



## Clinical trial results:

**A Phase Ib/Ila multi-centric study to determine the safety and efficacy of a combination of anti-CD3 & anti-CD7 ricin A immunotoxins (T-Guard) for the treatment of steroid-resistant acute Graft-versus-Host Disease.**

### Summary

|                          |                  |
|--------------------------|------------------|
| EudraCT number           | 2013-000068-27   |
| Trial protocol           | NL DE            |
| Global end of trial date | 03 November 2016 |

### Results information

|                                   |   |
|-----------------------------------|---|
| Result version number             | v1 (current)  |
| This version publication date     | 19 October 2020   |
| First version publication date    | 19 October 2020   |
| Summary attachment (see zip file) | Publication T-Guard Ph1/2 study XEN/TG-001 (Groth et al 2019.pdf) |

### Trial information

#### Trial identification

|                       |            |
|-----------------------|------------|
| Sponsor protocol code | XEN/TG-001 |
|-----------------------|------------|

#### Additional study identifiers

|                                    |             |
|------------------------------------|-------------|
| ISRCTN number                      | -           |
| ClinicalTrials.gov id (NCT number) | NCT02027805 |
| WHO universal trial number (UTN)   | -           |

Notes:

### Sponsors

|                              |  |
|------------------------------|--|
| Sponsor organisation name    | Xenikos BV   |
| Sponsor organisation address | Wilhelminasingel 14, Nijmegen , Netherlands, 6524 AL                                 |
| Public contact               | Ypke van Oosterhout, Ypke van Oosterhout, +31 243000100, y.vanoosterhout@xenikos.com |
| Scientific contact           | Ypke van Oosterhout, Ypke van Oosterhout, +31 243000100, y.vanoosterhout@xenikos.com |

Notes:

### Paediatric regulatory details

|  |    |
|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|  |                  |
|--|------------------|
| Analysis stage                                       | Final            |
| Date of interim/final analysis                       | 20 April 2017    |
| Is this the analysis of the primary completion data? | No               |
| Global end of trial reached?                         | Yes              |
| Global end of trial date                             | 03 November 2016 |
| Was the trial ended prematurely?                     | No               |

Notes:

## General information about the trial

Main objective of the trial:

To determine the efficacy with which T-Guard, four weeks after the first infusion (study Day 28) induces an objective clinical response in patients with steroid-resistant acute GVHD.

Protection of trial subjects:

This study was conducted in conformance with Good Clinical Practice standards and applicable country and/or local statutes and regulations regarding ethical committee review, informed consent, and the protection of human subjects participating in biomedical research. The following additional measure(s) defined for this individual study was (were) in place for the protection of trial subjects:

- Patients were closely followed for symptoms which might have been indicative for the occurrence of esophagus or gastrointestinal toxicity.
- The occurrence of an anaphylactic reaction to T-Guard formed a contraindication for subsequent doses.
- No further doses should have been given when albumin levels decreased to 10.0 g/l or below. It was also recommended to consider an adjustment or halting of further doses if albumin levels dropped more than 5.0 g/l as compared to baseline, which decision was left to the Investigator's discretion.

Background therapy:

High dose steroids, which started at a level of 1-2 mg/kg

Evidence for comparator:

No comparator was used

|   |                  |
|---|------------------|
| Actual start date of recruitment                          | 20 December 2013 |
| Long term follow-up planned                               | No               |
| Independent data monitoring committee (IDMC) involvement? | Yes              |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |                 |
|--------------------------------------|-----------------|
| Country: Number of subjects enrolled | Netherlands: 12 |
| Country: Number of subjects enrolled | Germany: 8      |
| Worldwide total number of subjects   | 20              |
| EEA total number of subjects         | 20              |

Notes:

### Subjects enrolled per age group

|   |   |
|---|---|
| In utero                                  | 0 |
| Preterm newborn - gestational age < 37 wk | 0 |
| Newborns (0-27 days)                      | 0 |

|  |    |
|--|----|
| Infants and toddlers (28 days-23 months) | 0  |
| Children (2-11 years)                    | 0  |
| Adolescents (12-17 years)                | 0  |
| Adults (18-64 years)                     | 17 |
| From 65 to 84 years                      | 3  |
| 85 years and over                        | 0  |

## Subject disposition

### Recruitment

Recruitment details:

The study was performed at 2 transplantation sites, one in The Netherlands and one in Germany. In total, 20 evaluable patients were included over 29 months. First patient screened was 2014-03-04. Last patient last visit was 2016-11-03.

### Pre-assignment

Screening details:

The study population consisted of patients who were treated for aGVHD that had developed following HSCT or DLI, and who did not respond to standard first-line therapy of 2 mg/kg methylprednisolone daily.

### Period 1

|                              |                                       |
|------------------------------|---------------------------------------|
| Period 1 title               | overall trial period (overall period) |
| Is this the baseline period? | Yes                                   |
| Allocation method            | Not applicable                        |
| Blinding used                | Not blinded                           |

### Arms

|           |                          |
|-----------|--------------------------|
| Arm title | T-Guard treated patients |
|-----------|--------------------------|

Arm description:

Subjects with steroid refractory acute Graft-vs-Host Disease who were treated with T-Guard

|  |   |
|--|---|
| Arm type                               | Experimental                                      |
| Investigational medicinal product name | T-Guard   |
| Investigational medicinal product code | combination of anti-CD3/ CD7 ricin A immunotoxins |
| Other name                             | combination of SPV-T3a-RTA and WT1-RTA            |
| Pharmaceutical forms                   | Concentrate for solution for infusion             |
| Routes of administration               | Intravenous use                                   |

Dosage and administration details:

T-Guard will be administered as an infusion at a dose of 4mg/m<sup>2</sup> (actual body weight) over 4 hours every 2 calendar days on Days 0, 2, 4, and 6.

| Number of subjects in period 1 | T-Guard treated patients |
|--------------------------------|--------------------------|
| Started                        | 20                       |
| Completed                      | 20                       |

## Baseline characteristics

### Reporting groups

|                       |                      |
|-----------------------|----------------------|
| Reporting group title | overall trial period |
|-----------------------|----------------------|

Reporting group description: -

| Reporting group values | overall trial period | Total |  |
|------------------------|----------------------|-------|--|
| Number of subjects     | 20                   | 20    |  |
| Age categorical        |                      |       |  |
| Units: Subjects        |                      |       |  |

|                      |          |    |  |
|----------------------|----------|----|--|
| Age continuous       |          |    |  |
| Units: years         |          |    |  |
| median               | 52.5     |    |  |
| full range (min-max) | 18 to 74 | -  |  |
| Gender categorical   |          |    |  |
| Units: Subjects      |          |    |  |
| Female               | 11       | 11 |  |
| Male                 | 9        | 9  |  |

### Subject analysis sets

|                            |                      |
|----------------------------|----------------------|
| Subject analysis set title | All Subjects Treated |
|----------------------------|----------------------|

|                           |               |
|---------------------------|---------------|
| Subject analysis set type | Full analysis |
|---------------------------|---------------|

Subject analysis set description:

All patients that received at least one dose of T-Guard

| Reporting group values | All Subjects Treated |  |  |
|------------------------|----------------------|--|--|
| Number of subjects     | 20                   |  |  |
| Age categorical        |                      |  |  |
| Units: Subjects        |                      |  |  |

|                      |          |  |  |
|----------------------|----------|--|--|
| Age continuous       |          |  |  |
| Units: years         |          |  |  |
| median               | 52,5     |  |  |
| full range (min-max) | 18 to 74 |  |  |
| Gender categorical   |          |  |  |
| Units: Subjects      |          |  |  |
| Female               | 11       |  |  |
| Male                 | 9        |  |  |

## End points

### End points reporting groups

|  |                          |
|--|--------------------------|
| Reporting group title  | T-Guard treated patients |
| Reporting group description:<br>Subjects with steroid refractory acute Graft-vs-Host Disease who were treated with T-Guard |                          |
| Subject analysis set title   | All Subjects Treated     |
| Subject analysis set type  | Full analysis            |
| Subject analysis set description:<br>All patients that received at least one dose of T-Guard                               |                          |

### Primary: Overall Response Rate at 4 weeks after the first infusion of TGuard (Day 28)

|   |   |
|---|---|
| End point title   | Overall Response Rate at 4 weeks after the first infusion of TGuard (Day 28) <sup>[1]</sup> |
| End point description:  |   |
| End point type  | Primary   |
| End point timeframe:<br>28 days after administration of the first dose of T-Guard |   |

Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: This was a non comparative single arm study; only descriptive statistics was performed. The estimated aGVHD response rates along with the 95% Clopper-Pearson exact CI were calculated.

| End point values            | T-Guard treated patients | All Subjects Treated |  |  |
|-----------------------------|--------------------------|----------------------|--|--|
| Subject group type          | Reporting group          | Subject analysis set |  |  |
| Number of subjects analysed | 20                       | 20                   |  |  |
| Units: 20                   | 20                       | 20                   |  |  |

### Statistical analyses

No statistical analyses for this end point

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

6 months

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

|                    |       |
|--------------------|-------|
| Dictionary version | 19.1E |
|--------------------|-------|

### Reporting groups

|                       |                      |
|-----------------------|----------------------|
| Reporting group title | All Subjects Treated |
|-----------------------|----------------------|

Reporting group description:

All subjects who received at least one dose of T-Guard

| Serious adverse events  | All Subjects Treated |  |  |
|---|----------------------|--|--|
| Total subjects affected by serious adverse events                   |                      |  |  |
| subjects affected / exposed   | 14 / 20 (70.00%)     |  |  |
| number of deaths (all causes)                                       | 8                    |  |  |
| number of deaths resulting from adverse events                      | 8                    |  |  |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) |                      |  |  |
| Acute myeloid leukaemia   |                      |  |  |
| subjects affected / exposed   | 1 / 20 (5.00%)       |  |  |
| occurrences causally related to treatment / all                     | 0 / 1                |  |  |
| deaths causally related to treatment / all                          | 0 / 0                |  |  |
| Post transplant lymphoproliferative disorder                        |                      |  |  |
| subjects affected / exposed   | 1 / 20 (5.00%)       |  |  |
| occurrences causally related to treatment / all                     | 1 / 1                |  |  |
| deaths causally related to treatment / all                          | 0 / 0                |  |  |
| Investigations  |                      |  |  |
| Blood bilirubin increased   |                      |  |  |
| subjects affected / exposed   | 1 / 20 (5.00%)       |  |  |
| occurrences causally related to treatment / all                     | 0 / 1                |  |  |
| deaths causally related to treatment / all                          | 0 / 0                |  |  |
| Cardiac disorders   |                      |  |  |
| cardiac arrest  |                      |  |  |

|  |                 |  |  |
|--|-----------------|--|--|
| subjects affected / exposed                          | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to treatment / all      | 0 / 1           |  |  |
| deaths causally related to treatment / all           | 0 / 0           |  |  |
| Cardiopulmonary failure                              |                 |  |  |
| subjects affected / exposed                          | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to treatment / all      | 0 / 1           |  |  |
| deaths causally related to treatment / all           | 0 / 1           |  |  |
| General disorders and administration site conditions |                 |  |  |
| Organ failure  |                 |  |  |
| subjects affected / exposed                          | 2 / 20 (10.00%) |  |  |
| occurrences causally related to treatment / all      | 0 / 2           |  |  |
| deaths causally related to treatment / all           | 0 / 1           |  |  |
| Pyrexia  |                 |  |  |
| subjects affected / exposed                          | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to treatment / all      | 0 / 1           |  |  |
| deaths causally related to treatment / all           | 0 / 1           |  |  |
| Immune system disorders                              |                 |  |  |
| Graft versus host diseases                           |                 |  |  |
| subjects affected / exposed                          | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to treatment / all      | 0 / 1           |  |  |
| deaths causally related to treatment / all           | 0 / 1           |  |  |
| Acute graft versus host disease                      |                 |  |  |
| subjects affected / exposed                          | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to treatment / all      | 0 / 1           |  |  |
| deaths causally related to treatment / all           | 0 / 0           |  |  |
| Gastrointestinal disorders                           |                 |  |  |
| Abdominal pain                                       |                 |  |  |
| subjects affected / exposed                          | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to treatment / all      | 0 / 1           |  |  |
| deaths causally related to treatment / all           | 0 / 0           |  |  |
| Diarrhoea  |                 |  |  |
| subjects affected / exposed                          | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to treatment / all      | 0 / 1           |  |  |
| deaths causally related to treatment / all           | 0 / 0           |  |  |



|   |                 |  |  |
|---|-----------------|--|--|
| Gastrointestinal haemorrhage<br>subjects affected / exposed | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to<br>treatment / all          | 0 / 1           |  |  |
| deaths causally related to<br>treatment / all               | 0 / 0           |  |  |
| Large intestinal haemorrhage<br>subjects affected / exposed | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to<br>treatment / all          | 0 / 1           |  |  |
| deaths causally related to<br>treatment / all               | 0 / 0           |  |  |
| Infections and infestations                                 |                 |  |  |
| Abdominal sepsis<br>subjects affected / exposed             | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to<br>treatment / all          | 0 / 1           |  |  |
| deaths causally related to<br>treatment / all               | 0 / 1           |  |  |
| herpes virus infection<br>subjects affected / exposed       | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to<br>treatment / all          | 0 / 1           |  |  |
| deaths causally related to<br>treatment / all               | 0 / 0           |  |  |
| Kidney infection<br>subjects affected / exposed             | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to<br>treatment / all          | 1 / 1           |  |  |
| deaths causally related to<br>treatment / all               | 0 / 0           |  |  |
| Sepsis<br>subjects affected / exposed                       | 2 / 20 (10.00%) |  |  |
| occurrences causally related to<br>treatment / all          | 0 / 2           |  |  |
| deaths causally related to<br>treatment / all               | 0 / 1           |  |  |
| Pneumonia<br>subjects affected / exposed                    | 2 / 20 (10.00%) |  |  |
| occurrences causally related to<br>treatment / all          | 0 / 2           |  |  |
| deaths causally related to<br>treatment / all               | 0 / 2           |  |  |
| Staphylococcal sepsis<br>subjects affected / exposed        | 1 / 20 (5.00%)  |  |  |
| occurrences causally related to<br>treatment / all          | 0 / 1           |  |  |
| deaths causally related to<br>treatment / all               | 0 / 0           |  |  |
| Respiratory tract infection                                 |                 |  |  |

|   |                |  |  |
|---|----------------|--|--|
| subjects affected / exposed                     | 1 / 20 (5.00%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Urinary tract infection                         |                |  |  |
| subjects affected / exposed                     | 1 / 20 (5.00%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |
| Urosepsis                                       |                |  |  |
| subjects affected / exposed                     | 1 / 20 (5.00%) |  |  |
| occurrences causally related to treatment / all | 0 / 1          |  |  |
| deaths causally related to treatment / all      | 0 / 0          |  |  |

Frequency threshold for reporting non-serious adverse events: 5 %

| <b>Non-serious adverse events</b>                     | All Subjects Treated |  |  |
|---|----------------------|--|--|
| Total subjects affected by non-serious adverse events |                      |  |  |
| subjects affected / exposed                           | 18 / 20 (90.00%)     |  |  |
| Investigations  |                      |  |  |
| Blood bilirubin increased                             |                      |  |  |
| subjects affected / exposed                           | 6 / 20 (30.00%)      |  |  |
| occurrences (all)                                     | 10                   |  |  |
| White blood cell count decreased                      |                      |  |  |
| subjects affected / exposed                           | 5 / 20 (25.00%)      |  |  |
| occurrences (all)                                     | 6                    |  |  |
| Vascular disorders                                    |                      |  |  |
| Capillary leak syndrome                               |                      |  |  |
| subjects affected / exposed                           | 8 / 20 (40.00%)      |  |  |
| occurrences (all)                                     | 8                    |  |  |
| Nervous system disorders                              |                      |  |  |
| Nervous system disorder                               |                      |  |  |
| subjects affected / exposed                           | 11 / 20 (55.00%)     |  |  |
| occurrences (all)                                     | 21                   |  |  |
| General disorders and administration site conditions  |                      |  |  |
| edema peripheral                                      |                      |  |  |

|   |                 |  |  |
|---|-----------------|--|--|
| subjects affected / exposed                     | 8 / 20 (40.00%) |  |  |
| occurrences (all)                               | 8               |  |  |
| Pyrexia   |                 |  |  |
| subjects affected / exposed                     | 8 / 20 (40.00%) |  |  |
| occurrences (all)                               | 11              |  |  |
| fatigue   |                 |  |  |
| subjects affected / exposed                     | 6 / 20 (30.00%) |  |  |
| occurrences (all)                               | 13              |  |  |
| Blood and lymphatic system disorders            |                 |  |  |
| Anaemia   |                 |  |  |
| subjects affected / exposed                     | 6 / 20 (30.00%) |  |  |
| occurrences (all)                               | 11              |  |  |
| Thrombocytopenias                               |                 |  |  |
| subjects affected / exposed                     | 6 / 20 (30.00%) |  |  |
| occurrences (all)                               | 11              |  |  |
| Gastrointestinal disorders                      |                 |  |  |
| nausea  |                 |  |  |
| subjects affected / exposed                     | 5 / 20 (25.00%) |  |  |
| occurrences (all)                               | 8               |  |  |
| Musculoskeletal and connective tissue disorders |                 |  |  |
| Muscular weakness                               |                 |  |  |
| subjects affected / exposed                     | 5 / 20 (25.00%) |  |  |
| occurrences (all)                               | 5               |  |  |
| Myopathy  |                 |  |  |
| subjects affected / exposed                     | 5 / 20 (25.00%) |  |  |
| occurrences (all)                               | 5               |  |  |
| Infections and infestations                     |                 |  |  |
| Upper respiratory tract infection               |                 |  |  |
| subjects affected / exposed                     | 5 / 20 (25.00%) |  |  |
| occurrences (all)                               | 6               |  |  |
| Metabolism and nutrition disorders              |                 |  |  |
| Hypoalbuminaemia                                |                 |  |  |
| subjects affected / exposed                     | 8 / 20 (40.00%) |  |  |
| occurrences (all)                               | 10              |  |  |
| Hyperglycaemia                                  |                 |  |  |

|                             |                 |  |  |
|-----------------------------|-----------------|--|--|
| subjects affected / exposed | 7 / 20 (35.00%) |  |  |
| occurrences (all)           | 8               |  |  |
| Hypokalaemia                |                 |  |  |
| subjects affected / exposed | 5 / 20 (25.00%) |  |  |
| occurrences (all)           | 15              |  |  |
| Hypophosphataemia           |                 |  |  |
| subjects affected / exposed | 5 / 20 (25.00%) |  |  |
| occurrences (all)           | 6               |  |  |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date              | Amendment   |
|-------------------|---|
| 01 September 2013 | On request of the ethics committee, a provision has been added to the protocol that for reasons of risk mitigation first 8 patients should be treated sequentially, meaning that treatment should not be started until the previous patient has been observed for at least 48 hours after the last infusion. Furthermore, the collected personal demographic information of the study participants was restricted to 'month and year of birth', 'gender' and 'patient study number'.  |
| 08 April 2014     | The following main changes were made to the protocol: <ul style="list-style-type: none"><li>- language regarding the potential cross-reactivity of SVP-T3a-RTA with esophagus epithelium was added and adequate safety measures were implemented</li><li>- the severity of VLS was now scored according to the CTCAE V4.0 criteria for Capillary Leakage</li><li>- 24 hours-delay of the dosage was recommended if Grade II or III toxicity occurred after the previous dosage, with the next dose given if toxicity improved to &lt; grade II or ≤ grade II, respectively. Given the potentially life-threatening consequences of leaving steroid-resistant acute GVHD under-treated, the final decision to postpone the next dosage is left to the investigators discretion depending on the clinical status of the individual patient. Also, halving of the dosage could be considered</li><li>- language was added regarding the consideration for adjustment or halting of further T-Guard doses if albumin levels decreased to 12.0 g/l or below, or dropped more than 5.0 g/l as compared to baseline, though in the end it was still left to the investigator's discretion.</li></ul> |
| 13 November 2014  | - No further T-Guard doses should be administered when the serum albumin level decrease to 10 g/l or lower. Furthermore it was also recommended to consider an adjustment or halting of further doses if albumin levels drop more than 5.0 g/l as compared to baseline, which decision was left to the Investigator's discretion.   |
| 01 December 2014  | The German Arzneimittelgesetz (AMG) prohibited the mixing of the two drug products SPV-T3a-RTA and WT1-RTA by a Hospital Pharmacist without a manufacturing license. As a consequence, the preparation of the infusions and subsequent administration procedure of T-Guard differed slightly between the Radboudumc in The Netherlands and the University Hospital Münster in Germany. Of not, this had no effect on the composition, amount, or administration rate of the T-Guard IMP actually administered to the patient via the central intravenous catheter.  |

Notes:

### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

Limitations of the trial such as small numbers of subjects analysed or technical problems leading to unreliable data.

The sample size was small, and no randomized comparator arm was included. In addition, the study population was heterogeneous with respect to e.g. age, donor type, and GVHD prophylaxis regimens used. Nonetheless the population was representative.

