



Clinical trial results:

A Phase 2 Randomized Open Label Study of Neratinib versus Lapatinib plus Capecitabine for the Treatment of ErbB-2 Positive Locally Advanced or Metastatic Breast Cancer

Summary

| | |
|--------------------------|--|
| EudraCT number | 2008-005425-11 |
| Trial protocol | HU SI BE DE ES CZ IT GR FR AT GB BG NL |
| Global end of trial date | 20 June 2018 |

Results information

| | |
|-----------------------------------|---|
| Result version number | v2 (current) |
| This version publication date | 06 July 2019 |
| First version publication date | 25 December 2016 |
| Version creation reason | • New data added to full data set Update to reflect final study close out. |
| Summary attachment (see zip file) | 3144A2-3003 PDS (3144A2-3003 (B1891003) Public Disclosure Synopsis .doc.pdf) |

Trial information

Trial identification

| | |
|-----------------------|----------------|
| Sponsor protocol code | 3144A2-3003-WW |
|-----------------------|----------------|

Additional study identifiers

| | |
|------------------------------------|-------------|
| ISRCTN number | - |
| ClinicalTrials.gov id (NCT number) | NCT00777101 |
| WHO universal trial number (UTN) | - |

Notes:

Sponsors

| | |
|------------------------------|---|
| Sponsor organisation name | Puma Biotechnology, Inc. |
| Sponsor organisation address | 10880 Wilshire Blvd, Suite 2150, Los Angeles, United States, 90024 |
| Public contact | Sr. Director, Clinical Operations, Puma Biotechnology, Inc., 1 4242486500, clinicaltrials@pumabiotechnology.com |
| Scientific contact | Sr. Director, Clinical Operations, Puma Biotechnology, Inc., 1 4242486500, clinicaltrials@pumabiotechnology.com |

Notes:

Paediatric regulatory details

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|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP) | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

Results analysis stage

| | |
|--|--------------|
| Analysis stage | Final |
| Date of interim/final analysis | 20 June 2018 |
| Is this the analysis of the primary completion data? | No |
| Global end of trial reached? | Yes |
| Global end of trial date | 20 June 2018 |
| Was the trial ended prematurely? | No |

Notes:

General information about the trial

Main objective of the trial:

Compare the investigator assessed progression-free survival (PFS) following treatment with single agent neratinib versus lapatinib plus capecitabine in subjects with erbB2 positive locally advanced or metastatic breast cancer.

Protection of trial subjects:

This study was designed and monitored in accordance with Sponsor procedures, which comply with the ethical principles of the International Council for Harmonisation (ICH) Good Clinical Practice (GCP), including the Declaration of Helsinki and the applicable laws and regulations. The protocol, the investigator's brochure (IB), and the informed consent form (ICF) for this clinical study were submitted to an institutional review board (IRB) or an independent ethics committee (IEC) for review and written approval. Any subsequent amendments to the protocol or any revisions to the ICF were submitted for IRB or IEC review and written approval. This study was conducted in accordance with the International Conference on Harmonisation (ICH) Guideline for Good Clinical Practice (GCP) and the ethical principles that have their origins in the Declaration of Helsinki. All investigators have provided written commitments to comply with GCP standards and the protocol. Clinical trial data were monitored at regular intervals by the Sponsor or their representative throughout the study to verify compliance to study protocol, completeness, accuracy and consistency of the data and adherence to local regulations on the conduct of clinical research. Participants were discontinued from active treatment if any of the following occurred: documented disease progression, adverse event (AE), symptomatic deterioration, subject request, investigator request, protocol violation, discontinuation of the study by the sponsor, lost to follow up, or death.

Background therapy: -

Evidence for comparator: -

| | |
|---|------------------|
| Actual start date of recruitment | 04 February 2009 |
| Long term follow-up planned | No |
| Independent data monitoring committee (IDMC) involvement? | No |

Notes:

Population of trial subjects

Subjects enrolled per country

| | |
|--------------------------------------|-------------------|
| Country: Number of subjects enrolled | Belgium: 2 |
| Country: Number of subjects enrolled | Bulgaria: 5 |
| Country: Number of subjects enrolled | Switzerland: 5 |
| Country: Number of subjects enrolled | Czech Republic: 2 |
| Country: Number of subjects enrolled | Germany: 3 |
| Country: Number of subjects enrolled | Spain: 11 |
| Country: Number of subjects enrolled | Australia: 5 |
| Country: Number of subjects enrolled | France: 4 |
| Country: Number of subjects enrolled | United Kingdom: 2 |
| Country: Number of subjects enrolled | Greece: 1 |

| | |
|--------------------------------------|------------------------|
| Country: Number of subjects enrolled | Hong Kong: 5 |
| Country: Number of subjects enrolled | Croatia: 3 |
| Country: Number of subjects enrolled | Hungary: 16 |
| Country: Number of subjects enrolled | Italy: 2 |
| Country: Number of subjects enrolled | Jordan: 2 |
| Country: Number of subjects enrolled | Japan: 40 |
| Country: Number of subjects enrolled | Korea, Republic of: 25 |
| Country: Number of subjects enrolled | Mexico: 2 |
| Country: Number of subjects enrolled | Poland: 8 |
| Country: Number of subjects enrolled | Romania: 5 |
| Country: Number of subjects enrolled | Russian Federation: 20 |
| Country: Number of subjects enrolled | Singapore: 1 |
| Country: Number of subjects enrolled | Serbia: 3 |
| Country: Number of subjects enrolled | Slovenia: 3 |
| Country: Number of subjects enrolled | Thailand: 2 |
| Country: Number of subjects enrolled | Taiwan: 5 |
| Country: Number of subjects enrolled | United States: 46 |
| Country: Number of subjects enrolled | South Africa: 5 |
| Worldwide total number of subjects | 233 |
| EEA total number of subjects | 67 |

Notes:

Subjects enrolled per age group

| | |
|---|-----|
| In utero | 0 |
| Preterm newborn - gestational age < 37 wk | 0 |
| Newborns (0-27 days) | 0 |
| Infants and toddlers (28 days-23 months) | 0 |
| Children (2-11 years) | 0 |
| Adolescents (12-17 years) | 0 |
| Adults (18-64 years) | 198 |
| From 65 to 84 years | 35 |
| 85 years and over | 0 |

Subject disposition

Recruitment

Recruitment details: -

Pre-assignment

Screening details:

Subjects were to have met all inclusion and exclusion criteria as described in the protocol before any study procedures were undertaken.

Period 1

| | |
|------------------------------|-----------------------------------|
| Period 1 title | Treatment Period (overall period) |
| Is this the baseline period? | Yes |
| Allocation method | Randomised - controlled |
| Blinding used | Not blinded |

Arms

| | |
|------------------------------|-----------|
| Are arms mutually exclusive? | Yes |
| Arm title | Neratinib |

Arm description:

Neratinib 240 mg qd.

| | |
|--|--------------|
| Arm type | Experimental |
| Investigational medicinal product name | Neratinib |
| Investigational medicinal product code | |
| Other name | |
| Pharmaceutical forms | Tablet |
| Routes of administration | Oral use |

Dosage and administration details:

Neratinib: six 40 mg tablets (total dose 240 mg) orally, once daily with food, preferably in the morning, continuously

| | |
|------------------|--------------------------|
| Arm title | Lapatinib + Capecitabine |
|------------------|--------------------------|

Arm description:

Lapatinib 1250 mg qd + Capecitabine 2000 mg/m² qd.

| | |
|--|-------------------|
| Arm type | Active comparator |
| Investigational medicinal product name | Lapatinib |
| Investigational medicinal product code | |
| Other name | |
| Pharmaceutical forms | Tablet |
| Routes of administration | Oral use |

Dosage and administration details:

Five 250 mg tablets (total dose 1250 mg) orally, once daily, 1 hour before or after breakfast, continuously

| | |
|--|--------------|
| Investigational medicinal product name | Capecitabine |
| Investigational medicinal product code | |
| Other name | |
| Pharmaceutical forms | Tablet |
| Routes of administration | Oral use |

Dosage and administration details:

Capecitabine: 150 mg or 500 mg tablets, for total of 2000 mg/m² in 2 evenly divided doses orally with water within 30 minutes after a meal. Dose was taken daily for Days 1 to 14 of a 21 day cycle

| Number of subjects in period 1 | Neratinb | Lapatinib + Capecitabine |
|---------------------------------------|----------|-----------------------------|
| Started | 117 | 116 |
| Completed | 0 | 0 |
| Not completed | 117 | 116 |
| Consent withdrawn by subject | 17 | 8 |
| Death | 61 | 57 |
| Study terminated by sponsor | 37 | 48 |
| Lost to follow-up | 2 | 3 |

