

**Clinical trial results:  
CD19-targeting 3rd generation CAR T cells for refractory B cell malignancy - a phase I/IIa trial.****Summary**

EudraCT number	2013-001393-19
Trial protocol	SE
Global end of trial date	31 May 2017

**Results information**

Result version number	v1 (current)
This version publication date	20 June 2018
First version publication date	20 June 2018

**Trial information****Trial identification**

Sponsor protocol code	003:TCELL
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**Additional study identifiers**

ISRCTN number	-
ClinicalTrials.gov id (NCT number)	NCT02132624
WHO universal trial number (UTN)	-

Notes:

**Sponsors**

Sponsor organisation name	Uppsala university
Sponsor organisation address	Dag Hammarskjöldsväg 20, Uppsala, Sweden, 75185
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Notes:

**Paediatric regulatory details**

Is trial part of an agreed paediatric investigation plan (PIP)	No
Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial?	No
Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial?	No

Notes:

## Results analysis stage

Analysis stage	Final
Date of interim/final analysis	20 May 2018
Is this the analysis of the primary completion data?	No
Global end of trial reached?	Yes
Global end of trial date	31 May 2017
Was the trial ended prematurely?	No

Notes:

## General information about the trial

Main objective of the trial:

To evaluate the feasibility of a single administration of CAR T cells to patients with disseminated B cell lymphoma or leukemia by studying the tolerance and toxicity.

Protection of trial subjects:

The main serious risks for patients treated with CAR T cells are cytokine release syndrome, brain edema and risk of infection due to low immunoglobulin level. The patients have been closely monitored and tocilizumab (anti-IL6R) was successfully used to limit cytokine release syndrome. We had no brain edemas in our study. Treating physician was monitoring immunoglobulin levels to enable displacement therapy when needed.

Background therapy:

In this trial third generation CAR T cells were used to treat adult patients with B cell malignancy (including both NHL and ALL). The CAR construct contained not only two signaling domains (commonly zeta chain and CD28 or 41BB in other clinically used CARs) but three domains. Those are zeta chain of the TcR complex, intracellular CD28 and 41BB. The CAR used was targeted to CD19 expressed on B cells. Patients were pretreated with their standard chemotherapy during weeks of CAR T cell manufacture in an attempt to control tumor growth until CARs were ready to use. The days prior CAR infusion, most patients received "preconditioning" with fludarabine and cyclophosphamide (low dose). The patients did not need intensive CAR during preconditioning in this study and it was given as "out patient" treatment.

Evidence for comparator:

No comparator was used.

Actual start date of recruitment	02 September 2013
Long term follow-up planned	No
Independent data monitoring committee (IDMC) involvement?	No

Notes:

## Population of trial subjects

### Subjects enrolled per country

Country: Number of subjects enrolled	Sweden: 15
Worldwide total number of subjects	15
EEA total number of subjects	15

Notes:

### Subjects enrolled per age group

In utero	0
Preterm newborn - gestational age < 37 wk	0

Newborns (0-27 days)	0
Infants and toddlers (28 days-23 months)	0
Children (2-11 years)	0
Adolescents (12-17 years)	0
Adults (18-64 years)	9
From 65 to 84 years	6
85 years and over	0

## Subject disposition

### Recruitment

Recruitment details:

Patients were recruited 2014-2016 in Sweden. The trial site was in Uppsala but some patients were referred to by other hospitals.

### Pre-assignment

Screening details:

Main reason for failing screening was too short expected survival that would result in patients not surviving long enough for the CAR T cells to be manufactured. Three of enrolled patients did not receive CAR T cells for this reason. Too poor blood status compromised ability to manufacture CARs from patient blood in one patient.

### Period 1

Period 1 title	overall trial (overall period)
Is this the baseline period?	Yes
Allocation method	Not applicable
Blinding used	Not blinded

### Arms

<b>Arm title</b>	CAR T cells
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Arm description:

CAR T cell infusion in patients pretreated/preconditioned

Arm type	Experimental
Investigational medicinal product name	CAR T cells
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Infusion
Routes of administration	Intravenous use

Dosage and administration details:

2x10e7 - 2x10e8 cells/m2

<b>Number of subjects in period 1</b>	CAR T cells
Started	15
Completed	15

## Baseline characteristics

### Reporting groups

Reporting group title	overall trial
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Reporting group description: -

Reporting group values	overall trial	Total	
Number of subjects	15	15	
Age categorical			
Units: Subjects			
In utero		0	
Preterm newborn infants (gestational age < 37 wks)		0	
Newborns (0-27 days)		0	
Infants and toddlers (28 days-23 months)		0	
Children (2-11 years)		0	
Adolescents (12-17 years)		0	
Adults (18-64 years)		0	
From 65-84 years		0	
85 years and over		0	
Age continuous			
Units: years			
median	61		
full range (min-max)	24 to 72	-	
Gender categorical			
Units: Subjects			
Female	7	7	
Male	8	8	

## End points

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### End points reporting groups

Reporting group title	CAR T cells
Reporting group description:	CAR T cell infusion in patients pretreated/preconditioned

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### Primary: Dose limiting toxicity from treatment to end of study participation

End point title	Dose limiting toxicity from treatment to end of study participation <sup>[1]</sup>
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End point description:

Adverse events leading to decision to reduce CAR T cell dose in the study

End point type	Primary
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End point timeframe:

From injection of CAR T cells to end of study participation.

Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: This study did not have any dose limiting toxicities. No statistical calculations were done.

End point values	CAR T cells			
Subject group type	Reporting group			
Number of subjects analysed	15			
Units: number of events				
number (not applicable)	0			

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### Statistical analyses

No statistical analyses for this end point

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### Secondary: Persistence of CAR T cells

End point title	Persistence of CAR T cells
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End point description:

PCR detection of CAR T cells in blood post treatment confirmed or not confirmed

End point type	Secondary
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End point timeframe:

From treatment to maximum 24 months post treatment

<b>End point values</b>	CAR T cells			
Subject group type	Reporting group			
Number of subjects analysed	15			
Units: Presence				
number (not applicable)	15			

### Statistical analyses

No statistical analyses for this end point

### Secondary: Responding patients (at least PR)

End point title	Responding patients (at least PR)
End point description:	Treatment response (at least partial response; PR) post CAR infusion
End point type	Secondary
End point timeframe:	From treatment to maximum 24 months post treatment

<b>End point values</b>	CAR T cells			
Subject group type	Reporting group			
Number of subjects analysed	15			
Units: Number	6			

### Statistical analyses

No statistical analyses for this end point

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

Enrollment to last visit (maximum 24 months post CAR T cell infusion)

Assessment type	Systematic
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### Dictionary used

Dictionary name	CTCAE
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Dictionary version	4
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### Reporting groups

Reporting group title	Overall trial
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Reporting group description: -

<b>Serious adverse events</b>	Overall trial		
Total subjects affected by serious adverse events			
subjects affected / exposed	5 / 15 (33.33%)		
number of deaths (all causes)	13		
number of deaths resulting from adverse events	0		
Investigations			
Elevated Lactase dehydrogenase			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Elevated c-reactive protein			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences causally related to treatment / all	3 / 3		
deaths causally related to treatment / all	0 / 0		
Neoplasms benign, malignant and unspecified (incl cysts and polyps)			
Malignant melanoma in situ			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	0 / 1		
deaths causally related to treatment / all	0 / 0		
Vascular disorders			
Hypertension			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		

Hypotension			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Cardiac disorders			
Atrial fibrillation			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Atrial tachycardia			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Fainting			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Syncope			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Nervous system disorders			
Ataxia			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Cognitive disorder			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Dizziness			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		

Dysarthria			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Headache			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Seizure			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Weakness in legs			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
CNS disturbance			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
General disorders and administration site conditions			
Chills			
subjects affected / exposed	3 / 15 (20.00%)		
occurrences causally related to treatment / all	3 / 3		
deaths causally related to treatment / all	0 / 0		
Fatigue			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Fever			
subjects affected / exposed	4 / 15 (26.67%)		
occurrences causally related to treatment / all	4 / 4		
deaths causally related to treatment / all	0 / 0		

Flu like symptom			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Skeletal pain			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Immune system disorders			
Cytokine release syndrome			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences causally related to treatment / all	2 / 2		
deaths causally related to treatment / all	0 / 0		
Gastrointestinal disorders			
Dysphagia			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Respiratory, thoracic and mediastinal disorders			
Hypoxia			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Psychiatric disorders			
Confusional state			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Musculoskeletal and connective tissue disorders			
Back pain			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Chest wall pain			

subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
<b>Infections and infestations</b>			
<b>Septicemia</b>			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	0 / 1		
deaths causally related to treatment / all	0 / 0		
<b>Sore throat</b>			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		

Frequency threshold for reporting non-serious adverse events: 0 %

<b>Non-serious adverse events</b>	Overall trial		
<b>Total subjects affected by non-serious adverse events</b>			
subjects affected / exposed	15 / 15 (100.00%)		
<b>Vascular disorders</b>			
<b>Ruddiness</b>			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
<b>hypertension diastolic</b>			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
<b>General disorders and administration site conditions</b>			
<b>Cold like symptoms</b>			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
<b>common cold</b>			
subjects affected / exposed	6 / 15 (40.00%)		
occurrences (all)	10		
<b>Enlarged abdomen</b>			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences (all)	2		

Flushing			
subjects affected / exposed	5 / 15 (33.33%)		
occurrences (all)	7		
Mucositis			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences (all)	2		
Pain shoulder blades			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Pain lymph node			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
pain in arm			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Sweating			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Swollen fingers			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Pain in abdomen	Additional description: Due to tumor location		
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
weight gain			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences (all)	2		
pain in legs			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
swollen feet			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
inguinal pain			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		

Reproductive system and breast disorders gynecological bleeding subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Respiratory, thoracic and mediastinal disorders Cough subjects affected / exposed occurrences (all)  dry cough subjects affected / exposed occurrences (all)  Dyspnea subjects affected / exposed occurrences (all)  shortness of breath subjects affected / exposed occurrences (all)  Shivering subjects affected / exposed occurrences (all)	4 / 15 (26.67%) 4  1 / 15 (6.67%) 1  1 / 15 (6.67%) 1  2 / 15 (13.33%) 2  1 / 15 (6.67%) 1		
Psychiatric disorders Anxiety subjects affected / exposed occurrences (all)  Insomnia subjects affected / exposed occurrences (all)  Lethargic subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1  1 / 15 (6.67%) 1  1 / 15 (6.67%) 1		
Investigations Elevated ALT subjects affected / exposed occurrences (all)  Elevated bilirubin	3 / 15 (20.00%) 3		

subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Elevated IL-6 subjects affected / exposed occurrences (all)	5 / 15 (33.33%) 5		
Elevated INR subjects affected / exposed occurrences (all)	2 / 15 (13.33%) 2		
Hypoalbuminemia subjects affected / exposed occurrences (all)	6 / 15 (40.00%) 8		
Hypocalcemia subjects affected / exposed occurrences (all)	3 / 15 (20.00%) 3		
hyponatremia subjects affected / exposed occurrences (all)	3 / 15 (20.00%) 3		
Hypokalemia subjects affected / exposed occurrences (all)	3 / 15 (20.00%) 3		
Leukopenia subjects affected / exposed occurrences (all)	8 / 15 (53.33%) 8		
Neutropenia subjects affected / exposed occurrences (all)	8 / 15 (53.33%) 10		
Trombocytopenia subjects affected / exposed occurrences (all)	5 / 15 (33.33%) 6		
Increased calcium subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 3		
Decreased bilirubin subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Decreased TPK			

subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Hypernatraemia			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
decreased lymphocytes			
subjects affected / exposed	4 / 15 (26.67%)		
occurrences (all)	5		
Elevated ALP			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences (all)	3		
Elevated AST			
subjects affected / exposed	3 / 15 (20.00%)		
occurrences (all)	3		
Decreased creatinine			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences (all)	2		
Decreased erythrocytes			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Elevated uric acid			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences (all)	2		
Decreased ALT			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Elevated thrombocytes			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Injury, poisoning and procedural complications			
Fracture			
	Additional description: lumbar vertebrae		
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Skin wound			

subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Cardiac disorders			
Fainting symptoms subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Tachycardia subjects affected / exposed occurrences (all)	5 / 15 (33.33%) 6		
Ventricular extrasystoles subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
atrial septal defect subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Nervous system disorders			
Amnesia subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
balance disturbance subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Genital sensory loss subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Hallucination subjects affected / exposed occurrences (all)	2 / 15 (13.33%) 2		
Sensory loss subjects affected / exposed occurrences (all)	3 / 15 (20.00%) 4		
Blood and lymphatic system disorders			
Anemia subjects affected / exposed occurrences (all)	11 / 15 (73.33%) 19		
Nose bleeding			

subjects affected / exposed occurrences (all)	2 / 15 (13.33%) 4		
Pancytopenia subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
lymph node bilateral fossa supraclavicula subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Lymph node left axilla subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Lymph node right axilla subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Ear and labyrinth disorders Tinnitus subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Eye disorders Diplopia subjects affected / exposed occurrences (all)	2 / 15 (13.33%) 2		
Gastrointestinal disorders Abdominal pain subjects affected / exposed occurrences (all)	5 / 15 (33.33%) 5		
Blisters subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 2	Additional description: toungue	
Constipation subjects affected / exposed occurrences (all)	4 / 15 (26.67%) 4		
diarrhea subjects affected / exposed occurrences (all)	3 / 15 (20.00%) 3		
Dry mouth			

subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Esophageal reflux			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Flatulence			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Nausea			
subjects affected / exposed	7 / 15 (46.67%)		
occurrences (all)	11		
Rectal bleeding			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Taste alteration			
subjects affected / exposed	5 / 15 (33.33%)		
occurrences (all)	6		
Vomiting			
subjects affected / exposed	4 / 15 (26.67%)		
occurrences (all)	5		
improved digestion			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Stool analysis	Additional description: yellowish		
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
esophageal pain			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Smelly stool			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Hiccups			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Dysphagia			

subjects affected / exposed occurrences (all)	2 / 15 (13.33%) 2		
Skin and subcutaneous tissue disorders			
Bruises			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	2		
Dry skin			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	2		
Petechiae arms and abdomen			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Petechiae, hematoma			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	2		
Skin rash			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
turgidity	Additional description: right eye		
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
rash maculopapular			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		
Renal and urinary disorders			
Urine retention			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences (all)	2		
Endocrine disorders			
hyperglycemia			
subjects affected / exposed	2 / 15 (13.33%)		
occurrences (all)	2		
Musculoskeletal and connective tissue disorders			
Difficulties to move			
subjects affected / exposed	1 / 15 (6.67%)		
occurrences (all)	1		

Muscle pain subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Myalgia subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Neck pain subjects affected / exposed occurrences (all)	2 / 15 (13.33%) 2		
Osteoporosis subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
arthrosis subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Infections and infestations Shingles subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Skin infection subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Soft tissue infection subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Pneumonia subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		
Metabolism and nutrition disorders Loss of appetite subjects affected / exposed occurrences (all)	3 / 15 (20.00%) 3		
anorexia subjects affected / exposed occurrences (all)	1 / 15 (6.67%) 1		



## **More information**

### **Substantial protocol amendments (globally)**

Were there any global substantial amendments to the protocol? No

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### **Interruptions (globally)**

Were there any global interruptions to the trial? No

### **Limitations and caveats**

None reported