



## Clinical trial results:

### A FIRST IN HUMAN CLINICAL STUDY ON THE SAFETY AND TOLERABILITY OF TWO ESCALATING SINGLE DOSES OF CHF 5633 (SYNTHETIC SURFACTANT) IN PRETERM NEONATES WITH RESPIRATORY DISTRESS SYNDROME

Due to the EudraCT – Results system being out of service between 31 July 2015 and 12 January 2016, these results have been published in compliance with revised timelines.

#### Summary

EudraCT number	2011-001331-22
Trial protocol	DE GB CZ
Global end of trial date	23 January 2015

#### Results information

Result version number	v1
This version publication date	27 July 2016
First version publication date	27 July 2016

#### Trial information

##### Trial identification

Sponsor protocol code	CCD-1011-PR-0059
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##### Additional study identifiers

ISRCTN number	-
ClinicalTrials.gov id (NCT number)	NCT01651637
WHO universal trial number (UTN)	-

Notes:

#### Sponsors

Sponsor organisation name	Chiesi Farmaceutici S.p.A.
Sponsor organisation address	Via Palermo, 26/A, Parma, Italy, 43122
Public contact	Clinical Trial Transparency, Chiesi Farmaceutici S.p.A., ClinicalTrials_info@chiesi.com
Scientific contact	Clinical Trial Transparency, Chiesi Farmaceutici S.p.A., ClinicalTrials_info@chiesi.com

Notes:

#### Paediatric regulatory details

Is trial part of an agreed paediatric investigation plan (PIP)	No
Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial?	No
Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial?	No

Notes:

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**Results analysis stage**

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Analysis stage	Final
Date of interim/final analysis	23 January 2015
Is this the analysis of the primary completion data?	Yes
Primary completion date	23 January 2015
Global end of trial reached?	Yes
Global end of trial date	23 January 2015
Was the trial ended prematurely?	No

Notes:

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**General information about the trial**

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Main objective of the trial:

The primary objective of this study was to evaluate the safety and tolerability of intratracheal administration of two different single doses of CHF 5633 in preterm neonates with respiratory distress syndrome (RDS) in terms of adverse events (AEs), adverse drug reactions (ADRs), haematology and blood chemistry values, and incidence of major neonatal morbidities and mortality.

Protection of trial subjects:

The study was conducted in accordance with the Declaration of Helsinki, Good Clinical Practice (GCP) guidelines and local law requirements. Other than routine care, no specific measures for protection of trial subjects were implemented.

Background therapy: -

Evidence for comparator: -

Actual start date of recruitment	03 October 2012
Long term follow-up planned	No
Independent data monitoring committee (IDMC) involvement?	No

Notes:

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**Population of trial subjects**

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**Subjects enrolled per country**

Country: Number of subjects enrolled	United Kingdom: 21
Country: Number of subjects enrolled	Czech Republic: 11
Country: Number of subjects enrolled	Germany: 8
Worldwide total number of subjects	40
EEA total number of subjects	40

Notes:

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**Subjects enrolled per age group**

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In utero	0
Preterm newborn - gestational age < 37 wk	40
Newborns (0-27 days)	0
Infants and toddlers (28 days-23 months)	0
Children (2-11 years)	0
Adolescents (12-17 years)	0
Adults (18-64 years)	0

From 65 to 84 years	0
85 years and over	0

## Subject disposition

### Recruitment

Recruitment details:

In both study cohorts, neonates were recruited/enrolled in a stepwise fashion, based on a safety evaluation following the administration of CHF 5633. If no major safety concerns were raised for each member of the first 4-neonate group and for the group overall, recruitment proceeded to the next 4-neonate group until 20 neonates were enrolled.

### Pre-assignment

Screening details:

Seventy-five neonates were screened for the FIH study; 35 failed the screening, based on inclusion/exclusion criteria (n=33), investigator decision (n=1) or consent withdrawal (n=1)

### Period 1

Period 1 title	Overall trial (overall period)
Is this the baseline period?	Yes
Allocation method	Not applicable
Blinding used	Not blinded

Blinding implementation details:

Not applicable; this was an open-label study.

### Arms

Are arms mutually exclusive?	Yes
<b>Arm title</b>	Cohort A

Arm description:

Neonates in cohort A received a single dose of CHF 5633 (100 mg/kg birth weight) administered intratracheally within 48 hours from birth.

Arm type	Experimental
Investigational medicinal product name	CHF 5633
Investigational medicinal product code	
Other name	SP B analogue (CHF 5736.03) + SP-C analogue (CHF 4902.03) + dipalmitoylphosphatidylcholine + 1-palmitoyl-2-oleoyl-sn-glycero-3-phosphoglycerol sodium salt
Pharmaceutical forms	Endotracheopulmonary instillation, suspension
Routes of administration	Intratracheal use

Dosage and administration details:

CHF 5633 (100 mg/kg birth weight) as a single intratracheal dose within 48 hours from birth.

<b>Arm title</b>	Cohort B
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Arm description:

After completion of treatment in Cohort A neonates in cohort B received a single dose of CHF 5633 (200 mg/kg birth weight) administered intratracheally within 48 hours from birth.

Arm type	Experimental
Investigational medicinal product name	CHF 5633
Investigational medicinal product code	
Other name	SP B analogue (CHF 5736.03) + SP-C analogue (CHF 4902.03) + dipalmitoylphosphatidylcholine + 1-palmitoyl-2-oleoyl-sn-glycero-3-phosphoglycerol sodium salt
Pharmaceutical forms	Endotracheopulmonary instillation, suspension
Routes of administration	Intratracheal use

Dosage and administration details:

CHF 5633 (200 mg/kg birth weight) as a single intratracheal dose within 48 hours from birth.

<b>Number of subjects in period 1</b>	Cohort A	Cohort B
Started	20	20
Completed	20	19
Not completed	0	1
Adverse event, serious fatal	-	1

## Baseline characteristics

### Reporting groups

Reporting group title	Overall trial
Reporting group description: -	

Reporting group values	Overall trial	Total	
Number of subjects	40	40	
Age categorical			
Units: Subjects			
Newborns (0-27 days)	40	40	
Age continuous			
Age is gestational age.			
Units: weeks			
arithmetic mean	29.6		
standard deviation	± 1.93	-	
Gender categorical			
Units: Subjects			
Female	19	19	
Male	21	21	

### Subject analysis sets

Subject analysis set title	Cohort A - Safety/Efficacy analysis
Subject analysis set type	Safety analysis

Subject analysis set description:

All neonates who received any dose level of IMPCHF5633.

This population was used for analysis of safety and efficacy analyses assessments

Subject analysis set title	Cohort B - Safety/Efficacy analysis
Subject analysis set type	Safety analysis

Subject analysis set description:

All neonates who received any dose level of IMPCHF5633.

This population was used for analysis of safety and efficacy analyses assessments

Subject analysis set title	Cohort A - Systemic absorption analysis
Subject analysis set type	Sub-group analysis

Subject analysis set description:

All neonates in the safety population with valid systemic absorption measurements.

This population was used for analysis of SP-B and SP-C analogues.

Subject analysis set title	Cohort B - Systemic absorption analysis
Subject analysis set type	Sub-group analysis

Subject analysis set description:

All neonates in the safety population with valid systemic absorption measurements.

This population was used for analysis of SP-B and SP-C analogues.

Reporting group values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis	Cohort A - Systemic absorption analysis
Number of subjects	20	20	20

Age categorical			
Units: Subjects			
Newborns (0-27 days)	20	20	20
Age continuous			
Age is gestational age.			
Units: weeks			
arithmetic mean	29.6	29.6	29.6
standard deviation	± 2.04	± 1.88	± 2.04
Gender categorical			
Units: Subjects			
Female	9	10	9
Male	11	10	11

<b>Reporting group values</b>	Cohort B - Systemic absorption analysis		
Number of subjects	20		
Age categorical			
Units: Subjects			
Newborns (0-27 days)	20		
Age continuous			
Age is gestational age.			
Units: weeks			
arithmetic mean	29.6		
standard deviation	± 1.88		
Gender categorical			
Units: Subjects			
Female	10		
Male	10		

## End points

### End points reporting groups

Reporting group title	Cohort A
Reporting group description: Neonates in cohort A received a single dose of CHF 5633 (100 mg/kg birth weight) administered intratracheally within 48 hours from birth.	
Reporting group title	Cohort B
Reporting group description: After completion of treatment in Cohort A neonates in cohort B received a single dose of CHF 5633 (200 mg/kg birth weight) administered intratracheally within 48 hours from birth.	
Subject analysis set title	Cohort A - Safety/Efficacy analysis
Subject analysis set type	Safety analysis
Subject analysis set description: All neonates who received any dose level of IMPCHF5633. This population was used for analysis of safety and efficacy analyses assessments	
Subject analysis set title	Cohort B - Safety/Efficacy analysis
Subject analysis set type	Safety analysis
Subject analysis set description: All neonates who received any dose level of IMPCHF5633. This population was used for analysis of safety and efficacy analyses assessments	
Subject analysis set title	Cohort A - Systemic absorption analysis
Subject analysis set type	Sub-group analysis
Subject analysis set description: All neonates in the safety population with valid systemic absorption measurements. This population was used for analysis of SP-B and SP-C analogues.	
Subject analysis set title	Cohort B - Systemic absorption analysis
Subject analysis set type	Sub-group analysis
Subject analysis set description: All neonates in the safety population with valid systemic absorption measurements. This population was used for analysis of SP-B and SP-C analogues.	

### Primary: Systolic blood pressure

End point title	Systolic blood pressure <sup>[1]</sup>
End point description: Only changes from baseline at 24 hrs for the two cohorts separately are reported here.	
End point type	Primary
End point timeframe: Observed and change from baseline values of vital signs by cohort and overall were assessed following CHF 5633 administration at: - 30 minutes - 1, 3, 6, 12, 24 hours - 2, 3, 5, 7 days - in the follow-up period	

#### Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: No statistical analysis was performed for this parameter. Only descriptive statistics are available.



End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	18	20		
Units: mmHg				
arithmetic mean (standard deviation)	3.8 (± 9.05)	4.7 (± 7.15)		

## Statistical analyses

No statistical analyses for this end point

### Primary: Diastolic blood pressure

End point title	Diastolic blood pressure <sup>[2]</sup>
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End point description:

Only changes from baseline at 24 hrs for the two cohorts separately are reported here.

End point type	Primary
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End point timeframe:

Observed and change from baseline values of vital signs by cohort and overall were assessed following CHF 5633 administration at:

- 30 minutes
- 1, 3, 6, 12, 24 hours
- 2, 3, 5, 7 days
- in the follow-up period

Notes:

[2] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: No statistical analysis was performed for this parameter. Only descriptive statistics are available.

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	18	20		
Units: mmHg				
arithmetic mean (standard deviation)	3.6 (± 8.54)	5.8 (± 7.92)		

## Statistical analyses

No statistical analyses for this end point

### Primary: Heart rate

End point title	Heart rate <sup>[3]</sup>
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End point description:

Only changes from baseline at 24 hrs for the two cohorts separately are reported here.

End point type	Primary
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End point timeframe:

Observed and change from baseline values of vital signs by cohort and overall were assessed following CHF 5633 administration at:

- 30 minutes
- 1, 3, 6, 12, 24 hours
- 2, 3, 5, 7 days
- in the follow-up period

Notes:

[3] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: No statistical analysis was performed for this parameter. Only descriptive statistics are available.

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	20	20		
Units: bpm				
arithmetic mean (standard deviation)	-0.4 (± 22.36)	-0.7 (± 11.17)		

## Statistical analyses

No statistical analyses for this end point

## Secondary: SpO2

End point title	SpO2
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End point description:

Only changes from baseline at 24 hrs for the two cohorts separately are reported here

End point type	Secondary
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End point timeframe:

Observed and change from baseline values by cohort and overall were assessed following CHF 5633 administration at:

- 30 minutes
- 1, 3, 6, 12, 24 hours
- 2, 3, 5, 7 days
- in the follow-up period

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	20	20		
Units: percent				
arithmetic mean (standard deviation)	2.3 (± 3.93)	2.6 (± 2.91)		

## Statistical analyses

No statistical analyses for this end point

## Secondary: FiO2

End point title	FiO2
End point description: Only changes from baseline at 24 hrs for the two cohorts separately are reported here.	
End point type	Secondary
End point timeframe: Observed and change from baseline values by cohort and overall were assessed following CHF 5633 administration at: - 30 minutes - 1, 3, 6, 12, 24 hours - 2, 3, 5, 7 days - in the follow-up period	

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	16	19		
Units: percent				
arithmetic mean (standard deviation)	-23.4 ( $\pm$ 19.09)	-29.4 ( $\pm$ 13.68)		

### Statistical analyses

No statistical analyses for this end point

### Secondary: FiO2 AUC0-6

End point title	FiO2 AUC0-6
End point description: Only data for the two cohorts separately are reported here.	
End point type	Secondary
End point timeframe: FiO2 AUC0-6 was assessed for the two cohorts and for the overall population based on the measurements performed at 30 min and 1, 3 and 6 hours following CHF 5633 administration.	

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	19	20		
Units: digit				
arithmetic mean (standard deviation)	160.3 ( $\pm$ 29.94)	159 ( $\pm$ 39.38)		

### Statistical analyses

No statistical analyses for this end point

### Secondary: FiO2 AUC0-12

End point title  
FiO2 AUC0-12

End point description:  
Only data for the two cohorts separately are reported here.

End point type  
Secondary

End point timeframe:

FiO2 AUC0-12 was assessed for the two cohorts and for the overall population based on the measurements performed at 30 min and 1, 3, 6 and 12 hours following CHF 5633 administration.

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	19	20		
Units: digit				
arithmetic mean (standard deviation)	306.8 (± 55.34)	159 (± 39.38)		

### Statistical analyses

No statistical analyses for this end point

### Secondary: Mean airway pressure (MAP)

End point title  
Mean airway pressure (MAP)

End point description:  
Only changes from baseline at 24 hrs for the two cohorts separately are reported here.

End point type  
Secondary

End point timeframe:

Observed and change from baseline values by cohort and overall were assessed following CHF 5633 administration at:

- 30 minutes
- 1, 3, 6, 12, 24 hours
- 2, 3, 5, 7 days
- in the follow-up period

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	5	2		
Units: cmH2O				
arithmetic mean (standard deviation)	-1 (± 1.17)	-3.1 (± 4.03)		

## Statistical analyses

No statistical analyses for this end point

### Secondary: Peak inspiratory pressure (PIP)

End point title	Peak inspiratory pressure (PIP)
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End point description:

Only changes from baseline at 24 hrs for cohort A are reported here. Values for Cohort B were not estimable.

End point type	Secondary
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End point timeframe:

Observed and change from baseline values by cohort and overall were assessed following CHF 5633 administration at:

- 30 minutes
- 1, 3, 6, 12, 24 hours
- 2, 3, 5, 7 days
- in the follow-up period

End point values	Cohort A - Safety/Efficacy analysis			
Subject group type	Subject analysis set			
Number of subjects analysed	2			
Units: cmH2O				
arithmetic mean (standard deviation)	-6 ( $\pm$ 0)			

## Statistical analyses

No statistical analyses for this end point

### Secondary: Positive end-expiratory pressure (PEEP)

End point title	Positive end-expiratory pressure (PEEP)
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End point description:

Only changes from baseline at 24 hrs for the two cohorts separately are reported here.

End point type	Secondary
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End point timeframe:

Observed and change from baseline values by cohort and overall were assessed following CHF 5633 administration at:

- 30 minutes
- 1, 3, 6, 12, 24 hours
- 2, 3, 5, 7 days
- in the follow-up period

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	15	16		
Units: cmH2O				
arithmetic mean (standard deviation)	-0.3 ( $\pm$ 1.37)	0.3 ( $\pm$ 1.32)		

### Statistical analyses

No statistical analyses for this end point

### Secondary: Duration of invasive ventilation

End point title	Duration of invasive ventilation
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End point description:

End point type	Secondary
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End point timeframe:

Assessments concerning ventilation following CHF 5633 administration were performed at:

- 30 minutes
- 1, 3, 6, 12, 24 hours
- 2, 3, 5, 7 days
- in the follow-up period

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	16	14		
Units: days				
arithmetic mean (standard deviation)	1.5 ( $\pm$ 2.56)	1.3 ( $\pm$ 3.04)		

### Statistical analyses

No statistical analyses for this end point

### Secondary: Duration of non-invasive ventilation

End point title	Duration of non-invasive ventilation
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End point description:

End point type	Secondary
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End point timeframe:

Assessments concerning ventilation following CHF 5633 administration were performed at:

- 30 minutes
- 1, 3, 6, 12, 24 hours
- 2, 3, 5, 7 days
- in the follow-up period

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	18	20		
Units: days				
arithmetic mean (standard deviation)	17.6 ( $\pm$ 14.2)	10.7 ( $\pm$ 10.79)		

### Statistical analyses

No statistical analyses for this end point

### Secondary: Duration of nasal continuous positive airway pressure (nCPAP)

End point title	Duration of nasal continuous positive airway pressure (nCPAP)
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End point description:

End point type	Secondary
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End point timeframe:

Assessments concerning nCPAP following CHF 5633 administration were performed at:

- 30 minutes
- 1, 3, 6, 12, 24 hours
- 2, 3, 5, 7 days
- in the follow-up period

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	18	20		
Units: days				
arithmetic mean (standard deviation)	17.5 ( $\pm$ 14.13)	10.7 ( $\pm$ 10.8)		

### Statistical analyses

No statistical analyses for this end point

### Secondary: Number of non-responders receiving rescue treatment

End point title	Number of non-responders receiving rescue treatment
End point description:	
End point type	Secondary
End point timeframe:	
Number of non-responders was assessed based on the administration of rescue treatment (poractant alfa) at 1, 3, 6, 12 and 24 hours as needed	

End point values	Cohort A - Safety/Efficacy analysis	Cohort B - Safety/Efficacy analysis		
Subject group type	Subject analysis set	Subject analysis set		
Number of subjects analysed	20	20		
Units: integer	1	0		

### Statistical analyses

No statistical analyses for this end point



## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

Adverse events were monitored on a continual basis for the first 7 days following treatment. Adverse events that were ongoing at Day 7, together with any new AEs were evaluated at Days 10 and 28, at discharge home, and the Week 36 PMA.

Assessment type	Systematic
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### Dictionary used

Dictionary name	MedDRA
Dictionary version	12.0

### Reporting groups

Reporting group title	Cohort A - Safety population
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Reporting group description: -

Reporting group title	Cohort B - Safety population
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Reporting group description: -

Serious adverse events	Cohort A - Safety population	Cohort B - Safety population	
Total subjects affected by serious adverse events			
subjects affected / exposed	0 / 20 (0.00%)	2 / 20 (10.00%)	
number of deaths (all causes)	0	1	
number of deaths resulting from adverse events	0	0	
Gastrointestinal disorders			
Necrotising enterocolitis neonatal			
subjects affected / exposed	0 / 20 (0.00%)	1 / 20 (5.00%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 1	
Infections and infestations			
Bronchiolitis			
subjects affected / exposed	0 / 20 (0.00%)	1 / 20 (5.00%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	

Frequency threshold for reporting non-serious adverse events: 5 %

Non-serious adverse events	Cohort A - Safety population	Cohort B - Safety population	
Total subjects affected by non-serious adverse events			
subjects affected / exposed	19 / 20 (95.00%)	19 / 20 (95.00%)	

Vascular disorders Hypotension subjects affected / exposed occurrences (all)	0 / 20 (0.00%) 0	1 / 20 (5.00%) 1	
Pregnancy, puerperium and perinatal conditions Jaundice neonatal subjects affected / exposed occurrences (all)	6 / 20 (30.00%) 7	2 / 20 (10.00%) 3	
General disorders and administration site conditions Oedema subjects affected / exposed occurrences (all)	1 / 20 (5.00%) 1	0 / 20 (0.00%) 0	
Respiratory, thoracic and mediastinal disorders Bronchopulmonary dysplasia subjects affected / exposed occurrences (all)  Infantile apnoeic attack subjects affected / exposed occurrences (all)  Neonatal respiratory distress syndrome subjects affected / exposed occurrences (all)  Pneumothorax subjects affected / exposed occurrences (all)  Pulmonary hypertension subjects affected / exposed occurrences (all)  Pulmonary interstitial emphysema syndrome subjects affected / exposed occurrences (all)  Pulmonary oedema subjects affected / exposed occurrences (all)	2 / 20 (10.00%) 2  2 / 20 (10.00%) 2  2 / 20 (10.00%) 2  1 / 20 (5.00%) 1  0 / 20 (0.00%) 0  0 / 20 (0.00%) 0  3 / 20 (15.00%) 3	2 / 20 (10.00%) 2  5 / 20 (25.00%) 5  0 / 20 (0.00%) 0  1 / 20 (5.00%) 1  1 / 20 (5.00%) 1  1 / 20 (5.00%) 1	
Investigations			

Blood bilirubin increased subjects affected / exposed occurrences (all)	1 / 20 (5.00%) 2	1 / 20 (5.00%) 2	
Blood phosphorus decreased subjects affected / exposed occurrences (all)	2 / 20 (10.00%) 2	0 / 20 (0.00%) 0	
Cardiac murmur subjects affected / exposed occurrences (all)	2 / 20 (10.00%) 2	0 / 20 (0.00%) 0	
Chest X-ray abnormal subjects affected / exposed occurrences (all)	2 / 20 (10.00%) 2	0 / 20 (0.00%) 0	
Staphylococcal identification test positive subjects affected / exposed occurrences (all)	2 / 20 (10.00%) 2	0 / 20 (0.00%) 0	
Injury, poisoning and procedural complications			
Contusion subjects affected / exposed occurrences (all)	0 / 20 (0.00%) 0	1 / 20 (5.00%) 1	
Endotracheal intubation complication subjects affected / exposed occurrences (all)	1 / 20 (5.00%) 1	1 / 20 (5.00%) 1	
Congenital, familial and genetic disorders			
Atrial septal defect subjects affected / exposed occurrences (all)	0 / 20 (0.00%) 0	2 / 20 (10.00%) 2	
Patent ductus arteriosus subjects affected / exposed occurrences (all)	4 / 20 (20.00%) 4	2 / 20 (10.00%) 2	
Cardiac disorders			
Tachycardia subjects affected / exposed occurrences (all)	1 / 20 (5.00%) 2	0 / 20 (0.00%) 0	
Nervous system disorders			

Cerebral haemorrhage subjects affected / exposed occurrences (all)	1 / 20 (5.00%) 1	0 / 20 (0.00%) 0	
Intraventricular haemorrhage neonatal subjects affected / exposed occurrences (all)	1 / 20 (5.00%) 1	0 / 20 (0.00%) 0	
Periventricular leukomalacia subjects affected / exposed occurrences (all)	1 / 20 (5.00%) 1	0 / 20 (0.00%) 0	
Blood and lymphatic system disorders Anemia neonatal subjects affected / exposed occurrences (all)	2 / 20 (10.00%) 3	2 / 20 (10.00%) 2	
Leukocytosis subjects affected / exposed occurrences (all)	2 / 20 (10.00%) 2	0 / 20 (0.00%) 0	
Neutrophilia subjects affected / exposed occurrences (all)	2 / 20 (10.00%) 2	0 / 20 (0.00%) 0	
Eye disorders Conjunctivitis subjects affected / exposed occurrences (all)	0 / 20 (0.00%) 0	2 / 20 (10.00%) 2	
Gastrointestinal disorders Constipation subjects affected / exposed occurrences (all)	4 / 20 (20.00%) 4	2 / 20 (10.00%) 2	
Flatulence subjects affected / exposed occurrences (all)	0 / 20 (0.00%) 0	1 / 20 (5.00%) 1	
Gastrooesophageal reflux disease subjects affected / exposed occurrences (all)	0 / 20 (0.00%) 0	1 / 20 (5.00%) 1	
Necrotising colitis subjects affected / exposed occurrences (all)	1 / 20 (5.00%) 1	0 / 20 (0.00%) 0	

Hepatobiliary disorders			
Hyperbilirubinaemia			
subjects affected / exposed	3 / 20 (15.00%)	10 / 20 (50.00%)	
occurrences (all)	3	10	
Skin and subcutaneous tissue disorders			
Dermatitis			
subjects affected / exposed	0 / 20 (0.00%)	1 / 20 (5.00%)	
occurrences (all)	0	1	
Musculoskeletal and connective tissue disorders			
Osteopenia			
subjects affected / exposed	1 / 20 (5.00%)	0 / 20 (0.00%)	
occurrences (all)	1	0	
Infections and infestations			
Bacterial infection			
subjects affected / exposed	1 / 20 (5.00%)	1 / 20 (5.00%)	
occurrences (all)	1	1	
Bacterial sepsis			
subjects affected / exposed	1 / 20 (5.00%)	0 / 20 (0.00%)	
occurrences (all)	2	0	
Enterococcal sepsis			
subjects affected / exposed	1 / 20 (5.00%)	0 / 20 (0.00%)	
occurrences (all)	1	0	
Eye infection bacterial			
subjects affected / exposed	0 / 20 (0.00%)	1 / 20 (5.00%)	
occurrences (all)	0	2	
Eye infection staphylococcal			
subjects affected / exposed	1 / 20 (5.00%)	0 / 20 (0.00%)	
occurrences (all)	1	0	
Lower respiratory tract infection			
subjects affected / exposed	1 / 20 (5.00%)	0 / 20 (0.00%)	
occurrences (all)	1	0	
Oral candidiasis			
subjects affected / exposed	0 / 20 (0.00%)	1 / 20 (5.00%)	
occurrences (all)	0	1	
Sepsis			

subjects affected / exposed	1 / 20 (5.00%)	2 / 20 (10.00%)	
occurrences (all)	1	2	
Staphylococcal infection			
subjects affected / exposed	1 / 20 (5.00%)	0 / 20 (0.00%)	
occurrences (all)	1	0	
Metabolism and nutrition disorders			
Hyperglycaemia			
subjects affected / exposed	2 / 20 (10.00%)	0 / 20 (0.00%)	
occurrences (all)	2	0	
Hypernatraemia			
subjects affected / exposed	1 / 20 (5.00%)	0 / 20 (0.00%)	
occurrences (all)	1	0	
Hypoglycaemia			
subjects affected / exposed	1 / 20 (5.00%)	0 / 20 (0.00%)	
occurrences (all)	3	0	
Hypokalaemia			
subjects affected / exposed	3 / 20 (15.00%)	0 / 20 (0.00%)	
occurrences (all)	3	0	
Hyponatraemia			
subjects affected / exposed	8 / 20 (40.00%)	3 / 20 (15.00%)	
occurrences (all)	9	3	

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

Date	Amendment
02 April 2012	<ul style="list-style-type: none"><li>• Added central laboratory in charge of immunogenicity</li><li>• Added role of clinical pharmacologist</li><li>• Provided details and purpose of 24-month assessments</li><li>• Clarified "original value" for blood chemistry results</li><li>• Updated planned study start and end</li><li>• Clarified the characteristics and role of the independent member of the Safety Monitoring Board</li><li>• Clarified the stopping rules of the study and the processes for managing risk and escalating the dose</li><li>• Added dedicated section for immunogenicity assessment</li><li>• Added the Contract Research Organization safety contact</li><li>• Clarified the serious adverse event reporting procedure</li><li>• Added Safety Monitoring Board charter as protocol appendix</li></ul>
01 July 2013	<ul style="list-style-type: none"><li>• Increased number of sites from 6 to 12 to speed up enrolment</li><li>• Extended period for IMP administration from within 24 hours to within 48 hours from birth to allow Investigators more time to speak with parents and explain procedures better.</li><li>• Extended in agreement with the Central Laboratory the window to take the blood sample for immunogenicity assessments in serum from 4 to 12 weeks to 3 to 12 weeks to allow a more flexible interval to the Investigators.</li><li>• Included the possibility that neonates might have been transferred to a continuing care site and added instructions for continued safety monitoring when this occurred</li><li>• Allowed the use of a less invasive surfactant administration technique with rapid extubation (InSurE)</li><li>• Redefined the end of the trial as the date of discharge home to take the continuing care sites into account</li><li>• Extended the recruitment period</li></ul>

Notes:

### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

Limitations of the trial such as small numbers of subjects analysed or technical problems leading to unreliable data.

No limitations or caveats are applicable to this summary of the results.

Notes: