



Clinical trial results:

Prospective, randomized, double-blind, placebo-controlled, parallel-group, multicenter study with an open-label extension period to investigate the efficacy and safety of NT 201 in the treatment of children and adolescents (2–17 years) with chronic troublesome sialorrhea associated with neurological disorders, and/or intellectual disability

Summary

| | |
|--------------------------|----------------------|
| EudraCT number | 2013-004532-30 |
| Trial protocol | HU PL Outside EU/EEA |
| Global end of trial date | 07 May 2019 |

Results information

| | |
|--------------------------------|------------------|
| Result version number | v1 (current) |
| This version publication date | 20 November 2019 |
| First version publication date | 20 November 2019 |

Trial information

Trial identification

| | |
|-----------------------|-----------------|
| Sponsor protocol code | MRZ60201_3091_1 |
|-----------------------|-----------------|

Additional study identifiers

| | |
|------------------------------------|---|
| ISRCTN number | - |
| ClinicalTrials.gov id (NCT number) | - |
| WHO universal trial number (UTN) | - |

Notes:

Sponsors

| | |
|------------------------------|----------------------------------------------------------------------------------------------|
| Sponsor organisation name | Merz Pharmaceuticals GmbH |
| Sponsor organisation address | Eckenheimer Landstrasse 100, Frankfurt/Main, Germany, 60318 |
| Public contact | Public Disclosure Manager, Merz Pharmaceuticals GmbH, +49 69 1503 1, clinicaltrials@merz.com |
| Scientific contact | Public Disclosure Manager, Merz Pharmaceuticals GmbH, +49 69 1503 1, clinicaltrials@merz.com |

Notes:

Paediatric regulatory details

| | |
|----------------------------------------------------------------------|---------------------|
| Is trial part of an agreed paediatric investigation plan (PIP) | Yes |
| EMA paediatric investigation plan number(s) | EMA-001039-PIP02-12 |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | Yes |

Notes:

Results analysis stage

| | |
|------------------------------------------------------|-------------|
| Analysis stage | Final |
| Date of interim/final analysis | 07 May 2019 |
| Is this the analysis of the primary completion data? | No |
| Global end of trial reached? | Yes |
| Global end of trial date | 07 May 2019 |
| Was the trial ended prematurely? | No |

Notes:

General information about the trial

Main objective of the trial:

The objective of this study is to investigate the efficacy and safety of NT 201 compared with placebo for the treatment of chronic troublesome sialorrhea associated with neurological disorders (example cerebral palsy, traumatic brain injury) and/or intellectual disability in children and adolescents naive to Botulinum neurotoxin treatment and aged 2-17 years.

Protection of trial subjects:

High medical and ethical standards were followed in accordance with Good Clinical Practice and other applicable regulations. In addition, an independent data monitoring committee was in charge of monitoring subject safety while the study was ongoing.

Background therapy: -

Evidence for comparator: -

| | |
|-----------------------------------------------------------|------------------|
| Actual start date of recruitment | 09 February 2015 |
| Long term follow-up planned | No |
| Independent data monitoring committee (IDMC) involvement? | Yes |

Notes:

Population of trial subjects

Subjects enrolled per country

| | |
|--------------------------------------|------------------------|
| Country: Number of subjects enrolled | Poland: 51 |
| Country: Number of subjects enrolled | Hungary: 13 |
| Country: Number of subjects enrolled | Ukraine: 121 |
| Country: Number of subjects enrolled | Georgia: 25 |
| Country: Number of subjects enrolled | Russian Federation: 44 |
| Country: Number of subjects enrolled | Serbia: 1 |
| Worldwide total number of subjects | 255 |
| EEA total number of subjects | 64 |

Notes:

Subjects enrolled per age group

| | |
|-------------------------------------------|---|
| In utero | 0 |
| Preterm newborn - gestational age < 37 wk | 0 |
| Newborns (0-27 days) | 0 |
| Infants and toddlers (28 days-23 months) | 0 |

| | |
|---------------------------|-----|
| Children (2-11 years) | 179 |
| Adolescents (12-17 years) | 76 |
| Adults (18-64 years) | 0 |
| From 65 to 84 years | 0 |
| 85 years and over | 0 |

Subject disposition

Recruitment

Recruitment details:

The study was conducted at 28 investigational sites in Georgia, Hungary, Poland, Russia, Serbia, and Ukraine.

Pre-assignment

Screening details:

A total of 281 subjects were screened, out of which 256 subjects were randomized/assigned into the study. Of these 256 subjects, 255 subjects received the study treatment. A total of 247 subjects who completed the Main Period (MP) entered the Open-label Extension Period (OLEX) of the study.

Period 1

| | |
|------------------------------|---------------------------------------------------------------|
| Period 1 title | Main Period (MP) |
| Is this the baseline period? | Yes |
| Allocation method | Randomised - controlled |
| Blinding used | Double blind |
| Roles blinded | Investigator, Monitor, Subject, Data analyst, Carer, Assessor |

Arms

| | |
|------------------------------|----------------------------------------------|
| Are arms mutually exclusive? | Yes |
| Arm title | Double-blind MP: Placebo (age 6 to 17 years) |

Arm description:

Subjects received placebo via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. Volumes were matched to the volumes of NT 201 (incobotulinumtoxinA; Xeomin) injected in the experimental arm.

| | |
|----------------------------------------|-----------------------------------|
| Arm type | Placebo |
| Investigational medicinal product name | Placebo |
| Investigational medicinal product code | |
| Other name | |
| Pharmaceutical forms | Powder for solution for injection |
| Routes of administration | Intraglandular use |

Dosage and administration details:

Subjects received placebo via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. Volumes were matched to the volumes of NT 201 (incobotulinumtoxinA; Xeomin) injected in the experimental arm.

| | |
|------------------|----------------------------------------------|
| Arm title | Double-blind, MP: NT 201 (age 6 to 17 years) |
|------------------|----------------------------------------------|

Arm description:

Subjects received NT 201 (up to 2.5 Units per kilogram [U/kg] body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks.

| | |
|----------------------------------------|-----------------------------------------------------------------------------------------|
| Arm type | Experimental |
| Investigational medicinal product name | IncobotulinumtoxinA |
| Investigational medicinal product code | NT 201 |
| Other name | Xeomin; Incobotulinumtoxin A; Botulinum neurotoxin type A free from complexing proteins |
| Pharmaceutical forms | Powder for solution for injection |
| Routes of administration | Intraglandular use |

Dosage and administration details:

Subjects received NT 201 (up to 2.5 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks.

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| Arm title | Open-label, MP: NT 201 (age 2 to 5 years) |
| Arm description: Subjects received NT 201 (about 1.5-2 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. | |
| Arm type | Experimental |
| Investigational medicinal product name | IncobotulinumtoxinA |
| Investigational medicinal product code | NT 201 |
| Other name | Xeomin; Incobotulinumtoxin A; Botulinum neurotoxin type A free from complexing proteins |
| Pharmaceutical forms | Powder for solution for injection |
| Routes of administration | Intraglandular use |

Dosage and administration details:

Subjects received NT 201 (about 1.5-2 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks.

| Number of subjects in period 1 | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | Open-label, MP: NT 201 (age 2 to 5 years) |
|---------------------------------------|----------------------------------------------|----------------------------------------------|-------------------------------------------|
| Started | 72 | 148 | 35 |
| Completed | 70 | 146 | 34 |
| Not completed | 2 | 2 | 1 |
| Consent withdrawn by subject | 2 | - | 1 |
| Adverse event, non-fatal | - | 1 | - |
| Lost to follow-up | - | 1 | - |

Period 2

| | |
|------------------------------|------------------------------------|
| Period 2 title | Open-label Extension Period (OLEX) |
| Is this the baseline period? | No |
| Allocation method | Not applicable |
| Blinding used | Not blinded |

Arms

| | |
|------------------------------|----------------------------------|
| Are arms mutually exclusive? | Yes |
| Arm title | OLEX: NT 201 (age 6 to 17 years) |

Arm description:

Subjects received NT 201 (up to 2.5 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands on Day 1 of second (Visit 6), third (Visit 10), and fourth (Visit 14) injection cycle of the OLEX, followed by an observation period of 16 weeks each (48 weeks in total). This arm consisted of subjects who participated in MP arms "Double-blind, MP: placebo (age 6 to 17 years)" and "Double-blind, MP: NT 201 (age 6 to 17 years)".

| | |
|----------|--------------|
| Arm type | Experimental |
|----------|--------------|

| | |
|----------------------------------------|-----------------------------------------------------------------------------------------|
| Investigational medicinal product name | IncobotulinumtoxinA |
| Investigational medicinal product code | NT 201 |
| Other name | Xeomin; Incobotulinumtoxin A; Botulinum neurotoxin type A free from complexing proteins |
| Pharmaceutical forms | Powder for solution for injection |
| Routes of administration | Intraglandular use |

Dosage and administration details:

Subjects received NT 201 (up to 2.5 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands on Day 1 of second (Visit 6), third (Visit 10), and fourth (Visit 14) injection cycle of the OLEX, followed by an observation period of 16 weeks each (48 weeks in total). This arm consisted of subjects who participated in MP arms "Double-blind, MP: placebo (age 6 to 17 years)" and "Double-blind, MP: NT 201 (age 6 to 17 years)".

| | |
|------------------|---------------------------------|
| Arm title | OLEX: NT 201 (age 2 to 5 years) |
|------------------|---------------------------------|

Arm description:

Subjects received NT 201 (about 1.5-2 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands on Day 1 of second (Visit 6), third (Visit 10), and fourth (Visit 14) injection cycle of the OLEX, followed by an observation period of 16 weeks each (48 weeks in total).

| | |
|----------------------------------------|-----------------------------------------------------------------------------------------|
| Arm type | Experimental |
| Investigational medicinal product name | IncobotulinumtoxinA |
| Investigational medicinal product code | NT 201 |
| Other name | Xeomin; Incobotulinumtoxin A; Botulinum neurotoxin type A free from complexing proteins |
| Pharmaceutical forms | Powder for solution for injection |
| Routes of administration | Intraglandular use |

Dosage and administration details:

Subjects received NT 201 (about 1.5-2 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands on Day 1 of second (Visit 6), third (Visit 10), and fourth (Visit 14) injection cycle of the OLEX, followed by an observation period of 16 weeks each (48 weeks in total).

| Number of subjects in period 2^[1] | OLEX: NT 201 (age 6 to 17 years) | OLEX: NT 201 (age 2 to 5 years) |
|-----------------------------------------------------|----------------------------------|---------------------------------|
| Started | 214 | 33 |
| Completed | 189 | 33 |
| Not completed | 25 | 0 |
| Consent withdrawn by subject | 16 | - |
| Physician decision | 1 | - |
| Adverse event, non-fatal | 4 | - |
| Lost to follow-up | 2 | - |
| Lack of efficacy | 2 | - |

Notes:

[1] - The number of subjects starting the period is not consistent with the number completing the preceding period. It is expected the number of subjects starting the subsequent period will be the same as the number completing the preceding period.

Justification: 3 subjects, 1 in each MP treatment group, did not enter the OLEX due to AE(s) (1 subject) and withdrawal of consent (2 subjects).

Baseline characteristics

Reporting groups

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|
| Reporting group title | Double-blind MP: Placebo (age 6 to 17 years) |
| Reporting group description: | |
| Subjects received placebo via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. Volumes were matched to the volumes of NT 201 (incobotulinumtoxinA; Xeomin) injected in the experimental arm. | |
| Reporting group title | Double-blind, MP: NT 201 (age 6 to 17 years) |
| Reporting group description: | |
| Subjects received NT 201 (up to 2.5 Units per kilogram [U/kg] body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. | |
| Reporting group title | Open-label, MP: NT 201 (age 2 to 5 years) |
| Reporting group description: | |
| Subjects received NT 201 (about 1.5-2 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. | |

| Reporting group values | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | Open-label, MP: NT 201 (age 2 to 5 years) |
|----------------------------------------------------|----------------------------------------------|----------------------------------------------|-------------------------------------------|
| Number of subjects | 72 | 148 | 35 |
| Age categorical | | | |
| Units: Subjects | | | |
| In utero | 0 | 0 | 0 |
| Preterm newborn infants (gestational age < 37 wks) | 0 | 0 | 0 |
| Newborns (0-27 days) | 0 | 0 | 0 |
| Infants and toddlers (28 days-23 months) | 0 | 0 | 0 |
| Children (2-11 years) | 48 | 96 | 35 |
| Adolescents (12-17 years) | 24 | 52 | 0 |
| Adults (18-64 years) | 0 | 0 | 0 |
| From 65-84 years | 0 | 0 | 0 |
| 85 years and over | 0 | 0 | 0 |
| Gender categorical | | | |
| Units: Subjects | | | |
| Female | 27 | 55 | 13 |
| Male | 45 | 93 | 22 |
| Race characteristic | | | |
| Units: Subjects | | | |
| White | 72 | 148 | 35 |
| Height characteristic | | | |
| Units: centimeter (cm) | | | |
| arithmetic mean | 135.3 | 132.8 | 101.1 |
| standard deviation | ± 16.92 | ± 17.15 | ± 8.09 |
| Weight characteristic | | | |
| Units: kilogram (kg) | | | |
| arithmetic mean | 30.8 | 28.8 | 15.7 |
| standard deviation | ± 11.67 | ± 11.48 | ± 3.00 |

| | | | |
|----------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| Body Mass Index (BMI) Units: kilogram per square meter (kg/m ²) arithmetic mean standard deviation | 16.4 ± 3.65 | 15.8 ± 3.25 | 15.3 ± 1.85 |
|----------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|

| | | | |
|----------------------------------------------------------------------------------------------------------------------------|-------|--|--|
| Reporting group values | Total | | |
| Number of subjects | 255 | | |
| Age categorical Units: Subjects | | | |
| In utero | 0 | | |
| Preterm newborn infants (gestational age < 37 wks) | 0 | | |
| Newborns (0-27 days) | 0 | | |
| Infants and toddlers (28 days-23 months) | 0 | | |
| Children (2-11 years) | 179 | | |
| Adolescents (12-17 years) | 76 | | |
| Adults (18-64 years) | 0 | | |
| From 65-84 years | 0 | | |
| 85 years and over | 0 | | |
| Gender categorical Units: Subjects | | | |
| Female | 95 | | |
| Male | 160 | | |
| Race characteristic Units: Subjects | | | |
| White | 255 | | |
| Height characteristic Units: centimeter (cm) arithmetic mean standard deviation | - | | |
| Weight characteristic Units: kilogram (kg) arithmetic mean standard deviation | - | | |
| Body Mass Index (BMI) Units: kilogram per square meter (kg/m ²) arithmetic mean standard deviation | - | | |

End points

End points reporting groups

| | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|
| Reporting group title | Double-blind MP: Placebo (age 6 to 17 years) |
| Reporting group description: Subjects received placebo via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. Volumes were matched to the volumes of NT 201 (incobotulinumtoxinA; Xeomin) injected in the experimental arm. | |
| Reporting group title | Double-blind, MP: NT 201 (age 6 to 17 years) |
| Reporting group description: Subjects received NT 201 (up to 2.5 Units per kilogram [U/kg] body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. | |
| Reporting group title | Open-label, MP: NT 201 (age 2 to 5 years) |
| Reporting group description: Subjects received NT 201 (about 1.5-2 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. | |
| Reporting group title | OLEX: NT 201 (age 6 to 17 years) |
| Reporting group description: Subjects received NT 201 (up to 2.5 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands on Day 1 of second (Visit 6), third (Visit 10), and fourth (Visit 14) injection cycle of the OLEX, followed by an observation period of 16 weeks each (48 weeks in total). This arm consisted of subjects who participated in MP arms "Double-blind, MP: placebo (age 6 to 17 years)" and "Double-blind, MP: NT 201 (age 6 to 17 years)". | |
| Reporting group title | OLEX: NT 201 (age 2 to 5 years) |
| Reporting group description: Subjects received NT 201 (about 1.5-2 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands on Day 1 of second (Visit 6), third (Visit 10), and fourth (Visit 14) injection cycle of the OLEX, followed by an observation period of 16 weeks each (48 weeks in total). | |
| Subject analysis set title | MP: Full Analysis Set (FAS) |
| Subject analysis set type | Full analysis |
| Subject analysis set description: The FAS is identical to the subset of subjects in the safety evaluation set of the MP (SES [MP]). The SES (MP) is the subset of all subjects who received study medication (NT 201 or placebo) during the MP of the study. | |
| Subject analysis set title | MP: SES |
| Subject analysis set type | Safety analysis |
| Subject analysis set description: The SES (MP) is the subset of all subjects who received study medication (NT 201 or placebo) during the MP of the study. | |
| Subject analysis set title | OLEX: SES |
| Subject analysis set type | Safety analysis |
| Subject analysis set description: The SES of the OLEX is the subset of all subjects who received study medication (NT 201) at least once during the OLEX of the study. | |

Primary: Change From Baseline in Unstimulated Salivary Flow Rate (uSFR) at Week 4

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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| End point title | Change From Baseline in Unstimulated Salivary Flow Rate (uSFR) at Week 4 ^[1] |
| End point description: This endpoint was analysed in double-blind, MP, 6 to 17 years subjects. uSFR was assessed by weighing of absorbent swabs with safety threads soaked with saliva over 5 minutes and the procedure was repeated after 30 minutes. Salivary flow rate was equal to weight increase of swabs/time of collection. The average of the 2 results for flow rate was calculated. The reduction of measured weight over the | |

study relates to improvement of sialorrhea.

| | |
|----------------------|---------|
| End point type | Primary |
| End point timeframe: | |
| Baseline and Week 4 | |

Notes:

[1] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period.
Justification: The endpoint was planned to be analysed only for two reporting groups: Double-blind MP: Placebo (age 6 to 17 years) and Double-blind, MP: NT 201 (age 6 to 17 years).

| | | | | |
|-------------------------------------|----------------------------------------------|----------------------------------------------|--|--|
| End point values | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | | |
| Subject group type | Reporting group | Reporting group | | |
| Number of subjects analysed | 72 ^[2] | 148 ^[3] | | |
| Units: gram per minute (g/min) | | | | |
| least squares mean (standard error) | -0.07 (± 0.015) | -0.14 (± 0.012) | | |

Notes:

[2] - MP-FAS

[3] - MP-FAS

Statistical analyses

| | |
|-----------------------------------|------------------------|
| Statistical analysis title | Statistical Analysis 1 |
|-----------------------------------|------------------------|

Statistical analysis description:

Least square mean (LS-Mean) is from a mixed model repeated measurement (MMRM) analysis with treatment group, pooled investigation sites, and age groups as fixed factors, visit*treatment as interaction term, visit as repeated factor, and baseline uSFR score as covariate.

| | |
|-----------------------------------------|---------------------------------------------------------------------------------------------|
| Comparison groups | Double-blind MP: Placebo (age 6 to 17 years) v Double-blind, MP: NT 201 (age 6 to 17 years) |
| Number of subjects included in analysis | 220 |
| Analysis specification | Pre-specified |
| Analysis type | superiority |
| P-value | = 0.0012 |
| Method | MMRM |
| Parameter estimate | LS-Mean difference |
| Point estimate | -0.06 |
| Confidence interval | |
| level | 95 % |
| sides | 2-sided |
| lower limit | -0.1 |
| upper limit | -0.03 |
| Variability estimate | Standard error of the mean |
| Dispersion value | 0.019 |

Primary: Global Impression of Change Scale (GICS) at Week 4 Assessed by the Carer/Parent(s)

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|-----------------|---------------------------------------------------------------------------------------------------|
| End point title | Global Impression of Change Scale (GICS) at Week 4 Assessed by the Carer/Parent(s) ^[4] |
|-----------------|---------------------------------------------------------------------------------------------------|

End point description:

This endpoint was analysed in double-blind, MP, 6 to 17 years subjects. The GICS was used to measure

the carer's/parent's impression of change due to treatment. The response option was a common 7-point Likert scale, with the following values: +3 (very much improved); +2 (much improved); +1 (minimally improved); 0 (no change); -1 (minimally worse); -2 (much worse); -3 (very much worse).

| | |
|----------------------|---------|
| End point type | Primary |
| End point timeframe: | |
| Week 4 | |

Notes:

[4] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period. Justification: The endpoint was planned to be analysed only for two reporting groups: Double-blind MP: Placebo (age 6 to 17 years) and Double-blind, MP: NT 201 (age 6 to 17 years).

| End point values | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | | |
|-------------------------------------|----------------------------------------------|----------------------------------------------|--|--|
| Subject group type | Reporting group | Reporting group | | |
| Number of subjects analysed | 72 ^[5] | 148 ^[6] | | |
| Units: units on a scale | | | | |
| least squares mean (standard error) | 0.63 (± 0.104) | 0.91 (± 0.075) | | |

Notes:

[5] - MP-FAS

[6] - MP-FAS

Statistical analyses

| | |
|----------------------------|------------------------|
| Statistical analysis title | Statistical Analysis 1 |
|----------------------------|------------------------|

Statistical analysis description:

LS-Mean is from a MMRM analysis model with treatment group, pooled investigation sites, and age groups as fixed factors, visit*treatment as interaction term, visit as repeated factor, and baseline Modified Teacher Drooling Scale (mTDS) score as covariate.

| | |
|-----------------------------------------|---------------------------------------------------------------------------------------------|
| Comparison groups | Double-blind MP: Placebo (age 6 to 17 years) v Double-blind, MP: NT 201 (age 6 to 17 years) |
| Number of subjects included in analysis | 220 |
| Analysis specification | Pre-specified |
| Analysis type | superiority |
| P-value | = 0.032 |
| Method | MMRM |
| Parameter estimate | LS-Mean difference |
| Point estimate | 0.28 |
| Confidence interval | |
| level | 95 % |
| sides | 2-sided |
| lower limit | 0.02 |
| upper limit | 0.53 |
| Variability estimate | Standard error of the mean |
| Dispersion value | 0.127 |

Primary: Occurrence of Treatment Emergent Adverse Events (TEAEs) Overall and per Treatment Cycle

| | |
|-----------------|--------------------------------------------------------------------------------------------------------|
| End point title | Occurrence of Treatment Emergent Adverse Events (TEAEs) Overall and per Treatment Cycle ^[7] |
|-----------------|--------------------------------------------------------------------------------------------------------|

End point description:

"n" is the number of subjects evaluable for this measure at a given time period and who were included in the assessment.

| | |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

Baseline up to Week 64

Notes:

[7] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Only descriptive data was planned to be analysed for this endpoint.

| End point values | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | Open-label, MP: NT 201 (age 2 to 5 years) | OLEX: NT 201 (age 6 to 17 years) |
|---------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------|----------------------------------------------------|----------------------------------------|
| Subject group type | Reporting group | Reporting group | Reporting group | Reporting group |
| Number of subjects analysed | 72 ^[8] | 148 ^[9] | 35 ^[10] | 214 ^[11] |
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 11 | 27 | 5 | 92 |
| First injection cycle (MP) (n=72,148,35,0,0) | 11 | 27 | 5 | 0 |
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 0 | 0 | 0 | 44 |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 0 | 0 | 0 | 35 |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 0 | 0 | 0 | 40 |

Notes:

[8] - MP-SES

[9] - MP-SES

[10] - MP-SES

[11] - OLEX-SES

| End point values | OLEX: NT 201 (age 2 to 5 years) | | | |
|---------------------------------------------------|---------------------------------------|--|--|--|
| Subject group type | Reporting group | | | |
| Number of subjects analysed | 33 ^[12] | | | |
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 15 | | | |
| First injection cycle (MP) (n=72,148,35,0,0) | 0 | | | |
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 7 | | | |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 5 | | | |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 11 | | | |

Notes:

[12] - OLEX-SES

Statistical analyses

No statistical analyses for this end point

Secondary: Change From Baseline in uSFR at Weeks 8 and 12

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|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
| End point title | Change From Baseline in uSFR at Weeks 8 and 12 ^[13] |
| End point description: | |
| This endpoint was analysed in double-blind, MP, 6 to 17 years subjects. uSFR was assessed by weighing of absorbent swabs with safety threads soaked with saliva over 5 minutes and then procedure was repeated after 30 minutes. Salivary flow rate was equal to weight increase of swabs/time of collection. The average of the 2 results for flow rate was calculated. The reduction of measured weight over the study relates to improvement of sialorrhea. | |
| End point type | Secondary |
| End point timeframe: | |
| Baseline and Weeks 8 and 12 | |

Notes:

[13] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period.

Justification: The endpoint was planned to be analysed only for two reporting groups: Double-blind MP: Placebo (age 6 to 17 years) and Double-blind, MP: NT 201 (age 6 to 17 years).

| End point values | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | | |
|-------------------------------------|----------------------------------------------|----------------------------------------------|--|--|
| Subject group type | Reporting group | Reporting group | | |
| Number of subjects analysed | 72 ^[14] | 148 ^[15] | | |
| Units: g/min | | | | |
| least squares mean (standard error) | | | | |
| Change at Week 8 | -0.07 (± 0.015) | -0.16 (± 0.012) | | |
| Change at Week 12 | -0.06 (± 0.016) | -0.16 (± 0.013) | | |

Notes:

[14] - MP-FAS

[15] - MP-FAS

Statistical analyses

| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Statistical analysis title | Statistical Analysis at Week 8 |
| Statistical analysis description: | |
| LS-Mean is from a MMRM analysis with treatment group, pooled investigation sites, and age groups as fixed factors, visit*treatment as interaction term, visit as repeated factor, and baseline uSFR score as covariate. | |
| Comparison groups | Double-blind MP: Placebo (age 6 to 17 years) v Double-blind, MP: NT 201 (age 6 to 17 years) |
| Number of subjects included in analysis | 220 |
| Analysis specification | Pre-specified |
| Analysis type | superiority |
| P-value | < 0.0001 |
| Method | MMRM |
| Parameter estimate | LS-Mean difference |
| Point estimate | -0.09 |
| Confidence interval | |
| level | 95 % |
| sides | 2-sided |
| lower limit | -0.12 |
| upper limit | -0.05 |
| Variability estimate | Standard error of the mean |
| Dispersion value | 0.019 |

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|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Statistical analysis title | Statistical Analysis at Week 12 |
| Statistical analysis description: LS-Mean is from a MMRM analysis with treatment group, pooled investigation sites, and age groups as fixed factors, visit*treatment as interaction term, visit as repeated factor, and baseline uSFR score as covariate. | |
| Comparison groups | Double-blind MP: Placebo (age 6 to 17 years) v Double-blind, MP: NT 201 (age 6 to 17 years) |
| Number of subjects included in analysis | 220 |
| Analysis specification | Pre-specified |
| Analysis type | superiority |
| P-value | < 0.0001 |
| Method | MMRM |
| Parameter estimate | LS-Mean difference |
| Point estimate | -0.1 |
| Confidence interval | |
| level | 95 % |
| sides | 2-sided |
| lower limit | -0.14 |
| upper limit | -0.06 |
| Variability estimate | Standard error of the mean |
| Dispersion value | 0.021 |

Secondary: GICS at Weeks 8 and 12

| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|
| End point title | GICS at Weeks 8 and 12 ^[16] |
| End point description: This endpoint was analysed in double-blind, MP, 6 to 17 years subjects. The GICS was used to measure the carer's/parent's impression of change due to treatment. The response option was a common 7-point Likert scale with the following values: +3 (very much improved); +2 (much improved); +1 (minimally improved); 0 (no change); -1 (minimally worse); -2 (much worse); -3 (very much worse). | |
| End point type | Secondary |
| End point timeframe: Baseline and Weeks 8 and 12 | |

Notes:

[16] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period.

Justification: The endpoint was planned to be analysed only for two reporting groups: Double-blind MP: Placebo (age 6 to 17 years) and Double-blind, MP: NT 201 (age 6 to 17 years).

| End point values | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | | |
|-------------------------------------|----------------------------------------------|----------------------------------------------|--|--|
| Subject group type | Reporting group | Reporting group | | |
| Number of subjects analysed | 72 ^[17] | 148 ^[18] | | |
| Units: units on a scale | | | | |
| least squares mean (standard error) | | | | |
| Week 8 | 0.54 (± 0.096) | 0.94 (± 0.068) | | |
| Week 12 | 0.47 (± 0.111) | 0.87 (± 0.073) | | |

Notes:

[17] - MP-FAS

[18] - MP-FAS

Statistical analyses

| Statistical analysis title | Statistical Analysis at Week 8 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Statistical analysis description: | |
| LS-Mean is from a MMRM analysis model with treatment group, pooled investigation sites, and age groups as fixed factors, visit*treatment as interaction term, visit as repeated factor, and baseline mTDS score as covariate. | |
| Comparison groups | Double-blind MP: Placebo (age 6 to 17 years) v Double-blind, MP: NT 201 (age 6 to 17 years) |
| Number of subjects included in analysis | 220 |
| Analysis specification | Pre-specified |
| Analysis type | superiority |
| P-value | = 0.0008 |
| Method | MMRM |
| Parameter estimate | LS-Mean difference |
| Point estimate | 0.4 |
| Confidence interval | |
| level | 95 % |
| sides | 2-sided |
| lower limit | 0.17 |
| upper limit | 0.63 |
| Variability estimate | Standard error of the mean |
| Dispersion value | 0.116 |

| Statistical analysis title | Statistical Analysis at Week 12 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Statistical analysis description: | |
| LS-Mean is from a MMRM analysis model with treatment group, pooled investigation sites, and age groups as fixed factors, visit*treatment as interaction term, visit as repeated factor, and baseline mTDS score as covariate. | |
| Comparison groups | Double-blind MP: Placebo (age 6 to 17 years) v Double-blind, MP: NT 201 (age 6 to 17 years) |
| Number of subjects included in analysis | 220 |
| Analysis specification | Pre-specified |
| Analysis type | superiority |
| P-value | = 0.0026 |
| Method | MMRM |
| Parameter estimate | LS-Mean difference |
| Point estimate | 0.4 |
| Confidence interval | |
| level | 95 % |
| sides | 2-sided |
| lower limit | 0.14 |
| upper limit | 0.66 |

| | |
|----------------------|----------------------------|
| Variability estimate | Standard error of the mean |
| Dispersion value | 0.132 |

Secondary: Occurrence of Treatment Emergent Adverse Events of Special Interest (AESI) Overall and by Injection Cycle

| | |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| End point title | Occurrence of Treatment Emergent Adverse Events of Special Interest (AESI) Overall and by Injection Cycle |
| End point description: "n" is the number of subjects evaluable for this measure at a given time period and who were included in the assessment. | |
| End point type | Secondary |
| End point timeframe: Baseline up to Week 64 | |

| End point values | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | Open-label, MP: NT 201 (age 2 to 5 years) | OLEX: NT 201 (age 6 to 17 years) |
|---------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------|----------------------------------------------------|----------------------------------------|
| Subject group type | Reporting group | Reporting group | Reporting group | Reporting group |
| Number of subjects analysed | 72 ^[19] | 148 ^[20] | 35 ^[21] | 214 ^[22] |
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 0 | 1 | 0 | 4 |
| First injection cycle (MP) (n=72,148,35,0,0) | 0 | 1 | 0 | 0 |
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 0 | 0 | 0 | 3 |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 0 | 0 | 0 | 1 |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 0 | 0 | 0 | 0 |

Notes:

[19] - MP-SES

[20] - MP-SES

[21] - MP-SES

[22] - OLEX-SES

| End point values | OLEX: NT 201 (age 2 to 5 years) | | | |
|---------------------------------------------------|---------------------------------------|--|--|--|
| Subject group type | Reporting group | | | |
| Number of subjects analysed | 33 ^[23] | | | |
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 0 | | | |
| First injection cycle (MP) (n=72,148,35,0,0) | 0 | | | |
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 0 | | | |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 0 | | | |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 0 | | | |

Notes:

[23] - OLEX-SES

Statistical analyses

No statistical analyses for this end point

Secondary: Occurrence of Treatment Emergent Serious Adverse Events (TESAEs) Overall and by Injection Cycle

| | |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| End point title | Occurrence of Treatment Emergent Serious Adverse Events (TESAEs) Overall and by Injection Cycle |
| End point description: "n" is the number of subjects evaluable for this measure at a given time period and who were included in the assessment. | |
| End point type | Secondary |
| End point timeframe: Baseline up to Week 64 | |

| End point values | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | Open-label, MP: NT 201 (age 2 to 5 years) | OLEX: NT 201 (age 6 to 17 years) |
|---------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------|----------------------------------------------------|----------------------------------------|
| Subject group type | Reporting group | Reporting group | Reporting group | Reporting group |
| Number of subjects analysed | 72 ^[24] | 148 ^[25] | 35 ^[26] | 214 ^[27] |
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 1 | 0 | 1 | 8 |
| First injection cycle (MP) (n=72,148,35,0,0) | 1 | 0 | 1 | 0 |
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 0 | 0 | 0 | 3 |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 0 | 0 | 0 | 5 |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 0 | 0 | 0 | 0 |

Notes:

[24] - MP-SES

[25] - MP-SES

[26] - MP-SES

[27] - OLEX-SES

| End point values | OLEX: NT 201 (age 2 to 5 years) | | | |
|-------------------------------------------------|---------------------------------------|--|--|--|
| Subject group type | Reporting group | | | |
| Number of subjects analysed | 33 ^[28] | | | |
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 0 | | | |
| First injection cycle (MP) (n=72,148,35,0,0) | 0 | | | |

| | | | | |
|---------------------------------------------------|---|--|--|--|
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 0 | | | |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 0 | | | |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 0 | | | |

Notes:

[28] - OLEX-SES

Statistical analyses

No statistical analyses for this end point

Secondary: Occurrence of TEAEs Related to Treatment as Assessed by the Investigator Overall and by Injection Cycle

| | |
|-----------------|---------------------------------------------------------------------------------------------------------|
| End point title | Occurrence of TEAEs Related to Treatment as Assessed by the Investigator Overall and by Injection Cycle |
|-----------------|---------------------------------------------------------------------------------------------------------|

End point description:

"n" is the number of subjects evaluable for this measure at a given time period and who were included in the assessment.

| | |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Baseline up to Week 64

| End point values | Double-blind, MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | Open-label, MP: NT 201 (age 2 to 5 years) | OLEX: NT 201 (age 6 to 17 years) |
|---------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------|----------------------------------------------------|----------------------------------------|
| Subject group type | Reporting group | Reporting group | Reporting group | Reporting group |
| Number of subjects analysed | 72 ^[29] | 148 ^[30] | 35 ^[31] | 214 ^[32] |
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 0 | 2 | 1 | 10 |
| First injection cycle (MP) (n=72,148,35,0,0) | 0 | 2 | 1 | 0 |
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 0 | 0 | 0 | 5 |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 0 | 0 | 0 | 5 |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 0 | 0 | 0 | 1 |

Notes:

[29] - MP-SES

[30] - MP-SES

[31] - MP-SES

[32] - OLEX-SES

| End point values | OLEX: NT 201 (age 2 to 5 years) | | | |
|------------------------------|---------------------------------------|--|--|--|
| Subject group type | Reporting group | | | |
| Number of subjects analysed | 33 ^[33] | | | |
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 0 | | | |

| | | | | |
|---------------------------------------------------|---|--|--|--|
| First injection cycle (MP) (n=72,148,35,0,0) | 0 | | | |
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 0 | | | |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 0 | | | |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 0 | | | |

Notes:

[33] - OLEX-SES

Statistical analyses

No statistical analyses for this end point

Secondary: Occurrence of TEAEs Leading to Discontinuation Overall and by Injection Cycle

| | |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| End point title | Occurrence of TEAEs Leading to Discontinuation Overall and by Injection Cycle |
| End point description: "n" is the number of subjects evaluable for this measure at a given time period and who were included in the assessment. | |
| End point type | Secondary |
| End point timeframe: Baseline up to Week 64 | |

| End point values | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | Open-label, MP: NT 201 (age 2 to 5 years) | OLEX: NT 201 (age 6 to 17 years) |
|---------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------|----------------------------------------------------|----------------------------------------|
| Subject group type | Reporting group | Reporting group | Reporting group | Reporting group |
| Number of subjects analysed | 72 ^[34] | 148 ^[35] | 35 ^[36] | 214 ^[37] |
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 1 | 1 | 1 | 4 |
| First injection cycle (MP) (n=72,148,35,0,0) | 1 | 1 | 1 | 0 |
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 0 | 0 | 0 | 2 |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 0 | 0 | 0 | 2 |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 0 | 0 | 0 | 0 |

Notes:

[34] - MP-SES

[35] - MP-SES

[36] - MP-SES

[37] - OLEX-SES

| | | | | |
|-----------------------------|---------------------------------------|--|--|--|
| End point values | OLEX: NT 201 (age 2 to 5 years) | | | |
| Subject group type | Reporting group | | | |
| Number of subjects analysed | 33 ^[38] | | | |

| | | | | |
|---------------------------------------------------|---|--|--|--|
| Units: subjects | | | | |
| Overall (n=72,148,35,214,33) | 0 | | | |
| First injection cycle (MP) (n=72,148,35,0,0) | 0 | | | |
| Second injection cycle (OLEX) (n=0,0,0,214,33) | 0 | | | |
| Third injection cycle (OLEX) (n=0,0,0,205,33) | 0 | | | |
| Fourth injection cycle (OLEX) (n=0,0,0,193,33) | 0 | | | |

Notes:

[38] - OLEX-SES

Statistical analyses

No statistical analyses for this end point

Adverse events

Adverse events information

Timeframe for reporting adverse events:

Baseline up to Week 64

Adverse event reporting additional description:

The investigator asked the subject for adverse events (AEs) systematically at each visit.

| | |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

Dictionary used

| | |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

| | |
|--------------------|------|
| Dictionary version | 22.0 |
|--------------------|------|

Reporting groups

| | |
|-----------------------|----------------------------------------------|
| Reporting group title | Double-blind MP: Placebo (age 6 to 17 years) |
|-----------------------|----------------------------------------------|

Reporting group description:

Subjects received placebo via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks. Volumes were matched to the volumes of NT 201 (incobotulinumtoxinA; Xeomin) injected in the experimental arm.

| | |
|-----------------------|----------------------------------------------|
| Reporting group title | Double-blind, MP: NT 201 (age 6 to 17 years) |
|-----------------------|----------------------------------------------|

Reporting group description:

Subjects received NT 201 (up to 2.5 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks.

| | |
|-----------------------|-------------------------------------------|
| Reporting group title | Open-label, MP: NT 201 (age 2 to 5 years) |
|-----------------------|-------------------------------------------|

Reporting group description:

Subjects received NT 201 (about 1.5-2 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands in one injection session on Day 1 (Visit 2) of the MP, followed by an observation period of 16 weeks.

| | |
|-----------------------|----------------------------------|
| Reporting group title | OLEX: NT 201 (age 6 to 17 years) |
|-----------------------|----------------------------------|

Reporting group description:

Subjects received NT 201 (up to 2.5 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands on Day 1 of second (Visit 6), third (Visit 10), and fourth (Visit 14) injection cycle of the OLEX, followed by an observation period of 16 weeks each (48 weeks in total). This arm consisted of subjects who participated in MP arms "Double-blind, MP: placebo (age 6 to 17 years)" and "Double-blind, MP: NT 201 (age 6 to 17 years)".

| | |
|-----------------------|---------------------------------|
| Reporting group title | OLEX: NT 201 (age 2 to 5 years) |
|-----------------------|---------------------------------|

Reporting group description:

Subjects received NT 201 (about 1.5-2 U/kg body weight) via bilateral intraglandular injection into the parotid and submandibular glands on Day 1 of second (Visit 6), third (Visit 10), and fourth (Visit 14) injection cycle of the OLEX, followed by an observation period of 16 weeks each (48 weeks in total).

| Serious adverse events | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | Open-label, MP: NT 201 (age 2 to 5 years) |
|---------------------------------------------------|----------------------------------------------|----------------------------------------------|-------------------------------------------|
| Total subjects affected by serious adverse events | | | |
| subjects affected / exposed | 1 / 72 (1.39%) | 0 / 148 (0.00%) | 1 / 35 (2.86%) |
| number of deaths (all causes) | 0 | 0 | 0 |
| number of deaths resulting from adverse events | 0 | 0 | 0 |
| Injury, poisoning and procedural complications | | | |

| | | | |
|-------------------------------------------------|----------------|-----------------|----------------|
| Foreign body in gastrointestinal tract | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Joint dislocation | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Surgical and medical procedures | | | |
| Gastric operation | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Nervous system disorders | | | |
| Generalised tonic-clonic seizure | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 1 / 35 (2.86%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 1 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Epilepsy | | | |
| subjects affected / exposed | 1 / 72 (1.39%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Blood and lymphatic system disorders | | | |
| Anaemia | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Gastrointestinal disorders | | | |
| Functional gastrointestinal disorder | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Gastrointestinal haemorrhage | | | |

| | | | |
|-------------------------------------------------|----------------|-----------------|----------------|
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Haematemesis | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Musculoskeletal and connective tissue disorders | | | |
| Limb deformity | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Infections and infestations | | | |
| Staphylococcal bacteraemia | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 1 / 35 (2.86%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 1 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Pneumonia | | | |
| subjects affected / exposed | 1 / 72 (1.39%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Gastroenteritis rotavirus | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Influenza | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| Pneumonia bacterial | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |

| | | | |
|-------------------------------------------------|----------------|-----------------|----------------|
| Respiratory tract infection | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | 0 / 0 |

| Serious adverse events | OLEX: NT 201 (age 6 to 17 years) | OLEX: NT 201 (age 2 to 5 years) | |
|---------------------------------------------------|----------------------------------|---------------------------------|--|
| Total subjects affected by serious adverse events | | | |
| subjects affected / exposed | 8 / 214 (3.74%) | 0 / 33 (0.00%) | |
| number of deaths (all causes) | 0 | 0 | |
| number of deaths resulting from adverse events | 0 | 0 | |
| Injury, poisoning and procedural complications | | | |
| Foreign body in gastrointestinal tract | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Joint dislocation | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Surgical and medical procedures | | | |
| Gastric operation | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Nervous system disorders | | | |
| Generalised tonic-clonic seizure | | | |
| subjects affected / exposed | 0 / 214 (0.00%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Epilepsy | | | |
| subjects affected / exposed | 0 / 214 (0.00%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Blood and lymphatic system disorders | | | |
| Anaemia | | | |

| | | | |
|-------------------------------------------------|-----------------|----------------|--|
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Gastrointestinal disorders | | | |
| Functional gastrointestinal disorder | | | |
| subjects affected / exposed | 2 / 214 (0.93%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 2 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Gastrointestinal haemorrhage | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Haematemesis | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Musculoskeletal and connective tissue disorders | | | |
| Limb deformity | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Infections and infestations | | | |
| Staphylococcal bacteraemia | | | |
| subjects affected / exposed | 0 / 214 (0.00%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 0 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Pneumonia | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Gastroenteritis rotavirus | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |

| | | | |
|-------------------------------------------------|-----------------|----------------|--|
| Influenza | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 2 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Pneumonia bacterial | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 2 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |
| Respiratory tract infection | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 0 / 33 (0.00%) | |
| occurrences causally related to treatment / all | 0 / 1 | 0 / 0 | |
| deaths causally related to treatment / all | 0 / 0 | 0 / 0 | |

Frequency threshold for reporting non-serious adverse events: 5 %

| Non-serious adverse events | Double-blind MP: Placebo (age 6 to 17 years) | Double-blind, MP: NT 201 (age 6 to 17 years) | Open-label, MP: NT 201 (age 2 to 5 years) |
|-------------------------------------------------------|----------------------------------------------|----------------------------------------------|-------------------------------------------|
| Total subjects affected by non-serious adverse events | | | |
| subjects affected / exposed | 3 / 72 (4.17%) | 3 / 148 (2.03%) | 2 / 35 (5.71%) |
| Infections and infestations | | | |
| Nasopharyngitis | | | |
| subjects affected / exposed | 3 / 72 (4.17%) | 3 / 148 (2.03%) | 2 / 35 (5.71%) |
| occurrences (all) | 3 | 3 | 3 |
| Pharyngitis | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences (all) | 0 | 0 | 0 |
| Respiratory tract infection | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences (all) | 0 | 0 | 0 |
| Viral infection | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences (all) | 0 | 0 | 0 |
| Respiratory tract infection viral | | | |
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences (all) | 0 | 0 | 0 |
| Rhinitis | | | |

| | | | |
|-----------------------------|----------------|-----------------|----------------|
| subjects affected / exposed | 0 / 72 (0.00%) | 0 / 148 (0.00%) | 0 / 35 (0.00%) |
| occurrences (all) | 0 | 0 | 0 |

| Non-serious adverse events | OLEX: NT 201 (age 6 to 17 years) | OLEX: NT 201 (age 2 to 5 years) | |
|-------------------------------------------------------|----------------------------------|---------------------------------|--|
| Total subjects affected by non-serious adverse events | | | |
| subjects affected / exposed | 34 / 214 (15.89%) | 12 / 33 (36.36%) | |
| Infections and infestations | | | |
| Nasopharyngitis | | | |
| subjects affected / exposed | 13 / 214 (6.07%) | 1 / 33 (3.03%) | |
| occurrences (all) | 16 | 1 | |
| Pharyngitis | | | |
| subjects affected / exposed | 12 / 214 (5.61%) | 3 / 33 (9.09%) | |
| occurrences (all) | 13 | 3 | |
| Respiratory tract infection | | | |
| subjects affected / exposed | 6 / 214 (2.80%) | 3 / 33 (9.09%) | |
| occurrences (all) | 6 | 4 | |
| Viral infection | | | |
| subjects affected / exposed | 4 / 214 (1.87%) | 2 / 33 (6.06%) | |
| occurrences (all) | 7 | 3 | |
| Respiratory tract infection viral | | | |
| subjects affected / exposed | 1 / 214 (0.47%) | 4 / 33 (12.12%) | |
| occurrences (all) | 2 | 5 | |
| Rhinitis | | | |
| subjects affected / exposed | 2 / 214 (0.93%) | 3 / 33 (9.09%) | |
| occurrences (all) | 2 | 4 | |

More information

Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date | Amendment |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 04 May 2015 | The draft FDA guidance for Industry, 'Suicidal Ideation and Behavior: Prospective Assessment of Occurrence in Clinical Trials' was incorporated. |
| 16 June 2016 | The confirmatory primary analysis was changed from an analysis of covariance (ANCOVA) with missing value replacement strategy (baseline observation carried forward [BOCF] approach) to an MMRM approach for primary and secondary efficacy variables analysis, and exclusion criteria were updated. |

Notes:

Interruptions (globally)

Were there any global interruptions to the trial? No

Limitations and caveats

None reported