Baseline characteristics

Reporting groups

| | |
|-----------------------|------------------|
| Reporting group title | Treatment Period |
|-----------------------|------------------|

Reporting group description: -

| Reporting group values | Treatment Period | Total | |
|------------------------|------------------|-------|--|
| Number of subjects | 233 | 233 | |
| Age categorical | | | |
| Units: Subjects | | | |
| Adults (18-64 years) | 198 | 198 | |
| From 65-84 years | 35 | 35 | |
| Age continuous | | | |
| Units: years | | | |
| arithmetic mean | 53.8 | | |
| standard deviation | ± 10.3 | - | |
| Gender categorical | | | |
| Units: Subjects | | | |
| Female | 233 | 233 | |

End points

End points reporting groups

| | |
|--|--------------------------|
| Reporting group title | Neratinb |
| Reporting group description: Neratinib 240 mg qd. | |
| Reporting group title | Lapatinib + Capecitabine |
| Reporting group description: Lapatinib 1250 mg qd + Capecitabine 2000 mg/m2 qd. | |

Primary: Progression Free Survival

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|---|---------------------------|
| End point title | Progression Free Survival |
| End point description: The primary endpoint was PFS, which was defined as the time interval from the date of randomization until the earliest date of progression (per RECIST) or death due to any cause. For subjects without death or progression, censorship was at the last valid tumor assessment. The efficacy analysis was based on the ITT population defined as all subjects randomly assigned in the study. Non-inferiority of neratinib vs lapatinib + capecitabine was to be concluded if the upper limit of the 95% confidence interval (CI) for the hazard ratio was 1.15 or less. | |
| End point type | Primary |
| End point timeframe: From date of randomization to the last tumor assessment, PD, or death. | |

| End point values | Neratinb | Lapatinib + Capecitabine | | |
|----------------------------------|---------------------|--------------------------|--|--|
| Subject group type | Reporting group | Reporting group | | |
| Number of subjects analysed | 117 | 116 | | |
| Units: Months | | | | |
| median (confidence interval 95%) | 4.53 (3.12 to 5.65) | 6.83 (5.85 to 8.21) | | |

Statistical analyses

| | |
|---|---|
| Statistical analysis title | Non inferiority test of Progression Free Survival |
| Comparison groups | Neratinb v Lapatinib + Capecitabine |
| Number of subjects included in analysis | 233 |
| Analysis specification | Pre-specified |
| Analysis type | non-inferiority |
| Parameter estimate | Hazard ratio (HR) |
| Point estimate | 1.19 |
| Confidence interval | |
| level | 95 % |
| sides | 2-sided |
| lower limit | 0.89 |
| upper limit | 1.6 |

Secondary: Overall Response Rate

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|-----------------|-----------------------|
| End point title | Overall Response Rate |
|-----------------|-----------------------|

End point description:

The ORR was defined as the proportion of subjects demonstrating a confirmed objective response (complete response or partial response, per RECIST) during the study.

| | |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

From date of randomization through the last tumor assessment.

| End point values | Neratinb | Lapatinib + Capecitabine | | |
|----------------------------------|------------------------|-----------------------------|--|--|
| Subject group type | Reporting group | Reporting group | | |
| Number of subjects analysed | 117 | 116 | | |
| Units: Percentage of Patients | | | | |
| number (confidence interval 95%) | 29.1 (21.0 to 38.2) | 40.5 (31.5 to 50.2) | | |

Statistical analyses

No statistical analyses for this end point

Adverse events

Adverse events information

Timeframe for reporting adverse events:

1st dose through 28 days after last dose

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|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

Dictionary used

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|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

| | |
|--------------------|------|
| Dictionary version | 17.0 |
|--------------------|------|

Reporting groups

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|-----------------------|-----------|
| Reporting group title | Neratinib |
|-----------------------|-----------|

Reporting group description:

Neratinib 240 mg qd

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|-----------------------|--------------------------|
| Reporting group title | Lapatinib + Capecitabine |
|-----------------------|--------------------------|

