) | 129 (20.10) | 0.5 (19.86) | 123 (12.74) | -6.7 (16.75) |
| | | Median | 127 | 0.0 | 121 | -5.0 |
| | | Min, Max | 96, 194 | -41, 45 | 94, 142 | -46, 19 |
| | Cycle 10 Day 1 | n | 2 | 2 | 7 | 7 |
| | | Mean (SD) | 127 (16.26) | 6.5 (2.12) | 127 (9.20) | -11 (25.83) |
| | | Median | 127 | 6.5 | 129 | 0.0 |
| | | Min, Max | 115, 138 | 5, 8 | 112, 140 | -63, 10 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Systolic Blood Pressure (mmHg) | Cycle 10 Day 8 | n | 26 | 25 | 26 | 22 |
| | | Mean (SD) | 125 (14.62) | -3.6 (12.38) | 122 (16.33) | -7.8 (19.24) |
| | | Median | 122 | -5.0 | 120 | -7.5 |
| | | Min, Max | 97, 154 | -31, 27 | 90, 161 | -64, 21 |
| | Cycle 11 Day 1 | n | 2 | 2 | 5 | 5 |
| | | Mean (SD) | 121 (20.51) | 0.5 (6.36) | 127 (14.97) | -16 (37.35) |
| | | Median | 121 | 0.5 | 131 | -10 |
| | | Min, Max | 106, 135 | -4, 5 | 105, 145 | -70, 23 |
| | Cycle 11 Day 8 | n | 23 | 22 | 25 | 21 |
| | | Mean (SD) | 122 (14.90) | -6.8 (10.06) | 124 (14.48) | -5.7 (17.07) |
| | | Median | 121 | -6.0 | 120 | -6.0 |
| | | Min, Max | 91, 153 | -28, 10 | 103, 151 | -51, 31 |
| | Cycle 12 Day 1 | n | 2 | 2 | 4 | 4 |
| | | Mean (SD) | 124 (5.66) | 4.0 (19.80) | 143 (19.05) | -6.3 (39.21) |
| | | Median | 124 | 4.0 | 134 | -11 |
| | | Min, Max | 120, 128 | -10, 18 | 131, 171 | -44, 40 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Systolic Blood Pressure (mmHg) | Cycle 12 Day 8 | n | 22 | 21 | 25 | 21 |
| | | Mean (SD) | 127 (19.26) | -1.5 (16.70) | 125 (13.01) | -5.0 (19.99) |
| | | Median | 123 | -3.0 | 120 | -4.0 |
| | | Min, Max | 100, 186 | -31, 43 | 105, 159 | -35, 39 |
| | Cycle 13 Day 1 | n | 1 | 1 | 3 | 3 |
| | | Mean (SD) | 123 (NA) | -7.0 (NA) | 109 (21.94) | -33 (50.14) |
| | | Median | 123 | -7.0 | 122 | -9.0 |
| | | Min, Max | 123, 123 | -7, -7 | 84, 122 | -91, 0 |
| | Cycle 13 Day 8 | n | 17 | 16 | 22 | 18 |
| | | Mean (SD) | 127 (15.36) | -3.6 (14.94) | 125 (17.10) | -3.8 (17.26) |
| | | Median | 125 | -4.5 | 123 | 5.0 |
| | | Min, Max | 103, 159 | -31, 16 | 93, 162 | -27, 21 |
| | Cycle 14 Day 1 | n | 1 | 1 | 2 | 2 |
| | | Mean (SD) | 113 (NA) | -17 (NA) | 128 (24.04) | -21 (61.52) |
| | | Median | 113 | -17 | 128 | -21 |
| | | Min, Max | 113, 113 | -17, -17 | 111, 145 | -64, 23 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------------|------------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Systolic Blood Pressure (mmHg) | Cycle 14 Day 8 | n | 14 | 14 | 18 | 14 |
| | | Mean (SD) | 123 (14.28) | -7.3 (10.42) | 120 (13.67) | -8.4 (20.50) |
| | | Median | 129 | -6.0 | 121 | -5.0 |
| | | Min, Max | 95, 146 | -29, 10 | 88, 139 | -52, 19 |
| | Off-Study Evaluation | n | 68 | 66 | 61 | 57 |
| | | Mean (SD) | 126 (17.33) | -5.1 (18.53) | 126 (13.27) | -6.5 (17.61) |
| | | Median | 128 | -5.0 | 123 | -4.0 |
| | | Min, Max | 85, 174 | -51, 49 | 98, 159 | -70, 28 |
| | Additional Visit 1 | n | 20 | 20 | 2 | 2 |
| | | Mean (SD) | 132 (21.35) | 6.3 (11.95) | 128 (9.19) | -2.0 (4.24) |
| | | Median | 128 | 8.0 | 128 | -2.0 |
| | | Min, Max | 95, 167 | -15, 29 | 121, 134 | -5, 1 |
| | Additional Visit 2 | n | 78 | 78 | 136 | 118 |
| | | Mean (SD) | 120 (13.55) | -9.7 (15.30) | 127 (13.48) | -9.4 (19.08) |
| | | Median | 117 | -9.0 | 125 | -8.5 |
| | | Min, Max | 90, 156 | -55, 33 | 96, 160 | -56, 30 |

Table 14.3.5.4
Summary of Vital Signs and Changes from Baseline by Visit (Safety Analysis Set)

| Parameter | Analysis Visit | Statistic | NLG-2101 (N=85) | | Placebo (N=79) | |
|--------------------------------|----------------|-----------|--------------------|----------------------|-------------------|----------------------|
| | | | Actual Value | Change from Baseline | Actual Value | Change from Baseline |
| Systolic Blood Pressure (mmHg) | Unscheduled | n | 24 | 24 | 26 | 25 |
| | | Mean (SD) | 123 (12.03) | -8.4 (17.29) | 124 (12.63) | -12 (21.78) |
| | | Median | 127 | -7.5 | 125 | -8.0 |
| | | Min, Max | 99, 142 | -38, 29 | 103, 151 | -73, 10 |

2.3.4 ECOG data

| Table Number | Table Title |
|--------------------------------|---|
| Table 14.3.5.6 | Shifts from baseline in ECOG performance status (Safety Analysis Set) |

Table 14.3.5.6
Shifts from Baseline in ECOG Performance Status (Safety Analysis Set)

| Treatment Group | Baseline ECOG | Worst Post-Baseline ECOG Result | | | | | | Total n (%) |
|-----------------|---------------|---------------------------------|-----------|----------|---------|---------|---------------|-------------|
| | | 0 n (%) | 1 n (%) | 2 n (%) | 3 n (%) | 4 n (%) | Missing n (%) | |
| NLG2101 | 0 | 16 (18.8) | 23 (27.1) | 1 (1.2) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 41 (48.2) |
| | 1 | 1 (1.2) | 30 (35.3) | 7 (8.2) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 39 (45.9) |
| | 2 | 0 (0.0) | 1 (1.2) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 2 (2.4) |
| | 3 | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | 4 | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | Missing | 0 (0.0) | 1 (1.2) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 2 (2.4) | 3 (3.5) |
| | Total | 17 (20.0) | 55 (64.7) | 9 (10.6) | 2 (2.4) | 0 (0.0) | 2 (2.4) | 85 (100.0) |
| PLACEBO | 0 | 15 (19.0) | 21 (26.6) | 2 (2.5) | 2 (2.5) | 0 (0.0) | 0 (0.0) | 40 (50.6) |
| | 1 | 2 (2.5) | 24 (30.4) | 6 (7.6) | 3 (3.8) | 0 (0.0) | 0 (0.0) | 35 (44.3) |
| | 2 | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (1.3) | 0 (0.0) | 1 (1.3) |
| | 3 | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | 4 | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | Missing | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 3 (3.8) | 3 (3.8) |
| | Total | 17 (21.5) | 45 (57.0) | 8 (10.1) | 5 (6.3) | 1 (1.3) | 3 (3.8) | 79 (100.0) |

2.3.5 Narratives of Deaths, other Serious and Certain Other Significant Adverse Events

A short narrative for each subject who died during the study as well as each subject with a serious TEAE that was considered of special interest is provided below.

Overview of Subjects for whom a narrative is written:

| Subject ID | Death | Other Serious TEAE of interest |
|------------|-------|--------------------------------|
| 2101038 | X | |
| 2101082 | X | |
| 2101099 | X | |
| 2101137 | X | |
| 2101032 | X | |
| 2101047 | X | |
| 2101124 | | X |

| | |
|----------------------|---------------------|
| Subject ID | 2101038 |
| Treatment Group | Indoximod |
| Reason for Narrative | Death |
| Preferred term(s) | Multi organ failure |

Subject 2101038, a 35-year-old female with a positive hormone receptor status (ER+/PR-) entered the study with infiltrating ductal carcinoma (T1, N1, M1).

At the start of the study, the subject had abdominal pain, bloating skin rash, fatigue, hot flashes, pain in extremities (legs), ALT increased (intermittent), ALP increased, AST increased (intermittent), anemia, fever blisters, back pain (intermittent), joint pain, muscle spasms, anxiety, indigestion, mouth sores, nausea, and vaginal dryness.

On 16 Apr 2015, the subject developed moderate ascites. In addition, that same day, the subject's lymphocyte count was found to be decreased (exact value not available). On 23 Apr 2015, the subject had a moderately increased ALP value (410 U/L; normal range: 35-105 U/L), a moderately increased AST value (117 U/L; normal range: 10-35 U/L), mildly increased bilirubin value (1.30 mg/dL; normal range: 0-1.2 mg/dL), mildly increased creatinine value (1.2 mg/dL; normal range: 0.5-1 mg/dL), severe hyperkalemia (5.0 mmol/L; normal range: 3.4-4.5 mmol/L), moderate hypoalbuminemia (2.7 g/dL; normal range 3.5-5.2 g/dL), and life-threatening hypercalcemia (17.4 mg/dL; normal range: 8.6-10.2 mg/dL). Further, the subject was also reported with severe fatigue and severe hypotension. On 30 Apr 2015, the subject's condition worsened. She now had a severely increased ALP value, severely increased bilirubin value, moderately increased creatinine value, severe hyperkalemia, and severe hyponatremia (exact values not available). The calcium level improved to mildly increased (exact value not available).

On 30 Apr 2015, ie, 8 days after the last dose of study drug, the subject developed multi organ failure. She died on 04 May 2015.

Apart from the decreased lymphocyte count, which was considered highly probable or definitely related to the study drug, all aforementioned TEAEs were considered to be unrelated to indoximod by the investigator.

| | |
|----------------------|---------------------|
| Subject ID | 2101082 |
| Treatment Group | Indoximod |
| Reason for Narrative | Death |
| Preferred term(s) | Respiratory failure |

Subject 2101082, a 39-year-old female with a negative hormone receptor status, entered the study with infiltrating ductal carcinoma (T2, N1, M0).

At the start of the study, the subject had hyperlipidemia, sinus tachycardia, hypercholesterolemia, hypertension, herpes simplex virus, uterine fibroids, left arm lymphedema, neuropathy, anxiety, migraine headaches, anorexia, fatigue, dyspnea, hypoxia, pleural effusion (malignant), and cough.

On 31 May 2015, severe (worsening) dyspnea was reported. The subject was brought to the emergency room after a 20-min episode of confusion. She was reported to have had worsening dyspnea on exertion for the past 2 weeks. Upon arrival the subject was awake, alert and oriented towards date, place, person, and situation. After examination and while waiting to be discharged, the subject's oxygen saturation dropped to 80% despite oxygen via nasal cannula (2L/min). The subject was taken to x-ray for computed tomography angiography to rule out pulmonary embolus. No embolus was found but the examination showed increasing severity of diffuse peribronchovascular ground glass opacities. The subject subsequently became more hypoxic and tachycardic requiring venturi mask with high flow oxygen. She was admitted to the intensive care unit and was started on intravenous antibiotics and gentle diuresis with intravenous Lasix. The subject's platelet count was 27 and she subsequently received a unit of platelets. The subject then became severely tachypneic and tachycardic. She became altered and was started on oxygen bagging. The subject died later that day due to respiratory failure/arrest (ie, 2 days after the last dose of study drug).

The TEAE of respiratory arrest was considered to be unrelated to indoximod by the investigator.

| | |
|----------------------|-------------------------|
| Subject ID | 2101099 |
| Treatment Group | Indoximod |
| Reason for Narrative | Death |
| Preferred term(s) | Cardiopulmonary failure |

Subject 2101099, a 58-year-old female with a positive hormone receptor (ER+/PR+) entered the study with an unspecified cancer (T2, N0, M0).

At the start of the study, the subject had abdominal petechiae, insomnia, cough, pain in the thoracic region of the spine, dyspnea, and allergies to dust, pollen, and grass.

