

**Clinical trial results:****Immunotherapy with CD19 chimeric antigen receptor gene-modified EBV-specific CTLs after stem cell transplant in children with high-risk acute lymphoblastic leukaemia****Summary**

| | |
|--------------------------|------------------|
| EudraCT number | 2007-007612-29 |
| Trial protocol | DE GB |
| Global end of trial date | 10 December 2018 |

Results information

| | |
|-----------------------------------|---|
| Result version number | v1 (current) |
| This version publication date | 08 November 2019 |
| First version publication date | 08 November 2019 |
| Summary attachment (see zip file) | Vaccination to improve the persistence of CD19CAR genemodified T cells in relapsed pediatric acute lymphoblastic leukemia (CD19_Leukemia_2017_31_1087-1095.pdf) |

Trial information**Trial identification**

| | |
|-----------------------|-------------|
| Sponsor protocol code | UCL/09/0050 |
|-----------------------|-------------|

Additional study identifiers

| | |
|------------------------------------|-------------|
| ISRCTN number | - |
| ClinicalTrials.gov id (NCT number) | NCT01195480 |
| WHO universal trial number (UTN) | - |

Notes:

Sponsors

| | |
|------------------------------|---|
| Sponsor organisation name | University College London |
| Sponsor organisation address | Gower Street, London, United Kingdom, WC1E 6BT |
| Public contact | Trial Coordinator, CR UK and UCL Cancer Trials Centre, 44 207679 9327, ctc.CD19@ucl.ac.uk |
| Scientific contact | Trial Coordinator, CR UK and UCL Cancer Trials Centre, 44 207679 9327, ctc.CD19@ucl.ac.uk |

Notes:

Paediatric regulatory details

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|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP) | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

Results analysis stage

| | |
|--|------------------|
| Analysis stage | Final |
| Date of interim/final analysis | 05 January 2017 |
| Is this the analysis of the primary completion data? | Yes |
| Primary completion date | 26 October 2016 |
| Global end of trial reached? | Yes |
| Global end of trial date | 10 December 2018 |
| Was the trial ended prematurely? | Yes |

Notes:

General information about the trial

Main objective of the trial:

1. To evaluate the feasibility and safety of adoptive transfer of CD19 ζ chimaeric receptor transduced donor-derived EBV-specific cytotoxic T-lymphocytes (EBV-CTL) in patients with high-risk or relapsed B cell precursor acute lymphoblastic leukaemia (BCP-ALL) after allogeneic HSCT.
2. To determine the biological effect of infusion of CD19 ζ -transduced EBV-CTL on residual leukaemia as assessed by Minimal Residual Disease (MRD) quantification in the bone marrow.

We conducted a multicenter phase I/II study of donor CD19CAR transduced EBV CTL in pediatric acute lymphoblastic leukaemia (ALL). Patients were eligible pre-emptively if they developed molecular relapse post first stem cell transplant (SCT), or prophylactically post second SCT. An initial cohort showed poor expansion /persistence. We therefore investigated EBV-directed vaccination to enhance expansion/persistence (Leukemia (2017) 31, 1087–1095; doi:10.1038/leu.2017.39)

Protection of trial subjects:

Patient safety was monitored through strict eligibility criteria, regular patient assessments during treatment and follow up, regular review of safety data by Independent Data Monitoring Committee (IDMC) and Trial Management Group (TMG). Treatment of the first 3 patients was staggered by a minimum of 1 month to allow for initial toxicity assessments.

Stopping rules related to trial treatment:

The following stopping rules were defined in protocol:

- Death of a patient after CD19 ζ -transduced EBV-CTL therapy that is probably or definitely related to the CD19 ζ -transduced EBV-CTL or donor LCL (irradiated EBV transformed lymphoblastic cell lines) vaccination.
- Occurrence of Grade 4 infusional/allergic/anaphylactic/hypoxic/hypotensive toxicity within 24 hours of infusion of CD19 ζ -transduced EBV-CTL in 3 patients.
- Occurrence of Grade 4-5 toxicity that may be attributable to CD19-transduced CTL OR Grade III/IV acute GVHD occurring within 12 weeks of infusion in \geq 14 patients.

The first cohort of 5 trial patients received donor CD19CAR CTL alone. Infusion of CD19CAR T cells was well-tolerated with no significant infusional toxicity. In particular, none of the patients experienced CRS, neurotoxicity or GVHD attributable to CD19CAR CTL. However, although CD19CAR CTL alone were safe, their persistence and anti-leukemic efficacy was limited. Following review of the safety and CTL persistence data by the TMG and IDMC, both advised that the second trial cohort of patients should have vaccination with irradiated, donor-derived LCL along with the CD19CAR CTL to attempt to improve CD19CAR CTL persistence. Five patients were then treated on trial in cohort 2. Both CD19CAR CTL infusion and LCL vaccination were well-tolerated.

Background therapy:

All patients received lymphodepletion consisting of fludarabine on day -5 to -3 before CD19CAR CTL infusion in order to enhance the expansion of the CD19CAR CTL and break tolerance to residual leukaemic cells.

Patients with detectable residual disease also received cyto-reduction with vincristine Day -9 and dexamethasone -9 to -3 before infusion of cryopreserved CD19CAR CTL to reduce the leukaemia burden.

Evidence for comparator:

N/A

| | |
|---|----------------|
| Actual start date of recruitment | 20 August 2012 |
| Long term follow-up planned | No |
| Independent data monitoring committee (IDMC) involvement? | Yes |

Notes:

Population of trial subjects

Subjects enrolled per country

| | |
|--------------------------------------|--------------------|
| Country: Number of subjects enrolled | United Kingdom: 25 |
| Country: Number of subjects enrolled | Germany: 4 |
| Worldwide total number of subjects | 29 |
| EEA total number of subjects | 29 |

Notes:

Subjects enrolled per age group

| | |
|---|----|
| In utero | 0 |
| Preterm newborn - gestational age < 37 wk | 0 |
| Newborns (0-27 days) | 0 |
| Infants and toddlers (28 days-23 months) | 4 |
| Children (2-11 years) | 20 |
| Adolescents (12-17 years) | 3 |
| Adults (18-64 years) | 2 |
| From 65 to 84 years | 0 |
| 85 years and over | 0 |

Subject disposition

Recruitment

Recruitment details:

Between May 2012–November 2015, 29 patients were enrolled across six sites in the UK and Germany. 19 were not treated as they remained MRD-negative post SCT (7), donor refused participation (5), died before treatment (6), problems with CTL manufacture (1).

Pre-assignment

Screening details:

- Children with ALL 18 years:
 - a) in CR but at high risk of relapse post SCT (CD19CAR CTL administered if patient became MRD positive up to 1 year post SCT)
 - b) patients who had relapsed after 1st SCT (treated with CD19CAR CTL after 2nd SCT following withdrawal of immunosuppression)
- EBV positive & HLA matched donor
- No GVHD ≥ 2
- No steroids

Period 1

| | |
|------------------------------|--------------------------------|
| Period 1 title | Overall trial (overall period) |
| Is this the baseline period? | Yes |
| Allocation method | Not applicable |
| Blinding used | Not blinded |

Blinding implementation details:

Not blinded

Arms

| | |
|------------------------------|----------|
| Are arms mutually exclusive? | Yes |
| Arm title | Cohort 1 |

Arm description:

Cohort 1: CD19CAR CTL alone

| | |
|--|--|
| Arm type | Experimental |
| Investigational medicinal product name | CD19CAR CTL |
| Investigational medicinal product code | donor EBV-CTL transduced with SFG-CD19-CD3zeta |
| Other name | CD19 CAR |
| Pharmaceutical forms | Suspension for injection |
| Routes of administration | Intravenous use |

Dosage and administration details:

The CTL infusion was given over 2 days at a total dose of $2 \times 10^8/m^2$. On day one the first dose of $4 \times 10^7/m^2$ transduced CTL is infused, followed by the second dose of $1.6 \times 10^8/m^2$ CTL infused the following day.

| | |
|------------------|----------|
| Arm title | Cohort 2 |
|------------------|----------|

Arm description:

Cohort 2: Vaccination with irradiated, donor-derived LCL along with CD19CAR CTL. An interim analysis of safety and CD19CAR CTL persistence was performed after the patients in cohort 1 received CD19CAR CTLs alone. As the CD19CAR CTL were not detectable in patients post infusion, the TMG and IDMC advised that the second trial cohort should receive vaccination with irradiated, donor derived LCL along with the CD19CAR CTL to attempt to improve CD19CAR CTL persistence.

| | |
|----------|--------------|
| Arm type | Experimental |
|----------|--------------|

| | |
|--|--|
| Investigational medicinal product name | CD19CAR CTL |
| Investigational medicinal product code | donor EBV-CTL transduced with SFG-CD19-CD3zeta |
| Other name | CD19 CAR |
| Pharmaceutical forms | Suspension for injection |
| Routes of administration | Intravenous use |

Dosage and administration details:

The CTL infusion was given over 2 days at a total dose of $2 \times 10^8/m^2$. On day one the first dose of $4 \times 10^7/m^2$ transduced CTL is infused, followed by the second dose of $1.6 \times 10^8/m^2$ CTL infused the following day.

| | |
|--|----------------------------------|
| Investigational medicinal product name | Irradiated donor-derived EBV-LCL |
| Investigational medicinal product code | |
| Other name | donor-derived LCL |
| Pharmaceutical forms | Suspension for injection |
| Routes of administration | Subcutaneous use |

Dosage and administration details:

Vaccination consisted of 3 doses of 5×10^6 irradiated (70Gy) donor-derived EBV-lymphoblastoid cell line used to generate CTL and was administered subcutaneously into the thigh (volume 0.3 ml) 2 days prior to CD19 CAR CTL infusion, and then at 4 and 8 weeks after the first infusion of transduced CD19CAR CTL.

| Number of subjects in period 1^[1] | Cohort 1 | Cohort 2 |
|---|----------|----------|
| Started | 5 | 5 |
| Completed | 5 | 5 |

Notes:

[1] - The number of subjects reported to be in the baseline period are not the same as the worldwide number enrolled in the trial. It is expected that these numbers will be the same.

Justification: Between May 2012–November 2015, 29 patients were enrolled across six sites in the UK and Germany. 19 were not treated as they remained MRD-negative post SCT (7), donor refused participation (5), died before treatment (6), problems with CTL manufacture (1). Therefore a total of 10 patients were treated on the study and included in this analysis.

Baseline characteristics

Reporting groups

| | |
|---|---------------|
| Reporting group title | Overall trial |
| Reporting group description: | |
| Cohort 1: CD19CAR CTL therapy alone | |
| Cohort 2: Vaccination with irradiated, donor-derived LCL along with CD19CAR CTL therapy | |

| Reporting group values | Overall trial | Total | |
|---|---------------|-------|--|
| Number of subjects | 10 | 10 | |
| Age categorical | | | |
| Units: Subjects | | | |
| In utero | 0 | 0 | |
| Preterm newborn infants (gestational age < 37 wks) | 0 | 0 | |
| Newborns (0-27 days) | 0 | 0 | |
| Infants and toddlers (28 days-23 months) | 0 | 0 | |
| Children (2-11 years) | 9 | 9 | |
| Adolescents (12-17 years) | 1 | 1 | |
| Adults (18-64 years) | 0 | 0 | |
| From 65-84 years | 0 | 0 | |
| 85 years and over | 0 | 0 | |
| Gender categorical | | | |
| Units: Subjects | | | |
| Female | 2 | 2 | |
| Male | 8 | 8 | |

End points

End points reporting groups

| | |
|-----------------------|----------|
| Reporting group title | Cohort 1 |
|-----------------------|----------|

Reporting group description:

Cohort 1: CD19CAR CTL alone

| | |
|-----------------------|----------|
| Reporting group title | Cohort 2 |
|-----------------------|----------|

Reporting group description:

Cohort 2: Vaccination with irradiated, donor-derived LCL along with CD19CAR CTL.

An interim analysis of safety and CD19CAR CTL persistence was performed after the patients in cohort 1 received CD19CAR CTLs alone. As the CD19CAR CTL were not detectable in patients post infusion, the TMG and IDMC advised that the second trial cohort should receive vaccination with irradiated, donor derived LCL along with the CD19CAR CTL to attempt to improve CD19CAR CTL persistence.

Primary: Toxicity attributable to CD19CAR transduced CTL

| | |
|-----------------|---|
| End point title | Toxicity attributable to CD19CAR transduced CTL |
|-----------------|---|

End point description:

Since this is a Phase I/II trial only a descriptive analysis of all the variables measured is provided. Adverse event data and corresponding toxicity grades are summarized in the form of tables. The incidence of severe toxicity (defined as combined incidence of Grade 4-5 toxicity that may be attributable to CD19-transduced CTL and Grade III/IV acute GVHD occurring within 12 weeks of infusion) is determined. The predicted incidence for such toxicities in the absence of CTL infusion was 10% and the approach would be considered too unsafe if such toxicities occurred in > 30% of treated patients.

| | |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

Adverse events within 12 weeks of CD19 CTL infusion (except hypohammaglobulinaemia where incidence is within 1 year of post-SCT)

| End point values | Cohort 1 | Cohort 2 | | |
|--------------------------------------|-----------------|-----------------|--|--|
| Subject group type | Reporting group | Reporting group | | |
| Number of subjects analysed | 5 | 5 | | |
| Units: 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 | 5 | 5 | | |

| | |
|-----------------------------------|--------------------------------|
| Attachments (see zip file) | Max grade AE reported for each |
|-----------------------------------|--------------------------------|

Statistical analyses

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|-----------------------------------|-----------------|
| Statistical analysis title | Safety analysis |
|-----------------------------------|-----------------|

Statistical analysis description:

The incidence of severe toxicity (defined as combined incidence of Grade 4–5 toxicity that may be attributable to CD19CAR CTL within 12 weeks of infusion and Grade III/IV acute GVHD occurring by day 100 post transplant) was determined. A rate of 30% was considered too unsafe.

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|-------------------|---------------------|
| Comparison groups | Cohort 2 v Cohort 1 |
|-------------------|---------------------|

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|---|----------------------|
| Number of subjects included in analysis | 10 |
| Analysis specification | Pre-specified |
| Analysis type | other ^[1] |
| P-value | ≤ 0.1 ^[2] |
| Method | t-test, 1-sided |
| Parameter estimate | Descriptive analysis |

Notes:

[1] - There is no comparison between cohort 1 (CD19CAR CTL alone) and cohort 2 (CD19CAR CTL and vaccination with donor LCL). An interim analysis on safety and persistence of CD19CAR CTL was performed after 5 patients were treated in cohort 1. CD19CAR CTL were safe but they were undetectable in > 50% of patients by 2 months post-infusion. Therefore subsequent patients (cohort 2) were treated with irradiated donor LCL vaccination in addition to CD19CAR T CTL with the aim to improve CTL persistence.

[2] - The null hypothesis is that toxicity rate P is ≤10% & a one-sided test against the alternative hypothesis P≥30% is performed. Toxicity analysis is published: Leukemia (2017) 31, 1087–1095; doi: 10.1038/leu.2017.39. List of AEs (max Gr/Pt) uploaded.

Primary: Biological efficacy

| | |
|-----------------|---------------------|
| End point title | Biological efficacy |
|-----------------|---------------------|

End point description:

Since this is a Phase I/II trial only a descriptive analysis of all the variables measured will be provided. Biological efficacy as assessed by effect of CD19-transduced CTL on Minimal Residual Disease levels in the bone marrow in the first year post-transduced CTL infusion. Complete response was defined as undetectable MRD, partial response as reduction in MRD level by more than 1 log, stable disease by unchanged MRD, and progressive disease by MRD increased by more than 1 log or frank relapse.

| | |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

At 1, 2, 4, 6 and 12 months post CD19CAR CTL infusion.

| End point values | Cohort 1 | Cohort 2 | | |
|---------------------------------------|-----------------|-----------------|--|--|
| Subject group type | Reporting group | Reporting group | | |
| Number of subjects analysed | 5 | 5 | | |
| Units: CCR, CR, PR, SD, non-responder | 5 | 5 | | |

| | |
|-----------------------------------|----------------------|
| Attachments (see zip file) | CD19 patient outcome |
|-----------------------------------|----------------------|

Statistical analyses

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|-----------------------------------|---------------------|
| Statistical analysis title | Biological efficacy |
|-----------------------------------|---------------------|

Statistical analysis description:

Disease status was assessed by morphology, cytogenetics and qPCR MRD analysis of clone-specific IgH or TCR gene rearrangements with a sensitivity of at least 10⁻⁴ at Euro-MRD reference laboratories in Frankfurt and London on BM samples taken 1 month post CD19CAR CTL infusion. Complete response was defined as undetectable MRD, partial response as reduction in MRD level by > 1 log, stable disease by unchanged MRD, and progressive disease by MRD increased by >1 log or frank relapse.

| | |
|-------------------|---------------------|
| Comparison groups | Cohort 1 v Cohort 2 |
|-------------------|---------------------|

| | |
|---|----------------------|
| Number of subjects included in analysis | 10 |
| Analysis specification | Pre-specified |
| Analysis type | other ^[3] |
| P-value | ≤ 0.1 ^[4] |
| Method | Descriptive analysis |

Notes:

[3] - Since this is a Phase I/II trial only descriptive analysis of measured variables is provided. There is no comparison between cohort 1 (CD19CAR CTL alone) and cohort 2 (CD19CAR CTL and vaccination with donor LCL). An interim analysis was performed after 5 patients were treated in cohort 1. CD19CAR CTL were safe but undetectable in >50% of patients by 2 months post-infusion. Therefore subsequent patients (cohort 2) were treated with irradiated donor LCL vaccination in addition to CD19CAR T CTL.

[4] - MRD levels post-CTL infusion are analysed. This is a Phase I/II trial where only descriptive analysis of the variables was performed. The analysis is published: Leukemia (2017) 31, 1087–1095; doi: 10.1038/leu.2017.39; patient 'outcome' data uploaded.

Adverse events

Adverse events information

Timeframe for reporting adverse events:

Within 12 weeks of CD19-transduced CTL infusion

Adverse event reporting additional description:

The incidence of severe toxicity (defined as combined incidence of Grade 4-5 toxicity that may be attributable to CD19-transduced CTL and Grade III/IV acute GVHD).

| | |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

Dictionary used

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|-----------------|-------|
| Dictionary name | CTCAE |
|-----------------|-------|

| | |
|--------------------|------|
| Dictionary version | 4.03 |
|--------------------|------|

Reporting groups

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|-----------------------|----------------|
| Reporting group title | Cohort 1 and 2 |
|-----------------------|----------------|

Reporting group description: -

| Serious adverse events | Cohort 1 and 2 | | |
|---|---------------------------------|--|--|
| Total subjects affected by serious adverse events | | | |
| subjects affected / exposed | 1 / 10 (10.00%) | | |
| number of deaths (all causes) | 9 | | |
| number of deaths resulting from adverse events | 0 | | |
| Hepatobiliary disorders | | | |
| Cholecystitis | Additional description: Grade 3 | | |
| subjects affected / exposed | 1 / 10 (10.00%) | | |
| occurrences causally related to treatment / all | 0 / 1 | | |
| deaths causally related to treatment / all | 0 / 0 | | |

Frequency threshold for reporting non-serious adverse events: 5 %

| Non-serious adverse events | Cohort 1 and 2 | | |
|---|-----------------|--|--|
| Total subjects affected by non-serious adverse events | | | |
| subjects affected / exposed | 7 / 10 (70.00%) | | |
| Investigations | | | |
| Neutrophil count decreased | | | |
| subjects affected / exposed | 4 / 10 (40.00%) | | |
| occurrences (all) | 4 | | |
| GGT increased | | | |
| subjects affected / exposed | 1 / 10 (10.00%) | | |
| occurrences (all) | 1 | | |

| | | | |
|---|----------------------|--|--|
| Infections and infestations Bacterial infection subjects affected / exposed occurrences (all) | 1 / 10 (10.00%) 1 | | |
| Metabolism and nutrition disorders Hypoalbuminemia subjects affected / exposed occurrences (all) | 1 / 10 (10.00%) 1 | | |

More information

Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date | Amendment |
|------------------|--|
| 01 November 2011 | <p>Following our submission to the European Voluntary Harmonisation Procedure (VHP) committee the protocol and trial-related documents were modified as follows:</p> <ul style="list-style-type: none"> a) Patients with CD19 negative precursor B cell ALL are not eligible; b) Patients with severe allergy to gentamicin are excluded from receiving vaccination with irradiated donor-derived BLCL c) Patients with 'Known allergy to human serum albumin or DMSO' are excluded d) Addition of the following neurological AEs: ataxia, encephalopathy and seizures. e) Addition of HIV and serological testing for Toxoplasma for donors f) Release criteria tests for both ATMPs have been modified and information on storage, issue and administration of the ATMPs added g) Statement on patient data confidentiality added h) Details on the qPCR used for CD19ζ transgene analysis added i) Long-term patient monitoring extended from 5 to 10 years j) End of trial changed to after 10 years rather than 1 year |
| 27 February 2012 | <ul style="list-style-type: none"> a) The assessment of chronic GVHD has changed from limited/extensive to the new National Institutes of Health (NIH) consensus criteria b) Inclusion criteria text changed from "prednisolone poor or not in molecular remission" to "MRD positive (BCR-ABL/ABL ratio >0.01%) after HR3 block of EsPhALL". c) Addition of "Relapse of infant or Philadelphia-positive in morphological complete remission" to the inclusion criteria. d) Removal of "including normal haemoglobin level and platelet count appropriate for the age/sex of donor" and 'Known Allergy to human albumin or DMSO' text from donor inclusion criteria. e) Amended text to reflect change of laboratory site from UCL to GOS for PCR analysis. f) Additional patient identifiers to be collected. g) Sponsor contact details updated. |
| 04 January 2013 | <p>The CD3 threshold set in the release criteria for CD19ζ transduced EBV-CTL changed from ≥95% to ≥90% DAPI-ve/CD45+ve cells expressing CD3</p> |
| 11 February 2013 | <ul style="list-style-type: none"> a) Addition of patient group "transplanted in > 3rd CR" to patient inclusion criteria. b) Donor inclusion criterion changed to "Stem cell donors must be EBV sero-positive and HLA matched (8/8 HLA A, B, C and DR at medium resolution typing) or a single antigenic/allelic (7/8) mismatch with the recipient". c) Clarification for patients on the pre-emptive arm to be treated when "BM MRD level >5 x 10⁻⁴ "(or BCR-ABL/ABL ratio 0.05% in Ph+ve ALL patients with no IgH MRD marker)" d) Addition of text to include alternative conditioning regimen for patients on pre-emptive arm to reflect upcoming IBFM ALL protocol e) Text amended in the conditioning regimen in the pre-emptive arm for children under age 2 due to emerging concerns about the toxicity of the busulphan /cyclophosphamide /melphalan conditioning regimen in infants. This has been replaced with an alternate regimen as per the new Interfant protocol guidelines. f) Conditioning regimen for all patients in the prophylaxis arm has been amended to be in line with the chemotherapy conditioning regimen used for patients on the pre-emptive arm by addition of thiotepa and use of serotherapy with ATG rather than Alemtuzumab. g) Updated the NCI Common Terminology Criteria for Adverse Events link from version 4.02 to 4.03 h) Central MRD laboratories will also perform qPCR to look for persistence/homing of CD19ζ transduced EBV-CTL to the bone marrow. i) Addition of 'ANSM' as the new name for French Regulatory Authority. |

| | |
|------------------|---|
| 03 April 2014 | a) Protocol changed to state that 5 patients will be treated with the EBV CTL alone (rather than 10 that was originally planned). b) LCL vaccination schedule changed to '2 days prior to CTL infusion and then at 4 and 8 weeks post-CTL infusion'. c) Clarification that the patient card must be given to patients when they are registered to receive the ATMP (i.e. prior to cytoreduction/lymphodepletion). d) Clarification on the follow-up of patients who have discontinued study. |
| 10 December 2018 | Protocol changed throughout to state that trial will end 3 years after the last patient alive has been treated with EBV CTL (previously 10 years) |

Notes:

Interruptions (globally)

Were there any global interruptions to the trial? No

Limitations and caveats

None reported

Online references

<http://www.ncbi.nlm.nih.gov/pubmed/28126984>