



## Clinical trial results:

### A Phase II, single-arm study of orally administered BKM120 as second-line therapy in patients with advanced endometrial carcinoma

#### Summary

|                          |                |
|--------------------------|----------------|
| EudraCT number           | 2010-022015-19 |
| Trial protocol           | ES BE DE IT    |
| Global end of trial date | 20 March 2014  |

#### Results information

|                                |              |
|--------------------------------|--------------|
| Result version number          | v1 (current) |
| This version publication date  | 27 May 2016  |
| First version publication date | 27 May 2016  |

#### Trial information

##### Trial identification

|                       |              |
|-----------------------|--------------|
| Sponsor protocol code | CBKM120C2201 |
|-----------------------|--------------|

##### Additional study identifiers

|                                    |             |
|------------------------------------|-------------|
| ISRCTN number                      | -           |
| ClinicalTrials.gov id (NCT number) | NCT01289041 |
| WHO universal trial number (UTN)   | -           |

Notes:

#### Sponsors

|                              |   |
|------------------------------|---|
| Sponsor organisation name    | Novartis Pharma AG  |
| Sponsor organisation address | CH-4002, Basel, Switzerland,                                  |
| Public contact               | Clinical Disclosure Office, Novartis Pharma AG, 41 613241111, |
| Scientific contact           | Clinical Disclosure Office, Novartis Pharma AG, 41 613241111, |

Notes:

#### Paediatric regulatory details

|  |    |
|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|  |               |
|--|---------------|
| Analysis stage                                       | Final         |
| Date of interim/final analysis                       | 20 March 2014 |
| Is this the analysis of the primary completion data? | No            |
| Global end of trial reached?                         | Yes           |
| Global end of trial date                             | 20 March 2014 |
| Was the trial ended prematurely?                     | No            |

Notes:

## General information about the trial

Main objective of the trial:

To demonstrate the efficacy of BKM120 as measured by Objective Response Rate (ORR) per RECIST in patients with advanced endometrial carcinoma who exhibit PI3K pathway activation.  
To demonstrate the efficacy of BKM120 as measured by ORR per RECIST in all patients enrolled in the study

Protection of trial subjects:

The study was in compliance with the ethical principles derived from the Declaration of Helsinki and the International Conference on Harmonization (ICH) Good Clinical Practice (GCP) Guidelines. All the local regulatory requirements pertinent to safety of trial subjects were also followed during the conduct of the trial.

Local radiotherapy for analgesic purposes or for lytic lesions at risk of fracture was to be carried out if required. The trial could be stopped early due to futility. In such case patients receiving study treatment at that time continued to receive BKM120 for as long as they continue to receive benefit in the opinion of the Investigator.

Background therapy: -

Evidence for comparator: -

|   |                  |
|---|------------------|
| Actual start date of recruitment                          | 11 February 2011 |
| Long term follow-up planned                               | No               |
| Independent data monitoring committee (IDMC) involvement? | No               |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |                       |
|--------------------------------------|-----------------------|
| Country: Number of subjects enrolled | Spain: 5              |
| Country: Number of subjects enrolled | Belgium: 7            |
| Country: Number of subjects enrolled | France: 4             |
| Country: Number of subjects enrolled | Germany: 2            |
| Country: Number of subjects enrolled | Italy: 5              |
| Country: Number of subjects enrolled | Brazil: 3             |
| Country: Number of subjects enrolled | Canada: 11            |
| Country: Number of subjects enrolled | Japan: 4              |
| Country: Number of subjects enrolled | United States: 19     |
| Country: Number of subjects enrolled | Russian Federation: 4 |
| Country: Number of subjects enrolled | Singapore: 3          |
| Country: Number of subjects enrolled | Australia: 3          |

|                                    |    |
|------------------------------------|----|
| Worldwide total number of subjects | 70 |
| EEA total number of subjects       | 23 |

Notes:

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**Subjects enrolled per age group**

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|   |    |
|---|----|
| In utero                                  | 0  |
| Preterm newborn - gestational age < 37 wk | 0  |
| Newborns (0-27 days)                      | 0  |
| Infants and toddlers (28 days-23 months)  | 0  |
| Children (2-11 years)                     | 0  |
| Adolescents (12-17 years)                 | 0  |
| Adults (18-64 years)                      | 40 |
| From 65 to 84 years                       | 30 |
| 85 years and over                         | 0  |

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## Subject disposition

### Recruitment

Recruitment details: -

### Pre-assignment

Screening details:

One hundred fourteen patients were screened and 70 patients were enrolled at 42 centers in 14 countries. Forty-nine patients had activated P13K pathway status and 21 with non-activated status. Forty patients screen failed for not meeting entry criteria and 4 patients were patient/investigator decision.

### Period 1

|                              |                            |
|------------------------------|----------------------------|
| Period 1 title               | Treatment (overall period) |
| Is this the baseline period? | Yes                        |
| Allocation method            | Not applicable             |
| Blinding used                | Not blinded                |

### Arms

|  |               |
|--|---------------|
| Arm title                              | All patients  |
| Arm description: -                     |               |
| Arm type                               | Experimental  |
| Investigational medicinal product name | Buparilisib   |
| Investigational medicinal product code | BKM120        |
| Other name                             |               |
| Pharmaceutical forms                   | Capsule, hard |
| Routes of administration               | Oral use      |

Dosage and administration details:

BKM120 was administered on a continuous once daily dosing schedule at a dose of 100 mg (po). The patients were dosed on a flat scale of mg/day and the dose of the drug was not to be adjusted to body weight or body surface area.

Patients were instructed to take the dose of BKM120 daily in the morning, at approximately the same time each day, except on the days of the fasting plasma glucose and c-peptide sampling and pharmacokinetic sampling when the patients were to take their dose in the clinic. On days with a pre-dose fasting glucose sample M120 was to be taken 1 hour after a light breakfast and on days with a PK blood sampling BKM120 was to be taken 1 hour after a light breakfast. Patients were instructed to avoid consumption of Seville oranges, grapefruit and hybrids and other exotic fruits during the course of the study.

| Number of subjects in period 1 | All patients |
|--------------------------------|--------------|
| Started                        | 70           |
| Completed                      | 0            |
| Not completed                  | 70           |
| Physician decision             | 1            |
| Consent withdrawn by subject   | 3            |
| Adverse event, non-fatal       | 24           |
| Death                          | 1            |
| Progressive disease            | 41           |



## Baseline characteristics

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### Reporting groups

|                       |           |
|-----------------------|-----------|
| Reporting group title | Treatment |
|-----------------------|-----------|

Reporting group description: -

| Reporting group values | Treatment | Total |  |
|------------------------|-----------|-------|--|
| Number of subjects     | 70        | 70    |  |
| Age categorical        |           |       |  |
| Units: Subjects        |           |       |  |
| Adults (18-64 years)   | 40        | 40    |  |
| From 65-84 years       | 30        | 30    |  |
| Gender categorical     |           |       |  |
| Units: Subjects        |           |       |  |
| Female                 | 70        | 70    |  |

## End points

### End points reporting groups

|  |                     |
|--|---------------------|
| Reporting group title  | All patients        |
| Reporting group description: -   |                     |
| Subject analysis set title   | P13K Activated      |
| Subject analysis set type  | Sub-group analysis  |
| Subject analysis set description:<br>Subjects who exhibit PI3K pathway activation defined as the presence of a PIK3CA and/or PTEN mutation and/or PTEN negative by IHC (less than 10% staining). |                     |
| Subject analysis set title   | P13K Non- Activated |
| Subject analysis set type  | Sub-group analysis  |
| Subject analysis set description:<br>Subjects who did not exhibit activation of P13K pathway   |                     |
| Subject analysis set title   | All patients        |
| Subject analysis set type  | Sub-group analysis  |
| Subject analysis set description:<br>All patients  |                     |

### Primary: Overall Response Rate (ORR) According to PI3K Activation Pathway Status

|  |  |
|--|--|
| End point title  | Overall Response Rate (ORR) According to PI3K Activation Pathway Status <sup>[1]</sup> |
| End point description:<br>ORR was based on investigator assessment of overall lesion response using RECIST criteria guidelines.<br><br>Overall response rate (ORR) = Complete Response (CR) + Partial Response (PR)<br>Complete Response (CR): Disappearance of all non-nodal target lesions. In addition, any pathological lymph nodes assigned as target lesions must have a reduction in short axis to < 10 mm <sup>1</sup><br>Partial Response (PR): At least a 30% decrease in the sum of diameter of all target lesions, taking as reference the baseline sum of diameters.<br><br>Interim analysis was performed when 24 patients with activated PI3K pathway were observed for at least 4 months. The following hypothesis was tested:<br>H0: ORR ≤ 10%<br>In favor of the alternative<br>H1: ORR >10%<br>Rejection of the null hypotheses was based on the computation of the probability to obtained observed ORR under a binomial distribution with parameter p0 = 0.10.<br>Full analysis set includes all patients who received at least one dose of study medication. |  |
| End point type   | Primary  |
| End point timeframe:<br>24 months  |  |

#### Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: There was no available method in this system to describe the statistical analysis provided for Overall Response Rate (ORR). A more detailed description of this endpoint can be found in the endpoint description.

| End point values                                   | P13K Activated       | P13K Non-Activated   | All patients         |  |
|--|----------------------|----------------------|----------------------|--|
| Subject group type                                 | Subject analysis set | Subject analysis set | Subject analysis set |  |
| Number of subjects analysed                        | 49                   | 21                   | 70                   |  |
| Units: Response Rate                               |                      |                      |                      |  |
| number (confidence interval 95%)                   |                      |                      |                      |  |
| Overall Reponse Rate (Complete + Partial Response) | 1 (0.1 to 10.9)      | 1 (0.1 to 23.8)      | 2 (0.3 to 9.9)       |  |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Progression Free Survival (PFS) According to PI3K Activation Pathway Status

|                 |   |
|-----------------|---|
| End point title | Progression Free Survival (PFS) According to PI3K Activation Pathway Status |
|-----------------|---|

End point description:

PFS is defined as the time from start of treatment to the date of first documented progression or death due to any cause. If a patient has not had an event, PFS will be censored at the date of last adequate tumor assessment. Full analysis set includes all patients who received at least one dose of study drug.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

24 months

| End point values                 | P13K Activated       | P13K Non-Activated   |  |  |
|----------------------------------|----------------------|----------------------|--|--|
| Subject group type               | Subject analysis set | Subject analysis set |  |  |
| Number of subjects analysed      | 49                   | 21                   |  |  |
| Units: Participants              |                      |                      |  |  |
| median (confidence interval 95%) | 1.9 (1.8 to 3.2)     | 1.9 (1.6 to 3.3)     |  |  |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Overall Survival (OS) According to PI3K Activation Pathway Status

|                 |   |
|-----------------|---|
| End point title | Overall Survival (OS) According to PI3K Activation Pathway Status |
|-----------------|---|

End point description:

Overall survival (OS) was defined as the time from start of treatment to the date of death due to any cause. If a patient is not known to have died, survival was censored at the last date of contact. OS was to be reported at extension and after 3-year follow-up. The Kaplan-Meier median was used to analyze the OS.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Overall Survival (OS) According to PI3K Activation Pathway Status

| <b>End point values</b>          | P13K Activated       | P13K Non-Activated   |  |  |
|----------------------------------|----------------------|----------------------|--|--|
| Subject group type               | Subject analysis set | Subject analysis set |  |  |
| Number of subjects analysed      | 49                   | 21                   |  |  |
| Units: months                    |                      |                      |  |  |
| median (confidence interval 95%) | 8.9 (6.3 to 16.2)    | 14.2 (8.6 to 24)     |  |  |

### Statistical analyses

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No statistical analyses for this end point

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

Adverse Events are collected from First Patient First Visit (FPFV) until Last Patient Last Visit (LPLV). All Adverse events are reported in this record from First Patient First Treatment until Last Patient Last Visit.

Adverse event reporting additional description:

Consistent with EudraCT disclosure specifications, Novartis has reported under the Serious adverse events field "number of deaths resulting from adverse events" all those deaths, resulting from serious adverse events that are deemed to be causally related to treatment by the investigator.

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                    |        |
|--------------------|--------|
| Dictionary name    | MedDRA |
| Dictionary version | 16.1   |

### Reporting groups

|                       |              |
|-----------------------|--------------|
| Reporting group title | All patients |
|-----------------------|--------------|

Reporting group description:

All patients

| Serious adverse events  | All patients     |  |  |
|---|------------------|--|--|
| Total subjects affected by serious adverse events                   |                  |  |  |
| subjects affected / exposed   | 33 / 70 (47.14%) |  |  |
| number of deaths (all causes)                                       | 7                |  |  |
| number of deaths resulting from adverse events                      | 1                |  |  |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) |                  |  |  |
| Tumour pain   |                  |  |  |
| subjects affected / exposed   | 1 / 70 (1.43%)   |  |  |
| occurrences causally related to treatment / all                     | 0 / 1            |  |  |
| deaths causally related to treatment / all                          | 0 / 0            |  |  |
| Vascular disorders  |                  |  |  |
| Hypotension   |                  |  |  |
| subjects affected / exposed   | 1 / 70 (1.43%)   |  |  |
| occurrences causally related to treatment / all                     | 0 / 1            |  |  |
| deaths causally related to treatment / all                          | 0 / 1            |  |  |
| General disorders and administration site conditions                |                  |  |  |
| Asthenia  |                  |  |  |

|   |                |  |  |
|---|----------------|--|--|
| subjects affected / exposed                     | 2 / 70 (2.86%) |  |  |
| occurrences causally related to treatment / all | 1 / 2          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Face oedema                                     |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Immune system disorders                         |                |  |  |
| Hypersensitivity                                |                |  |  |
| subjects affected / exposed                     | 3 / 70 (4.29%) |  |  |
| occurrences causally related to treatment / all | 4 / 4          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Respiratory, thoracic and mediastinal disorders |                |  |  |
| Bronchospasm                                    |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Dyspnoea  |                |  |  |
| subjects affected / exposed                     | 2 / 70 (2.86%) |  |  |
| occurrences causally related to treatment / all | 1 / 2          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Psychiatric disorders                           |                |  |  |
| Anxiety   |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Confusional state                               |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Delirium  |                |  |  |
| subjects affected / exposed                     | 2 / 70 (2.86%) |  |  |
| occurrences causally related to treatment / all | 2 / 2          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |

|   |                |  |  |
|---|----------------|--|--|
| Mental status changes                           |                |  |  |
| subjects affected / exposed                     | 2 / 70 (2.86%) |  |  |
| occurrences causally related to treatment / all | 2 / 2          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Investigations                                  |                |  |  |
| International normalised ratio increased        |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Transaminases increased                         |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Cardiac disorders                               |                |  |  |
| Acute myocardial infarction                     |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 1          |  |  |
| Cardio-respiratory arrest                       |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 1          |  |  |
| Nervous system disorders                        |                |  |  |
| Cerebrovascular accident                        |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 1          |  |  |
| Convulsion                                      |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Encephalopathy                                  |                |  |  |

|   |                |  |  |
|---|----------------|--|--|
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Leukoencephalopathy                             |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Blood and lymphatic system disorders            |                |  |  |
| Anaemia   |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Gastrointestinal disorders                      |                |  |  |
| Abdominal pain                                  |                |  |  |
| subjects affected / exposed                     | 2 / 70 (2.86%) |  |  |
| occurrences causally related to treatment / all | 1 / 2          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Ascites   |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Colonic obstruction                             |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Erosive oesophagitis                            |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Intestinal obstruction                          |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Nausea  |                |  |  |

|   |                |  |  |
|---|----------------|--|--|
| subjects affected / exposed                           | 2 / 70 (2.86%) |  |  |
| occurrences causally related to treatment / all       | 1 / 2          |  |  |
| deaths causally related to treatment / all            | 0 / 0          |  |  |
| <b>Stomatitis</b>                                     |                |  |  |
| subjects affected / exposed                           | 2 / 70 (2.86%) |  |  |
| occurrences causally related to treatment / all       | 2 / 2          |  |  |
| deaths causally related to treatment / all            | 0 / 0          |  |  |
| <b>Vomiting</b>                                       |                |  |  |
| subjects affected / exposed                           | 3 / 70 (4.29%) |  |  |
| occurrences causally related to treatment / all       | 2 / 3          |  |  |
| deaths causally related to treatment / all            | 0 / 0          |  |  |
| <b>Skin and subcutaneous tissue disorders</b>         |                |  |  |
| Drug reaction with eosinophilia and systemic symptoms |                |  |  |
| subjects affected / exposed                           | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all       | 1 / 1          |  |  |
| deaths causally related to treatment / all            | 0 / 0          |  |  |
| <b>Rash</b>   |                |  |  |
| subjects affected / exposed                           | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all       | 1 / 1          |  |  |
| deaths causally related to treatment / all            | 0 / 0          |  |  |
| <b>Renal and urinary disorders</b>                    |                |  |  |
| Hydronephrosis  |                |  |  |
| subjects affected / exposed                           | 3 / 70 (4.29%) |  |  |
| occurrences causally related to treatment / all       | 0 / 3          |  |  |
| deaths causally related to treatment / all            | 0 / 0          |  |  |
| <b>Renal failure</b>                                  |                |  |  |
| subjects affected / exposed                           | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all       | 0 / 1          |  |  |
| deaths causally related to treatment / all            | 0 / 0          |  |  |
| <b>Renal failure acute</b>                            |                |  |  |
| subjects affected / exposed                           | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all       | 0 / 1          |  |  |
| deaths causally related to treatment / all            | 0 / 1          |  |  |

|   |                |  |  |
|---|----------------|--|--|
| Urinary incontinence                            |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Musculoskeletal and connective tissue disorders |                |  |  |
| Myalgia   |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Infections and infestations                     |                |  |  |
| Bacteraemia                                     |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Cellulitis                                      |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Lung infection                                  |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Urinary tract infection                         |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Sepsis  |                |  |  |
| subjects affected / exposed                     | 2 / 70 (2.86%) |  |  |
| occurrences causally related to treatment / all | 1 / 2          |  |  |
| deaths causally related to treatment / all      | 1 / 1          |  |  |
| Uterine infection                               |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |

|   |                |  |  |
|---|----------------|--|--|
| Urosepsis                                       |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Vulval abscess                                  |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Metabolism and nutrition disorders              |                |  |  |
| Decreased appetite                              |                |  |  |
| subjects affected / exposed                     | 2 / 70 (2.86%) |  |  |
| occurrences causally related to treatment / all | 2 / 2          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Hypercreatininaemia                             |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Dehydration                                     |                |  |  |
| subjects affected / exposed                     | 4 / 70 (5.71%) |  |  |
| occurrences causally related to treatment / all | 2 / 5          |  |  |
| deaths causally related to treatment / all      | 0 / 1          |  |  |
| Hyperglycaemia                                  |                |  |  |
| subjects affected / exposed                     | 4 / 70 (5.71%) |  |  |
| occurrences causally related to treatment / all | 4 / 4          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Hypoalbuminaemia                                |                |  |  |
| subjects affected / exposed                     | 1 / 70 (1.43%) |  |  |
| occurrences causally related to treatment / all | 1 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |

Frequency threshold for reporting non-serious adverse events: 5 %

| <b>Non-serious adverse events</b>                     | All patients     |  |  |
|---|------------------|--|--|
| Total subjects affected by non-serious adverse events |                  |  |  |
| subjects affected / exposed                           | 67 / 70 (95.71%) |  |  |
| Investigations  |                  |  |  |
| Alanine aminotransferase increased                    |                  |  |  |
| subjects affected / exposed                           | 17 / 70 (24.29%) |  |  |
| occurrences (all)                                     | 20               |  |  |
| Aspartate aminotransferase increased                  |                  |  |  |
| subjects affected / exposed                           | 18 / 70 (25.71%) |  |  |
| occurrences (all)                                     | 20               |  |  |
| Blood alkaline phosphatase increased                  |                  |  |  |
| subjects affected / exposed                           | 4 / 70 (5.71%)   |  |  |
| occurrences (all)                                     | 4                |  |  |
| Blood creatinine increased                            |                  |  |  |
| subjects affected / exposed                           | 6 / 70 (8.57%)   |  |  |
| occurrences (all)                                     | 6                |  |  |
| Gamma-glutamyltransferase increased                   |                  |  |  |
| subjects affected / exposed                           | 11 / 70 (15.71%) |  |  |
| occurrences (all)                                     | 12               |  |  |
| Weight decreased                                      |                  |  |  |
| subjects affected / exposed                           | 14 / 70 (20.00%) |  |  |
| occurrences (all)                                     | 14               |  |  |
| Vascular disorders                                    |                  |  |  |
| Hypertension  |                  |  |  |
| subjects affected / exposed                           | 5 / 70 (7.14%)   |  |  |
| occurrences (all)                                     | 5                |  |  |
| Nervous system disorders                              |                  |  |  |
| Dysgeusia   |                  |  |  |
| subjects affected / exposed                           | 10 / 70 (14.29%) |  |  |
| occurrences (all)                                     | 11               |  |  |
| Dizziness   |                  |  |  |
| subjects affected / exposed                           | 11 / 70 (15.71%) |  |  |
| occurrences (all)                                     | 11               |  |  |
| Memory impairment                                     |                  |  |  |
| subjects affected / exposed                           | 5 / 70 (7.14%)   |  |  |
| occurrences (all)                                     | 5                |  |  |

|  |                        |  |  |
|--|------------------------|--|--|
| Headache<br>subjects affected / exposed<br>occurrences (all)   | 8 / 70 (11.43%)<br>10  |  |  |
| Tremor<br>subjects affected / exposed<br>occurrences (all)   | 7 / 70 (10.00%)<br>7   |  |  |
| Blood and lymphatic system disorders<br>Anaemia<br>subjects affected / exposed<br>occurrences (all)                  | 13 / 70 (18.57%)<br>14 |  |  |
| General disorders and administration site conditions<br>Asthenia<br>subjects affected / exposed<br>occurrences (all) | 6 / 70 (8.57%)<br>7    |  |  |
| Fatigue<br>subjects affected / exposed<br>occurrences (all)  | 24 / 70 (34.29%)<br>28 |  |  |
| Oedema peripheral<br>subjects affected / exposed<br>occurrences (all)  | 9 / 70 (12.86%)<br>9   |  |  |
| Pain<br>subjects affected / exposed<br>occurrences (all)   | 4 / 70 (5.71%)<br>4    |  |  |
| Pyrexia<br>subjects affected / exposed<br>occurrences (all)  | 6 / 70 (8.57%)<br>8    |  |  |
| Gastrointestinal disorders<br>Abdominal pain<br>subjects affected / exposed<br>occurrences (all)                     | 15 / 70 (21.43%)<br>16 |  |  |
| Constipation<br>subjects affected / exposed<br>occurrences (all)   | 12 / 70 (17.14%)<br>13 |  |  |
| Diarrhoea<br>subjects affected / exposed<br>occurrences (all)  | 17 / 70 (24.29%)<br>28 |  |  |

|   |                        |  |  |
|---|------------------------|--|--|
| Dyspepsia<br>subjects affected / exposed<br>occurrences (all)   | 9 / 70 (12.86%)<br>9   |  |  |
| Dysphagia<br>subjects affected / exposed<br>occurrences (all)   | 4 / 70 (5.71%)<br>4    |  |  |
| Vomiting<br>subjects affected / exposed<br>occurrences (all)  | 14 / 70 (20.00%)<br>27 |  |  |
| Stomatitis<br>subjects affected / exposed<br>occurrences (all)  | 10 / 70 (14.29%)<br>11 |  |  |
| Nausea<br>subjects affected / exposed<br>occurrences (all)  | 31 / 70 (44.29%)<br>36 |  |  |
| Respiratory, thoracic and mediastinal disorders<br>Dyspnoea<br>subjects affected / exposed<br>occurrences (all) | 8 / 70 (11.43%)<br>9   |  |  |
| Skin and subcutaneous tissue disorders<br>Dry skin<br>subjects affected / exposed<br>occurrences (all)          | 8 / 70 (11.43%)<br>9   |  |  |
| Erythema<br>subjects affected / exposed<br>occurrences (all)  | 6 / 70 (8.57%)<br>7    |  |  |
| Rash<br>subjects affected / exposed<br>occurrences (all)  | 21 / 70 (30.00%)<br>22 |  |  |
| Pruritus<br>subjects affected / exposed<br>occurrences (all)  | 10 / 70 (14.29%)<br>11 |  |  |
| Psychiatric disorders<br>Anxiety<br>subjects affected / exposed<br>occurrences (all)                            | 17 / 70 (24.29%)<br>17 |  |  |

|  |                        |  |  |
|--|------------------------|--|--|
| Depression<br>subjects affected / exposed<br>occurrences (all)   | 16 / 70 (22.86%)<br>17 |  |  |
| Confusional state<br>subjects affected / exposed<br>occurrences (all)  | 9 / 70 (12.86%)<br>9   |  |  |
| Insomnia<br>subjects affected / exposed<br>occurrences (all)   | 8 / 70 (11.43%)<br>8   |  |  |
| Mood altered<br>subjects affected / exposed<br>occurrences (all)   | 6 / 70 (8.57%)<br>7    |  |  |
| Musculoskeletal and connective tissue disorders<br>Back pain<br>subjects affected / exposed<br>occurrences (all) | 6 / 70 (8.57%)<br>6    |  |  |
| Musculoskeletal pain<br>subjects affected / exposed<br>occurrences (all)   | 4 / 70 (5.71%)<br>4    |  |  |
| Pain in extremity<br>subjects affected / exposed<br>occurrences (all)  | 4 / 70 (5.71%)<br>4    |  |  |
| Infections and infestations<br>Urinary tract infection<br>subjects affected / exposed<br>occurrences (all)       | 10 / 70 (14.29%)<br>10 |  |  |
| Metabolism and nutrition disorders<br>Decreased appetite<br>subjects affected / exposed<br>occurrences (all)     | 28 / 70 (40.00%)<br>31 |  |  |
| Hyperglycaemia<br>subjects affected / exposed<br>occurrences (all)   | 37 / 70 (52.86%)<br>54 |  |  |
| Hypoalbuminaemia<br>subjects affected / exposed<br>occurrences (all)   | 4 / 70 (5.71%)<br>5    |  |  |

|                             |                  |  |  |
|-----------------------------|------------------|--|--|
| Hypokalaemia                |                  |  |  |
| subjects affected / exposed | 12 / 70 (17.14%) |  |  |
| occurrences (all)           | 21               |  |  |
| Hypomagnesaemia             |                  |  |  |
| subjects affected / exposed | 5 / 70 (7.14%)   |  |  |
| occurrences (all)           | 6                |  |  |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date          | Amendment   |
|---------------|---|
| 21 April 2011 | <p>Amendment 1</p> <p>Due to safety findings, modifications were made to the protocol including: stringent liver specific inclusion/exclusion criteria, follow-up assessments in case of LFT elevations, and a standardized follow up was introduced in case of pneumonitis.</p> <p>Clarifications have been introduced regarding the storage of samples collected and the amount of archival tissue material required for biomarker evaluations. Specific corrective measures including procedures for monitoring liver function during the study, dose modification and follow-up guidelines in case of development of liver toxicity, monitoring of mood alternations. The exclusion criterion #3 on Corticosteroids was updated to clarify that patients with controlled and asymptomatic CNS metastases could receive stable low dose corticosteroid treatment at study entry and continue on unmodified low dose corticosteroids therapy.</p> <p>Treatment compliance was consolidated by including guidelines for definition and treatment of overdose.</p> <p>Clarifications were made regarding the assessment of patients with unknown PI3K status at the time of the interim analyses. Guidance on treatment options for patients requiring anticoagulant treatment during the study was added, as treatment with warfarin sodium (Coumadin®) or any other coumarin-derivative anticoagulants were not permitted during the study.</p> |

Notes:

### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

Limitations of the trial such as small numbers of subjects analysed or technical problems leading to unreliable data.

The interim analysis provided limited evidence for the efficacy of single agent buparlisib in patients with endometrial cancer, and the observed number of responders did not allow crossing futility boundary and the enrollment was stopped.

Notes: