



## Clinical trial results:

### Efficacy of AM-111 in Patients with Acute Sensorineural Hearing Loss: A Multi-Centre, Double-Blind, Randomised, Placebo-Controlled, Dose-Escalation Phase II Study

#### Summary

|                          |                |
|--------------------------|----------------|
| EudraCT number           | 2008-000132-40 |
| Trial protocol           | DE CZ          |
| Global end of trial date | 17 July 2012   |

#### Results information

|                                |                |
|--------------------------------|----------------|
| Result version number          | v1 (current)   |
| This version publication date  | 06 August 2016 |
| First version publication date | 06 August 2016 |

#### Trial information

##### Trial identification

|                       |                 |
|-----------------------|-----------------|
| Sponsor protocol code | AM-111-CL-08-01 |
|-----------------------|-----------------|

##### Additional study identifiers

|                                    |   |
|------------------------------------|---|
| ISRCTN number                      | - |
| ClinicalTrials.gov id (NCT number) | - |
| WHO universal trial number (UTN)   | - |

Notes:

#### Sponsors

|                              |  |
|------------------------------|--|
| Sponsor organisation name    | Auris Medical AG   |
| Sponsor organisation address | Falknerstr. 4, Basel, Switzerland, 4001                                |
| Public contact               | Thomas Meyer, Auris Medical AG, +41 61 201 13 50, ear@aurismedical.com |
| Scientific contact           | Thomas Meyer, Auris Medical AG, +41 61 201 13 50, ear@aurismedical.com |

Notes:

#### Paediatric regulatory details

|  |    |
|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|  |              |
|--|--------------|
| Analysis stage                                       | Final        |
| Date of interim/final analysis                       | 18 June 2013 |
| Is this the analysis of the primary completion data? | Yes          |
| Primary completion date                              | 17 July 2012 |
| Global end of trial reached?                         | Yes          |
| Global end of trial date                             | 17 July 2012 |
| Was the trial ended prematurely?                     | No           |

Notes:

## General information about the trial

Main objective of the trial:

The primary objective of the study was the evaluation of the therapeutic benefit of a single intratympanic (i.t.) AM-111 injection in comparison to placebo in the treatment of acute sensorineural hearing loss (ASNHL).

Protection of trial subjects:

This Clinical Trial was conducted in accordance with the study protocol, the International Conference on Harmonisation (ICH) harmonized tripartite guideline on Good Clinical Practices (GCP) (E6), as well as the ethical principles outlined in the Declaration of Helsinki dated 1989, respectively in their most current version.

Background therapy:

Reserve therapy option: Subjects whose pure tone average (PTA) recovered on average less than 10 dB from baseline to Day7 were given the option to receive a 5-day course of prednisolone by way of oral administration (2 x 50 mg daily).

Evidence for comparator: -

|   |                  |
|---|------------------|
| Actual start date of recruitment                          | 05 February 2009 |
| Long term follow-up planned                               | No               |
| Independent data monitoring committee (IDMC) involvement? | No               |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |                    |
|--------------------------------------|--------------------|
| Country: Number of subjects enrolled | Germany: 41        |
| Country: Number of subjects enrolled | Poland: 143        |
| Country: Number of subjects enrolled | Czech Republic: 26 |
| Worldwide total number of subjects   | 210                |
| EEA total number of subjects         | 210                |

Notes:

### Subjects enrolled per age group

|   |   |
|---|---|
| In utero                                  | 0 |
| Preterm newborn - gestational age < 37 wk | 0 |
| Newborns (0-27 days)                      | 0 |
| Infants and toddlers (28 days-23 months)  | 0 |
| Children (2-11 years)                     | 0 |

|                           |     |
|---------------------------|-----|
| Adolescents (12-17 years) | 0   |
| Adults (18-64 years)      | 210 |
| From 65 to 84 years       | 0   |
| 85 years and over         | 0   |

## Subject disposition

### Recruitment

Recruitment details:

Twenty-five European sites (academic tertiary referral centers and private ENT practices) from 3 EU countries participated in the study. A total of 210 patients were screened. Of these, all 210 patients were randomised.

### Pre-assignment

Screening details:

Main inclusion criteria were: Age 18 - 60 years; unilateral ISSNHL or uni-or bilateral AAT; hearing loss at least 30 dB; onset not more than 48h before.

All 210 screened patients have been randomised.

### Period 1

|                              |                                     |
|------------------------------|-------------------------------------|
| Period 1 title               | Whole study period (overall period) |
| Is this the baseline period? | Yes                                 |
| Allocation method            | Randomised - controlled             |
| Blinding used                | Double blind                        |
| Roles blinded                | Subject, Investigator               |

### Arms

|                              |                  |
|------------------------------|------------------|
| Are arms mutually exclusive? | Yes              |
| <b>Arm title</b>             | AM-111 0.4 mg/mL |

Arm description:

Study drug (gel formulation) was administered on Day 0 by intratympanic injection under local anesthesia of the tympanic membrane.

Patients came back for 3 further follow-up visits on Days 3, 7, 30, and 90.

Glass vials containing 0.7 mL of the gel formulation were provided for each treatment visit of which 0.25 mL were used for treatment.

|  |                          |
|--|--------------------------|
| Arm type                               | Experimental             |
| Investigational medicinal product name | JNK inhibitor (D-JNKI-1) |
| Investigational medicinal product code | AM-111                   |
| Other name                             |                          |
| Pharmaceutical forms                   | Gel for injection        |
| Routes of administration               | Intratympanic use        |

Dosage and administration details:

Single intratympanic application of AM-111 0.4 mg/mL (0.25 mL). In case of bilateral AAT, only the worse affected ear was treated.

|                  |                  |
|------------------|------------------|
| <b>Arm title</b> | AM-111 2.0 mg/mL |
|------------------|------------------|

Arm description:

Study drug (gel formulation) was administered on Day 0 by intratympanic injection under local anesthesia of the tympanic membrane.

Patients came back for 3 further follow-up visits on Days 3, 7, 30, and 90.

Glass vials containing 0.7 mL of the gel formulation were provided for each treatment visit of which 0.25 mL were used for treatment.

|  |                          |
|--|--------------------------|
| Arm type                               | Experimental             |
| Investigational medicinal product name | JNK inhibitor (D-JNKI-1) |
| Investigational medicinal product code | AM-111                   |
| Other name                             |                          |
| Pharmaceutical forms                   | Gel for injection        |
| Routes of administration               | Intratympanic use        |

Dosage and administration details:

Single intratympanic application of AM-111 2.0 mg/mL (0.25 mL). In case of bilateral AAT, only the worse affected ear was treated.

|                  |                |
|------------------|----------------|
| <b>Arm title</b> | Placebo pooled |
|------------------|----------------|

Arm description:

The study consisted of 2 dose cohorts each randomised individually against placebo in a 2:1 ratio. In the results presentation the 2 placebo groups from the 2 cohorts were pooled and are presented as 1 pooled placebo group.

Study drug (gel formulation) was administered on Day 0 by intratympanic injection under local anesthesia of the tympanic membrane.

Patients came back for 3 further follow-up visits on Days 3, 7, 30, and 90.

Glass vials containing 0.7 mL of the gel formulation were provided for each treatment visit of which 0.25 mL were used for treatment.

|  |                   |
|--|-------------------|
| Arm type                               | Placebo           |
| Investigational medicinal product name | Placebo           |
| Investigational medicinal product code |                   |
| Other name                             |                   |
| Pharmaceutical forms                   | Gel for injection |
| Routes of administration               | Intratympanic use |

Dosage and administration details:

0.25 mL of gel without active were injected. In case of bilateral AAT, only the worse affected ear was treated.

| <b>Number of subjects in period 1</b> | AM-111 0.4 mg/mL | AM-111 2.0 mg/mL | Placebo pooled |
|---------------------------------------|------------------|------------------|----------------|
| Started                               | 68               | 70               | 72             |
| Completed                             | 61               | 62               | 66             |
| Not completed                         | 7                | 8                | 6              |
| Refused/Unable to attend visit(s)     | 5                | 2                | 3              |
| Consent withdrawn by subject          | -                | 5                | 1              |
| Reason unknown                        | 2                | 1                | -              |
| Change of Residence                   | -                | -                | 1              |
| Lost to follow-up                     | -                | -                | 1              |

## Baseline characteristics

### Reporting groups

|                       |                  |
|-----------------------|------------------|
| Reporting group title | AM-111 0.4 mg/mL |
|-----------------------|------------------|

Reporting group description:

Study drug (gel formulation) was administered on Day 0 by intratympanic injection under local anesthesia of the tympanic membrane.

Patients came back for 3 further follow-up visits on Days 3, 7, 30, and 90.

Glass vials containing 0.7 mL of the gel formulation were provided for each treatment visit of which 0.25 mL were used for treatment.

|                       |                  |
|-----------------------|------------------|
| Reporting group title | AM-111 2.0 mg/mL |
|-----------------------|------------------|

Reporting group description:

Study drug (gel formulation) was administered on Day 0 by intratympanic injection under local anesthesia of the tympanic membrane.

Patients came back for 3 further follow-up visits on Days 3, 7, 30, and 90.

Glass vials containing 0.7 mL of the gel formulation were provided for each treatment visit of which 0.25 mL were used for treatment.

|                       |                |
|-----------------------|----------------|
| Reporting group title | Placebo pooled |
|-----------------------|----------------|

Reporting group description:

The study consisted of 2 dose cohorts each randomised individually against placebo in a 2:1 ratio. In the results presentation the 2 placebo groups from the 2 cohorts were pooled and are presented as 1 pooled placebo group.

Study drug (gel formulation) was administered on Day 0 by intratympanic injection under local anesthesia of the tympanic membrane.

Patients came back for 3 further follow-up visits on Days 3, 7, 30, and 90.

Glass vials containing 0.7 mL of the gel formulation were provided for each treatment visit of which 0.25 mL were used for treatment.

| Reporting group values                             | AM-111 0.4 mg/mL | AM-111 2.0 mg/mL | Placebo pooled |
|--|------------------|------------------|----------------|
| Number of subjects                                 | 68               | 70               | 72             |
| Age categorical                                    |                  |                  |                |
| Units: Subjects                                    |                  |                  |                |
| In utero   | 0                | 0                | 0              |
| Preterm newborn infants (gestational age < 37 wks) | 0                | 0                | 0              |
| Newborns (0-27 days)                               | 0                | 0                | 0              |
| Infants and toddlers (28 days-23 months)           | 0                | 0                | 0              |
| Children (2-11 years)                              | 0                | 0                | 0              |
| Adolescents (12-17 years)                          | 0                | 0                | 0              |
| Adults (18-64 years)                               | 68               | 70               | 72             |
| From 65-84 years                                   | 0                | 0                | 0              |
| 85 years and over                                  | 0                | 0                | 0              |
| Age continuous                                     |                  |                  |                |
| Units: years                                       |                  |                  |                |
| arithmetic mean                                    | 41.1             | 43.9             | 41.7           |
| standard deviation                                 | ± 10.9           | ± 10.9           | ± 11.8         |
| Gender categorical                                 |                  |                  |                |
| Units: Subjects                                    |                  |                  |                |
| Female   | 24               | 30               | 28             |
| Male   | 44               | 40               | 44             |

|                        |       |  |  |
|------------------------|-------|--|--|
| Reporting group values | Total |  |  |
|------------------------|-------|--|--|

|   |     |  |  |
|---|-----|--|--|
| Number of subjects                                    | 210 |  |  |
| Age categorical                                       |     |  |  |
| Units: Subjects                                       |     |  |  |
| In utero  | 0   |  |  |
| Preterm newborn infants<br>(gestational age < 37 wks) | 0   |  |  |
| Newborns (0-27 days)                                  | 0   |  |  |
| Infants and toddlers (28 days-23<br>months)           | 0   |  |  |
| Children (2-11 years)                                 | 0   |  |  |
| Adolescents (12-17 years)                             | 0   |  |  |
| Adults (18-64 years)                                  | 210 |  |  |
| From 65-84 years                                      | 0   |  |  |
| 85 years and over                                     | 0   |  |  |
| Age continuous  |     |  |  |
| Units: years  |     |  |  |
| arithmetic mean                                       |     |  |  |
| standard deviation                                    | -   |  |  |
| Gender categorical                                    |     |  |  |
| Units: Subjects                                       |     |  |  |
| Female  | 82  |  |  |
| Male  | 128 |  |  |

## End points

### End points reporting groups

|   |                  |
|---|------------------|
| Reporting group title   | AM-111 0.4 mg/mL |
| Reporting group description:<br>Study drug (gel formulation) was administered on Day 0 by intratympanic injection under local anesthesia of the tympanic membrane.<br>Patients came back for 3 further follow-up visits on Days 3, 7, 30, and 90.<br>Glass vials containing 0.7 mL of the gel formulation were provided for each treatment visit of which 0.25 mL were used for treatment.  |                  |
| Reporting group title   | AM-111 2.0 mg/mL |
| Reporting group description:<br>Study drug (gel formulation) was administered on Day 0 by intratympanic injection under local anesthesia of the tympanic membrane.<br>Patients came back for 3 further follow-up visits on Days 3, 7, 30, and 90.<br>Glass vials containing 0.7 mL of the gel formulation were provided for each treatment visit of which 0.25 mL were used for treatment.  |                  |
| Reporting group title   | Placebo pooled   |
| Reporting group description:<br>The study consisted of 2 dose cohorts each randomised individually against placebo in a 2:1 ratio. In the results presentation the 2 placebo groups from the 2 cohorts were pooled and are presented as 1 pooled placebo group.<br><br>Study drug (gel formulation) was administered on Day 0 by intratympanic injection under local anesthesia of the tympanic membrane.<br>Patients came back for 3 further follow-up visits on Days 3, 7, 30, and 90.<br>Glass vials containing 0.7 mL of the gel formulation were provided for each treatment visit of which 0.25 mL were used for treatment. |                  |

### Primary: Absolute improvement of pure tone average (PTA)

|   |   |
|---|---|
| End point title   | Absolute improvement of pure tone average (PTA) |
| End point description:<br>The absolute improvement of PTA given in dB between Day 0 and Day 7 based on the average of the three most affected contiguous frequencies. |   |
| End point type  | Primary   |
| End point timeframe:<br>Day 0 to Day 7.   |   |

| End point values                     | AM-111 0.4 mg/mL | AM-111 2.0 mg/mL | Placebo pooled  |  |
|--------------------------------------|------------------|------------------|-----------------|--|
| Subject group type                   | Reporting group  | Reporting group  | Reporting group |  |
| Number of subjects analysed          | 62               | 64               | 71              |  |
| Units: dB                            |                  |                  |                 |  |
| arithmetic mean (standard deviation) | 28.1 (± 17.7)    | 27.7 (± 15.6)    | 24 (± 16)       |  |

## Statistical analyses



|  |  |
|--|--|
| <b>Statistical analysis title</b>                                  | Absolute Change in PTA (dB) from baseline to Day 7 |
| Statistical analysis description:                                  |  |
| Global comparison with analysis set "valid for efficacy" was used. |  |
| Comparison groups  | Placebo pooled v AM-111 2.0 mg/mL                  |
| Number of subjects included in analysis                            | 135  |
| Analysis specification   | Pre-specified                                      |
| Analysis type  | superiority  |
| P-value  | = 0.611  |
| Method   | ANCOVA   |
| Parameter estimate   | Mean difference (final values)                     |

|  |  |
|--|--|
| <b>Statistical analysis title</b>                                  | Absolute Change in PTA (dB) from baseline to Day 7 |
| Statistical analysis description:                                  |  |
| Global comparison with analysis set "valid for efficacy" was used. |  |
| Comparison groups  | AM-111 0.4 mg/mL v Placebo pooled                  |
| Number of subjects included in analysis                            | 133  |
| Analysis specification   | Pre-specified                                      |
| Analysis type  | superiority  |
| P-value  | = 0.203  |
| Method   | ANCOVA   |
| Parameter estimate   | Mean difference (final values)                     |

### Primary: Co-primary: Relative Change in PTA (%)

|                                       |  |
|---------------------------------------|--|
| End point title                       | Co-primary: Relative Change in PTA (%) |
| End point description:                |  |
| Relative changes from baseline in (%) |  |
| End point type                        | Primary                                |
| End point timeframe:                  |  |
| Day 0 to Day 7                        |  |

|                                      |                  |                  |                 |  |
|--------------------------------------|------------------|------------------|-----------------|--|
| <b>End point values</b>              | AM-111 0.4 mg/mL | AM-111 2.0 mg/mL | Placebo pooled  |  |
| Subject group type                   | Reporting group  | Reporting group  | Reporting group |  |
| Number of subjects analysed          | 62               | 64               | 71              |  |
| Units: percentage                    |                  |                  |                 |  |
| arithmetic mean (standard deviation) | 61.1 (± 37.5)    | 49.6 (± 44.5)    | 57.6 (± 40.3)   |  |

### Statistical analyses

|                                   |  |
|-----------------------------------|--|
| <b>Statistical analysis title</b> | Relative Change Placebo pooled vs AM-111 2.0 mg/mL |
|-----------------------------------|--|

Statistical analysis description:

Valid for efficacy analysis set was used.

|   |                                   |
|---|-----------------------------------|
| Comparison groups                       | AM-111 2.0 mg/mL v Placebo pooled |
| Number of subjects included in analysis | 135                               |
| Analysis specification                  | Pre-specified                     |
| Analysis type                           | superiority                       |
| P-value                                 | = 0.12                            |
| Method                                  | ANCOVA                            |

#### Statistical analysis title

Relative Change Placebo pooled vs AM-111 0.4 mg/mL

Statistical analysis description:

Valid for efficacy analysis set was used.

|   |                                   |
|---|-----------------------------------|
| Comparison groups                       | AM-111 0.4 mg/mL v Placebo pooled |
| Number of subjects included in analysis | 133                               |
| Analysis specification                  | Pre-specified                     |
| Analysis type                           | superiority                       |
| P-value                                 | = 0.5                             |
| Method                                  | ANCOVA                            |

#### Primary: Co-primary: Fequency complete recovery

End point title Co-primary: Fequency complete recovery

End point description:

Subjects with complete recovery were counted.

|                      |                |
|----------------------|----------------|
| End point type       | Primary        |
| End point timeframe: | Day 0 to Day 7 |

| End point values            | AM-111 0.4 mg/mL | AM-111 2.0 mg/mL | Placebo pooled  |  |
|-----------------------------|------------------|------------------|-----------------|--|
| Subject group type          | Reporting group  | Reporting group  | Reporting group |  |
| Number of subjects analysed | 62               | 64               | 71              |  |
| Units: subjects             |                  |                  |                 |  |
| Complete recovery           | 27               | 24               | 33              |  |
| without complete recovery   | 35               | 40               | 38              |  |

#### Statistical analyses

##### Statistical analysis title

Complete recovery rate

Statistical analysis description:

Valid for Efficacy analysis set was used.

|                   |                                   |
|-------------------|-----------------------------------|
| Comparison groups | AM-111 2.0 mg/mL v Placebo pooled |
|-------------------|-----------------------------------|

|   |                      |
|---|----------------------|
| Number of subjects included in analysis | 135                  |
| Analysis specification                  | Pre-specified        |
| Analysis type                           | superiority          |
| P-value                                 | = 0.3                |
| Method                                  | Regression, Logistic |

|  |                                   |
|--|-----------------------------------|
| <b>Statistical analysis title</b>  | Complete recovery rate            |
| Statistical analysis description:<br>Valid for Efficacy analysis set was used. |                                   |
| Comparison groups  | Placebo pooled v AM-111 0.4 mg/mL |
| Number of subjects included in analysis  | 133                               |
| Analysis specification   | Pre-specified                     |
| Analysis type  | superiority                       |
| P-value  | = 0.55                            |
| Method   | Regression, Logistic              |

### **Primary: Safety: Frequency of patients with clinically significant hearing loss in the treated ear**

|   |   |
|---|---|
| End point title   | Safety: Frequency of patients with clinically significant hearing loss in the treated ear |
| End point description:<br>The primary safety endpoint was defined as the number of subjects with clinically significant hearing loss, defined as deterioration of hearing thresholds of $\geq 10$ dB at the average of any 3 contiguous test frequencies, in the treated ear from baseline to Day 7.<br>Analysis performed on Valid for Safety group. |   |
| End point type  | Primary   |
| End point timeframe:<br>Day 0 to Day 7  |   |

| <b>End point values</b>     | AM-111 0.4 mg/mL  | AM-111 2.0 mg/mL | Placebo pooled  |  |
|-----------------------------|-------------------|------------------|-----------------|--|
| Subject group type          | Reporting group   | Reporting group  | Reporting group |  |
| Number of subjects analysed | 62 <sup>[1]</sup> | 68               | 67              |  |
| Units: number patients      | 4                 | 5                | 5               |  |

Notes:

[1] - number of patients with significant clinically hearing loss is shown, same for all reporting groups

### **Statistical analyses**

|  |  |
|--|--|
| <b>Statistical analysis title</b>  | Comparison - frequency of significant hearing Loss |
| Statistical analysis description:<br>Comparison of subjects with clinically significant hearing loss in the treated ear.<br>Only within-cohort data were used.<br>Valid for Safety data set is used. |  |
| Comparison groups  | Placebo pooled v AM-111 2.0 mg/mL                  |

|   |               |
|---|---------------|
| Number of subjects included in analysis | 135           |
| Analysis specification                  | Pre-specified |
| Analysis type                           | superiority   |
| P-value                                 | = 1           |
| Method                                  | Fisher exact  |

|                                   |  |
|-----------------------------------|--|
| <b>Statistical analysis title</b> | Comparison - frequency of significant hearing Loss |
|-----------------------------------|--|

Statistical analysis description:

Comparison of subjects with clinically significant hearing loss in the treated ear.

Only within-cohort data were used.

Valid for Safety data set is used.

|   |                                   |
|---|-----------------------------------|
| Comparison groups                       | Placebo pooled v AM-111 0.4 mg/mL |
| Number of subjects included in analysis | 129                               |
| Analysis specification                  | Pre-specified                     |
| Analysis type                           | superiority                       |
| P-value                                 | = 0.69                            |
| Method                                  | Fisher exact                      |

#### Other pre-specified: Improvement in Speech Discrimination Score (SDS) (all)

|                 |  |
|-----------------|--|
| End point title | Improvement in Speech Discrimination Score (SDS) (all) |
|-----------------|--|

End point description:

Average improvement in SDS between baseline and Day 7. Words were presented at 80 dB stimulus level.

|                |                     |
|----------------|---------------------|
| End point type | Other pre-specified |
|----------------|---------------------|

End point timeframe:

Day 0 to Day 7

| End point values                                | AM-111 0.4 mg/mL | AM-111 2.0 mg/mL | Placebo pooled  |  |
|---|------------------|------------------|-----------------|--|
| Subject group type                              | Reporting group  | Reporting group  | Reporting group |  |
| Number of subjects analysed                     | 62               | 64               | 69              |  |
| Units: percent of correctly discriminated words |                  |                  |                 |  |
| arithmetic mean (standard deviation)            | 18.8 (± 29.6)    | 12.5 (± 23.4)    | 8 (± 20.7)      |  |

#### Statistical analyses

|                                   |                                   |
|-----------------------------------|-----------------------------------|
| <b>Statistical analysis title</b> | Absolute Change in SDS at 80 dB   |
| Comparison groups                 | Placebo pooled v AM-111 2.0 mg/mL |

|   |               |
|---|---------------|
| Number of subjects included in analysis | 133           |
| Analysis specification                  | Pre-specified |
| Analysis type                           | superiority   |
| P-value                                 | = 0.15        |
| Method                                  | ANCOVA        |

|   |                                   |
|---|-----------------------------------|
| <b>Statistical analysis title</b>       | Absolute Change in SDS at 80 dB   |
| Comparison groups                       | Placebo pooled v AM-111 0.4 mg/mL |
| Number of subjects included in analysis | 131                               |
| Analysis specification                  | Pre-specified                     |
| Analysis type                           | superiority                       |
| P-value                                 | = 0.028                           |
| Method                                  | ANCOVA                            |

**Post-hoc: Subgroup analysis: Absolute improvement of pure tone average (PTA) - severe to profound hearing loss**

|                 |  |
|-----------------|--|
| End point title | Subgroup analysis: Absolute improvement of pure tone average (PTA) - severe to profound hearing loss |
|-----------------|--|

End point description:

Due to unexpected high spontaneous recovery in mild to moderate hearing loss cases, an additional analysis on the subgroup with severe to profound hearing loss was performed.

|                |          |
|----------------|----------|
| End point type | Post-hoc |
|----------------|----------|

End point timeframe:

Day 0 - Day 7

| <b>End point values</b>              | AM-111 0.4 mg/mL | AM-111 2.0 mg/mL | Placebo pooled  |  |
|--------------------------------------|------------------|------------------|-----------------|--|
| Subject group type                   | Reporting group  | Reporting group  | Reporting group |  |
| Number of subjects analysed          | 29               | 33               | 30              |  |
| Units: dB                            |                  |                  |                 |  |
| arithmetic mean (standard deviation) | 28.6 (± 22.6)    | 24.6 (± 20.7)    | 17.2 (± 18.3)   |  |

**Statistical analyses**

|                                   |   |
|-----------------------------------|---|
| <b>Statistical analysis title</b> | Absolute Change in PTA in profound to severe HL |
|-----------------------------------|---|

Statistical analysis description:

Subgroup analysis in patients with profound to severe hearing loss on Valid for Efficacy analysis set.

|                   |                                   |
|-------------------|-----------------------------------|
| Comparison groups | Placebo pooled v AM-111 0.4 mg/mL |
|-------------------|-----------------------------------|

|   |             |
|---|-------------|
| Number of subjects included in analysis | 59          |
| Analysis specification                  | Post-hoc    |
| Analysis type                           | superiority |
| P-value                                 | = 0.017     |
| Method                                  | ANCOVA      |

|  |   |
|--|---|
| <b>Statistical analysis title</b>  | Absolute Change in PTA in profound to severe HL |
| Statistical analysis description:  |   |
| Subgroup analysis in patients with profound to severe hearing loss on Valid for Efficacy analysis set. |   |
| Comparison groups  | AM-111 2.0 mg/mL v Placebo pooled               |
| Number of subjects included in analysis  | 63  |
| Analysis specification   | Post-hoc  |
| Analysis type  | superiority                                     |
| P-value  | = 0.32  |
| Method   | ANCOVA  |

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

From baseline to end of study at all visits.

Adverse event reporting additional description:

The occurrence of a treatment emergent adverse event in the same subject more than once was counted only once for non-serious adverse events.

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

|                    |      |
|--------------------|------|
| Dictionary version | 15.1 |
|--------------------|------|

### Reporting groups

|                       |                  |
|-----------------------|------------------|
| Reporting group title | AM-111 0.4 mg/mL |
|-----------------------|------------------|

Reporting group description: -

|                       |                  |
|-----------------------|------------------|
| Reporting group title | AM-111 2.0 mg/mL |
|-----------------------|------------------|

Reporting group description: -

|                       |                |
|-----------------------|----------------|
| Reporting group title | Placebo pooled |
|-----------------------|----------------|

Reporting group description: -

| Serious adverse events                            | AM-111 0.4 mg/mL | AM-111 2.0 mg/mL | Placebo pooled |
|---|------------------|------------------|----------------|
| Total subjects affected by serious adverse events |                  |                  |                |
| subjects affected / exposed                       | 4 / 68 (5.88%)   | 3 / 70 (4.29%)   | 2 / 72 (2.78%) |
| number of deaths (all causes)                     | 0                | 0                | 0              |
| number of deaths resulting from adverse events    | 0                | 0                | 0              |
| Nervous system disorders                          |                  |                  |                |
| Neurosurgery                                      |                  |                  |                |
| subjects affected / exposed                       | 0 / 68 (0.00%)   | 1 / 70 (1.43%)   | 0 / 72 (0.00%) |
| occurrences causally related to treatment / all   | 0 / 0            | 0 / 1            | 0 / 0          |
| deaths causally related to treatment / all        | 0 / 0            | 0 / 0            | 0 / 0          |
| Ear and labyrinth disorders                       |                  |                  |                |
| Deafness neurosensory                             |                  |                  |                |
| subjects affected / exposed                       | 3 / 68 (4.41%)   | 1 / 70 (1.43%)   | 2 / 72 (2.78%) |
| occurrences causally related to treatment / all   | 0 / 3            | 1 / 1            | 0 / 2          |
| deaths causally related to treatment / all        | 0 / 0            | 0 / 0            | 0 / 0          |
| Reproductive system and breast disorders          |                  |                  |                |
| Abortion spontaneous                              |                  |                  |                |

|   |                |                |                |
|---|----------------|----------------|----------------|
| subjects affected / exposed                     | 0 / 68 (0.00%) | 1 / 70 (1.43%) | 0 / 72 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0          | 0 / 1          | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0          | 0 / 0          | 0 / 0          |
| Musculoskeletal and connective tissue disorders |                |                |                |
| Intervertebral disc disorder                    |                |                |                |
| subjects affected / exposed                     | 1 / 68 (1.47%) | 0 / 70 (0.00%) | 0 / 72 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1          | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0          | 0 / 0          | 0 / 0          |

Frequency threshold for reporting non-serious adverse events: 2 %

| <b>Non-serious adverse events</b>                     | AM-111 0.4 mg/mL | AM-111 2.0 mg/mL | Placebo pooled   |
|---|------------------|------------------|------------------|
| Total subjects affected by non-serious adverse events |                  |                  |                  |
| subjects affected / exposed                           | 25 / 68 (36.76%) | 27 / 70 (38.57%) | 27 / 72 (37.50%) |
| Injury, poisoning and procedural complications        |                  |                  |                  |
| Incision site complications                           |                  |                  |                  |
| subjects affected / exposed                           | 2 / 68 (2.94%)   | 2 / 70 (2.86%)   | 3 / 72 (4.17%)   |
| occurrences (all)                                     | 2                | 2                | 3                |
| Vascular disorders                                    |                  |                  |                  |
| Hypertension  |                  |                  |                  |
| subjects affected / exposed                           | 2 / 68 (2.94%)   | 0 / 70 (0.00%)   | 2 / 72 (2.78%)   |
| occurrences (all)                                     | 2                | 0                | 2                |
| Nervous system disorders                              |                  |                  |                  |
| Headache  |                  |                  |                  |
| subjects affected / exposed                           | 0 / 68 (0.00%)   | 0 / 70 (0.00%)   | 2 / 72 (2.78%)   |
| occurrences (all)                                     | 0                | 0                | 2                |
| Ear and labyrinth disorders                           |                  |                  |                  |
| Hearing impaired                                      |                  |                  |                  |
| subjects affected / exposed                           | 15 / 68 (22.06%) | 15 / 70 (21.43%) | 14 / 72 (19.44%) |
| occurrences (all)                                     | 15               | 15               | 14               |
| Tinnitus  |                  |                  |                  |
| subjects affected / exposed                           | 7 / 68 (10.29%)  | 7 / 70 (10.00%)  | 6 / 72 (8.33%)   |
| occurrences (all)                                     | 7                | 7                | 6                |
| Ear Pain  |                  |                  |                  |



|  |                     |                     |                     |
|--|---------------------|---------------------|---------------------|
| subjects affected / exposed<br>occurrences (all)   | 1 / 68 (1.47%)<br>1 | 2 / 70 (2.86%)<br>2 | 1 / 72 (1.39%)<br>1 |
| Ear Discomfort<br>subjects affected / exposed<br>occurrences (all)   | 2 / 68 (2.94%)<br>2 | 0 / 70 (0.00%)<br>0 | 1 / 72 (1.39%)<br>1 |
| Vertigo<br>subjects affected / exposed<br>occurrences (all)  | 0 / 68 (0.00%)<br>0 | 1 / 70 (1.43%)<br>1 | 2 / 72 (2.78%)<br>2 |
| Gastrointestinal disorders<br>Nausea<br>subjects affected / exposed<br>occurrences (all)                         | 0 / 68 (0.00%)<br>0 | 2 / 70 (2.86%)<br>2 | 0 / 72 (0.00%)<br>0 |
| Musculoskeletal and connective tissue disorders<br>Back pain<br>subjects affected / exposed<br>occurrences (all) | 0 / 68 (0.00%)<br>0 | 2 / 70 (2.86%)<br>2 | 0 / 72 (0.00%)<br>0 |
| Infections and infestations<br>Rhinitis<br>subjects affected / exposed<br>occurrences (all)                      | 2 / 68 (2.94%)<br>2 | 1 / 70 (1.43%)<br>1 | 1 / 72 (1.39%)<br>1 |
| Influenza<br>subjects affected / exposed<br>occurrences (all)  | 0 / 68 (0.00%)<br>0 | 2 / 70 (2.86%)<br>2 | 1 / 72 (1.39%)<br>1 |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date             | Amendment  |
|------------------|--|
| 11 May 2009      | Modification of inclusion criteria: <ul style="list-style-type: none"><li>- Average hearing loss of at least 30 dB in the 3 most affected contiguous frequencies instead of <math>\geq 30</math> dB at each of the 3 frequencies.</li><li>- Option to determine the hearing loss against the age and gender adjusted ISO tables and no longer only against the contralateral ear or against a pre-existing audiogram.</li><li>- Inclusion of bilateral hearing loss resulting from noise trauma.</li></ul> Modification of exclusion criteria: <ul style="list-style-type: none"><li>- Requirement of pre-existing audiogram to document history of asymmetric hearing before ASNHL dropped.</li></ul> |
| 03 November 2010 | <ul style="list-style-type: none"><li>- Change of concentration for second cohort from 6.0 to 0.4 mg/mL; correspondingly waiver of safety and tolerability review prior to start of second cohort (no patient was dosed with 6.0 mg/mL).</li><li>- Requirement for ABR measurements prior to study inclusion added to help diagnose retrocochlear lesions.</li><li>- Clarification of conditions for prednisolone reserve therapy.</li><li>- Comprehensive revision of the statistics section, including: Definition of statistical analysis sets; Imputation of missing values; Endpoint model; Test hypotheses; Adjustment for multiple testing of efficacy endpoints.</li></ul>                     |

Notes:

### Interruptions (globally)

Were there any global interruptions to the trial? Yes

| Date            | Interruption  | Restart date     |
|-----------------|---|------------------|
| 30 October 2009 | Observation of an impurity in stability testing. Batches were replaced. | 02 December 2009 |

Notes:

### Limitations and caveats

None reported