On 23 Aug 2015, the subject was admitted to the hospital with abdominal pain. Cachexia and leukopenia were reported as a severe TEAEs. On admission, the subject was suffering, anxious, cachectic, pale, with abdominal distension, and had abdominal tenderness over the whole abdominal cavity, poorly audible peristalsis. A digital rectal exam revealed compact stool mass. The subject's heart rate was 100 bpm and her blood pressure 120/80 mmHg. Abdominal x-ray revealed fluid levels in the right flank region, no free air was found. Ultrasound imaging did not reveal any pathology. The surgery department was consulted and the subject was started on a morphine drip for pain. Spasmolytic medications were also given. The subject's pain was reduced and she was quieter and sedated. On 24 Aug 2015, ie, 2 days after the last dose of study drug, the subject was pronounced dead. The cause of death was cardiopulmonary failure.

The TEAE of cardiopulmonary failure was considered to be unrelated to indoximod by the investigator.

| | |
|----------------------|--------------|
| Subject ID | 2101137 |
| Treatment Group | Indoximod |
| Reason for Narrative | Death |
| Preferred term(s) | Sudden death |

Subject 2101137, a 59-year-old female with a positive hormone receptor (ER+/PR+) status, entered the study with infiltrating ductal carcinoma (T2, N1, M0).

At the start of the study, the subject had intermittent nausea, cancer-related pain, anxiety, constipation, hypocalcemia, and smoked.

On 22 Feb 2016, the subject was seen in the clinic. She reported to have had a bad week. She reported nausea, vomiting, low appetite, and abdominal pain. She also reported she had been in bed for several days. She took

morphine (30 mg) and oxycodone (5 mg) that same that morning. The subject was educated on the use of her medications, specifically pain medication, nausea medication, and lorazepam. On 23 Feb 2016, ie, 3 days after the last dose of study drug, the subject was found dead at her residence. The autopsy results revealed the death was related to an overdose of methamphetamine and morphine toxicity.

The TEAE of sudden death was considered to be unrelated to indoximod by the investigator.

| | |
|----------------------|---------|
| Subject ID | 2101032 |
| Treatment Group | Placebo |
| Reason for Narrative | Death |
| Preferred term(s) | Sepsis |

Subject 2101032, a 50-year-old female with a negative hormone receptor status, entered the study with infiltrating ductal carcinoma (T2, N1, M1).

At the start of the study, the subject had hypertension, Grave's disease with thyroid nodules, uterine polyp, elevated AST/ALT, autoimmune arthritis, sciatic L4/L5, anxiety, and insomnia.

The subject presented to the emergency with an elevated white blood cell count, tachycardia, and was tachypneic. She also presented with redness and swelling in the left shoulder suggestive of possible port-a-cath involvement. The subject was admitted for sepsis (start date: 16 Mar 2015) work up and treatment. She was determined to have cellulitis of the left upper extremity and broad spectrum antibiotics were started. The subject's condition continued to deteriorate despite cultures being negative and was found to have diffuse metastatic disease causing bilateral pleural effusions that were drained by L-sided thoracentesis on 01 Apr 2015 and R-sided thoracentesis on 02 Apr 2015. The subject was transferred to palliative care and died on 04 Apr 15.

The TEAE of sepsis was considered to be unrelated to the study drug by the investigator and possibly related to the port-a-cath infection.

| | |
|----------------------|---------------------|
| Subject ID | 2101047 |
| Treatment Group | Placebo |
| Reason for Narrative | Death |
| Preferred term(s) | Respiratory failure |

Subject 2101047, a 69-year-old female with a positive hormone receptor status (ER+/PR+), entered the study with infiltrating ductal carcinoma (T4, N3, M1).

At the start of the study, the subject had fatigue, night sweats, back pain, left shoulder pain, muscle weakness, pain in forearm, pain in right breast, hypertension, dry cough, and dyspnea on exertion.

The subject reported to the emergency department with complaints of worsening dyspnea. Respiratory failure was reported as a TEAE (start date 15 Mar 2015). The subject was tachycardic and her troponin level was increased. A chest X-ray showed increased pulmonary metastases and a small right pleural effusion that was thought to be a lymphatic spread of the subject's primary tumor. She died on 18 Mar 2015.

The TEAE of respiratory failure was considered to be unrelated to the study drug by the investigator.

| | |
|----------------------|---------------------|
| Subject ID | 2101124 |
| Treatment Group | Indoximod |
| Reason for Narrative | TEAE of interest |
| Preferred term(s) | Parkinson's Disease |

Subject 2101124, a 60-year-old female with a positive hormone receptor status (ER+/PR-), entered the study with infiltrating ductal carcinoma (T1, N0, M0).

At the start of the study, the subject had carotid artery disease, hyperlipidemia, sinusitis, diverticulosis, gastroesophageal reflux disease, generalized pain, and a history of colon polyps.

Subject tolerated first two cycles of study treatment well. During the third cycle, the subject initially noted some tremor in hands. By Day 16 of the third cycle, the subject was experiencing significant weakness and flat affect. She was started on steroids without improvement. At the scheduled time of the fourth cycle start, the subject's conditioned had worsened and study treatment was discontinued. An extensive evaluation was performed including magnetic resonance of brain and neurology consultation. The subject's symptoms were consistent with Parkinson's disease (reported as a serious TEAE of severe intensity). It should be noted that the subject had a strong family history of Parkinson's disease.

The investigator was concerned about the rapid onset of Parkinson's symptoms. The relationship between study drug and rapid onset of the subject's symptoms is unclear. Given poor understanding of mechanisms underlying onset of Parkinson's symptoms, any possible impact of study drug is unknown. The investigator has attributed a probable relationship between study drug and rapidity of symptom onset.

Given available evidence, a relationship cannot be ruled out. Therefore, the sponsor agreed with a possible related attribution.

Other narratives are available upon request.

4 APPENDICES

4.1 Study Information

4.1.1 Protocol and Protocol Amendments

| Protocol Version | Protocol Date |
|------------------|---------------|
| 5.0 | 10 Sep 2014 |
| 4.0 | 10 Jul 2013 |
| 3.0 | 14 May 2013 |
| 2.0 | 13 Mar 2013 |
| 1.1 (Original) | 07 Aug 2012 |

4.2 Subject Data Listings

4.2.1 Discontinued Subjects

| Listing Number | Listing Title |
|------------------------------------|-----------------------------|
| Listing 16.2.1.1 | Study completion status |
| Listing 16.2.1.2.1 | Treatment completion status |
| Listing 16.2.2.1 | Subject eligibility |

4.2.2 Protocol Deviations

| Listing Number | Listing Title |
|----------------------------------|---------------------|
| Listing 16.2.2.2 | Protocol deviations |

4.2.3 Subjects Excluded from the Efficacy Analysis

Not applicable

4.2.4 Demographic Data

| Listing Number | Listing Title |
|----------------------------------|--------------------------------|
| Listing 16.2.4.1 | Demographics |
| Listing 16.2.4.2 | Cancer history |
| Listing 16.2.4.3 | Medical history |
| Listing 16.2.4.4 | Prior cancer-related surgeries |
| Listing 16.2.4.5 | Previous radiation therapy |
| Listing 16.2.4.6 | Previous systemic therapy |
| Listing 16.2.4.7 | Pregnancy test results |
| Listing 16.2.9.3 | Concomitant medications |
| Listing 16.2.9.4 | Concurrent procedures |
| Listing 16.2.9.2 | ECOG performance status |

4.2.5 Compliance and/or Drug Concentration Data

| Listing Number | Listing Title |
|----------------------------------|--------------------------|
| Listing 16.2.5.1 | Taxane administration |
| Listing 16.2.5.2 | Indoximod administration |
| Listing 16.2.5.3 | Exposure |

4.2.6 Individual Efficacy Response Data

| Listing Number | Listing Title |
|----------------------------------|---|
| Listing 16.2.1.3 | Follow-up survival status |
| Listing 16.2.6.1 | Response evaluation criteria in solid tumors (RECIST) |
| Listing 16.2.6.2 | Target lesion assessment |
| Listing 16.2.6.3 | Non-target lesion assessment |

4.2.7 Adverse Event Listings (Each Subject)

| Listing Number | Listing Title |
|----------------------------------|--|
| Listing 16.2.7.1 | Adverse events by subject, adverse event term, and onset |

4.2.8 Listing of Individual Laboratory Measurements by Subject

| Listing Number | Listing Title |
|----------------------------------|---------------------------------|
| Listing 16.2.8.1 | Clinical laboratory: hematology |
| Listing 16.2.8.2 | Clinical laboratory: chemistry |
| Listing 16.2.9.1 | Vital signs |