Reporting group description:

Lapatinib 1250 mg qd + Capecitabine 2000 mg/m2 qd

| Serious adverse events | Neratinib | Lapatinib + Capecitabine | |
|---|-------------------|--------------------------|--|
| Total subjects affected by serious adverse events | | | |
| subjects affected / exposed | 31 / 116 (26.72%) | 24 / 115 (20.87%) | |
| number of deaths (all causes) | 62 | 58 | |
| number of deaths resulting from adverse events | 8 | 4 | |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) | | | |
| Cervix carcinoma stage 0 | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Metastases to meninges | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Vascular disorders | | | |
| Circulatory collapse | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 1 | 0 / 0 | |
| Deep vein thrombosis | | | |

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|--|-----------------|-----------------|--|
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Hypotension | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Lymphoedema | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Subclavian artery stenosis | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| General disorders and administration site conditions | | | |
| Asthenia | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Chills | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Disease progression | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 1 | 0 / 0 | |
| Fatigue | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 2 / 115 (1.74%) | |
| occurrences causally related to treatment / all | 2 / 2 | 1 / 2 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Pain | | | |

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|---|-----------------|-----------------|--|
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Pyrexia | | | |
| subjects affected / exposed | 2 / 116 (1.72%) | 3 / 115 (2.61%) | |
| occurrences causally related to treatment / all | 0 / 3 | 0 / 3 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Sudden death | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 1 | |
| Respiratory, thoracic and mediastinal disorders | | | |
| Alveolitis | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Dyspnoea | | | |
| subjects affected / exposed | 2 / 116 (1.72%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 2 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Pleural effusion | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 6 / 115 (5.22%) | |
| occurrences causally related to treatment / all | 0 / 2 | 0 / 9 | |
| deaths causally related to treatment / all | 0 / 1 | 0 / 1 | |
| Pulmonary embolism | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Respiratory failure | | | |
| subjects affected / exposed | 4 / 116 (3.45%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 5 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 3 | 0 / 0 | |
| Injury, poisoning and procedural complications | | | |

| | | | |
|---|-----------------|-----------------|--|
| Ankle fracture | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Radius fracture | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Cardiac disorders | | | |
| Acute myocardial infarction | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 1 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Myocardial infarction | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Pericardial effusion | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Nervous system disorders | | | |
| Brain oedema | | | |
| subjects affected / exposed | 2 / 116 (1.72%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 3 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 2 | 0 / 0 | |
| Dizziness | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 2 / 115 (1.74%) | |
| occurrences causally related to treatment / all | 0 / 0 | 1 / 2 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Encephalopathy | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |

| | | | |
|---|-----------------|-----------------|--|
| Headache | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 1 / 1 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Ischaemic stroke | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Presyncope | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Blood and lymphatic system disorders | | | |
| Neutropenia | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 1 / 1 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Thrombocytopenia | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 3 / 3 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Gastrointestinal disorders | | | |
| Abdominal pain | | | |
| subjects affected / exposed | 3 / 116 (2.59%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 3 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Ascites | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Diarrhoea | | | |
| subjects affected / exposed | 3 / 116 (2.59%) | 4 / 115 (3.48%) | |
| occurrences causally related to treatment / all | 4 / 4 | 4 / 4 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |

| | | | |
|---|-----------------|-----------------|--|
| Gingival bleeding | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 1 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Intestinal haemorrhage | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 1 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Nausea | | | |
| subjects affected / exposed | 2 / 116 (1.72%) | 3 / 115 (2.61%) | |
| occurrences causally related to treatment / all | 2 / 2 | 2 / 4 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Stomatitis | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Vomiting | | | |
| subjects affected / exposed | 2 / 116 (1.72%) | 3 / 115 (2.61%) | |
| occurrences causally related to treatment / all | 3 / 4 | 2 / 4 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Hepatobiliary disorders | | | |
| Hepatic failure | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 2 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 1 | |
| Hepatic function abnormal | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 1 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Skin and subcutaneous tissue disorders | | | |
| Nail disorder | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |

| | | | |
|---|-----------------|-----------------|--|
| Skin irritation | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Renal and urinary disorders | | | |
| Renal failure acute | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Musculoskeletal and connective tissue disorders | | | |
| Back pain | | | |
| subjects affected / exposed | 2 / 116 (1.72%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 2 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Flank pain | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Infections and infestations | | | |
| Bronchopneumonia | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 1 / 1 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Cellulitis | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 2 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Device related infection | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Erysipelas | | | |

| | | | |
|---|-----------------|-----------------|--|
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Mastitis | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Pneumonia | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 2 / 115 (1.74%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 2 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Sepsis | | | |
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 2 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Skin infection | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Upper respiratory tract infection | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Urinary tract infection | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Wound sepsis | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 2 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 1 | |
| Metabolism and nutrition disorders | | | |
| Decreased appetite | | | |

| | | | |
|---|-----------------|-----------------|--|
| subjects affected / exposed | 1 / 116 (0.86%) | 0 / 115 (0.00%) | |
| occurrences causally related to treatment / all | 1 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Dehydration | | | |
| subjects affected / exposed | 3 / 116 (2.59%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 5 / 6 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Hypokalaemia | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Hyponatraemia | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Hypovolaemia | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 1 / 115 (0.87%) | |
| occurrences causally related to treatment / all | 0 / 0 | 1 / 1 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |

Frequency threshold for reporting non-serious adverse events: 5 %

| Non-serious adverse events | Neratinib | Lapatinib + Capecitabine | |
|---|--------------------|-----------------------------|--|
| Total subjects affected by non-serious adverse events | | | |
| subjects affected / exposed | 113 / 116 (97.41%) | 114 / 115 (99.13%) | |
| Investigations | | | |
| Alanine aminotransferase increased | | | |
| subjects affected / exposed | 11 / 116 (9.48%) | 15 / 115 (13.04%) | |
| occurrences (all) | 21 | 35 | |
| Aspartate aminotransferase increased | | | |
| subjects affected / exposed | 10 / 116 (8.62%) | 19 / 115 (16.52%) | |
| occurrences (all) | 17 | 37 | |
| Blood alkaline phosphatase increased | | | |

| | | | |
|---|-------------------------|-------------------------|--|
| subjects affected / exposed occurrences (all) | 8 / 116 (6.90%) 10 | 4 / 115 (3.48%) 13 | |
| Blood bilirubin increased subjects affected / exposed occurrences (all) | 0 / 116 (0.00%) 0 | 6 / 115 (5.22%) 23 | |
| Weight decreased subjects affected / exposed occurrences (all) | 15 / 116 (12.93%) 19 | 13 / 115 (11.30%) 13 | |
| Nervous system disorders | | | |
| Dizziness subjects affected / exposed occurrences (all) | 6 / 116 (5.17%) 8 | 13 / 115 (11.30%) 16 | |
| Dysgeusia subjects affected / exposed occurrences (all) | 4 / 116 (3.45%) 5 | 8 / 115 (6.96%) 18 | |
| Headache subjects affected / exposed occurrences (all) | 24 / 116 (20.69%) 47 | 12 / 115 (10.43%) 19 | |
| Peripheral sensory neuropathy subjects affected / exposed occurrences (all) | 5 / 116 (4.31%) 8 | 10 / 115 (8.70%) 14 | |
| Blood and lymphatic system disorders | | | |
| Anaemia subjects affected / exposed occurrences (all) | 9 / 116 (7.76%) 11 | 5 / 115 (4.35%) 7 | |
| Leukopenia subjects affected / exposed occurrences (all) | 5 / 116 (4.31%) 13 | 12 / 115 (10.43%) 34 | |
| Neutropenia subjects affected / exposed occurrences (all) | 5 / 116 (4.31%) 14 | 17 / 115 (14.78%) 57 | |
| General disorders and administration site conditions | | | |
| Asthenia subjects affected / exposed occurrences (all) | 22 / 116 (18.97%) 29 | 13 / 115 (11.30%) 23 | |
| Fatigue | | | |

| | | | |
|-----------------------------|--------------------|-------------------|--|
| subjects affected / exposed | 30 / 116 (25.86%) | 30 / 115 (26.09%) | |
| occurrences (all) | 64 | 46 | |
| Influenza like illness | | | |
| subjects affected / exposed | 4 / 116 (3.45%) | 6 / 115 (5.22%) | |
| occurrences (all) | 6 | 7 | |
| Mucosal inflammation | | | |
| subjects affected / exposed | 6 / 116 (5.17%) | 19 / 115 (16.52%) | |
| occurrences (all) | 12 | 32 | |
| Pain | | | |
| subjects affected / exposed | 7 / 116 (6.03%) | 8 / 115 (6.96%) | |
| occurrences (all) | 10 | 8 | |
| Pyrexia | | | |
| subjects affected / exposed | 6 / 116 (5.17%) | 10 / 115 (8.70%) | |
| occurrences (all) | 9 | 14 | |
| Gastrointestinal disorders | | | |
| Abdominal pain | | | |
| subjects affected / exposed | 11 / 116 (9.48%) | 14 / 115 (12.17%) | |
| occurrences (all) | 78 | 23 | |
| Abdominal pain upper | | | |
| subjects affected / exposed | 8 / 116 (6.90%) | 12 / 115 (10.43%) | |
| occurrences (all) | 12 | 14 | |
| Constipation | | | |
| subjects affected / exposed | 8 / 116 (6.90%) | 12 / 115 (10.43%) | |
| occurrences (all) | 9 | 14 | |
| Diarrhoea | | | |
| subjects affected / exposed | 100 / 116 (86.21%) | 82 / 115 (71.30%) | |
| occurrences (all) | 792 | 341 | |
| Dyspepsia | | | |
| subjects affected / exposed | 13 / 116 (11.21%) | 11 / 115 (9.57%) | |
| occurrences (all) | 14 | 13 | |
| Nausea | | | |
| subjects affected / exposed | 50 / 116 (43.10%) | 48 / 115 (41.74%) | |
| occurrences (all) | 69 | 85 | |
| Stomatitis | | | |
| subjects affected / exposed | 9 / 116 (7.76%) | 28 / 115 (24.35%) | |
| occurrences (all) | 101 | 63 | |

| | | | |
|--|--|--|--|
| Vomiting subjects affected / exposed occurrences (all) | 38 / 116 (32.76%) 83 | 25 / 115 (21.74%) 51 | |
| Hepatobiliary disorders Hyperbilirubinaemia subjects affected / exposed occurrences (all) | 3 / 116 (2.59%) 4 | 27 / 115 (23.48%) 109 | |
| Respiratory, thoracic and mediastinal disorders Cough subjects affected / exposed occurrences (all) Dyspnoea subjects affected / exposed occurrences (all) Oropharyngeal pain subjects affected / exposed occurrences (all) | 17 / 116 (14.66%) 23 9 / 116 (7.76%) 9 7 / 116 (6.03%) 11 | 6 / 115 (5.22%) 7 7 / 115 (6.09%) 10 10 / 115 (8.70%) 10 | |
| Skin and subcutaneous tissue disorders Acne subjects affected / exposed occurrences (all) Alopecia subjects affected / exposed occurrences (all) Dry skin subjects affected / exposed occurrences (all) Nail disorder subjects affected / exposed occurrences (all) Palmar-plantar erythrodysaesthesia syndrome subjects affected / exposed occurrences (all) Pruritus subjects affected / exposed occurrences (all) | 3 / 116 (2.59%) 4 0 / 116 (0.00%) 0 8 / 116 (6.90%) 9 3 / 116 (2.59%) 3 9 / 116 (7.76%) 40 4 / 116 (3.45%) 10 | 6 / 115 (5.22%) 9 6 / 115 (5.22%) 8 10 / 115 (8.70%) 11 13 / 115 (11.30%) 32 77 / 115 (66.96%) 237 17 / 115 (14.78%) 22 | |

| | | | |
|---|-------------------|-------------------|--|
| Rash | | | |
| subjects affected / exposed | 26 / 116 (22.41%) | 41 / 115 (35.65%) | |
| occurrences (all) | 50 | 68 | |
| Rash macular | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 6 / 115 (5.22%) | |
| occurrences (all) | 0 | 6 | |
| Skin hyperpigmentation | | | |
| subjects affected / exposed | 0 / 116 (0.00%) | 12 / 115 (10.43%) | |
| occurrences (all) | 0 | 12 | |
| Psychiatric disorders | | | |
| Insomnia | | | |
| subjects affected / exposed | 9 / 116 (7.76%) | 8 / 115 (6.96%) | |
| occurrences (all) | 11 | 11 | |
| Musculoskeletal and connective tissue disorders | | | |
| Arthralgia | | | |
| subjects affected / exposed | 7 / 116 (6.03%) | 4 / 115 (3.48%) | |
| occurrences (all) | 7 | 7 | |
| Back pain | | | |
| subjects affected / exposed | 13 / 116 (11.21%) | 5 / 115 (4.35%) | |
| occurrences (all) | 17 | 6 | |
| Myalgia | | | |
| subjects affected / exposed | 6 / 116 (5.17%) | 0 / 115 (0.00%) | |
| occurrences (all) | 7 | 0 | |
| Neck pain | | | |
| subjects affected / exposed | 6 / 116 (5.17%) | 0 / 115 (0.00%) | |
| occurrences (all) | 6 | 0 | |
| Infections and infestations | | | |
| Cystitis | | | |
| subjects affected / exposed | 7 / 116 (6.03%) | 5 / 115 (4.35%) | |
| occurrences (all) | 7 | 6 | |
| Nasopharyngitis | | | |
| subjects affected / exposed | 3 / 116 (2.59%) | 10 / 115 (8.70%) | |
| occurrences (all) | 10 | 13 | |
| Paronychia | | | |
| subjects affected / exposed | 6 / 116 (5.17%) | 24 / 115 (20.87%) | |
| occurrences (all) | 8 | 48 | |

| | | | |
|---|-------------------------|-------------------------|--|
| Upper respiratory tract infection subjects affected / exposed occurrences (all) | 7 / 116 (6.03%) 10 | 5 / 115 (4.35%) 9 | |
| Urinary tract infection subjects affected / exposed occurrences (all) | 6 / 116 (5.17%) 6 | 10 / 115 (8.70%) 15 | |
| Metabolism and nutrition disorders | | | |
| Decreased appetite subjects affected / exposed occurrences (all) | 33 / 116 (28.45%) 55 | 23 / 115 (20.00%) 44 | |
| Hypokalaemia subjects affected / exposed occurrences (all) | 3 / 116 (2.59%) 3 | 7 / 115 (6.09%) 13 | |

More information

Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date | Amendment |
|------------------|--|
| 11 February 2009 | This protocol included updates to eligibility criteria, permitted and prohibited concomitant medication, modification of test schedules, and addition of exploratory end point, procedures, dose adjustment guidelines and attachments. |
| 23 March 2010 | This protocol updated the study from a phase 3 study of 1000 subjects to demonstrate the superiority of neratinib over lapatinib plus capecitabine to a phase 2 study of 230 subjects designed to demonstrate non-inferiority. The primary endpoint, Progression Free Survival, is measured by investigator assessment rather than independent assessment. |
| 09 August 2011 | This protocol included addition of Pfizer protocol reference number (B1891003) throughout the protocol, change in duration of study and subject participation, removal of the long term follow up portion of the study, change / reduction of frequency of procedures for subjects who remain on study beyond cycle 16, clarification that RECIST version 1.0 is used, clarification to the dose adjustment guidelines related to LVEF changes, revision of adverse event/Serious adverse event reporting as per Pfizer SOP, and administrative updates due to the acquisition of Wyeth by Pfizer, including a new global SAE reporting fax number. |
| 22 March 2012 | This protocol updated the Sponsor to Puma, and includes a Treatment Extension Period, which allowed participants who still derived benefit from study participation to remain on the study and enabled the Sponsor to continue to provide investigational product (IP) to the participants after the primary objectives had been reached. During the Treatment Extension Period, the required procedures were limited to IP administration and monitoring for safety and tolerability; adverse events (AEs) and serious adverse events (SAEs) were documented. To limit the participant's burden in terms of protocol-required efficacy assessments, tumor assessments were performed as clinically indicated at the investigator's discretion according to standard of care; however no efficacy data were collected. |

Notes:

Interruptions (globally)

Were there any global interruptions to the trial? No

Limitations and caveats

Limitations of the trial such as small numbers of subjects analysed or technical problems leading to unreliable data.

10 participants in the neratinib and 7 participants in lapatinib arm continued follow up at the time of the database lock. These participants were categorized as "study terminated by sponsor" in the disposition table.

Notes: