

**Clinical trial results:**

**A Phase III randomised, double blind, placebo-controlled, parallel group study to assess the efficacy and safety over 48 weeks of orally inhaled Tiotropium bromide (2.5 µg and 5 µg once daily ) delivered by the Respimat® inhaler in adolescents (12 to 17 years old) with moderate persistent asthma.**

**Summary**

|                          |                                     |
|--------------------------|-------------------------------------|
| EudraCT number           | 2010-021093-11                      |
| Trial protocol           | LV HU ES SK IT DE NO Outside EU/EEA |
| Global end of trial date | 27 December 2013                    |

**Results information**

|                                |               |
|--------------------------------|---------------|
| Result version number          | v1 (current)  |
| This version publication date  | 20 June 2016  |
| First version publication date | 08 April 2015 |

**Trial information****Trial identification**

|                       |         |
|-----------------------|---------|
| Sponsor protocol code | 205.444 |
|-----------------------|---------|

**Additional study identifiers**

|                                    |             |
|------------------------------------|-------------|
| ISRCTN number                      | -           |
| ClinicalTrials.gov id (NCT number) | NCT01257230 |
| WHO universal trial number (UTN)   | -           |

Notes:

**Sponsors**

|                              |   |
|------------------------------|---|
| Sponsor organisation name    | Boehringer Ingelheim Pharma GmbH & Co. KG   |
| Sponsor organisation address | Binger Strasse 173, 55216 Ingelheim Rhein, Germany,   |
| Public contact               | QRPE Processes and Systems Coordination<br>Clinical Trial Information Disclosure , Boehringer Ingelheim<br>Pharma GmbH & Co. KG, +1 800243 0127,<br>clintriage.rdg@boehringer-ingelheim.com |
| Scientific contact           | QRPE Processes and Systems Coordination<br>Clinical Trial Information Disclosure , Boehringer Ingelheim<br>Pharma GmbH & Co. KG, +1 800243 0127,<br>clintriage.rdg@boehringer-ingelheim.com |

Notes:

**Paediatric regulatory details**

|  |                     |
|--|---------------------|
| Is trial part of an agreed paediatric investigation plan (PIP)       | Yes                 |
| EMA paediatric investigation plan number(s)                          | EMA-000035-PIP02-09 |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No                  |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | Yes                 |

Notes:

## Results analysis stage

|  |                  |
|--|------------------|
| Analysis stage                                       | Final            |
| Date of interim/final analysis                       | 22 January 2014  |
| Is this the analysis of the primary completion data? | Yes              |
| Primary completion date                              | 20 June 2013     |
| Global end of trial reached?                         | Yes              |
| Global end of trial date                             | 27 December 2013 |
| Was the trial ended prematurely?                     | No               |

Notes:

## General information about the trial

Main objective of the trial:

This is a confirmatory phase III trial to evaluate efficacy and safety of a 48-week treatment with two doses (2.5 µg and 5 µg) of tiotropium bromide compared to placebo administered via the Respimat® device in adolescent patients (12 to 17 years old) with moderate persistent asthma.

Protection of trial subjects:

Only subjects that met all the study inclusion and none of the exclusion criteria were to be entered in the study. All subjects were free to withdraw from the clinical trial at any time for any reason given. Close monitoring of all subjects was adhered to throughout the trial conduct. Administration of rescue medication was allowed throughout the trial as medically needed. For the screening and treatment periods, open-label salbutamol/albuterol inhalers (100 µg per puff) were provided by the sponsor for use as rescue medication.

Background therapy:

Patients maintained their ICS background therapy.

Evidence for comparator: -

|   |                 |
|---|-----------------|
| Actual start date of recruitment                          | 05 January 2011 |
| Long term follow-up planned                               | No              |
| Independent data monitoring committee (IDMC) involvement? | Yes             |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |                        |
|--------------------------------------|------------------------|
| Country: Number of subjects enrolled | Slovakia: 45           |
| Country: Number of subjects enrolled | Spain: 37              |
| Country: Number of subjects enrolled | Germany: 26            |
| Country: Number of subjects enrolled | Hungary: 135           |
| Country: Number of subjects enrolled | Italy: 13              |
| Country: Number of subjects enrolled | Latvia: 81             |
| Country: Number of subjects enrolled | Chile: 57              |
| Country: Number of subjects enrolled | Korea, Republic of: 29 |
| Country: Number of subjects enrolled | Mexico: 19             |
| Country: Number of subjects enrolled | Russian Federation: 71 |
| Country: Number of subjects enrolled | Ukraine: 93            |
| Country: Number of subjects enrolled | United States: 67      |

|                                    |     |
|------------------------------------|-----|
| Worldwide total number of subjects | 673 |
| EEA total number of subjects       | 337 |

Notes:

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**Subjects enrolled per age group**

|   |     |
|---|-----|
| In utero                                  | 0   |
| Preterm newborn - gestational age < 37 wk | 0   |
| Newborns (0-27 days)                      | 0   |
| Infants and toddlers (28 days-23 months)  | 0   |
| Children (2-11 years)                     | 1   |
| Adolescents (12-17 years)                 | 672 |
| Adults (18-64 years)                      | 0   |
| From 65 to 84 years                       | 0   |
| 85 years and over                         | 0   |

## Subject disposition

### Recruitment

Recruitment details: -

### Pre-assignment

Screening details:

All subjects were screened for eligibility to the trial. Subjects attended specialist sites which would then ensure that they (the subjects) met all strict inclusion/exclusion criteria. Subjects were not to be randomised to trial treatment if any specific entry criteria were violated. Thus, out of 673 enrolled, 398 subjects were randomized.

### Period 1

|                              |   |
|------------------------------|---|
| Period 1 title               | Treatment period (overall period)                             |
| Is this the baseline period? | Yes   |
| Allocation method            | Randomised - controlled                                       |
| Blinding used                | Double blind  |
| Roles blinded                | Subject, Investigator, Monitor, Data analyst, Carer, Assessor |

### Arms

|                              |                  |
|------------------------------|------------------|
| Are arms mutually exclusive? | Yes              |
| <b>Arm title</b>             | Placebo respimat |

Arm description:

Inhalation of placebo solution once daily for 48 weeks, delivered by the Respimat Inhaler.

|  |                     |
|--|---------------------|
| Arm type                               | Placebo             |
| Investigational medicinal product name | Placebo respimat    |
| Investigational medicinal product code |                     |
| Other name                             |                     |
| Pharmaceutical forms                   | Inhalation solution |
| Routes of administration               | Inhalation use      |

Dosage and administration details:

Patients received placebo (tiotropium-matching placebo solution for inhalation). The patients were to inhale 2 puffs from the Respimat® inhaler (placebo) every evening.

|                  |          |
|------------------|----------|
| <b>Arm title</b> | Tio R2.5 |
|------------------|----------|

Arm description:

Inhalation of 2.5µg tiotropium bromide solution (Tio R2.5) once daily for 48 weeks, delivered by the Respimat Inhaler.

|  |                     |
|--|---------------------|
| Arm type                               | Experimental        |
| Investigational medicinal product name | Tiotropium          |
| Investigational medicinal product code |                     |
| Other name                             |                     |
| Pharmaceutical forms                   | Inhalation solution |
| Routes of administration               | Inhalation use      |

Dosage and administration details:

Patients received 2.5 µg tiotropium (Tio R2.5). The patients were to inhale 2 puffs (1.25 mcg of tiotropium) per puff from the Respimat® inhaler every evening.

|                  |        |
|------------------|--------|
| <b>Arm title</b> | Tio R5 |
|------------------|--------|

Arm description:

Inhalation of 5µg tiotropium bromide solution (Tio R5) once daily for 48 weeks, delivered by the Respimat Inhaler.

One subject was not treated thus was not considered as starter nor non-completer.

|          |              |
|----------|--------------|
| Arm type | Experimental |
|----------|--------------|

|  |                     |
|--|---------------------|
| Investigational medicinal product name | Tiotropium          |
| Investigational medicinal product code |                     |
| Other name                             |                     |
| Pharmaceutical forms                   | Inhalation solution |
| Routes of administration               | Inhalation use      |

Dosage and administration details:

Patients received 5 µg tiotropium (Tio R5). The patients were to inhale 2 puffs (2.5 mcg of tiotropium) from the Respimat® inhaler every evening.

| <b>Number of subjects in period 1<sup>[1]</sup></b> | Placebo respimat | Tio R2.5 | Tio R5 |
|---|------------------|----------|--------|
| Started   | 138              | 125      | 134    |
| Completed   | 132              | 115      | 129    |
| Not completed                                       | 6                | 10       | 5      |
| Consent withdrawn by subject                        | -                | 4        | 1      |
| Adverse event, non-fatal                            | 2                | -        | -      |
| Protocol deviation                                  | 3                | -        | 1      |
| not specified                                       | 1                | 5        | -      |
| Lack of efficacy                                    | -                | 1        | -      |
| Reasons other than stated above                     | -                | -        | 3      |

Notes:

[1] - The number of subjects reported to be in the baseline period are not the same as the worldwide number enrolled in the trial. It is expected that these numbers will be the same.

Justification: Baseline characteristics are based on patients who were randomised after successfully completing the screening period and received at least one of the trial medication. One patient in the Tio R5 arm has started but was not treated, thus does not feature in this flowchart.

## Baseline characteristics

### Reporting groups

|                       |                  |
|-----------------------|------------------|
| Reporting group title | Placebo respimat |
|-----------------------|------------------|

Reporting group description:

Inhalation of placebo solution once daily for 48 weeks, delivered by the Respimat Inhaler.

|                       |          |
|-----------------------|----------|
| Reporting group title | Tio R2.5 |
|-----------------------|----------|

Reporting group description:

Inhalation of 2.5µg tiotropium bromide solution (Tio R2.5) once daily for 48 weeks, delivered by the Respimat Inhaler.

|                       |        |
|-----------------------|--------|
| Reporting group title | Tio R5 |
|-----------------------|--------|

Reporting group description:

Inhalation of 5µg tiotropium bromide solution (Tio R5) once daily for 48 weeks, delivered by the Respimat Inhaler.

One subject was not treated thus was not considered as starter nor non-completer.

| Reporting group values | Placebo respimat | Tio R2.5 | Tio R5 |
|------------------------|------------------|----------|--------|
| Number of subjects     | 138              | 125      | 134    |
| Age categorical        |                  |          |        |
| Units: Subjects        |                  |          |        |

|  |       |       |       |
|--|-------|-------|-------|
| Age continuous   |       |       |       |
| Treated set (TS) which included all randomised patients who were dispensed trial medication and received at least one documented dose of trial medication. TS was used in the description. |       |       |       |
| Units: years   |       |       |       |
| arithmetic mean  | 14.2  | 14.2  | 14.5  |
| standard deviation   | ± 1.7 | ± 1.8 | ± 1.6 |
| Gender categorical   |       |       |       |
| Treated Set (TS)   |       |       |       |
| Units: Subjects  |       |       |       |
| Female   | 50    | 44    | 45    |
| Male   | 88    | 81    | 89    |

| Reporting group values | Total |  |  |
|------------------------|-------|--|--|
| Number of subjects     | 397   |  |  |
| Age categorical        |       |  |  |
| Units: Subjects        |       |  |  |

|  |     |  |  |
|--|-----|--|--|
| Age continuous   |     |  |  |
| Treated set (TS) which included all randomised patients who were dispensed trial medication and received at least one documented dose of trial medication. TS was used in the description. |     |  |  |
| Units: years   |     |  |  |
| arithmetic mean  |     |  |  |
| standard deviation   | -   |  |  |
| Gender categorical   |     |  |  |
| Treated Set (TS)   |     |  |  |
| Units: Subjects  |     |  |  |
| Female   | 139 |  |  |
| Male   | 258 |  |  |



## End points

### End points reporting groups

|                       |                  |
|-----------------------|------------------|
| Reporting group title | Placebo respimat |
|-----------------------|------------------|

Reporting group description:

Inhalation of placebo solution once daily for 48 weeks, delivered by the Respimat Inhaler.

|                       |          |
|-----------------------|----------|
| Reporting group title | Tio R2.5 |
|-----------------------|----------|

Reporting group description:

Inhalation of 2.5µg tiotropium bromide solution (Tio R2.5) once daily for 48 weeks, delivered by the Respimat Inhaler.

|                       |        |
|-----------------------|--------|
| Reporting group title | Tio R5 |
|-----------------------|--------|

Reporting group description:

Inhalation of 5µg tiotropium bromide solution (Tio R5) once daily for 48 weeks, delivered by the Respimat Inhaler.

One subject was not treated thus was not considered as starter nor non-completer.

### Primary: FEV1 peak0-3 Change From Baseline

|                 |                                   |
|-----------------|-----------------------------------|
| End point title | FEV1 peak0-3 Change From Baseline |
|-----------------|-----------------------------------|

End point description:

Change from baseline in peak Forced expiratory volume in 1 second within the first 3 hours post dosing (FEV1 peak0-3) measured at week 24.

Note, the measured values presented are actually adjusted means.

Full analysis set (FAS) was the same as the treated set which included all randomised patients who were dispensed trial medication and received at least one documented dose of trial medication. Missing data at a visit was imputed by the available data from the patient at that visit, completely missing visits were handled by the statistical model.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.

Currently reported numbers are numbers of patients with endpoint at week 24 (from MMRM output).

|                |         |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

baseline and 24 weeks

| End point values                    | Placebo respimat   | Tio R2.5           | Tio R5             |  |
|-------------------------------------|--------------------|--------------------|--------------------|--|
| Subject group type                  | Reporting group    | Reporting group    | Reporting group    |  |
| Number of subjects analysed         | 137 <sup>[1]</sup> | 120 <sup>[2]</sup> | 131 <sup>[3]</sup> |  |
| Units: litre(s)                     |                    |                    |                    |  |
| least squares mean (standard error) | 0.373 (± 0.037)    | 0.507 (± 0.04)     | 0.547 (± 0.038)    |  |

Notes:

[1] - Full Analysis Set (FAS)

[2] - FAS

[3] - FAS

## Statistical analyses

|                                   |                     |
|-----------------------------------|---------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R2.5 |
|-----------------------------------|---------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based Mixed Model Repeated Measures (MMRM). Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction. Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|   |                             |
|---|-----------------------------|
| Comparison groups                       | Placebo respimat v Tio R2.5 |
| Number of subjects included in analysis | 257                         |
| Analysis specification                  | Pre-specified               |
| Analysis type                           | superiority                 |
| P-value                                 | = 0.0085 [4]                |
| Method                                  | Mixed models analysis       |
| Parameter estimate                      | Adjusted mean difference    |
| Point estimate                          | 0.134                       |
| Confidence interval                     |                             |
| level                                   | 95 %                        |
| sides                                   | 2-sided                     |
| lower limit                             | 0.034                       |
| upper limit                             | 0.234                       |
| Variability estimate                    | Standard error of the mean  |
| Dispersion value                        | 0.051                       |

Notes:

[4] - Stepwise testing of the null hypothesis was used to test the efficacy of Tio R5 and then Tio R2.5, each over placebo.

|                                   |                   |
|-----------------------------------|-------------------|
| <b>Statistical analysis title</b> | Tio R5 vs Placebo |
|-----------------------------------|-------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

|   |                            |
|---|----------------------------|
| Comparison groups                       | Tio R5 v Placebo respimat  |
| Number of subjects included in analysis | 268                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.0005 [5]               |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | 0.174                      |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | 0.076                      |
| upper limit                             | 0.272                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.05                       |

Notes:

[5] - Stepwise testing of the null hypothesis was used to test the efficacy of Tio R5 and then Tio R2.5, each over placebo.

## Secondary: Trough FEV1 Change From Baseline

|                 |                                  |
|-----------------|----------------------------------|
| End point title | Trough FEV1 Change From Baseline |
|-----------------|----------------------------------|

End point description:

Change from baseline in Trough (pre-dose) Forced expiratory volume in 1 second (FEV1) measured at week 24.

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.

Currently reported numbers are numbers of patients with endpoint at week 24 (from MMRM output).

|                      |                       |
|----------------------|-----------------------|
| End point type       | Secondary             |
| End point timeframe: | baseline and 24 weeks |

| End point values                    | Placebo respimat    | Tio R2.5             | Tio R5             |  |
|-------------------------------------|---------------------|----------------------|--------------------|--|
| Subject group type                  | Reporting group     | Reporting group      | Reporting group    |  |
| Number of subjects analysed         | 137 <sup>[6]</sup>  | 119 <sup>[7]</sup>   | 131 <sup>[8]</sup> |  |
| Units: litre(s)                     |                     |                      |                    |  |
| least squares mean (standard error) | 0.283 ( $\pm$ 0.04) | 0.367 ( $\pm$ 0.044) | 0.4 ( $\pm$ 0.041) |  |

Notes:

[6] - FAS

[7] - FAS

[8] - FAS

## Statistical analyses

|                            |                     |
|----------------------------|---------------------|
| Statistical analysis title | Placebo vs Tio R2.5 |
|----------------------------|---------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo.

|   |                             |
|---|-----------------------------|
| Comparison groups                       | Placebo respimat v Tio R2.5 |
| Number of subjects included in analysis | 256                         |
| Analysis specification                  | Pre-specified               |
| Analysis type                           | superiority                 |
| P-value                                 | = 0.1307 <sup>[9]</sup>     |
| Method                                  | Mixed models analysis       |
| Parameter estimate                      | Adjusted mean difference    |
| Point estimate                          | 0.084                       |
| Confidence interval                     |                             |
| level                                   | 95 %                        |
| sides                                   | 2-sided                     |
| lower limit                             | -0.025                      |
| upper limit                             | 0.194                       |
| Variability estimate                    | Standard error of the mean  |
| Dispersion value                        | 0.056                       |

Notes:

[9] - Stepwise testing of the null hypothesis was used to test the efficacy of Tio R5 and then Tio R2.5, each over placebo.

|                                   |                   |
|-----------------------------------|-------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R5 |
|-----------------------------------|-------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R5 minus placebo

|   |                            |
|---|----------------------------|
| Comparison groups                       | Placebo respimat v Tio R5  |
| Number of subjects included in analysis | 268                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.032 <sup>[10]</sup>    |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | 0.117                      |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | 0.01                       |
| upper limit                             | 0.223                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.054                      |

Notes:

[10] - Stepwise testing of the null hypothesis was used to test the efficacy of Tio R5 and then Tio R2.5, each over placebo.

### Secondary: FVC peak0-3 Change From Baseline

|                 |                                  |
|-----------------|----------------------------------|
| End point title | FVC peak0-3 Change From Baseline |
|-----------------|----------------------------------|

End point description:

Change from baseline in Maximum forced vital capacity (FVC) measured within the first 3 h after administration of trial medication (FVC peak0-3h) after 24 weeks of treatment.

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.

Currently reported numbers are numbers of patients with endpoint at week 24 (from MMRM output).

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

baseline and 24 weeks

| <b>End point values</b>             | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-------------------------------------|---------------------|---------------------|---------------------|--|
| Subject group type                  | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed         | 137 <sup>[11]</sup> | 120 <sup>[12]</sup> | 131 <sup>[13]</sup> |  |
| Units: litre(s)                     |                     |                     |                     |  |
| least squares mean (standard error) | 0.331 (± 0.041)     | 0.419 (± 0.045)     | 0.403 (± 0.043)     |  |

Notes:

[11] - FAS

[12] - FAS

[13] - FAS

## Statistical analyses

|                                   |                     |
|-----------------------------------|---------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R2.5 |
|-----------------------------------|---------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|   |                             |
|---|-----------------------------|
| Comparison groups                       | Placebo respimat v Tio R2.5 |
| Number of subjects included in analysis | 257                         |
| Analysis specification                  | Pre-specified               |
| Analysis type                           | superiority                 |
| P-value                                 | = 0.1231                    |
| Method                                  | Mixed models analysis       |
| Parameter estimate                      | Adjusted mean difference    |
| Point estimate                          | 0.088                       |
| Confidence interval                     |                             |
| level                                   | 95 %                        |
| sides                                   | 2-sided                     |
| lower limit                             | -0.024                      |
| upper limit                             | 0.2                         |
| Variability estimate                    | Standard error of the mean  |
| Dispersion value                        | 0.057                       |

|                                   |                   |
|-----------------------------------|-------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R5 |
|-----------------------------------|-------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R5 minus placebo

|   |                            |
|---|----------------------------|
| Comparison groups                       | Placebo respimat v Tio R5  |
| Number of subjects included in analysis | 268                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.195                    |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | 0.072                      |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.037                     |
| upper limit                             | 0.182                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.056                      |

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## Secondary: FEV1 AUC (0-3h) Change From Baseline

|                 |                                      |
|-----------------|--------------------------------------|
| End point title | FEV1 AUC (0-3h) Change From Baseline |
|-----------------|--------------------------------------|

End point description:

Change from baseline of area under the curve (AUC) from 0 to 3 h for FEV1 (FEV1 AUC 0–3h) after 24 weeks of treatment. The AUC was calculated by using the trapezoidal rule divided by the observation time (3h).

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.  
Currently reported numbers are numbers of patients with endpoint at week 24.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Baseline and 10 mins before drug administration and 30 mins, 1 hour (h), 2h, 3h after drug administration at 24 weeks.

| End point values                    | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-------------------------------------|---------------------|---------------------|---------------------|--|
| Subject group type                  | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed         | 137 <sup>[14]</sup> | 119 <sup>[15]</sup> | 131 <sup>[16]</sup> |  |
| Units: litre(s)                     |                     |                     |                     |  |
| least squares mean (standard error) | 0.281 (± 0.035)     | 0.411 (± 0.038)     | 0.463 (± 0.036)     |  |

Notes:

[14] - FAS

[15] - FAS

[16] - FAS

## Statistical analyses

|                            |                     |
|----------------------------|---------------------|
| Statistical analysis title | Placebo vs Tio R2.5 |
|----------------------------|---------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|   |                             |
|---|-----------------------------|
| Comparison groups                       | Placebo respimat v Tio R2.5 |
| Number of subjects included in analysis | 256                         |
| Analysis specification                  | Pre-specified               |
| Analysis type                           | superiority                 |
| P-value                                 | = 0.0079                    |
| Method                                  | Mixed models analysis       |
| Parameter estimate                      | Adjusted mean difference    |
| Point estimate                          | 0.13                        |
| Confidence interval                     |                             |
| level                                   | 95 %                        |
| sides                                   | 2-sided                     |
| lower limit                             | 0.034                       |
| upper limit                             | 0.225                       |
| Variability estimate                    | Standard error of the mean  |
| Dispersion value                        | 0.049                       |

|                                   |                   |
|-----------------------------------|-------------------|
| <b>Statistical analysis title</b> | Tio R5 vs Placebo |
|-----------------------------------|-------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R5 minus placebo

|   |                            |
|---|----------------------------|
| Comparison groups                       | Tio R5 v Placebo respimat  |
| Number of subjects included in analysis | 268                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.0002                   |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | 0.181                      |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | 0.088                      |
| upper limit                             | 0.275                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.048                      |

### **Secondary: FVC AUC (0-3h) Change From Baseline**

|                 |                                     |
|-----------------|-------------------------------------|
| End point title | FVC AUC (0-3h) Change From Baseline |
|-----------------|-------------------------------------|

End point description:

Change from baseline of area under the curve (AUC) from 0 to 3 h for FVC (FVC AUC0-3h) after 24 weeks of treatment. The AUC was calculated by using the trapezoidal rule divided by the observation time (3h).

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.

Currently reported numbers are numbers of patients with endpoint at week 24 (from MMRM output).

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Baseline and 10 mins before drug administration and 30 mins, 1 hour (h), 2h, 3h after drug administration at 24 weeks.

| <b>End point values</b>             | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-------------------------------------|---------------------|---------------------|---------------------|--|
| Subject group type                  | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed         | 137 <sup>[17]</sup> | 119 <sup>[18]</sup> | 131 <sup>[19]</sup> |  |
| Units: litre(s)                     |                     |                     |                     |  |
| least squares mean (standard error) | 0.24 (± 0.039)      | 0.33 (± 0.042)      | 0.311 (± 0.04)      |  |

Notes:

[17] - FAS

[18] - FAS

[19] - FAS

## Statistical analyses

| <b>Statistical analysis title</b> | Placebo vs Tio R2.5 |
|-----------------------------------|---------------------|
|-----------------------------------|---------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|   |                             |
|---|-----------------------------|
| Comparison groups                       | Placebo respimat v Tio R2.5 |
| Number of subjects included in analysis | 256                         |
| Analysis specification                  | Pre-specified               |
| Analysis type                           | superiority                 |
| P-value                                 | = 0.0945                    |
| Method                                  | Mixed models analysis       |
| Parameter estimate                      | Adjusted mean difference    |
| Point estimate                          | 0.09                        |
| Confidence interval                     |                             |
| level                                   | 95 %                        |
| sides                                   | 2-sided                     |
| lower limit                             | -0.016                      |
| upper limit                             | 0.196                       |
| Variability estimate                    | Standard error of the mean  |
| Dispersion value                        | 0.054                       |

| <b>Statistical analysis title</b> | Placebo vs Tio R5 |
|-----------------------------------|-------------------|
|-----------------------------------|-------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model. Difference calculated as Tio R5 minus placebo

|   |                           |
|---|---------------------------|
| Comparison groups                       | Placebo respimat v Tio R5 |
| Number of subjects included in analysis | 268                       |
| Analysis specification                  | Pre-specified             |
| Analysis type                           | superiority               |
| P-value                                 | = 0.1755                  |
| Method                                  | Mixed models analysis     |
| Parameter estimate                      | Adjusted mean difference  |
| Point estimate                          | 0.071                     |

|                      |                            |
|----------------------|----------------------------|
| Confidence interval  |                            |
| level                | 95 %                       |
| sides                | 2-sided                    |
| lower limit          | -0.032                     |
| upper limit          | 0.175                      |
| Variability estimate | Standard error of the mean |
| Dispersion value     | 0.053                      |

## Secondary: Trough FVC Change From Baseline

|                 |                                 |
|-----------------|---------------------------------|
| End point title | Trough FVC Change From Baseline |
|-----------------|---------------------------------|

End point description:

Change from baseline of Trough (pre-dose) forced vital capacity (FVC) measured 10 min before the administration of trial medication after 24 weeks of treatment.

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.

Currently reported numbers are numbers of patients with endpoint at week 24 (from MMRM output).

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

baseline and 24 weeks

| End point values                    | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-------------------------------------|---------------------|---------------------|---------------------|--|
| Subject group type                  | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed         | 137 <sup>[20]</sup> | 119 <sup>[21]</sup> | 131 <sup>[22]</sup> |  |
| Units: litre(s)                     |                     |                     |                     |  |
| least squares mean (standard error) | 0.281 (± 0.043)     | 0.345 (± 0.047)     | 0.316 (± 0.045)     |  |

Notes:

[20] - FAS

[21] - FAS

[22] - FAS

## Statistical analyses

|                            |                     |
|----------------------------|---------------------|
| Statistical analysis title | Placebo vs Tio R2.5 |
|----------------------------|---------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|                   |                             |
|-------------------|-----------------------------|
| Comparison groups | Placebo respimat v Tio R2.5 |
|-------------------|-----------------------------|

|   |                            |
|---|----------------------------|
| Number of subjects included in analysis | 256                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.2921                   |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | 0.063                      |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.055                     |
| upper limit                             | 0.181                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.06                       |

|                                   |                   |
|-----------------------------------|-------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R5 |
|-----------------------------------|-------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R5 minus placebo

|   |                            |
|---|----------------------------|
| Comparison groups                       | Placebo respimat v Tio R5  |
| Number of subjects included in analysis | 268                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.5495                   |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | 0.035                      |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.08                      |
| upper limit                             | 0.15                       |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.059                      |

**Secondary: FEF25-75 Change From Baseline**

|                 |                               |
|-----------------|-------------------------------|
| End point title | FEF25-75 Change From Baseline |
|-----------------|-------------------------------|

End point description:

Change from baseline in mean forced expiratory flow between 25% and 75% of the FVC (FEF25-75%), also known as maximum mid-expiratory flow, at individual time points after 24 weeks of treatment.

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.  
Currently reported numbers are numbers of patients with endpoint at week 24.

|  |           |
|--|-----------|
| End point type   | Secondary |
| End point timeframe:   |           |
| Baseline and 10 mins before drug administration and 30 mins, 1 hour (h), 2h, 3h after drug administration at 24 weeks. |           |

| End point values                              | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|---|---------------------|---------------------|---------------------|--|
| Subject group type                            | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed                   | 137 <sup>[23]</sup> | 120 <sup>[24]</sup> | 131 <sup>[25]</sup> |  |
| Units: litre(s)/Sec                           |                     |                     |                     |  |
| least squares mean (standard error)           |                     |                     |                     |  |
| 10 minutes pre-dose (N1=137, N2=119, N3=131)  | 0.332 (± 0.072)     | 0.461 (± 0.079)     | 0.609 (± 0.074)     |  |
| 30 minutes post-dose (N1=137, N2=120, N3=131) | 0.372 (± 0.066)     | 0.536 (± 0.072)     | 0.763 (± 0.068)     |  |
| 1 hour post-dose (N1=137, N2=120, N3=131)     | 0.359 (± 0.067)     | 0.596 (± 0.072)     | 0.835 (± 0.069)     |  |
| 2 hours post-dose (N1=137, N2=120, N3=131)    | 0.403 (± 0.069)     | 0.615 (± 0.075)     | 0.857 (± 0.071)     |  |
| 3 hours post=dose (N1=137, N2=120, N3=131)    | 0.347 (± 0.068)     | 0.653 (± 0.074)     | 0.85 (± 0.07)       |  |

Notes:

[23] - FAS

[24] - FAS

[25] - FAS

### Statistical analyses

No statistical analyses for this end point

### Secondary: Use of PRN Rescue Medication During the Day

|   |   |
|---|---|
| End point title   | Use of PRN Rescue Medication During the Day |
| End point description:  |   |
| Change from baseline in the number of puffs of rescue medication (salbutamol/albuterol) used during the day (24 hour period) based on the weekly mean at week 24.     |   |
| The measured values presented are actually adjusted means.  |   |
| In FAS we have 138, 125, 134 patients in each of 3 treatment arms.<br>Currently reported numbers are numbers of patients with endpoint at week 24 (from MMRM output). |   |
| End point type  | Secondary                                   |
| End point timeframe:  |   |
| baseline and 24 weeks   |   |

| <b>End point values</b>                       | Placebo respimat      | Tio R2.5              | Tio R5              |  |
|---|-----------------------|-----------------------|---------------------|--|
| Subject group type                            | Reporting group       | Reporting group       | Reporting group     |  |
| Number of subjects analysed                   | 135 <sup>[26]</sup>   | 117 <sup>[27]</sup>   | 125 <sup>[28]</sup> |  |
| Units: Number of puff(s) of rescue medication |                       |                       |                     |  |
| least squares mean (standard error)           | -0.524 ( $\pm$ 0.098) | -0.556 ( $\pm$ 0.104) | -0.48 ( $\pm$ 0.1)  |  |

Notes:

[26] - FAS

[27] - FAS

[28] - FAS

## Statistical analyses

| <b>Statistical analysis title</b> | Placebo vs Tio R2.5 at week 24 |
|-----------------------------------|--------------------------------|
|-----------------------------------|--------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|   |                             |
|---|-----------------------------|
| Comparison groups                       | Placebo respimat v Tio R2.5 |
| Number of subjects included in analysis | 252                         |
| Analysis specification                  | Pre-specified               |
| Analysis type                           | superiority                 |
| P-value                                 | = 0.8253                    |
| Method                                  | Mixed models analysis       |
| Parameter estimate                      | Adjusted mean difference    |
| Point estimate                          | -0.032                      |
| Confidence interval                     |                             |
| level                                   | 95 %                        |
| sides                                   | 2-sided                     |
| lower limit                             | -0.312                      |
| upper limit                             | 0.249                       |
| Variability estimate                    | Standard error of the mean  |
| Dispersion value                        | 0.143                       |

| <b>Statistical analysis title</b> | Placebo vs Tio R5 at week 24 |
|-----------------------------------|------------------------------|
|-----------------------------------|------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R5 minus placebo

|   |                           |
|---|---------------------------|
| Comparison groups                       | Placebo respimat v Tio R5 |
| Number of subjects included in analysis | 260                       |
| Analysis specification                  | Pre-specified             |
| Analysis type                           | superiority               |
| P-value                                 | = 0.7559                  |
| Method                                  | Mixed models analysis     |
| Parameter estimate                      | Adjusted mean difference  |
| Point estimate                          | 0.044                     |

|                      |                            |
|----------------------|----------------------------|
| Confidence interval  |                            |
| level                | 95 %                       |
| sides                | 2-sided                    |
| lower limit          | -0.232                     |
| upper limit          | 0.319                      |
| Variability estimate | Standard error of the mean |
| Dispersion value     | 0.14                       |

## Secondary: Use of PRN Rescue Medication During the Daytime

|                 |   |
|-----------------|---|
| End point title | Use of PRN Rescue Medication During the Daytime |
|-----------------|---|

End point description:

Change from baseline in the number of puffs of rescue medication (salbutamol/albuterol) used during the daytime based on the weekly mean at week 24.

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.  
Currently reported numbers are numbers of patients with endpoint at week 24.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:  
baseline and 24 weeks

| End point values                              | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|---|---------------------|---------------------|---------------------|--|
| Subject group type                            | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed                   | 132 <sup>[29]</sup> | 114 <sup>[30]</sup> | 122 <sup>[31]</sup> |  |
| Units: Number of puff(s) of rescue medication |                     |                     |                     |  |
| least squares mean (standard error)           | -0.206 (± 0.066)    | -0.209 (± 0.071)    | -0.215 (± 0.068)    |  |

Notes:

[29] - FAS

[30] - FAS

[31] - FAS

## Statistical analyses

|                            |                                |
|----------------------------|--------------------------------|
| Statistical analysis title | Placebo vs Tio R2.5 at week 24 |
|----------------------------|--------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|                   |                             |
|-------------------|-----------------------------|
| Comparison groups | Placebo respimat v Tio R2.5 |
|-------------------|-----------------------------|

|   |                            |
|---|----------------------------|
| Number of subjects included in analysis | 246                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.976                    |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | -0.003                     |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.184                     |
| upper limit                             | 0.178                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.092                      |

|                                   |                              |
|-----------------------------------|------------------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R5 at week 24 |
|-----------------------------------|------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R5 minus placebo

|   |                            |
|---|----------------------------|
| Comparison groups                       | Placebo respimat v Tio R5  |
| Number of subjects included in analysis | 254                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.9224                   |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | -0.009                     |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.186                     |
| upper limit                             | 0.168                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.09                       |

**Secondary: Use of PRN Rescue Medication During the Night-time**

|                 |  |
|-----------------|--|
| End point title | Use of PRN Rescue Medication During the Night-time |
|-----------------|--|

End point description:

Change from baseline in the number of puffs of rescue medication (salbutamol/albuterol) used during the night-time based on the weekly mean at week 24.

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.  
Currently reported numbers are numbers of patients with endpoint at week 24 (from MMRM output).

|                       |           |
|-----------------------|-----------|
| End point type        | Secondary |
| End point timeframe:  |           |
| baseline and 24 weeks |           |

| End point values                              | Placebo respimat      | Tio R2.5              | Tio R5                |  |
|---|-----------------------|-----------------------|-----------------------|--|
| Subject group type                            | Reporting group       | Reporting group       | Reporting group       |  |
| Number of subjects analysed                   | 132 <sup>[32]</sup>   | 110 <sup>[33]</sup>   | 124 <sup>[34]</sup>   |  |
| Units: Number of puff(s) of rescue medication |                       |                       |                       |  |
| least squares mean (standard error)           | -0.144 ( $\pm$ 0.059) | -0.122 ( $\pm$ 0.064) | -0.032 ( $\pm$ 0.061) |  |

Notes:

[32] - FAS

[33] - FAS

[34] - FAS

### Statistical analyses

|                                   |                                |
|-----------------------------------|--------------------------------|
| <b>Statistical analysis title</b> | Tio R2.5 vs Placebo at week 24 |
|-----------------------------------|--------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|   |                             |
|---|-----------------------------|
| Comparison groups                       | Tio R2.5 v Placebo respimat |
| Number of subjects included in analysis | 242                         |
| Analysis specification                  | Pre-specified               |
| Analysis type                           | superiority                 |
| P-value                                 | = 0.7852                    |
| Method                                  | Mixed models analysis       |
| Parameter estimate                      | Adjusted mean difference    |
| Point estimate                          | 0.023                       |
| Confidence interval                     |                             |
| level                                   | 95 %                        |
| sides                                   | 2-sided                     |
| lower limit                             | -0.14                       |
| upper limit                             | 0.185                       |
| Variability estimate                    | Standard error of the mean  |
| Dispersion value                        | 0.083                       |

|                                   |                              |
|-----------------------------------|------------------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R5 at week 24 |
|-----------------------------------|------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R5 minus placebo

|                   |                           |
|-------------------|---------------------------|
| Comparison groups | Placebo respimat v Tio R5 |
|-------------------|---------------------------|

|   |                            |
|---|----------------------------|
| Number of subjects included in analysis | 256                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.1649                   |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | 0.112                      |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.046                     |
| upper limit                             | 0.271                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.081                      |

### Secondary: Control of Asthma as Assessed by ACQ Total Score

|                 |  |
|-----------------|--|
| End point title | Control of Asthma as Assessed by ACQ Total Score |
|-----------------|--|

End point description:

Change from baseline in Asthma Control Questionnaire (ACQ) total score measured at week 24. The ACQ is a scale containing 7 questions, each question has a 7-point scale which ranges from 0 to 6; a score of 0 corresponds to no impairment and a score of 6 corresponds to maximum impairment. ACQ total score was calculated as the mean of the responses to all 7 questions.

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms. Currently reported numbers are numbers of patients with endpoint at week 24.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:  
baseline and 24 weeks

| End point values                    | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-------------------------------------|---------------------|---------------------|---------------------|--|
| Subject group type                  | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed         | 136 <sup>[35]</sup> | 120 <sup>[36]</sup> | 132 <sup>[37]</sup> |  |
| Units: unit(s) of ACQ scores        |                     |                     |                     |  |
| least squares mean (standard error) | 1.213 (± 0.062)     | 1.053 (± 0.067)     | 1.116 (± 0.064)     |  |

Notes:

[35] - FAS

[36] - FAS

[37] - FAS

### Statistical analyses

|                            |                                |
|----------------------------|--------------------------------|
| Statistical analysis title | Placebo vs Tio R2.5 at week 24 |
|----------------------------|--------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical

effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|   |                             |
|---|-----------------------------|
| Comparison groups                       | Placebo respimat v Tio R2.5 |
| Number of subjects included in analysis | 256                         |
| Analysis specification                  | Pre-specified               |
| Analysis type                           | superiority                 |
| P-value                                 | = 0.0653                    |
| Method                                  | Mixed models analysis       |
| Parameter estimate                      | Adjusted mean difference    |
| Point estimate                          | -0.16                       |
| Confidence interval                     |                             |
| level                                   | 95 %                        |
| sides                                   | 2-sided                     |
| lower limit                             | -0.33                       |
| upper limit                             | 0.01                        |
| Variability estimate                    | Standard error of the mean  |
| Dispersion value                        | 0.087                       |

|                                   |                              |
|-----------------------------------|------------------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R5 at week 24 |
|-----------------------------------|------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R5 minus placebo

|   |                            |
|---|----------------------------|
| Comparison groups                       | Placebo respimat v Tio R5  |
| Number of subjects included in analysis | 268                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.2516                   |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | -0.097                     |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.263                     |
| upper limit                             | 0.069                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.084                      |

## Secondary: Control of Asthma as Assessed by ACQ6

|                 |                                       |
|-----------------|---------------------------------------|
| End point title | Control of Asthma as Assessed by ACQ6 |
|-----------------|---------------------------------------|

End point description:

Change from baseline in AQC6 score at week 24.

The ACQ6 score is calculated as the mean of the responses to the first 6 questions of the ACQ. The ACQ is a scale containing 7 questions, each question has a 7-point scale which ranges from 0 to 6; a score of

0 corresponds to no impairment and a score of 6 corresponds to maximum impairment.

The measured values presented are actually adjusted means.

In FAS we have 138, 125, 134 patients in each of 3 treatment arms.

Currently reported numbers are numbers of patients with endpoint at week 24.

|   |           |
|---|-----------|
| End point type                                | Secondary |
| End point timeframe:<br>baseline and 24 weeks |           |

| End point values                    | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-------------------------------------|---------------------|---------------------|---------------------|--|
| Subject group type                  | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed         | 136 <sup>[38]</sup> | 120 <sup>[39]</sup> | 132 <sup>[40]</sup> |  |
| Units: unit(s) of ACQ6 score        |                     |                     |                     |  |
| least squares mean (standard error) | 1.173 (± 0.068)     | 1.026 (± 0.073)     | 1.119 (± 0.07)      |  |

Notes:

[38] - FAS

[39] - FAS

[40] - FAS

## Statistical analyses

|                                   |                                |
|-----------------------------------|--------------------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R2.5 at week 24 |
|-----------------------------------|--------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R2.5 minus placebo

|   |                             |
|---|-----------------------------|
| Comparison groups                       | Placebo respimat v Tio R2.5 |
| Number of subjects included in analysis | 256                         |
| Analysis specification                  | Pre-specified               |
| Analysis type                           | superiority                 |
| P-value                                 | = 0.12                      |
| Method                                  | Mixed models analysis       |
| Parameter estimate                      | Adjusted mean difference    |
| Point estimate                          | -0.147                      |
| Confidence interval                     |                             |
| level                                   | 95 %                        |
| sides                                   | 2-sided                     |
| lower limit                             | -0.333                      |
| upper limit                             | 0.038                       |
| Variability estimate                    | Standard error of the mean  |
| Dispersion value                        | 0.095                       |

|                                   |                              |
|-----------------------------------|------------------------------|
| <b>Statistical analysis title</b> | Placebo vs Tio R5 at week 24 |
|-----------------------------------|------------------------------|

Statistical analysis description:

Using a restricted maximum likelihood (REML)-based MMRM. Analyses included the fixed, categorical

effects of treatment, country, week, and treatment-by-week interaction, as well as the covariates of baseline value and baseline-by-week-interaction Patient was included as a random effect in the model.

Difference calculated as Tio R5 minus placebo

|   |                            |
|---|----------------------------|
| Comparison groups                       | Placebo respimat v Tio R5  |
| Number of subjects included in analysis | 268                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.5589                   |
| Method                                  | Mixed models analysis      |
| Parameter estimate                      | Adjusted mean difference   |
| Point estimate                          | -0.054                     |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.235                     |
| upper limit                             | 0.127                      |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.092                      |

### Secondary: ACQ6 Responders

|                 |                 |
|-----------------|-----------------|
| End point title | ACQ6 Responders |
|-----------------|-----------------|

End point description:

Responder rates based on the ACQ6 after 24 weeks of treatment. Analysis was performed using the following categories and definitions: responder (change from trial baseline  $\leq -0.5$ ), no change ( $-0.5 < \text{change from trial baseline} < 0.5$ ) and worsening (change from trial baseline  $\geq 0.5$ )

The ACQ6 score is calculated as the mean of the responses to the first 6 questions of the ACQ. The ACQ is a scale containing 7 questions, each question has a 7-point scale which ranges from 0 to 6; a score of 0 corresponds to no impairment and a score of 6 corresponds to maximum impairment.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

24 weeks

| End point values                  | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-----------------------------------|---------------------|---------------------|---------------------|--|
| Subject group type                | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed       | 138 <sup>[41]</sup> | 125 <sup>[42]</sup> | 134 <sup>[43]</sup> |  |
| Units: percentage of participants |                     |                     |                     |  |
| number (not applicable)           |                     |                     |                     |  |
| responder                         | 69.6                | 76.8                | 72.4                |  |
| no change                         | 22.5                | 20                  | 23.1                |  |
| worsening                         | 8                   | 3.2                 | 4.5                 |  |

Notes:

[41] - FAS

[42] - FAS

[43] - FAS

## Statistical analyses

No statistical analyses for this end point

### Secondary: ACQ Total Score Responders

End point title ACQ Total Score Responders

End point description:

Responder rates based on the ACQ total score after 24 weeks of treatment. Analysis was performed using the following categories and definitions: responder (change from trial baseline  $\leq -0.5$ ), no change ( $-0.5 < \text{change from trial baseline} < 0.5$ ) and worsening (change from trial baseline  $\geq 0.5$ ).

The ACQ is a scale containing 7 questions, each question has a 7-point scale which ranges from 0 to 6; a score of 0 corresponds to no impairment and a score of 6 corresponds to maximum impairment.

End point type Secondary

End point timeframe:

Week 24

| End point values                  | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-----------------------------------|---------------------|---------------------|---------------------|--|
| Subject group type                | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed       | 138 <sup>[44]</sup> | 125 <sup>[45]</sup> | 134 <sup>[46]</sup> |  |
| Units: percentage of participants |                     |                     |                     |  |
| number (not applicable)           |                     |                     |                     |  |
| responder                         | 66.7                | 76                  | 74.6                |  |
| no change                         | 27.5                | 21.6                | 23.1                |  |
| worsening                         | 5.8                 | 2.4                 | 2.2                 |  |

Notes:

[44] - FAS

[45] - FAS

[46] - FAS

## Statistical analyses

No statistical analyses for this end point

### Secondary: Time to First Severe Asthma Exacerbation During the 48 Week Treatment Period

End point title Time to First Severe Asthma Exacerbation During the 48 Week Treatment Period

End point description:

The median time to first severe asthma exacerbation was not calculable, so the number of patients who experienced a severe asthma exacerbation are presented for the measured values. A severe asthma exacerbation was defined as a subgroup of all asthma exacerbations that required treatment with systemic corticosteroid for at least 3 days.

End point type Secondary

End point timeframe:

48 weeks

| <b>End point values</b>     | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-----------------------------|---------------------|---------------------|---------------------|--|
| Subject group type          | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed | 138 <sup>[47]</sup> | 125 <sup>[48]</sup> | 134 <sup>[49]</sup> |  |
| Units: participant(s)       |                     |                     |                     |  |
| number (not applicable)     |                     |                     |                     |  |
| cumulative failure          | 9                   | 5                   | 2                   |  |
| cumulative censored         | 129                 | 120                 | 132                 |  |

Notes:

[47] - FAS

[48] - FAS

[49] - FAS

## Statistical analyses

| <b>Statistical analysis title</b>                                       | Placebo vs Tio R2.5 during 48 weeks treatment |
|---|---|
| Statistical analysis description:                                       |   |
| Cox's proportional hazard regression model with treatment as an effect. |   |
| Comparison groups   | Placebo respimat v Tio R2.5                   |
| Number of subjects included in analysis                                 | 263   |
| Analysis specification  | Pre-specified                                 |
| Analysis type   | superiority                                   |
| P-value   | = 0.4023                                      |
| Method  | Regression, Cox                               |
| Parameter estimate  | Hazard ratio (HR)                             |
| Point estimate  | 0.63  |
| Confidence interval   |   |
| level   | 95 %  |
| sides   | 2-sided                                       |
| lower limit   | 0.21  |
| upper limit   | 1.87  |

| <b>Statistical analysis title</b>                                       | Placebo vs Tio R5 during 48 weeks treatment |
|---|---|
| Statistical analysis description:                                       |   |
| Cox's proportional hazard regression model with treatment as an effect. |   |
| Comparison groups   | Placebo respimat v Tio R5                   |
| Number of subjects included in analysis                                 | 272   |
| Analysis specification  | Pre-specified                               |
| Analysis type   | superiority                                 |
| P-value   | = 0.062                                     |
| Method  | Regression, Cox                             |
| Parameter estimate  | Hazard ratio (HR)                           |
| Point estimate  | 0.23  |
| Confidence interval   |   |
| level   | 95 %  |
| sides   | 2-sided                                     |
| lower limit   | 0.05  |
| upper limit   | 1.08  |

## Secondary: Time to First Asthma Exacerbation During the 48 Week Treatment Period

|                        |  |
|------------------------|--|
| End point title        | Time to First Asthma Exacerbation During the 48 Week Treatment Period  |
| End point description: | The median time to first asthma exacerbation was not calculable, so the number of patients who experienced an asthma exacerbation are presented for the measured values. |
| End point type         | Secondary  |
| End point timeframe:   | 48 weeks   |

| End point values            | Placebo respimat    | Tio R2.5            | Tio R5              |  |
|-----------------------------|---------------------|---------------------|---------------------|--|
| Subject group type          | Reporting group     | Reporting group     | Reporting group     |  |
| Number of subjects analysed | 138 <sup>[50]</sup> | 125 <sup>[51]</sup> | 134 <sup>[52]</sup> |  |
| Units: participants         |                     |                     |                     |  |
| number (not applicable)     |                     |                     |                     |  |
| cumulative failure          | 37                  | 34                  | 30                  |  |
| cumulative censored         | 101                 | 91                  | 104                 |  |

Notes:

[50] - FAS

[51] - FAS

[52] - FAS

### Statistical analyses

|   |   |
|---|---|
| <b>Statistical analysis title</b>       | Placebo vs Tio R2.5 during 48 weeks treatment                           |
| Statistical analysis description:       | Cox's proportional hazard regression model with treatment as an effect. |
| Comparison groups                       | Placebo respimat v Tio R2.5   |
| Number of subjects included in analysis | 263   |
| Analysis specification                  | Pre-specified   |
| Analysis type                           | superiority   |
| P-value                                 | = 0.87  |
| Method                                  | Regression, Cox   |
| Parameter estimate                      | Hazard ratio (HR)   |
| Point estimate                          | 1.04  |
| Confidence interval                     |   |
| level                                   | 95 %  |
| sides                                   | 2-sided   |
| lower limit                             | 0.65  |
| upper limit                             | 1.66  |

|                                   |   |
|-----------------------------------|---|
| <b>Statistical analysis title</b> | Placebo vs Tio R5 during 48 weeks treatment |
|-----------------------------------|---|

---

Statistical analysis description:

Cox's proportional hazard regression model with treatment as an effect.

|   |                           |
|---|---------------------------|
| Comparison groups                       | Placebo respimat v Tio R5 |
| Number of subjects included in analysis | 272                       |
| Analysis specification                  | Pre-specified             |
| Analysis type                           | superiority               |
| P-value                                 | = 0.4198                  |
| Method                                  | Regression, Cox           |
| Parameter estimate                      | Hazard ratio (HR)         |
| Point estimate                          | 0.82                      |
| Confidence interval                     |                           |
| level                                   | 95 %                      |
| sides                                   | 2-sided                   |
| lower limit                             | 0.51                      |
| upper limit                             | 1.33                      |

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

From the first drug administration until 30 days after the last drug administration, up to 416 days.

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

|                    |      |
|--------------------|------|
| Dictionary version | 16.1 |
|--------------------|------|

### Reporting groups

|                       |         |
|-----------------------|---------|
| Reporting group title | Placebo |
|-----------------------|---------|

Reporting group description:

Inhalation of placebo solution once daily for 48 weeks, delivered by the Respimat Inhaler.

|                       |          |
|-----------------------|----------|
| Reporting group title | Tio R2.5 |
|-----------------------|----------|

Reporting group description:

Inhalation of 2.5µg tiotropium bromide solution (Tio R2.5) once daily for 48 weeks, delivered by the Respimat Inhaler.

|                       |        |
|-----------------------|--------|
| Reporting group title | Tio R5 |
|-----------------------|--------|

Reporting group description:

Inhalation of 5µg tiotropium bromide solution (Tio R5) once daily for 48 weeks, delivered by the Respimat Inhaler.

| <b>Serious adverse events</b>                                       | Placebo         | Tio R2.5        | Tio R5          |
|---|-----------------|-----------------|-----------------|
| Total subjects affected by serious adverse events                   |                 |                 |                 |
| subjects affected / exposed   | 2 / 138 (1.45%) | 2 / 125 (1.60%) | 3 / 134 (2.24%) |
| number of deaths (all causes)                                       | 0               | 0               | 0               |
| number of deaths resulting from adverse events                      | 0               | 0               | 0               |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) |                 |                 |                 |
| Teratoma  |                 |                 |                 |
| subjects affected / exposed   | 1 / 138 (0.72%) | 0 / 125 (0.00%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all                     | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all                          | 0 / 0           | 0 / 0           | 0 / 0           |
| Injury, poisoning and procedural complications                      |                 |                 |                 |
| Arterial injury   |                 |                 |                 |
| subjects affected / exposed   | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all                     | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all                          | 0 / 0           | 0 / 0           | 0 / 0           |
| Hepatic rupture   |                 |                 |                 |

|   |                 |                 |                 |
|---|-----------------|-----------------|-----------------|
| subjects affected / exposed                     | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Multiple injuries</b>                        |                 |                 |                 |
| subjects affected / exposed                     | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Wound</b>                                    |                 |                 |                 |
| subjects affected / exposed                     | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Immune system disorders</b>                  |                 |                 |                 |
| <b>Allergy to plants</b>                        |                 |                 |                 |
| subjects affected / exposed                     | 0 / 138 (0.00%) | 0 / 125 (0.00%) | 1 / 134 (0.75%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Anaphylactic reaction</b>                    |                 |                 |                 |
| subjects affected / exposed                     | 0 / 138 (0.00%) | 0 / 125 (0.00%) | 1 / 134 (0.75%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Gastrointestinal disorders</b>               |                 |                 |                 |
| <b>Abdominal pain upper</b>                     |                 |                 |                 |
| subjects affected / exposed                     | 0 / 138 (0.00%) | 0 / 125 (0.00%) | 1 / 134 (0.75%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Gastrointestinal disorder</b>                |                 |                 |                 |
| subjects affected / exposed                     | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Peritoneal haemorrhage</b>                   |                 |                 |                 |
| subjects affected / exposed                     | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Retroperitoneal haematoma</b>                |                 |                 |                 |

|  |                 |                 |                 |
|--|-----------------|-----------------|-----------------|
| subjects affected / exposed                            | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Hepatobiliary disorders</b>                         |                 |                 |                 |
| Liver injury   |                 |                 |                 |
| subjects affected / exposed                            | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Respiratory, thoracic and mediastinal disorders</b> |                 |                 |                 |
| Asthma   |                 |                 |                 |
| subjects affected / exposed                            | 0 / 138 (0.00%) | 0 / 125 (0.00%) | 1 / 134 (0.75%) |
| occurrences causally related to treatment / all        | 0 / 0           | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Musculoskeletal and connective tissue disorders</b> |                 |                 |                 |
| Compartment syndrome                                   |                 |                 |                 |
| subjects affected / exposed                            | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Infections and infestations</b>                     |                 |                 |                 |
| Appendicitis   |                 |                 |                 |
| subjects affected / exposed                            | 0 / 138 (0.00%) | 1 / 125 (0.80%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| Gastroenteritis  |                 |                 |                 |
| subjects affected / exposed                            | 1 / 138 (0.72%) | 0 / 125 (0.00%) | 0 / 134 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |

Frequency threshold for reporting non-serious adverse events: 5 %

| <b>Non-serious adverse events</b>                     | Placebo           | Tio R2.5          | Tio R5            |
|---|-------------------|-------------------|-------------------|
| Total subjects affected by non-serious adverse events |                   |                   |                   |
| subjects affected / exposed                           | 62 / 138 (44.93%) | 55 / 125 (44.00%) | 62 / 134 (46.27%) |

|   |                         |                         |                         |
|---|-------------------------|-------------------------|-------------------------|
| Investigations<br>Peak expiratory flow rate decreased<br>subjects affected / exposed<br>occurrences (all)     | 8 / 138 (5.80%)<br>21   | 9 / 125 (7.20%)<br>21   | 6 / 134 (4.48%)<br>18   |
| Nervous system disorders<br>Headache<br>subjects affected / exposed<br>occurrences (all)                      | 2 / 138 (1.45%)<br>8    | 7 / 125 (5.60%)<br>15   | 9 / 134 (6.72%)<br>17   |
| Respiratory, thoracic and mediastinal disorders<br>Asthma<br>subjects affected / exposed<br>occurrences (all) | 32 / 138 (23.19%)<br>81 | 27 / 125 (21.60%)<br>57 | 23 / 134 (17.16%)<br>41 |
| Infections and infestations<br>Nasopharyngitis<br>subjects affected / exposed<br>occurrences (all)            | 17 / 138 (12.32%)<br>24 | 13 / 125 (10.40%)<br>15 | 20 / 134 (14.93%)<br>27 |
| Respiratory tract infection viral<br>subjects affected / exposed<br>occurrences (all)                         | 11 / 138 (7.97%)<br>14  | 11 / 125 (8.80%)<br>12  | 10 / 134 (7.46%)<br>11  |
| Tonsillitis<br>subjects affected / exposed<br>occurrences (all)   | 7 / 138 (5.07%)<br>7    | 2 / 125 (1.60%)<br>2    | 1 / 134 (0.75%)<br>1    |
| Upper respiratory tract infection<br>subjects affected / exposed<br>occurrences (all)                         | 6 / 138 (4.35%)<br>6    | 2 / 125 (1.60%)<br>2    | 7 / 134 (5.22%)<br>7    |
| Viral infection<br>subjects affected / exposed<br>occurrences (all)   | 6 / 138 (4.35%)<br>6    | 5 / 125 (4.00%)<br>5    | 7 / 134 (5.22%)<br>10   |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date             | Amendment   |
|------------------|---|
| 18 February 2011 | Global amendment no. 1 (dated 18 Feb 2011) was limited to administrative changes, corrections and clarifications. To follow the project standard and to establish consistency between studies, the definition for treatment-emergent AEs was extended to include all AEs occurring until 30 days (instead of 21 days as stipulated in the original protocol) after the last intake of trial drug.   |
| 06 May 2011      | Significant changes to the CTP introduced by global amendment no. 2 (dated 06 May 2011) were to allow reversibility testing for inclusion criterion no. 7 to be repeated once within 2 weeks if the patient did not reverse sufficiently during the first test, and correction of the reporting period for both AEs and SAEs to until 30 days after the last intake of trial drug.  |
| 06 February 2012 | Significant changes to the CTP introduced by global amendment no. 3 (dated 06 Feb 2012) included an increase in the washout period prior to Visit 1 for LABAs given twice daily from 24 h to 72 h (3 days) and for LABAs given once daily from 48 hours to 4 days to avoid their influence on screening spirometry values. Other changes included clarification of (S)AE reporting, and addition of AEs that are defined as 'always serious adverse events'. Completion of question 7 of the ACQ at Visits 4, 6, and 8 was to be performed during programming of the dataset for the CTR and not by data management. The sample size was increased from 81 randomised patients per treatment group to 127 randomised patients per treatment group following an update to the expected SD for the primary endpoint of change from trial baseline in FEV1 peak0-3h from 270 mL to 340 mL (based on the results from previous trials of tiotropium in asthma). |

Notes:

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### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

None reported