

**Clinical trial results:****Human Liver Stem Cells (HLSCs) in patients suffering from liver-based inborn metabolic diseases causing life-threatening neonatal onset of hyperammonemic encephalopathy****Summary**

|                          |                |
|--------------------------|----------------|
| EudraCT number           | 2012-002120-33 |
| Trial protocol           | IT             |
| Global end of trial date | 18 July 2017   |

**Results information**

|                                   |   |
|-----------------------------------|---|
| Result version number             | v1 (current)  |
| This version publication date     | 14 August 2021  |
| First version publication date    | 14 August 2021  |
| Summary attachment (see zip file) | Intrahepatic Administration of Human Liver Stem Cells in Infants with Inherited Neonatal-Onset Hyperammonemia: A Phase I Study (Stem Cell Rev Rep. 2020 Feb;16(1):186-197..pdf) |

**Trial information****Trial identification**

|                       |            |
|-----------------------|------------|
| Sponsor protocol code | HLSCS01-11 |
|-----------------------|------------|

**Additional study identifiers**

|                                    |   |
|------------------------------------|---|
| ISRCTN number                      | - |
| ClinicalTrials.gov id (NCT number) | - |
| WHO universal trial number (UTN)   | - |

Notes:

**Sponsors**

|                              |   |
|------------------------------|---|
| Sponsor organisation name    | Azienda Ospedaliero-Universitaria Città della Salute e della Scienza di Torino  |
| Sponsor organisation address | Corso Bramante 88, Torino, Italy, 10126   |
| Public contact               | Dr. Marco Spada, Centro Malattie Metaboliche/ Presidio Ospedaliero Regina Margherita, 39 0113135857, marco.spada@unito.it |
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Notes:

**Paediatric regulatory details**

|  |    |
|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|  |              |
|--|--------------|
| Analysis stage                                       | Final        |
| Date of interim/final analysis                       | 18 July 2017 |
| Is this the analysis of the primary completion data? | Yes          |
| Primary completion date                              | 18 July 2017 |
| Global end of trial reached?                         | Yes          |
| Global end of trial date                             | 18 July 2017 |
| Was the trial ended prematurely?                     | No           |

Notes:

## General information about the trial

Main objective of the trial:

To evaluate hepatic and extra-hepatic complications after liver intraparenchymal HLSC injection in neonates suffering from inborn liver metabolic diseases causing life-threatening neonatal-onset of hyperammonemic encephalopathy

Protection of trial subjects:

a total of two injections was administered, the first one as soon as the patient entered the study. The second administration had to be performed one week after the first, only in the absence of adverse events related to the first treatment. Each patient was regularly followed for clinical and laboratory aspects. Treatment was to be terminated in the following events: completion of 2 injections, unacceptable toxicity or withdrawal of consent.

Background therapy:

Standard of care

Evidence for comparator: -

|   |  |
|---|--|
| Actual start date of recruitment                          | 05 October 2014  |
| Long term follow-up planned                               | Yes  |
| Long term follow-up rationale                             | Safety, Efficacy, Ethical reason, Regulatory reason, Scientific research |
| Long term follow-up duration                              | 1 Months   |
| Independent data monitoring committee (IDMC) involvement? | No   |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |          |
|--------------------------------------|----------|
| Country: Number of subjects enrolled | Italy: 3 |
| Worldwide total number of subjects   | 3        |
| EEA total number of subjects         | 3        |

Notes:

### Subjects enrolled per age group

|   |   |
|---|---|
| In utero                                  | 0 |
| Preterm newborn - gestational age < 37 wk | 0 |
| Newborns (0-27 days)                      | 0 |

|  |   |
|--|---|
| Infants and toddlers (28 days-23 months) | 3 |
| Children (2-11 years)                    | 0 |
| Adolescents (12-17 years)                | 0 |
| Adults (18-64 years)                     | 0 |
| From 65 to 84 years                      | 0 |
| 85 years and over                        | 0 |

## Subject disposition

### Recruitment

Recruitment details:

start date 10 Feb 2014; end date 18 Jan 2017

### Pre-assignment

Screening details:

Inclusion criteria: neonatal-onset hyperammonemic encephalopathy; formal biochemical diagnosis of one inborn metabolic disease (CPS1-, OTC-, ASS-, PCC-, MCM-, ASL-deficiency); formal evaluation for early OLT; be referred to OIRM, Centro Malattie Metaboliche, TO;

Exclusion criteria: refusal of signing informed consent; uncontrolled coagulopathy.

### Period 1

|                              |                |
|------------------------------|----------------|
| Period 1 title               | baseline       |
| Is this the baseline period? | Yes            |
| Allocation method            | Not applicable |
| Blinding used                | Not blinded    |

### Arms

|                              |     |
|------------------------------|-----|
| Are arms mutually exclusive? | Yes |
|------------------------------|-----|

|                  |                          |
|------------------|--------------------------|
| <b>Arm title</b> | Baseline to dose level 1 |
|------------------|--------------------------|

Arm description:

baseline to subjects treated with 125,000 HLSC cells x gram of liver

|  |                          |
|--|--------------------------|
| Arm type                               | Experimental             |
| Investigational medicinal product name | HLSC                     |
| Investigational medicinal product code |                          |
| Other name                             |                          |
| Pharmaceutical forms                   | Suspension for injection |
| Routes of administration               | Intrahepatic use         |

Dosage and administration details:

two doses of 125,000 HLSC cells x gram of liver administered at two weeks interval

|                  |                          |
|------------------|--------------------------|
| <b>Arm title</b> | Baseline to dose level 2 |
|------------------|--------------------------|

Arm description:

baseline to subjects treated with 250,000 HLSC cells x gram of liver

|  |                          |
|--|--------------------------|
| Arm type                               | Experimental             |
| Investigational medicinal product name | HLSC                     |
| Investigational medicinal product code |                          |
| Other name                             |                          |
| Pharmaceutical forms                   | Suspension for injection |
| Routes of administration               | Intrahepatic use         |

Dosage and administration details:

two doses of 250,000 HLSC cells x gram of liver administered at two weeks interval

| <b>Number of subjects in period 1</b> | Baseline to dose level 1 | Baseline to dose level 2 |
|---------------------------------------|--------------------------|--------------------------|
| Started                               | 1                        | 2                        |
| Completed                             | 1                        | 2                        |

## Period 2

|                              |                |
|------------------------------|----------------|
| Period 2 title               | treatment      |
| Is this the baseline period? | No             |
| Allocation method            | Not applicable |
| Blinding used                | Not blinded    |

## Arms

|                              |              |
|------------------------------|--------------|
| Are arms mutually exclusive? | Yes          |
| <b>Arm title</b>             | dose level 1 |

Arm description:

subjects treated with 125,000 HLSC cells x gram of liver

|  |                          |
|--|--------------------------|
| Arm type                               | Experimental             |
| Investigational medicinal product name | HLSC                     |
| Investigational medicinal product code |                          |
| Other name                             |                          |
| Pharmaceutical forms                   | Suspension for injection |
| Routes of administration               | Intrahepatic use         |

Dosage and administration details:

two doses of 125,000 HLSC cells x gram of liver administered at two weeks interval

|                  |              |
|------------------|--------------|
| <b>Arm title</b> | dose level 2 |
|------------------|--------------|

Arm description:

subjects treated with 250,000 HLSC cells x gram of liver

|  |                          |
|--|--------------------------|
| Arm type                               | Experimental             |
| Investigational medicinal product name | HLSC                     |
| Investigational medicinal product code |                          |
| Other name                             |                          |
| Pharmaceutical forms                   | Suspension for injection |
| Routes of administration               | Intrahepatic use         |

Dosage and administration details:

two doses of 250,000 HLSC cells x gram of liver administered at two weeks interval

| <b>Number of subjects in period 2</b> | dose level 1 | dose level 2 |
|---------------------------------------|--------------|--------------|
| Started                               | 1            | 2            |
| Completed                             | 1            | 2            |

## Baseline characteristics

### Reporting groups

|                       |          |
|-----------------------|----------|
| Reporting group title | baseline |
|-----------------------|----------|

Reporting group description:

A total of 3 subjects were screened and entered into the study. No significant differences between subjects of the two treatment groups were observed with respect to age, height and body weight. Subjects underwent a physical examination, instrumental examinations and measurement of vital signs at the screening visit. Moreover a series of pathological values were examined in order to perform the diagnosis for metabolic disease.

Of note, at physical examination all patients presented hypotonia. All reported positive history for at least one abnormality at the Hematological system (anemia) and at the Neurological system (commonly hyperammonemia / hyperammonemic comas). All abnormalities were continuing at the time of the study entry, except for the neonatal hyperammonemia / hyperammonemic comas, which were resolved before the signature of the informed consent.

| Reporting group values  | baseline | Total |  |
|---|----------|-------|--|
| Number of subjects  | 3        | 3     |  |
| Age categorical   |          |       |  |
| Units: Subjects   |          |       |  |
| In utero  | 0        | 0     |  |
| Preterm newborn infants (gestational age < 37 wks)              | 0        | 0     |  |
| Newborns (0-27 days)  | 0        | 0     |  |
| Infants and toddlers (28 days-23 months)                        | 3        | 3     |  |
| Children (2-11 years)   | 0        | 0     |  |
| Adolescents (12-17 years)                                       | 0        | 0     |  |
| Adults (18-64 years)  | 0        | 0     |  |
| From 65-84 years  | 0        | 0     |  |
| 85 years and over   | 0        | 0     |  |
| Age continuous  |          |       |  |
| A total of 3 subjects were screened. All entered into the study |          |       |  |
| Units: months   |          |       |  |
| arithmetic mean   | 2.90     |       |  |
| standard deviation  | ± 1.62   | -     |  |
| Gender categorical  |          |       |  |
| Units: Subjects   |          |       |  |
| Female  | 2        | 2     |  |
| Male  | 1        | 1     |  |

## End points

### End points reporting groups

|  |                          |
|--|--------------------------|
| Reporting group title  | Baseline to dose level 1 |
| Reporting group description:<br>baseline to subjects treated with 125,000 HLSC cells x gram of liver |                          |
| Reporting group title  | Baseline to dose level 2 |
| Reporting group description:<br>baseline to subjects treated with 250,000 HLSC cells x gram of liver |                          |
| Reporting group title  | dose level 1             |
| Reporting group description:<br>subjects treated with 125,000 HLSC cells x gram of liver             |                          |
| Reporting group title  | dose level 2             |
| Reporting group description:<br>subjects treated with 250,000 HLSC cells x gram of liver             |                          |

### Primary: Hepatic complications

|   |                                      |
|---|--------------------------------------|
| End point title   | Hepatic complications <sup>[1]</sup> |
| End point description:<br>portal vein thrombosis, intrahepatic hematoma, injury of the hepatic artery, arterio-portal, portal-biliary and arterio-biliary fistula, acute hepatic cytolysis > 20 times normal, clinical and biochemical signs of liver failure (ascites, development of jaundice with conjugated bilirubin above 3 mg/dL, alterations in INR > 2.5), appearance of cancer-like liver nodular lesions |                                      |
| End point type  | Primary                              |
| End point timeframe:<br>from the administration of the first dose (V1) to 4 weeks after the administration of the second dose (V4).   |                                      |

Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: The total study population was 3 subjects.

Descriptive statistics (e.g., mean, standard deviation, minimum, maximum for continuous data; frequency tables for categorical data) are provided per dose level and overall.

Summary statistics were provided per dose level and overall.

Summary statistics: quantitative parameters were summarized using descriptive statistics: N, Mean, Median, STD, Minimum, Maximum; qualitative parameters were summarized using frequency tables: N and percentage (%).

| End point values            | dose level 1    | dose level 2    |  |  |
|-----------------------------|-----------------|-----------------|--|--|
| Subject group type          | Reporting group | Reporting group |  |  |
| Number of subjects analysed | 1               | 2               |  |  |
| Units: number of events     | 1               | 2               |  |  |

|                                   |  |
|-----------------------------------|--|
| <b>Attachments (see zip file)</b> | Overall summary of Adverse Events.pdf                  |
|                                   | Summary of Adverse Events, by patient and overall.pdf  |
|                                   | Category of Adverse Events, by patient and overall.pdf |

## Statistical analyses

No statistical analyses for this end point

### Primary: Extra-hepatic complications

|                 |  |
|-----------------|--|
| End point title | Extra-hepatic complications <sup>[2]</sup> |
|-----------------|--|

End point description:

pulmonary embolism, significant extra-hepatic (abdominal and/or chest) haemorrhage (requiring blood transfusion and / or specific treatment), documented sepsis with bacteremia, adverse reactions to sedative drugs administered for interventional radiological procedure

|                |         |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

from the administration of the first dose (V1) to 4 weeks after the administration of the second dose (V4).

Notes:

[2] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: The total study population was 3 subjects.

Descriptive statistics (e.g., mean, standard deviation, minimum, maximum for continuous data; frequency tables for categorical data) are provided per dose level and overall.

Summary statistics were provided per dose level and overall.

Summary statistics: quantitative parameters were summarized using descriptive statistics: N, Mean, Median, STD, Minimum, Maximum; qualitative parameters were summarized using frequency tables: N and percentage (%).

| End point values            | dose level 1    | dose level 2    |  |  |
|-----------------------------|-----------------|-----------------|--|--|
| Subject group type          | Reporting group | Reporting group |  |  |
| Number of subjects analysed | 1               | 2               |  |  |
| Units: number of events     | 1               | 2               |  |  |

|                                   |   |
|-----------------------------------|---|
| <b>Attachments (see zip file)</b> | Overall summary of Adverse Events/Overall summary of Summary of Adverse Events, by patient and overall/Summary Category of Adverse Events, by patient and overall/Category of |
|-----------------------------------|---|

## Statistical analyses

No statistical analyses for this end point

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

adverse events assessment started at baseline (signature of Informed Consent) and ended at the last visit (V4), 4 weeks after the administration of last dose.

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

|                    |    |
|--------------------|----|
| Dictionary version | 20 |
|--------------------|----|

### Reporting groups

|                       |              |
|-----------------------|--------------|
| Reporting group title | dose level 1 |
|-----------------------|--------------|

Reporting group description:

subjects with two doses of 125,000 HLSC cells x gram of liver, administered at 2 weeks interval

|                       |              |
|-----------------------|--------------|
| Reporting group title | dose level 2 |
|-----------------------|--------------|

Reporting group description:

subjects with two doses of 250,000 HLSC cells x gram of liver, administered at 2 weeks interval

| <b>Serious adverse events</b>                     | dose level 1  | dose level 2  |  |
|---|---------------|---------------|--|
| Total subjects affected by serious adverse events |               |               |  |
| subjects affected / exposed                       | 0 / 1 (0.00%) | 0 / 2 (0.00%) |  |
| number of deaths (all causes)                     | 0             | 0             |  |
| number of deaths resulting from adverse events    | 0             | 0             |  |

Frequency threshold for reporting non-serious adverse events: 0 %

| <b>Non-serious adverse events</b>                     | dose level 1    | dose level 2    |  |
|---|-----------------|-----------------|--|
| Total subjects affected by non-serious adverse events |                 |                 |  |
| subjects affected / exposed                           | 1 / 1 (100.00%) | 2 / 2 (100.00%) |  |
| Nervous system disorders                              |                 |                 |  |
| Agitation neonatal                                    |                 |                 |  |
| subjects affected / exposed                           | 1 / 1 (100.00%) | 0 / 2 (0.00%)   |  |
| occurrences (all)                                     | 1               | 0               |  |
| Tremor  |                 |                 |  |
| subjects affected / exposed                           | 0 / 1 (0.00%)   | 1 / 2 (50.00%)  |  |
| occurrences (all)                                     | 0               | 1               |  |
| Blood and lymphatic system disorders                  |                 |                 |  |

|  |  |  |  |
|--|--|--|--|
| Thrombocytosis<br>subjects affected / exposed<br>occurrences (all)   | 1 / 1 (100.00%)<br>1                           | 0 / 2 (0.00%)<br>0                             |  |
| Eye disorders<br>Eyelid oedema<br>subjects affected / exposed<br>occurrences (all)   | 1 / 1 (100.00%)<br>1                           | 0 / 2 (0.00%)<br>0                             |  |
| Gastrointestinal disorders<br>Diarrhoea<br>subjects affected / exposed<br>occurrences (all)<br><br>Vomiting<br>subjects affected / exposed<br>occurrences (all)                    | 1 / 1 (100.00%)<br>1<br><br>0 / 1 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0<br><br>1 / 2 (50.00%)<br>1  |  |
| Skin and subcutaneous tissue disorders<br>Dermatitis<br>subjects affected / exposed<br>occurrences (all)<br><br>Rash<br>subjects affected / exposed<br>occurrences (all)           | 1 / 1 (100.00%)<br>1<br><br>0 / 1 (0.00%)<br>0 | 1 / 2 (50.00%)<br>1<br><br>1 / 2 (50.00%)<br>1 |  |
| Renal and urinary disorders<br>Leukocyturia<br>subjects affected / exposed<br>occurrences (all)<br><br>Urinary tract infection<br>subjects affected / exposed<br>occurrences (all) | 1 / 1 (100.00%)<br>1<br><br>0 / 1 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0<br><br>2 / 2 (100.00%)<br>2 |  |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date              | Amendment   |
|-------------------|---|
| 26 September 2014 | n. 1 : to include into the study patients affected by Deficiency of argininosuccinate lyase (ASL) (protocol Version 1.2 26 aug 2014)        |
| 08 June 2016      | n. 2: to extend product expiration date to 36 months (IMPD Quality Report HLSCs_Drug substance and drug product", Vers. Final 1.1_17.02.16) |

Notes:

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### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

None reported

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### Online references

<http://www.ncbi.nlm.nih.gov/pubmed/31792768>