



## Clinical trial results:

### An Open-Label, Randomized, Multicenter Phase Iii Study In Patients With Her2-Positive Metastatic Breast Cancer Responding To First Line Treatment With Intravenous Trastuzumab For At Least 3 Years And Investigating Patient Preference For Subcutaneous Trastuzumab

#### Summary

|                          |                |
|--------------------------|----------------|
| EudraCT number           | 2012-003442-32 |
| Trial protocol           | FR             |
| Global end of trial date | 17 July 2019   |

#### Results information

|                                |                |
|--------------------------------|----------------|
| Result version number          | v1 (current)   |
| This version publication date  | 01 August 2020 |
| First version publication date | 01 August 2020 |

#### Trial information

##### Trial identification

|                       |         |
|-----------------------|---------|
| Sponsor protocol code | ML28589 |
|-----------------------|---------|

##### Additional study identifiers

|                                    |             |
|------------------------------------|-------------|
| ISRCTN number                      | -           |
| ClinicalTrials.gov id (NCT number) | NCT01810393 |
| WHO universal trial number (UTN)   | -           |

Notes:

#### Sponsors

|                              |   |
|------------------------------|---|
| Sponsor organisation name    | Hoffmann-La Roche   |
| Sponsor organisation address | Grenzacherstrasse 124, Basel, Switzerland, CH-4070  |
| Public contact               | F. Hoffmann-La Roche AG, F. Hoffmann-La Roche AG, +41 616878333, global.trial_information@roche.com |
| Scientific contact           | Hoffmann-La Roche, Medical Communications, +41 616878333, global.trial_information@roche.com        |

Notes:

#### Paediatric regulatory details

|  |    |
|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|  |              |
|--|--------------|
| Analysis stage                                       | Final        |
| Date of interim/final analysis                       | 04 June 2020 |
| Is this the analysis of the primary completion data? | No           |
| Global end of trial reached?                         | Yes          |
| Global end of trial date                             | 17 July 2019 |
| Was the trial ended prematurely?                     | No           |

Notes:

## General information about the trial

Main objective of the trial:

This open-label, randomized, multicenter study evaluated subjects preference for subcutaneous (SC) versus intravenous (IV) trastuzumab (Herceptin) in subjects with HER2-positive metastatic breast cancer responding to first-line treatment with IV trastuzumab for at least 3 years. Subjects were randomized to receive either 3 cycles (cycle length = 21 days) of trastuzumab SC followed by 3 cycles of trastuzumab IV or 3 cycles of trastuzumab IV followed by 3 cycles of trastuzumab SC. All subjects received trastuzumab SC for Cycles 7 to 18.

Protection of trial subjects:

This study was conducted in accordance with the protocol and with the following:

- Consensus ethical principles derived from international guidelines including the Declaration of Helsinki and Council for International Organizations of Medical Sciences (CIOMS) International Ethical Guidelines
- Applicable ICH Good Clinical Practice (GCP) Guidelines
- Applicable laws and regulations

Background therapy: -

Evidence for comparator: -

|   |              |
|---|--------------|
| Actual start date of recruitment                          | 11 June 2013 |
| Long term follow-up planned                               | Yes          |
| Long term follow-up rationale                             | Safety       |
| Long term follow-up duration                              | 3 Years      |
| Independent data monitoring committee (IDMC) involvement? | No           |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |             |
|--------------------------------------|-------------|
| Country: Number of subjects enrolled | France: 114 |
| Worldwide total number of subjects   | 114         |
| EEA total number of subjects         | 114         |

Notes:

### Subjects enrolled per age group

|   |   |
|---|---|
| In utero                                  | 0 |
| Preterm newborn - gestational age < 37 wk | 0 |
| Newborns (0-27 days)                      | 0 |
| Infants and toddlers (28 days-23 months)  | 0 |

|                           |    |
|---------------------------|----|
| Children (2-11 years)     | 0  |
| Adolescents (12-17 years) | 0  |
| Adults (18-64 years)      | 81 |
| From 65 to 84 years       | 33 |
| 85 years and over         | 0  |

## Subject disposition

### Recruitment

Recruitment details: -

### Pre-assignment

Screening details:

Screening period: within 21 days prior to study treatment start (Baseline visit, Day 1), subjects eligibility was determined at the Screening visit. At Baseline visit, patient's inclusion in the study was established.

### Period 1

|                              |                                |
|------------------------------|--------------------------------|
| Period 1 title               | Overall Study (overall period) |
| Is this the baseline period? | Yes                            |
| Allocation method            | Randomised - controlled        |
| Blinding used                | Not blinded                    |

### Arms

|                              |                        |
|------------------------------|------------------------|
| Are arms mutually exclusive? | Yes                    |
| <b>Arm title</b>             | Trastuzumab SC then IV |

Arm description:

Subjects received treatment with Trastuzumab IV for the first 3 cycles (cycle length = 21 days) followed by Trastuzumab SC for the next 3 cycles. Subjects continued receiving treatment with trastuzumab SC for another 12 cycles (if SC treatment is well tolerated, otherwise subjects continued IV treatment) for a total of 18 cycles of treatment during the study.

|  |  |
|--|--|
| Arm type                               | Experimental                           |
| Investigational medicinal product name | Trastuzumab                            |
| Investigational medicinal product code |  |
| Other name                             |  |
| Pharmaceutical forms                   | Concentrate for solution for injection |
| Routes of administration               | Subcutaneous use                       |

Dosage and administration details:

Trastuzumab was administered at a dose of 600 milligrams per 5 milliliter (mg/5mL) SC in 21-day cycles as per schedule described in respective arm.

|  |                       |
|--|-----------------------|
| Investigational medicinal product name | Trastuzumab           |
| Investigational medicinal product code |                       |
| Other name                             |                       |
| Pharmaceutical forms                   | Solution for infusion |
| Routes of administration               | Intravenous use       |

Dosage and administration details:

Trastuzumab was administered at a dose of 6 milligrams per kilogram (mg/kg) IV in 21-day cycles as per schedule described in respective arm.

|                  |                        |
|------------------|------------------------|
| <b>Arm title</b> | Trastuzumab IV then SC |
|------------------|------------------------|

Arm description:

Subjects received treatment with Trastuzumab SC for the first 3 cycles (cycle length = 21 days) followed by Trastuzumab IV for the next 3 cycles. Subjects continued receiving treatment with trastuzumab SC for another 12 cycles (if SC treatment is well tolerated, otherwise subjects continued IV treatment) for a total of 18 cycles of treatment during the study.

|  |                       |
|--|-----------------------|
| Arm type                               | Experimental          |
| Investigational medicinal product name | Trastuzumab           |
| Investigational medicinal product code |                       |
| Other name                             |                       |
| Pharmaceutical forms                   | Solution for infusion |
| Routes of administration               | Intravenous use       |

---

**Dosage and administration details:**

Trastuzumab was administered at a dose of 6 milligrams per kilogram (mg/kg) IV in 21-day cycles as per schedule described in respective arm.

|  |  |
|--|--|
| Investigational medicinal product name | Trastuzumab                            |
| Investigational medicinal product code |  |
| Other name                             |  |
| Pharmaceutical forms                   | Concentrate for solution for injection |
| Routes of administration               | Subcutaneous use                       |

**Dosage and administration details:**

Trastuzumab was administered at a dose of 600 milligrams per 5 milliliter (mg/5mL) SC in 21-day cycles as per schedule described in respective arm.

| <b>Number of subjects in period 1</b>     | Trastuzumab SC then IV | Trastuzumab IV then SC |
|---|------------------------|------------------------|
| Started                                   | 57                     | 57                     |
| Completed                                 | 44                     | 38                     |
| Not completed                             | 13                     | 19                     |
| Consent withdrawn by subject              | 4                      | 4                      |
| Failure to return                         | 1                      | -                      |
| Death                                     | 2                      | 4                      |
| Unknown reason                            | -                      | 3                      |
| Disease progression/recurrence of disease | 3                      | 3                      |
| Protocol deviation                        | 1                      | 5                      |
| Did not cooperate                         | 2                      | -                      |

## Baseline characteristics

### Reporting groups

|                       |                        |
|-----------------------|------------------------|
| Reporting group title | Trastuzumab SC then IV |
|-----------------------|------------------------|

Reporting group description:

Subjects received treatment with Trastuzumab IV for the first 3 cycles (cycle length = 21 days) followed by Trastuzumab SC for the next 3 cycles. Subjects continued receiving treatment with trastuzumab SC for another 12 cycles (if SC treatment is well tolerated, otherwise subjects continued IV treatment) for a total of 18 cycles of treatment during the study.

|                       |                        |
|-----------------------|------------------------|
| Reporting group title | Trastuzumab IV then SC |
|-----------------------|------------------------|

Reporting group description:

Subjects received treatment with Trastuzumab SC for the first 3 cycles (cycle length = 21 days) followed by Trastuzumab IV for the next 3 cycles. Subjects continued receiving treatment with trastuzumab SC for another 12 cycles (if SC treatment is well tolerated, otherwise subjects continued IV treatment) for a total of 18 cycles of treatment during the study.

| Reporting group values                             | Trastuzumab SC then IV | Trastuzumab IV then SC | Total |
|--|------------------------|------------------------|-------|
| Number of subjects                                 | 57                     | 57                     | 114   |
| Age Categorical<br>Units: Subjects                 |                        |                        |       |
| In utero   | 0                      | 0                      | 0     |
| Preterm newborn infants (gestational age < 37 wks) | 0                      | 0                      | 0     |
| Newborns (0-27 days)                               | 0                      | 0                      | 0     |
| Infants and toddlers (28 days-23 months)           | 0                      | 0                      | 0     |
| Children (2-11 years)                              | 0                      | 0                      | 0     |
| Adolescents (12-17 years)                          | 0                      | 0                      | 0     |
| Adults (18-64 years)                               | 40                     | 41                     | 81    |
| From 65-84 years                                   | 17                     | 16                     | 33    |
| 85 years and over                                  | 0                      | 0                      | 0     |
| Age Continuous<br>Units: years                     |                        |                        |       |
| arithmetic mean                                    | 58.23                  | 59.15                  |       |
| full range (min-max)                               | 37.3 to 82.2           | 34.7 to 84.9           | -     |
| Gender Categorical<br>Units: Subjects              |                        |                        |       |
| Female   | 57                     | 57                     | 114   |
| Male   | 0                      | 0                      | 0     |

### Subject analysis sets

|                            |   |
|----------------------------|---|
| Subject analysis set title | Trastuzumab SC Then Trastuzumab IV mITT |
|----------------------------|---|

|                           |                             |
|---------------------------|-----------------------------|
| Subject analysis set type | Modified intention-to-treat |
|---------------------------|-----------------------------|

Subject analysis set description:

Modified Intent-to-Treat (m-ITT) population: all subjects from the ITT population who received both routes (SC and IV) during the cross-over period and who completed the last question of the PPQ after the cross-over period

|                            |   |
|----------------------------|---|
| Subject analysis set title | Trastuzumab IV Then Trastuzumab SC mITT |
|----------------------------|---|

|                           |                             |
|---------------------------|-----------------------------|
| Subject analysis set type | Modified intention-to-treat |
|---------------------------|-----------------------------|

Subject analysis set description:

Modified Intent-to-Treat (m-ITT) population: all subjects from the ITT population who received both routes (SC and IV) during the cross-over period and who completed the last question of the PPQ after the cross-over period

|                            |  |
|----------------------------|--|
| Subject analysis set title | Trastuzumab SC Then Trastuzumab IV ITT |
| Subject analysis set type  | Intention-to-treat                     |

Subject analysis set description:

All randomized subjects who received at least one dose of T SC or T IV during the cross-over period

|                            |  |
|----------------------------|--|
| Subject analysis set title | Trastuzumab IV Then Trastuzumab SC ITT |
| Subject analysis set type  | Intention-to-treat                     |

Subject analysis set description:

All randomized subjects who received at least one dose of T SC or T IV during the cross-over period

|                            |                    |
|----------------------------|--------------------|
| Subject analysis set title | Trastuzumab SC     |
| Subject analysis set type  | Sub-group analysis |

Subject analysis set description:

All randomized subjects who received at least one dose of Trastuzumab SC during the cross-over period.

|                            |                    |
|----------------------------|--------------------|
| Subject analysis set title | Trastuzumab IV     |
| Subject analysis set type  | Sub-group analysis |

Subject analysis set description:

All randomized subjects who received at least one dose of Trastuzumab IV during the cross-over period.

| Reporting group values                                | Trastuzumab SC<br>Then Trastuzumab<br>IV mITT | Trastuzumab IV<br>Then Trastuzumab<br>SC mITT | Trastuzumab SC<br>Then Trastuzumab<br>IV ITT |
|---|---|---|--|
| Number of subjects                                    | 47  | 45  | 57   |
| Age Categorical<br>Units: Subjects                    |   |   |  |
| In utero  | 0   | 0   | 0  |
| Preterm newborn infants<br>(gestational age < 37 wks) | 0   | 0   | 0  |
| Newborns (0-27 days)                                  | 0   | 0   | 0  |
| Infants and toddlers (28 days-23<br>months)           | 0   | 0   | 0  |
| Children (2-11 years)                                 | 0   | 0   | 0  |
| Adolescents (12-17 years)                             | 0   | 0   | 0  |
| Adults (18-64 years)                                  | 33  | 32  | 40   |
| From 65-84 years                                      | 12  | 15  | 17   |
| 85 years and over                                     | 0   | 0   | 0  |
| Age Continuous<br>Units: years                        |   |   |  |
| arithmetic mean                                       | 58.24   | 57.36   | 58.23  |
| full range (min-max)                                  | 39.9 to 84.1                                  | 37.3 to 80.5                                  | 37.3 to 82.2                                 |
| Gender Categorical<br>Units: Subjects                 |   |   |  |
| Female  | 45  | 47  | 57   |
| Male  | 0   | 0   | 0  |

| Reporting group values             | Trastuzumab IV<br>Then Trastuzumab<br>SC ITT | Trastuzumab SC | Trastuzumab IV |
|------------------------------------|--|----------------|----------------|
| Number of subjects                 | 56   | 108            | 111            |
| Age Categorical<br>Units: Subjects |  |                |                |
| In utero                           | 0  |                |                |

|   |              |     |     |
|---|--------------|-----|-----|
| Preterm newborn infants<br>(gestational age < 37 wks) | 0            |     |     |
| Newborns (0-27 days)                                  | 0            |     |     |
| Infants and toddlers (28 days-23<br>months)           | 0            |     |     |
| Children (2-11 years)                                 | 0            |     |     |
| Adolescents (12-17 years)                             | 0            |     |     |
| Adults (18-64 years)                                  | 40           |     |     |
| From 65-84 years                                      | 16           |     |     |
| 85 years and over                                     | 0            |     |     |
| Age Continuous  |              |     |     |
| Units: years  |              |     |     |
| arithmetic mean                                       | 59.15        |     |     |
| full range (min-max)                                  | 34.7 to 84.9 |     |     |
| Gender Categorical                                    |              |     |     |
| Units: Subjects                                       |              |     |     |
| Female  | 56           | 111 | 108 |
| Male  | 0            | 0   | 0   |



## End points

### End points reporting groups

|                       |                        |
|-----------------------|------------------------|
| Reporting group title | Trastuzumab SC then IV |
|-----------------------|------------------------|

Reporting group description:

Subjects received treatment with Trastuzumab IV for the first 3 cycles (cycle length = 21 days) followed by Trastuzumab SC for the next 3 cycles. Subjects continued receiving treatment with trastuzumab SC for another 12 cycles (if SC treatment is well tolerated, otherwise subjects continued IV treatment) for a total of 18 cycles of treatment during the study.

|                       |                        |
|-----------------------|------------------------|
| Reporting group title | Trastuzumab IV then SC |
|-----------------------|------------------------|

Reporting group description:

Subjects received treatment with Trastuzumab SC for the first 3 cycles (cycle length = 21 days) followed by Trastuzumab IV for the next 3 cycles. Subjects continued receiving treatment with trastuzumab SC for another 12 cycles (if SC treatment is well tolerated, otherwise subjects continued IV treatment) for a total of 18 cycles of treatment during the study.

|                            |   |
|----------------------------|---|
| Subject analysis set title | Trastuzumab SC Then Trastuzumab IV mITT |
|----------------------------|---|

|                           |                             |
|---------------------------|-----------------------------|
| Subject analysis set type | Modified intention-to-treat |
|---------------------------|-----------------------------|

Subject analysis set description:

Modified Intent-to-Treat (m-ITT) population: all subjects from the ITT population who received both routes (SC and IV) during the cross-over period and who completed the last question of the PPQ after the cross-over period

|                            |   |
|----------------------------|---|
| Subject analysis set title | Trastuzumab IV Then Trastuzumab SC mITT |
|----------------------------|---|

|                           |                             |
|---------------------------|-----------------------------|
| Subject analysis set type | Modified intention-to-treat |
|---------------------------|-----------------------------|

Subject analysis set description:

Modified Intent-to-Treat (m-ITT) population: all subjects from the ITT population who received both routes (SC and IV) during the cross-over period and who completed the last question of the PPQ after the cross-over period

|                            |  |
|----------------------------|--|
| Subject analysis set title | Trastuzumab SC Then Trastuzumab IV ITT |
|----------------------------|--|

|                           |                    |
|---------------------------|--------------------|
| Subject analysis set type | Intention-to-treat |
|---------------------------|--------------------|

Subject analysis set description:

All randomized subjects who received at least one dose of T SC or T IV during the cross-over period

|                            |  |
|----------------------------|--|
| Subject analysis set title | Trastuzumab IV Then Trastuzumab SC ITT |
|----------------------------|--|

|                           |                    |
|---------------------------|--------------------|
| Subject analysis set type | Intention-to-treat |
|---------------------------|--------------------|

Subject analysis set description:

All randomized subjects who received at least one dose of T SC or T IV during the cross-over period

|                            |                |
|----------------------------|----------------|
| Subject analysis set title | Trastuzumab SC |
|----------------------------|----------------|

|                           |                    |
|---------------------------|--------------------|
| Subject analysis set type | Sub-group analysis |
|---------------------------|--------------------|

Subject analysis set description:

All randomized subjects who received at least one dose of Trastuzumab SC during the cross-over period.

|                            |                |
|----------------------------|----------------|
| Subject analysis set title | Trastuzumab IV |
|----------------------------|----------------|

|                           |                    |
|---------------------------|--------------------|
| Subject analysis set type | Sub-group analysis |
|---------------------------|--------------------|

Subject analysis set description:

All randomized subjects who received at least one dose of Trastuzumab IV during the cross-over period.

### Primary: Percentage of Subjects With Preference for Either IV or SC Route of Administration According to Subjects Preference Questionnaire (PPQ) Score

|                 |  |
|-----------------|--|
| End point title | Percentage of Subjects With Preference for Either IV or SC Route of Administration According to Subjects Preference Questionnaire (PPQ) Score <sup>[1]</sup> |
|-----------------|--|

End point description:

The analysis of the primary preference endpoint is presented in the m-ITT population (and in the PP population for the sensitivity primary analysis).

|                |         |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

Baseline up to 6 cycles (cycle length = 21 days)

Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: No statistical analyses provided.

| End point values                              | Trastuzumab<br>SC Then<br>Trastuzumab<br>IV mITT | Trastuzumab<br>IV Then<br>Trastuzumab<br>SC mITT |  |  |
|---|--|--|--|--|
| Subject group type                            | Subject analysis set                             | Subject analysis set                             |  |  |
| Number of subjects analysed                   | 47   | 45   |  |  |
| Units: Percentage                             |  |  |  |  |
| number (not applicable)                       |  |  |  |  |
| Subjects preference to intravenous injection  | 12.8   | 15.6   |  |  |
| Subjects preference to subcutaneous injection | 87.2   | 84.4   |  |  |

### Statistical analyses

No statistical analyses for this end point

### Secondary: Percentage of Subjects With Adverse Events

|                        |  |
|------------------------|--|
| End point title        | Percentage of Subjects With Adverse Events |
| End point description: |  |
| End point type         | Secondary                                  |
| End point timeframe:   |  |
| Approximately 6 years  |  |

| End point values            | Trastuzumab<br>SC    | Trastuzumab<br>IV    |  |  |
|-----------------------------|----------------------|----------------------|--|--|
| Subject group type          | Subject analysis set | Subject analysis set |  |  |
| Number of subjects analysed | 108                  | 111                  |  |  |
| Units: Number               |                      |                      |  |  |
| number (not applicable)     | 91.7                 | 43.2                 |  |  |

### Statistical analyses

No statistical analyses for this end point

### Secondary: Percentage of Health Care Professionals With Preference for Either SC or IV Administration According to Health Care Professional Questionnaire (HCPQ) Score

|  |   |
|--|---|
| End point title  | Percentage of Health Care Professionals With Preference for Either SC or IV Administration According to Health Care Professional Questionnaire (HCPQ) Score |
| End point description:   |   |
| Intent to treat: all randomized subjects who received at least one dose of T SC or T IV during the cross-over period |   |
| End point type   | Secondary   |
| End point timeframe:   |   |
| Baseline up to 6 cycles (cycle length = 21 days)   |   |

| <b>End point values</b>     | Trastuzumab<br>SC Then<br>Trastuzumab<br>IV ITT | Trastuzumab<br>IV Then<br>Trastuzumab<br>SC ITT |  |  |
|-----------------------------|---|---|--|--|
| Subject group type          | Subject analysis set                            | Subject analysis set                            |  |  |
| Number of subjects analysed | 52  | 47  |  |  |
| Units: Percentage           |   |   |  |  |
| number (not applicable)     |   |   |  |  |
| IV                          | 13.5  | 10.6  |  |  |
| No preference               | 21.2  | 27.7  |  |  |
| SC                          | 65.4  | 61.7  |  |  |

### Statistical analyses

No statistical analyses for this end point

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

Baseline up to 6 years

Adverse event reporting additional description:

Safety (SAF) population: all enrolled subjects who received at least one dose of study medication (T SC or T IV)

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

|                    |      |
|--------------------|------|
| Dictionary version | 22.0 |
|--------------------|------|

### Reporting groups

|                       |                |
|-----------------------|----------------|
| Reporting group title | Trastuzumab SC |
|-----------------------|----------------|

Reporting group description:

All randomized subjects who received at least one dose of Trastuzumab SC during the cross-over period.

|                       |                            |
|-----------------------|----------------------------|
| Reporting group title | Long-term follow-up period |
|-----------------------|----------------------------|

Reporting group description:

After the 1-year T treatment period, subjects were switched to standard treatment, according to Investigator's choice and continued to be followed as recommended in routine clinical practice (every 6 months to assess vital status, disease progression and cardiac function) for additional 3 years.

|                       |                |
|-----------------------|----------------|
| Reporting group title | Trastuzumab IV |
|-----------------------|----------------|

Reporting group description:

All randomized subjects who received at least one dose of Trastuzumab IV during the cross-over period.

| <b>Serious adverse events</b>                                       | Trastuzumab SC    | Long-term follow-up period | Trastuzumab IV  |
|---|-------------------|----------------------------|-----------------|
| Total subjects affected by serious adverse events                   |                   |                            |                 |
| subjects affected / exposed   | 13 / 108 (12.04%) | 4 / 113 (3.54%)            | 3 / 111 (2.70%) |
| number of deaths (all causes)                                       | 1                 | 1                          | 0               |
| number of deaths resulting from adverse events                      | 1                 | 1                          | 0               |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) |                   |                            |                 |
| LEUKAEMIA   |                   |                            |                 |
| subjects affected / exposed   | 0 / 108 (0.00%)   | 1 / 113 (0.88%)            | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all                     | 0 / 0             | 0 / 1                      | 0 / 0           |
| deaths causally related to treatment / all                          | 0 / 0             | 1 / 1                      | 0 / 0           |
| Injury, poisoning and procedural complications                      |                   |                            |                 |
| FEMORAL NECK FRACTURE   |                   |                            |                 |
| subjects affected / exposed   | 1 / 108 (0.93%)   | 0 / 113 (0.00%)            | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all                     | 0 / 1             | 0 / 0                      | 0 / 0           |
| deaths causally related to treatment / all                          | 0 / 0             | 0 / 0                      | 0 / 0           |

|  |                 |                 |                 |
|--|-----------------|-----------------|-----------------|
| ANKLE FRACTURE                                       |                 |                 |                 |
| subjects affected / exposed                          | 4 / 108 (3.70%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all      | 0 / 4           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0           | 0 / 0           |
| HUMERUS FRACTURE                                     |                 |                 |                 |
| subjects affected / exposed                          | 0 / 108 (0.00%) | 1 / 113 (0.88%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all      | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0           | 0 / 0           |
| PROCEDURAL PAIN                                      |                 |                 |                 |
| subjects affected / exposed                          | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all      | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0           | 0 / 0           |
| WRONG PRODUCT ADMINISTERED                           |                 |                 |                 |
| subjects affected / exposed                          | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all      | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0           | 0 / 0           |
| Cardiac disorders                                    |                 |                 |                 |
| ATRIAL FIBRILLATION                                  |                 |                 |                 |
| subjects affected / exposed                          | 0 / 108 (0.00%) | 0 / 113 (0.00%) | 1 / 111 (0.90%) |
| occurrences causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0           | 0 / 0           |
| Nervous system disorders                             |                 |                 |                 |
| CEREBROVASCULAR ACCIDENT                             |                 |                 |                 |
| subjects affected / exposed                          | 0 / 108 (0.00%) | 0 / 113 (0.00%) | 1 / 111 (0.90%) |
| occurrences causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0           | 0 / 0           |
| EPILEPSY   |                 |                 |                 |
| subjects affected / exposed                          | 0 / 108 (0.00%) | 0 / 113 (0.00%) | 1 / 111 (0.90%) |
| occurrences causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0           | 0 / 0           |
| General disorders and administration site conditions |                 |                 |                 |
| MUCOSAL INFLAMMATION                                 |                 |                 |                 |

|  |                 |                 |                 |
|--|-----------------|-----------------|-----------------|
| subjects affected / exposed                            | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Gastrointestinal disorders</b>                      |                 |                 |                 |
| <b>ANAL FISSURE</b>                                    |                 |                 |                 |
| subjects affected / exposed                            | 0 / 108 (0.00%) | 1 / 113 (0.88%) | 1 / 111 (0.90%) |
| occurrences causally related to treatment / all        | 0 / 0           | 0 / 1           | 0 / 1           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>CONSTIPATION</b>                                    |                 |                 |                 |
| subjects affected / exposed                            | 0 / 108 (0.00%) | 0 / 113 (0.00%) | 1 / 111 (0.90%) |
| occurrences causally related to treatment / all        | 0 / 0           | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Reproductive system and breast disorders</b>        |                 |                 |                 |
| <b>METRORRHAGIA</b>                                    |                 |                 |                 |
| subjects affected / exposed                            | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Respiratory, thoracic and mediastinal disorders</b> |                 |                 |                 |
| <b>LUNG DISORDER</b>                                   |                 |                 |                 |
| subjects affected / exposed                            | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>RESPIRATORY DISTRESS</b>                            |                 |                 |                 |
| subjects affected / exposed                            | 0 / 108 (0.00%) | 1 / 113 (0.88%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 0           | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>Hepatobiliary disorders</b>                         |                 |                 |                 |
| <b>CHOLELITHIASIS</b>                                  |                 |                 |                 |
| subjects affected / exposed                            | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all        | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all             | 0 / 0           | 0 / 0           | 0 / 0           |
| <b>CHOLESTASIS</b>                                     |                 |                 |                 |

|   |                 |                 |                 |
|---|-----------------|-----------------|-----------------|
| subjects affected / exposed                     | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all | 1 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all      | 1 / 1           | 0 / 0           | 0 / 0           |
| Renal and urinary disorders                     |                 |                 |                 |
| RENAL FAILURE                                   |                 |                 |                 |
| subjects affected / exposed                     | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| Infections and infestations                     |                 |                 |                 |
| ERYSIPELAS                                      |                 |                 |                 |
| subjects affected / exposed                     | 2 / 108 (1.85%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all | 0 / 2           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| SEPSIS  |                 |                 |                 |
| subjects affected / exposed                     | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |
| Product issues                                  |                 |                 |                 |
| DEVICE DISLOCATION                              |                 |                 |                 |
| subjects affected / exposed                     | 1 / 108 (0.93%) | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           | 0 / 0           |

Frequency threshold for reporting non-serious adverse events: 5 %

| Non-serious adverse events                            | Trastuzumab SC    | Long-term follow-up period | Trastuzumab IV    |
|---|-------------------|----------------------------|-------------------|
| Total subjects affected by non-serious adverse events |                   |                            |                   |
| subjects affected / exposed                           | 99 / 108 (91.67%) | 17 / 113 (15.04%)          | 44 / 111 (39.64%) |
| Investigations  |                   |                            |                   |
| WEIGHT INCREASED                                      |                   |                            |                   |
| subjects affected / exposed                           | 11 / 108 (10.19%) | 0 / 113 (0.00%)            | 0 / 111 (0.00%)   |
| occurrences (all)                                     | 11                | 0                          | 0                 |
| EJECTION FRACTION DECREASED                           |                   |                            |                   |
| subjects affected / exposed                           | 3 / 108 (2.78%)   | 2 / 113 (1.77%)            | 1 / 111 (0.90%)   |
| occurrences (all)                                     | 4                 | 3                          | 1                 |

|   |                         |                      |                      |
|---|-------------------------|----------------------|----------------------|
| WEIGHT DECREASED<br>subjects affected / exposed<br>occurrences (all)  | 9 / 108 (8.33%)<br>9    | 0 / 113 (0.00%)<br>0 | 1 / 111 (0.90%)<br>1 |
| Vascular disorders<br>HYPERTENSION<br>subjects affected / exposed<br>occurrences (all)                                  | 6 / 108 (5.56%)<br>10   | 2 / 113 (1.77%)<br>3 | 3 / 111 (2.70%)<br>5 |
| HAEMATOMA<br>subjects affected / exposed<br>occurrences (all)   | 6 / 108 (5.56%)<br>8    | 0 / 113 (0.00%)<br>0 | 0 / 111 (0.00%)<br>0 |
| Nervous system disorders<br>HEADACHE<br>subjects affected / exposed<br>occurrences (all)                                | 14 / 108 (12.96%)<br>30 | 3 / 113 (2.65%)<br>3 | 2 / 111 (1.80%)<br>4 |
| PARAESTHESIA<br>subjects affected / exposed<br>occurrences (all)  | 4 / 108 (3.70%)<br>6    | 1 / 113 (0.88%)<br>1 | 2 / 111 (1.80%)<br>2 |
| General disorders and administration<br>site conditions<br>ASTHENIA<br>subjects affected / exposed<br>occurrences (all) | 31 / 108 (28.70%)<br>36 | 2 / 113 (1.77%)<br>2 | 4 / 111 (3.60%)<br>4 |
| INJECTION SITE PAIN<br>subjects affected / exposed<br>occurrences (all)   | 30 / 108 (27.78%)<br>53 | 0 / 113 (0.00%)<br>0 | 1 / 111 (0.90%)<br>1 |
| INJECTION SITE ERYTHEMA<br>subjects affected / exposed<br>occurrences (all)   | 17 / 108 (15.74%)<br>46 | 0 / 113 (0.00%)<br>0 | 0 / 111 (0.00%)<br>0 |
| FATIGUE<br>subjects affected / exposed<br>occurrences (all)   | 5 / 108 (4.63%)<br>7    | 0 / 113 (0.00%)<br>0 | 2 / 111 (1.80%)<br>2 |
| PYREXIA<br>subjects affected / exposed<br>occurrences (all)   | 4 / 108 (3.70%)<br>4    | 0 / 113 (0.00%)<br>0 | 2 / 111 (1.80%)<br>5 |
| INJECTION SITE HAEMATOMA<br>subjects affected / exposed<br>occurrences (all)  | 5 / 108 (4.63%)<br>5    | 0 / 113 (0.00%)<br>0 | 1 / 111 (0.90%)<br>1 |



|   |                   |                 |                 |
|---|-------------------|-----------------|-----------------|
| Gastrointestinal disorders                      |                   |                 |                 |
| DIARRHOEA                                       |                   |                 |                 |
| subjects affected / exposed                     | 7 / 108 (6.48%)   | 1 / 113 (0.88%) | 2 / 111 (1.80%) |
| occurrences (all)                               | 7                 | 1               | 2               |
| NAUSEA  |                   |                 |                 |
| subjects affected / exposed                     | 7 / 108 (6.48%)   | 0 / 113 (0.00%) | 2 / 111 (1.80%) |
| occurrences (all)                               | 7                 | 0               | 2               |
| VOMITING  |                   |                 |                 |
| subjects affected / exposed                     | 5 / 108 (4.63%)   | 0 / 113 (0.00%) | 1 / 111 (0.90%) |
| occurrences (all)                               | 5                 | 0               | 4               |
| Respiratory, thoracic and mediastinal disorders |                   |                 |                 |
| DYSPNOEA  |                   |                 |                 |
| subjects affected / exposed                     | 7 / 108 (6.48%)   | 1 / 113 (0.88%) | 1 / 111 (0.90%) |
| occurrences (all)                               | 8                 | 1               | 1               |
| COUGH   |                   |                 |                 |
| subjects affected / exposed                     | 4 / 108 (3.70%)   | 0 / 113 (0.00%) | 2 / 111 (1.80%) |
| occurrences (all)                               | 4                 | 0               | 2               |
| Skin and subcutaneous tissue disorders          |                   |                 |                 |
| ERYTHEMA  |                   |                 |                 |
| subjects affected / exposed                     | 6 / 108 (5.56%)   | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences (all)                               | 7                 | 0               | 0               |
| PRURITUS  |                   |                 |                 |
| subjects affected / exposed                     | 6 / 108 (5.56%)   | 0 / 113 (0.00%) | 0 / 111 (0.00%) |
| occurrences (all)                               | 7                 | 0               | 0               |
| Musculoskeletal and connective tissue disorders |                   |                 |                 |
| ARTHRALGIA                                      |                   |                 |                 |
| subjects affected / exposed                     | 16 / 108 (14.81%) | 0 / 113 (0.00%) | 4 / 111 (3.60%) |
| occurrences (all)                               | 17                | 0               | 5               |
| MUSCLE SPASMS                                   |                   |                 |                 |
| subjects affected / exposed                     | 10 / 108 (9.26%)  | 0 / 113 (0.00%) | 2 / 111 (1.80%) |
| occurrences (all)                               | 11                | 0               | 2               |
| BACK PAIN                                       |                   |                 |                 |
| subjects affected / exposed                     | 6 / 108 (5.56%)   | 1 / 113 (0.88%) | 3 / 111 (2.70%) |
| occurrences (all)                               | 6                 | 1               | 3               |
| PAIN IN EXTREMITY                               |                   |                 |                 |

|  |                      |                      |                      |
|--|----------------------|----------------------|----------------------|
| subjects affected / exposed<br>occurrences (all) | 6 / 108 (5.56%)<br>7 | 0 / 113 (0.00%)<br>0 | 0 / 111 (0.00%)<br>0 |
| Infections and infestations                      |                      |                      |                      |
| BRONCHITIS                                       |                      |                      |                      |
| subjects affected / exposed                      | 13 / 108 (12.04%)    | 0 / 113 (0.00%)      | 3 / 111 (2.70%)      |
| occurrences (all)                                | 15                   | 0                    | 3                    |
| RHINITIS   |                      |                      |                      |
| subjects affected / exposed                      | 6 / 108 (5.56%)      | 1 / 113 (0.88%)      | 2 / 111 (1.80%)      |
| occurrences (all)                                | 6                    | 1                    | 2                    |
| NASOPHARYNGITIS                                  |                      |                      |                      |
| subjects affected / exposed                      | 5 / 108 (4.63%)      | 0 / 113 (0.00%)      | 2 / 111 (1.80%)      |
| occurrences (all)                                | 5                    | 0                    | 2                    |
| URINARY TRACT INFECTION                          |                      |                      |                      |
| subjects affected / exposed                      | 4 / 108 (3.70%)      | 3 / 113 (2.65%)      | 0 / 111 (0.00%)      |
| occurrences (all)                                | 4                    | 4                    | 0                    |
| INFLUENZA  |                      |                      |                      |
| subjects affected / exposed                      | 6 / 108 (5.56%)      | 1 / 113 (0.88%)      | 1 / 111 (0.90%)      |
| occurrences (all)                                | 6                    | 1                    | 1                    |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date             | Amendment   |
|------------------|---|
| 20 February 2013 | In order to match to clinical practice, the screening period was enlarged (between Day -21 to Day 1 instead of Day -14 to Day 1)<br>In order to match to clinical practice, the 9th inclusion criterion (mBC) was simplified<br>Due to the modification of the Herceptin SC SPC, the 11th inclusion criterion was changed, as well as the modalities of treatment use |
| 21 June 2013     | Times windows were added for the visits during the treatment period and the safety follow-up visit ( $\pm 2$ days) and for the long-term follow-up visits ( $\pm 7$ days)<br>In order to match to clinical practice, the 9th inclusion criterion (mBC) was simplified   |
| 30 January 2014  | In order to match to clinical practice, cardiac safety assessments were required every 6 cycles (instead of 3)  |
| 20 April 2015    | Due to the extension of the inclusion period (from 1 to 2 years), the total study duration covered 6 years instead of 5 years.<br>After the 1-year T treatment period, patients were followed up to 3 additional years even in the event of disease progression in the meantime, to allow overall survival estimation.  |

Notes:

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### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

None reported

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### Online references

<http://www.ncbi.nlm.nih.gov/pubmed/28648618>