



## Clinical trial results:

**A randomized, double-blind, active-controlled study to assess the effect of LCZ696 compared with enalapril to improve exercise capacity in patients with heart failure with reduced ejection fraction (HFrEF)**

### Summary

|                          |                  |
|--------------------------|------------------|
| EudraCT number           | 2015-004632-35   |
| Trial protocol           | DE               |
| Global end of trial date | 25 November 2019 |

### Results information

|                                |                 |
|--------------------------------|-----------------|
| Result version number          | v1              |
| This version publication date  | 02 October 2020 |
| First version publication date | 02 October 2020 |

### Trial information

#### Trial identification

|                       |              |
|-----------------------|--------------|
| Sponsor protocol code | CLCZ696BDE01 |
|-----------------------|--------------|

#### Additional study identifiers

|                                    |             |
|------------------------------------|-------------|
| ISRCTN number                      | -           |
| ClinicalTrials.gov id (NCT number) | NCT02768298 |
| WHO universal trial number (UTN)   | -           |

Notes:

### Sponsors

|                              |  |
|------------------------------|--|
| Sponsor organisation name    | Novartis Pharma AG   |
| Sponsor organisation address | CH-4002, Basel, Switzerland,   |
| Public contact               | Clinical Disclosure Office, Novartis Pharma, 41 613241111, Novartis.email@novartis.com |
| Scientific contact           | Clinical Disclosure Office, Novartis Pharma, 41 613241111, Novartis.email@novartis.com |

Notes:

### Paediatric regulatory details

|  |    |
|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|  |                  |
|--|------------------|
| Analysis stage                                       | Final            |
| Date of interim/final analysis                       | 25 November 2019 |
| Is this the analysis of the primary completion data? | No               |
| Global end of trial reached?                         | Yes              |
| Global end of trial date                             | 25 November 2019 |
| Was the trial ended prematurely?                     | No               |

Notes:

## General information about the trial

Main objective of the trial:

The primary objective was to demonstrate the superiority of LCZ696 200 mg bid compared to enalapril 10 mg bid in improving exercise tolerance (peak respiratory oxygen uptake (VO<sub>2</sub>peak), adjusted to body weight) as assessed by cardio-pulmonary-exercise testing (CPET) in patients with stable chronic heart failure (NYHA III) and reduced ejection fraction (LVEF ≤ 40%) after 3 months treatment.

Protection of trial subjects:

The study was in compliance with the ethical principles derived from the Declaration of Helsinki and the International Conference on Harmonization (ICH) Good Clinical Practice (GCP) guidelines. All the local regulatory requirements pertinent to safety of trial subjects were also followed during the conduct of the trial.

Regarding rescue medication, patients received open-label angiotensin converting enzyme inhibitors (ACEI) and/or angiotensin receptor blockers (ARB) during the study ONLY if the study medication was discontinued either temporarily or permanently. A 36 hours washout phase of study drug was needed before start of an ACEI.

Background therapy: -

Evidence for comparator: -

|   |              |
|---|--------------|
| Actual start date of recruitment                          | 12 July 2016 |
| Long term follow-up planned                               | No           |
| Independent data monitoring committee (IDMC) involvement? | Yes          |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |              |
|--------------------------------------|--------------|
| Country: Number of subjects enrolled | Germany: 201 |
| Worldwide total number of subjects   | 201          |
| EEA total number of subjects         | 201          |

Notes:

### Subjects enrolled per age group

|   |   |
|---|---|
| In utero                                  | 0 |
| Preterm newborn - gestational age < 37 wk | 0 |
| Newborns (0-27 days)                      | 0 |
| Infants and toddlers (28 days-23 months)  | 0 |
| Children (2-11 years)                     | 0 |
| Adolescents (12-17 years)                 | 0 |

|                      |     |
|----------------------|-----|
| Adults (18-64 years) | 77  |
| From 65 to 84 years  | 118 |
| 85 years and over    | 6   |

## Subject disposition

### Recruitment

Recruitment details:

Participants took part in 34 investigative sites in Germany.

### Pre-assignment

Screening details:

Participants were randomized 1:1 to receive either LCZ696 or enalapril during the double-blind period.

### Period 1

|                              |   |
|------------------------------|---|
| Period 1 title               | Overall Study (overall period)                |
| Is this the baseline period? | Yes   |
| Allocation method            | Randomised - controlled                       |
| Blinding used                | Double blind                                  |
| Roles blinded                | Investigator, Data analyst, Assessor, Subject |

### Arms

|                              |        |
|------------------------------|--------|
| Are arms mutually exclusive? | Yes    |
| <b>Arm title</b>             | LCZ696 |

Arm description:

LCZ696 100 mg oral twice daily (bid) for 2 weeks followed by LCZ696 200 mg oral bid for 10 weeks.

|  |                      |
|--|----------------------|
| Arm type                               | Experimental         |
| Investigational medicinal product name | Sacubitril/valsartan |
| Investigational medicinal product code | LCZ696               |
| Other name                             |                      |
| Pharmaceutical forms                   | Tablet               |
| Routes of administration               | Oral use             |

Dosage and administration details:

LCZ696 100 mg oral twice daily (bid) for 2 weeks followed by LCZ696 200 mg oral bid for 10 weeks

|                  |           |
|------------------|-----------|
| <b>Arm title</b> | Enalapril |
|------------------|-----------|

Arm description:

Enalapril 5 mg oral twice daily (bid) for 2 weeks followed by enalapril 10 mg oral bid for 10 weeks. Patients who prior Screening were at a stable daily dose of enalapril above 10 mg per day (or corresponding doses of other ACEI/ARB) started the study at a dose of enalapril 10 mg bid.

|  |                   |
|--|-------------------|
| Arm type                               | Active comparator |
| Investigational medicinal product name | Enalapril         |
| Investigational medicinal product code |                   |
| Other name                             |                   |
| Pharmaceutical forms                   | Tablet            |
| Routes of administration               | Oral use          |

Dosage and administration details:

Enalapril 5 mg oral twice daily (bid) for 2 weeks followed by enalapril 10 mg oral bid for 10 weeks. Patients who prior Screening were at a stable daily dose of enalapril above 10 mg per day (or corresponding doses of other ACEI/ARB) started the study at a dose of enalapril 10 mg bid.

| <b>Number of subjects in period 1</b> | LCZ696 | Enalapril |
|---------------------------------------|--------|-----------|
| Started                               | 103    | 98        |
| Completed                             | 99     | 91        |
| Not completed                         | 4      | 7         |
| Adverse event, serious fatal          | 2      | 1         |
| Adverse event, non-fatal              | 1      | 4         |
| Non-compliance with study treatment   | -      | 1         |
| Withdrawal of informed consent        | -      | 1         |
| Subject/guardian decision             | 1      | -         |

## Baseline characteristics

### Reporting groups

|  |           |
|--|-----------|
| Reporting group title  | LCZ696    |
| Reporting group description:<br>LCZ696 100 mg oral twice daily (bid) for 2 weeks followed by LCZ696 200 mg oral bid for 10 weeks.  |           |
| Reporting group title  | Enalapril |
| Reporting group description:<br>Enalapril 5 mg oral twice daily (bid) for 2 weeks followed by enalapril 10 mg oral bid for 10 weeks.<br>Patients who prior Screening were at a stable daily dose of enalapril above 10 mg per day (or corresponding doses of other ACEI/ARB) started the study at a dose of enalapril 10 mg bid. |           |

| Reporting group values                                | LCZ696   | Enalapril | Total |
|---|----------|-----------|-------|
| Number of subjects                                    | 103      | 98        | 201   |
| Age categorical<br>Units: Subjects                    |          |           |       |
| In utero  | 0        | 0         | 0     |
| Preterm newborn infants<br>(gestational age < 37 wks) | 0        | 0         | 0     |
| Newborns (0-27 days)                                  | 0        | 0         | 0     |
| Infants and toddlers (28 days-23<br>months)           | 0        | 0         | 0     |
| Children (2-11 years)                                 | 0        | 0         | 0     |
| Adolescents (12-17 years)                             | 0        | 0         | 0     |
| Adults (18-64 years)                                  | 43       | 34        | 77    |
| From 65-84 years                                      | 57       | 61        | 118   |
| 85 years and over                                     | 3        | 3         | 6     |
| Age Continuous<br>Units: Years                        |          |           |       |
| arithmetic mean                                       | 66.1     | 67.6      | -     |
| standard deviation                                    | ± 10.792 | ± 9.961   | -     |
| Sex: Female, Male<br>Units: Participants              |          |           |       |
| Female  | 17       | 21        | 38    |
| Male  | 86       | 77        | 163   |
| Race/Ethnicity, Customized<br>Units: Subjects         |          |           |       |
| Caucassian  | 101      | 96        | 197   |
| Black   | 0        | 1         | 1     |
| Other   | 2        | 1         | 3     |

## End points

### End points reporting groups

|   |           |
|---|-----------|
| Reporting group title   | LCZ696    |
| Reporting group description:<br>LCZ696 100 mg oral twice daily (bid) for 2 weeks followed by LCZ696 200 mg oral bid for 10 weeks.   |           |
| Reporting group title   | Enalapril |
| Reporting group description:<br>Enalapril 5 mg oral twice daily (bid) for 2 weeks followed by enalapril 10 mg oral bid for 10 weeks. Patients who prior Screening were at a stable daily dose of enalapril above 10 mg per day (or corresponding doses of other ACEI/ARB) started the study at a dose of enalapril 10 mg bid. |           |

### Primary: Change from baseline in peak respiratory oxygen uptake (VO<sub>2</sub>peak) adjusted to body weight) after 3 months of treatment

|  |  |
|--|--|
| End point title  | Change from baseline in peak respiratory oxygen uptake (VO <sub>2</sub> peak) adjusted to body weight) after 3 months of treatment |
| End point description:<br>Cardiopulmonary exercise testing (CPET) is an established method to evaluate the exercise tolerance of heart failure patients by evaluating the cardio-pulmonary system using the measurement of respiratory gases during physical (exercise) stress. One of the parameters attained by this test is the peak respiratory oxygen uptake (VO <sub>2</sub> peak).<br>CPET to assess VO <sub>2</sub> peak was performed at a cycle ergometer at baseline (Visit 2, 9 days prior randomization) and after 6 weeks and 3 months of treatment (Visit 6 and Visit 7, respectively).<br>The VO <sub>2</sub> peak adjusted to body weight was calculated based on the corresponding visit's VO <sub>2</sub> peak (unadjusted) and body weight data by using the following formula: VO <sub>2</sub> peak (unadjusted)/body weight. Higher values of VO <sub>2</sub> peak indicate less symptom severity and therefore a positive change from baseline indicates improvement. |  |
| End point type   | Primary  |
| End point timeframe:<br>Baseline, 3 months   |  |

| End point values                    | LCZ696          | Enalapril       |  |  |
|-------------------------------------|-----------------|-----------------|--|--|
| Subject group type                  | Reporting group | Reporting group |  |  |
| Number of subjects analysed         | 98              | 90              |  |  |
| Units: mL/kg/min                    |                 |                 |  |  |
| least squares mean (standard error) | 0.51 (± 0.180)  | 0.19 (± 0.188)  |  |  |

### Statistical analyses

|                            |                                |
|----------------------------|--------------------------------|
| Statistical analysis title | VO <sub>2</sub> peak- 3 months |
| Comparison groups          | LCZ696 v Enalapril             |

|   |                            |
|---|----------------------------|
| Number of subjects included in analysis | 188                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.2327                   |
| Method                                  | ANCOVA                     |
| Parameter estimate                      | Least Squares (LS) Mean    |
| Point estimate                          | 0.32                       |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.21                      |
| upper limit                             | 0.85                       |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.268                      |

### Secondary: Change from baseline in peak respiratory oxygen uptake (VO2peak) adjusted to body weight) after 6 weeks of treatment

|                 |  |
|-----------------|--|
| End point title | Change from baseline in peak respiratory oxygen uptake (VO2peak) adjusted to body weight) after 6 weeks of treatment |
|-----------------|--|

#### End point description:

Cardiopulmonary exercise testing (CPET) is an established method to evaluate the exercise tolerance of heart failure patients by evaluating the cardio-pulmonary system using the measurement of respiratory gases during physical (exercise) stress. One of the parameters attained by this test is the peak respiratory oxygen uptake (VO2peak).

CPET to assess VO2peak was performed at a cycle ergometer at baseline (Visit 2, 9 days prior randomization) and after 6 weeks and 3 months of treatment (Visit 6 and Visit 7, respectively). The VO2peak adjusted to body weight was calculated based on the corresponding visit's VO2peak (unadjusted) and body weight data by using the following formula: VO2peak (unadjusted)/body weight. A positive change from baseline indicates less symptom severity.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

#### End point timeframe:

Baseline, 6 weeks

| End point values                    | LCZ696          | Enalapril       |  |  |
|-------------------------------------|-----------------|-----------------|--|--|
| Subject group type                  | Reporting group | Reporting group |  |  |
| Number of subjects analysed         | 97              | 88              |  |  |
| Units: mL/kg/min                    |                 |                 |  |  |
| least squares mean (standard error) | 0.28 (± 0.185)  | 0.42 (± 0.195)  |  |  |

### Statistical analyses

|                            |                    |
|----------------------------|--------------------|
| Statistical analysis title | VO2peak            |
| Comparison groups          | LCZ696 v Enalapril |



|   |                            |
|---|----------------------------|
| Number of subjects included in analysis | 185                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.6247                   |
| Method                                  | ANCOVA                     |
| Parameter estimate                      | LS Mean                    |
| Point estimate                          | -0.14                      |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.68                      |
| upper limit                             | 0.41                       |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.277                      |

### Secondary: Change from baseline in the minute ventilation (VE) to carbon dioxide output slope (VE/VCO2 slope)

|   |  |
|---|--|
| End point title   | Change from baseline in the minute ventilation (VE) to carbon dioxide output slope (VE/VCO2 slope) |
| End point description:  |  |
| Cardiopulmonary exercise testing (CPET) is an established method to evaluate the exercise tolerance of heart failure patients by evaluating the cardio-pulmonary system using the measurement of respiratory gases during physical (exercise) stress. One of the parameters attained by this test is the minute ventilation (VE) to carbon dioxide output slope (VE/VCO2 slope). High values of VE/VCO2 slope resembles the inability to eliminate CO2 by respiration (inefficient ventilation). A negative change from baseline indicates less symptom severity. |  |
| End point type  | Secondary  |
| End point timeframe:  |  |
| Baseline, 6 weeks, 3 months   |  |

| End point values                    | LCZ696          | Enalapril       |  |  |
|-------------------------------------|-----------------|-----------------|--|--|
| Subject group type                  | Reporting group | Reporting group |  |  |
| Number of subjects analysed         | 103             | 98              |  |  |
| Units: no units                     |                 |                 |  |  |
| least squares mean (standard error) |                 |                 |  |  |
| 6 weeks                             | -1.05 (± 0.597) | 0.18 (± 0.629)  |  |  |
| 3 months                            | 0.76 (± 0.542)  | -0.07 (± 0.575) |  |  |

### Statistical analyses

|                                   |                    |
|-----------------------------------|--------------------|
| Statistical analysis title        | VE/VCO2 slope      |
| Statistical analysis description: |                    |
| 6 weeks                           |                    |
| Comparison groups                 | LCZ696 v Enalapril |

|   |                            |
|---|----------------------------|
| Number of subjects included in analysis | 201                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.1678                   |
| Method                                  | ANCOVA                     |
| Parameter estimate                      | LS Mean                    |
| Point estimate                          | -1.23                      |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -2.98                      |
| upper limit                             | 0.52                       |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.888                      |

|   |                            |
|---|----------------------------|
| <b>Statistical analysis title</b>       | VE/VCO2 slope              |
| Statistical analysis description:       |                            |
| 3 months                                |                            |
| Comparison groups                       | LCZ696 v Enalapril         |
| Number of subjects included in analysis | 201                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.3052                   |
| Method                                  | ANCOVA                     |
| Parameter estimate                      | LS Mean                    |
| Point estimate                          | 0.83                       |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.77                      |
| upper limit                             | 2.43                       |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.809                      |

## Secondary: Change from baseline in exercise capacity (watt) at ventilatory anaerobic threshold (VAT)

|   |   |
|---|---|
| End point title   | Change from baseline in exercise capacity (watt) at ventilatory anaerobic threshold (VAT) |
| End point description:  |   |
| <p>Cardiopulmonary exercise testing (CPET) is an established method to evaluate the exercise tolerance of heart failure patients by evaluating the cardio-pulmonary system using the measurement of respiratory gases during physical (exercise) stress. CPET was performed at a cycle ergometer with a workload that started at 10 watts (W) and then increased by 10W for each 1-minute stage.</p> <p>Exercise capacity assessed as workload in watts was determined at the ventilatory anaerobic threshold (VAT) which represents the transition from aerobic to partially anaerobic glucose metabolism in muscle, leading to increasing carbon dioxide exhalation in comparison to oxygen uptake.</p> <p>A positive change from baseline in exercise capacity (watt) indicates improvement.</p> |   |
| End point type  | Secondary   |

End point timeframe:  
Baseline, 6 weeks, 3 months

| End point values                    | LCZ696              | Enalapril            |  |  |
|-------------------------------------|---------------------|----------------------|--|--|
| Subject group type                  | Reporting group     | Reporting group      |  |  |
| Number of subjects analysed         | 103                 | 98                   |  |  |
| Units: Watt                         |                     |                      |  |  |
| least squares mean (standard error) |                     |                      |  |  |
| 6 weeks                             | 1.71 ( $\pm$ 1.168) | 0.83 ( $\pm$ 1.234)  |  |  |
| 3 months                            | 2.45 ( $\pm$ 1.436) | -0.83 ( $\pm$ 1.483) |  |  |

## Statistical analyses

| Statistical analysis title              | Ventilatory anaerobic threshold |
|---|---------------------------------|
| Statistical analysis description:       |                                 |
| 6 weeks                                 |                                 |
| Comparison groups                       | LCZ696 v Enalapril              |
| Number of subjects included in analysis | 201                             |
| Analysis specification                  | Pre-specified                   |
| Analysis type                           | superiority                     |
| P-value                                 | = 0.6181                        |
| Method                                  | ANCOVA                          |
| Parameter estimate                      | LS Mean                         |
| Point estimate                          | 0.87                            |
| Confidence interval                     |                                 |
| level                                   | 95 %                            |
| sides                                   | 2-sided                         |
| lower limit                             | -2.58                           |
| upper limit                             | 4.32                            |
| Variability estimate                    | Standard error of the mean      |
| Dispersion value                        | 1.744                           |

| Statistical analysis title              | Ventilatory anaerobic threshold |
|---|---------------------------------|
| Statistical analysis description:       |                                 |
| 3 months                                |                                 |
| Comparison groups                       | LCZ696 v Enalapril              |
| Number of subjects included in analysis | 201                             |
| Analysis specification                  | Pre-specified                   |
| Analysis type                           | superiority                     |
| P-value                                 | = 0.1254                        |
| Method                                  | ANCOVA                          |
| Parameter estimate                      | LS Mean                         |
| Point estimate                          | 3.28                            |

|                      |                            |
|----------------------|----------------------------|
| Confidence interval  |                            |
| level                | 95 %                       |
| sides                | 2-sided                    |
| lower limit          | -0.93                      |
| upper limit          | 7.48                       |
| Variability estimate | Standard error of the mean |
| Dispersion value     | 2.124                      |

## Secondary: Change from baseline in rate of perceived exertion (perceived dyspnea and perceived fatigue) during exercise assessed by Borg scale

|                 |   |
|-----------------|---|
| End point title | Change from baseline in rate of perceived exertion (perceived dyspnea and perceived fatigue) during exercise assessed by Borg scale |
|-----------------|---|

### End point description:

The individually perceived exertion, in terms of perceived dyspnea and perceived fatigue, during cardiopulmonary exercise testing (CPET) was assessed by Borg scale which is a 15 point scale, starting from 6 which indicates "No exertion at all" to 20 which means "Maximal exertion". Change in Borg scale for both perceived dyspnea and perceived fatigue were measured at different time points at Baseline (Visit 2, 9 days prior randomization) and 3 months of treatment (Visit 7). Maximum value among the time points at every visit was used for the analysis. A negative change from baseline in Borg value of perceived dyspnea and perceived fatigue indicates improvement.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

### End point timeframe:

Baseline, 3 months

| End point values                    | LCZ696          | Enalapril       |  |  |
|-------------------------------------|-----------------|-----------------|--|--|
| Subject group type                  | Reporting group | Reporting group |  |  |
| Number of subjects analysed         | 103             | 98              |  |  |
| Units: Score on scale               |                 |                 |  |  |
| least squares mean (standard error) |                 |                 |  |  |
| Borg value perceived dyspnea        | -0.19 (± 0.212) | 0.11 (± 0.223)  |  |  |
| Borg value perceived fatigue        | -0.04 (± 0.167) | -0.20 (± 0.178) |  |  |

## Statistical analyses

|   |                    |
|---|--------------------|
| Statistical analysis title              | Borg value dyspnea |
| Comparison groups                       | LCZ696 v Enalapril |
| Number of subjects included in analysis | 201                |
| Analysis specification                  | Pre-specified      |
| Analysis type                           | superiority        |
| P-value                                 | = 0.3432           |
| Method                                  | ANCOVA             |
| Parameter estimate                      | LS Mean            |
| Point estimate                          | -0.3               |

|                      |                            |
|----------------------|----------------------------|
| Confidence interval  |                            |
| level                | 95 %                       |
| sides                | 2-sided                    |
| lower limit          | -0.93                      |
| upper limit          | 0.33                       |
| Variability estimate | Standard error of the mean |
| Dispersion value     | 0.317                      |

|   |                            |
|---|----------------------------|
| <b>Statistical analysis title</b>       | Borg value fatigue         |
| Comparison groups                       | LCZ696 v Enalapril         |
| Number of subjects included in analysis | 201                        |
| Analysis specification                  | Pre-specified              |
| Analysis type                           | superiority                |
| P-value                                 | = 0.5319                   |
| Method                                  | ANCOVA                     |
| Parameter estimate                      | LS Mean                    |
| Point estimate                          | 0.16                       |
| Confidence interval                     |                            |
| level                                   | 95 %                       |
| sides                                   | 2-sided                    |
| lower limit                             | -0.34                      |
| upper limit                             | 0.65                       |
| Variability estimate                    | Standard error of the mean |
| Dispersion value                        | 0.251                      |

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

Adverse events were collected from first dose of study treatment until end of study treatment plus 30 days (16 weeks on average).

Adverse event reporting additional description:

Any signs or symptoms that occurs during study treatment plus the 30 days post treatment.

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

|                    |      |
|--------------------|------|
| Dictionary version | 22.1 |
|--------------------|------|

### Reporting groups

|                       |        |
|-----------------------|--------|
| Reporting group title | LCZ696 |
|-----------------------|--------|

Reporting group description:

LCZ696

|                       |           |
|-----------------------|-----------|
| Reporting group title | Enalapril |
|-----------------------|-----------|

Reporting group description:

Enalapril

| Serious adverse events  | LCZ696            | Enalapril        |  |
|---|-------------------|------------------|--|
| Total subjects affected by serious adverse events                   |                   |                  |  |
| subjects affected / exposed   | 12 / 103 (11.65%) | 14 / 98 (14.29%) |  |
| number of deaths (all causes)                                       | 2                 | 1                |  |
| number of deaths resulting from adverse events                      | 0                 | 1                |  |
| Investigations  |                   |                  |  |
| Angiogram   |                   |                  |  |
| subjects affected / exposed   | 0 / 103 (0.00%)   | 1 / 98 (1.02%)   |  |
| occurrences causally related to treatment / all                     | 0 / 0             | 0 / 1            |  |
| deaths causally related to treatment / all                          | 0 / 0             | 0 / 0            |  |
| International normalised ratio abnormal                             |                   |                  |  |
| subjects affected / exposed   | 1 / 103 (0.97%)   | 0 / 98 (0.00%)   |  |
| occurrences causally related to treatment / all                     | 0 / 1             | 0 / 0            |  |
| deaths causally related to treatment / all                          | 0 / 0             | 0 / 0            |  |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) |                   |                  |  |
| Glioblastoma  |                   |                  |  |
| subjects affected / exposed   | 0 / 103 (0.00%)   | 1 / 98 (1.02%)   |  |
| occurrences causally related to treatment / all                     | 0 / 0             | 0 / 1            |  |
| deaths causally related to treatment / all                          | 0 / 0             | 0 / 0            |  |

|   |                 |                |  |
|---|-----------------|----------------|--|
| Injury, poisoning and procedural complications  |                 |                |  |
| Contusion                                       |                 |                |  |
| subjects affected / exposed                     | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 1 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Vascular disorders                              |                 |                |  |
| Hypotension                                     |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 1 / 1           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Cardiac disorders                               |                 |                |  |
| Acute myocardial infarction                     |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 1           | 0 / 0          |  |
| Angina pectoris                                 |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Aortic valve incompetence                       |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Atrial fibrillation                             |                 |                |  |
| subjects affected / exposed                     | 2 / 103 (1.94%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all | 0 / 2           | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Atrial flutter                                  |                 |                |  |
| subjects affected / exposed                     | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Atrial tachycardia                              |                 |                |  |

|   |                 |                |  |
|---|-----------------|----------------|--|
| subjects affected / exposed                     | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Atrial thrombosis                               |                 |                |  |
| subjects affected / exposed                     | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Bradycardia                                     |                 |                |  |
| subjects affected / exposed                     | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Cardiac failure                                 |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 2 / 98 (2.04%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 2          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Cardiogenic shock                               |                 |                |  |
| subjects affected / exposed                     | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 1 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0           | 1 / 1          |  |
| Coronary artery disease                         |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Tachycardia                                     |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Ventricular tachycardia                         |                 |                |  |
| subjects affected / exposed                     | 2 / 103 (1.94%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 2           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Nervous system disorders                        |                 |                |  |
| Seizure   |                 |                |  |



|  |                 |                |  |
|--|-----------------|----------------|--|
| subjects affected / exposed                          | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all      | 0 / 0           | 0 / 1          |  |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0          |  |
| Syncope  |                 |                |  |
| subjects affected / exposed                          | 0 / 103 (0.00%) | 2 / 98 (2.04%) |  |
| occurrences causally related to treatment / all      | 0 / 0           | 1 / 2          |  |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0          |  |
| General disorders and administration site conditions |                 |                |  |
| Non-cardiac chest pain                               |                 |                |  |
| subjects affected / exposed                          | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all      | 0 / 0           | 0 / 1          |  |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0          |  |
| Vascular stent occlusion                             |                 |                |  |
| subjects affected / exposed                          | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all      | 0 / 0           | 0 / 1          |  |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0          |  |
| Gastrointestinal disorders                           |                 |                |  |
| Pancreatitis   |                 |                |  |
| subjects affected / exposed                          | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all      | 0 / 0           | 1 / 1          |  |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0          |  |
| Respiratory, thoracic and mediastinal disorders      |                 |                |  |
| Dyspnoea   |                 |                |  |
| subjects affected / exposed                          | 1 / 103 (0.97%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all      | 0 / 1           | 0 / 1          |  |
| deaths causally related to treatment / all           | 0 / 1           | 0 / 0          |  |
| Pleural effusion                                     |                 |                |  |
| subjects affected / exposed                          | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all      | 0 / 0           | 0 / 1          |  |
| deaths causally related to treatment / all           | 0 / 0           | 0 / 0          |  |
| Renal and urinary disorders                          |                 |                |  |
| Renal failure  |                 |                |  |

|   |                 |                |  |
|---|-----------------|----------------|--|
| subjects affected / exposed                     | 0 / 103 (0.00%) | 1 / 98 (1.02%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| <b>Infections and infestations</b>              |                 |                |  |
| Appendicitis                                    |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Gastroenteritis norovirus                       |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Pneumonia                                       |                 |                |  |
| subjects affected / exposed                     | 2 / 103 (1.94%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 2           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |
| Septic shock                                    |                 |                |  |
| subjects affected / exposed                     | 1 / 103 (0.97%) | 0 / 98 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          |  |

Frequency threshold for reporting non-serious adverse events: 5 %

| <b>Non-serious adverse events</b>                           | LCZ696            | Enalapril        |  |
|---|-------------------|------------------|--|
| Total subjects affected by non-serious adverse events       |                   |                  |  |
| subjects affected / exposed                                 | 52 / 103 (50.49%) | 36 / 98 (36.73%) |  |
| <b>Vascular disorders</b>                                   |                   |                  |  |
| Hypotension   |                   |                  |  |
| subjects affected / exposed                                 | 27 / 103 (26.21%) | 11 / 98 (11.22%) |  |
| occurrences (all)   | 29                | 11               |  |
| <b>Nervous system disorders</b>                             |                   |                  |  |
| Dizziness   |                   |                  |  |
| subjects affected / exposed                                 | 14 / 103 (13.59%) | 6 / 98 (6.12%)   |  |
| occurrences (all)   | 14                | 6                |  |
| <b>General disorders and administration site conditions</b> |                   |                  |  |

|  |  |  |  |
|--|--|--|--|
| Fatigue<br>subjects affected / exposed<br>occurrences (all)  | 2 / 103 (1.94%)<br>2                             | 7 / 98 (7.14%)<br>7                            |  |
| Respiratory, thoracic and mediastinal disorders<br>Cough<br>subjects affected / exposed<br>occurrences (all)<br><br>Dyspnoea<br>subjects affected / exposed<br>occurrences (all) | 3 / 103 (2.91%)<br>3<br><br>2 / 103 (1.94%)<br>2 | 9 / 98 (9.18%)<br>9<br><br>6 / 98 (6.12%)<br>7 |  |
| Infections and infestations<br>Nasopharyngitis<br>subjects affected / exposed<br>occurrences (all)   | 9 / 103 (8.74%)<br>10                            | 3 / 98 (3.06%)<br>3                            |  |
| Metabolism and nutrition disorders<br>Hyperkalaemia<br>subjects affected / exposed<br>occurrences (all)  | 9 / 103 (8.74%)<br>10                            | 3 / 98 (3.06%)<br>3                            |  |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date             | Amendment   |
|------------------|---|
| 19 April 2016    | The section "Other concomitant treatment – ACEIs and ARBs – was reworded to clearly state that both ACEI and ARB were prohibited during treatment with double-blind study medication. If ACEI and/or ARB were initiated, study medication had to be discontinued. It was clarified that 36 hour wash-out period was necessary for ACEI, but not for ARB.  |
| 05 December 2017 | Eligibility was adapted to clarify two exclusion criteria: planned heart transplant or ventricular assistance device (VAD) during the expected study duration of 14 weeks, and diagnosed long QT syndrome.<br>It was explicitly stated in section "Other concomitant medication" that concomitant heart failure medication should be stable 4 weeks prior to screening Visit 1 and until randomization Visit 3. |
| 21 January 2019  | The primary packaging of enalapril 5 mg and 10 mg tablets was updated.  |
| 24 July 2019     | The term "lean body weight" was changed to "body weight" throughout the protocol and therefore the primary (adjusted VO <sub>2</sub> peak after 3 months of treatment) and secondary endpoint (adjusted VO <sub>2</sub> peak after 6 weeks of treatment) were changed. RER=1 was exchanged to ventilatory anaerobic threshold (VAT) for the secondary endpoint "Exercise capacity (Watt)".                      |

Notes:

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### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

None reported