



## Clinical trial results:

### Open-label, Non-randomized Phase 2 Study With Safety Run-in Evaluating Efficacy and Safety of PQR309 in Patients with Relapsed or Refractory Lymphoma

#### Summary

EudraCT number	2016-000125-38
Trial protocol	DE
Global end of trial date	01 December 2020

#### Results information

Result version number	v1 (current)
This version publication date	31 October 2021
First version publication date	31 October 2021

#### Trial information

##### Trial identification

Sponsor protocol code	PQR309-002A
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##### Additional study identifiers

ISRCTN number	-
ClinicalTrials.gov id (NCT number)	-
WHO universal trial number (UTN)	-

Notes:

#### Sponsors

Sponsor organisation name	PIQUR Therapeutics AG
Sponsor organisation address	Hochbergerstrasse 60C, Basel, Switzerland, CH-4057
Public contact	Senior Regulatory Affairs Manager, PIQUR Therapeutics AG, +41 61551 20 55, info@piqur.com
Scientific contact	Senior Regulatory Affairs Manager, PIQUR Therapeutics AG, +41 61551 20 55, info@piqur.com

Notes:

#### Paediatric regulatory details

Is trial part of an agreed paediatric investigation plan (PIP)	No
Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial?	No
Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial?	No

Notes:

## Results analysis stage

Analysis stage	Final
Date of interim/final analysis	21 March 2019
Is this the analysis of the primary completion data?	Yes
Primary completion date	21 March 2019
Global end of trial reached?	Yes
Global end of trial date	01 December 2020
Was the trial ended prematurely?	Yes

Notes:

## General information about the trial

Main objective of the trial:

The primary objective of the study was to evaluate the clinical efficacy of PQR309 (bimiralisib) in patients with relapsed or refractory lymphoma.

The secondary objective was to evaluate safety and pharmacokinetics of PQR309 in patients with relapsed or refractory lymphoma.

NOTE: Data from two studies, PQR309-002 (EudraCT 2014-005384-33, 54 patients enrolled) and PQR309-002A (2016-000125-38, 9 patients enrolled), were combined and analysed together. Therefore all safety and efficacy data presented in this record are from the combined analysis of both studies.

Protection of trial subjects:

The study processes, potential benefits and any risks (known and potentially unknown) of participating in the study were explained to each patient. Patients were continuously monitored by the clinical investigators via regular study visits throughout the duration of the study. If the study drug needed to be stopped for safety, then the responsible investigator would continue to monitor the patient's health and determine what treatment should be given (if any) until the symptoms or findings had resolved or until a satisfactory conclusion was reached.

Background therapy: -

Evidence for comparator: -

Actual start date of recruitment	31 March 2016
Long term follow-up planned	No
Independent data monitoring committee (IDMC) involvement?	No

Notes:

## Population of trial subjects

### Subjects enrolled per country

Country: Number of subjects enrolled	Germany: 10
Country: Number of subjects enrolled	Switzerland: 6
Country: Number of subjects enrolled	Israel: 1
Country: Number of subjects enrolled	United States: 4
Country: Number of subjects enrolled	Serbia: 6
Country: Number of subjects enrolled	Bosnia and Herzegovina: 3
Country: Number of subjects enrolled	United Kingdom: 30
Country: Number of subjects enrolled	France: 3
Worldwide total number of subjects	63
EEA total number of subjects	13

Notes:

<b>Subjects enrolled per age group</b>	
In utero	0
Preterm newborn - gestational age < 37 wk	0
Newborns (0-27 days)	0
Infants and toddlers (28 days-23 months)	0
Children (2-11 years)	0
Adolescents (12-17 years)	0
Adults (18-64 years)	40
From 65 to 84 years	19
85 years and over	4

## Subject disposition

### Recruitment

Recruitment details:

85 patients were screened, and 63 patients were enrolled; 54 patients under Protocol PQR309-002, and 9 under Protocol PQR309-002A. The first-patient-first-visit was on 27 Aug 2015 for PQR309-002 and 08 Jun 2016 for PQR309-002A. Patients were recruited at 17 study sites located in Switzerland, Germany, UK, Israel, USA, France, Serbia, and Bosnia.

### Pre-assignment

Screening details:

Screening period: 28 days. Main inclusion criteria: confirmed relapsed or refractory lymphoma; age  $\geq$  18 years; Eastern Cooperative Oncology Group (ECOG) performance score of 0-1; signed informed consent; adequate organ system functions as determined by laboratory assessments; ability and willingness to swallow and retain oral medication.

### Period 1

Period 1 title	Treatment Period (overall period)
Is this the baseline period?	Yes
Allocation method	Not applicable
Blinding used	Not blinded

### Arms

Are arms mutually exclusive?	Yes
<b>Arm title</b>	Continuous 60mg

Arm description:

bimimalisib 60mg q.d.

Arm type	Experimental
Investigational medicinal product name	bimimalisib
Investigational medicinal product code	PQR309
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Oral use

Dosage and administration details:

60 mg qd

<b>Arm title</b>	Continuous 80mg
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Arm description:

bimimalisib 80mg q.d.

Arm type	Experimental
Investigational medicinal product name	bimimalisib
Investigational medicinal product code	PQR309
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Oral use

Dosage and administration details:

80 mg qd

<b>Arm title</b>	Intermittent 120mg
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Arm description:

bimimalisib, 120mg intermittent schedule A

Arm type	Experimental
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Investigational medicinal product name	bimiralisib
Investigational medicinal product code	PQR309
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Oral use

Dosage and administration details:

120 mg qd 2 days on, 5 days off

<b>Arm title</b>	Intermittent 140mg
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Arm description:

bimiralisib, 140mg intermittent schedule A

Arm type	Experimental
Investigational medicinal product name	bimiralisib
Investigational medicinal product code	PQR309
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Oral use

Dosage and administration details:

140 mg qd 2 days on, 5 days off

<b>Number of subjects in period 1</b>	Continuous 60mg	Continuous 80mg	Intermittent 120mg
Started	8	42	6
Completed	8	42	6

<b>Number of subjects in period 1</b>	Intermittent 140mg
Started	7
Completed	7

## Baseline characteristics

### Reporting groups

Reporting group title	Treatment Period
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Reporting group description: -

Reporting group values	Treatment Period	Total	
Number of subjects	63	63	
Age categorical			
Units: Subjects			
In utero	0	0	
Preterm newborn infants (gestational age < 37 wks)	0	0	
Newborns (0-27 days)	0	0	
Infants and toddlers (28 days-23 months)	0	0	
Children (2-11 years)	0	0	
Adolescents (12-17 years)	0	0	
Adults (18-64 years)	40	40	
From 65-84 years	19	19	
85 years and over	4	4	
Gender categorical			
Units: Subjects			
Female	18	18	
Male	45	45	

## End points

### End points reporting groups

Reporting group title	Continuous 60mg
Reporting group description: bimiralisib 60mg q.d.	
Reporting group title	Continuous 80mg
Reporting group description: bimiralisib 80mg q.d.	
Reporting group title	Intermittent 120mg
Reporting group description: bimiralisib, 120mg intermittent schedule A	
Reporting group title	Intermittent 140mg
Reporting group description: bimiralisib, 140mg intermittent schedule A	
Subject analysis set title	The intent-to-treat (ITT) analysis set
Subject analysis set type	Full analysis
Subject analysis set description: The intent-to-treat (ITT) analysis set: is defined as all patients who received $\geq 1$ dose of bimiralisib. The intent-to-treat (ITT) analysis set was used in all safety analyses.	

### Primary: Response Rate (RR)

End point title	Response Rate (RR)
End point description: The primary endpoint was response rate (RR) which was evaluated according to the revised Cheson criteria. Data from two studies, PQR309-002 (EudraCT 2014-005384-33, 54 patients enrolled) and PQR309-002A (2016-000125-38, 9 patients enrolled), were combined and analysed together. Therefore all safety and efficacy data presented in this record are from the combined analysis of both studies.	
End point type	Primary
End point timeframe: Every 8 weeks during the first 6 months of PQR309 treatment, subsequently every 3 months up to 2 years of PQR309 treatment and every 6 months afterwards.	

End point values	Continuous 60mg	Continuous 80mg	Intermittent 120mg	Intermittent 140mg
Subject group type	Reporting group	Reporting group	Reporting group	Reporting group
Number of subjects analysed	8	42	6	7
Units: Number of patients	1	6	0	1

### Statistical analyses

Statistical analysis title	Response Rate
Statistical analysis description: Response Rate (RR) evaluated according to the revised Cheson criteria.	
Comparison groups	Intermittent 120mg v Continuous 80mg v Continuous 60mg v Intermittent 140mg

Number of subjects included in analysis	63
Analysis specification	Pre-specified
Analysis type	other
P-value	= 0.1
Method	Exact test



## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

From first dose until 30 days after the last dose

Assessment type	Systematic
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### Dictionary used

Dictionary name	MedDRA
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Dictionary version	21.0
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### Reporting groups

Reporting group title	Continuous 60mg
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Reporting group description: -

Reporting group title	Continuous 80mg
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Reporting group description: -

Reporting group title	Intermittent 120mg
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Reporting group description: -

Reporting group title	Intermittent 140mg
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Reporting group description: -

Serious adverse events	Continuous 60mg	Continuous 80mg	Intermittent 120mg
Total subjects affected by serious adverse events			
subjects affected / exposed	6 / 8 (75.00%)	24 / 42 (57.14%)	5 / 6 (83.33%)
number of deaths (all causes)	4	6	2
number of deaths resulting from adverse events			
Neoplasms benign, malignant and unspecified (incl cysts and polyps)			
Metastatic pulmonary embolism			
subjects affected / exposed	1 / 8 (12.50%)	0 / 42 (0.00%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 1	0 / 0	0 / 0
Tumour associated fever			
subjects affected / exposed	0 / 8 (0.00%)	0 / 42 (0.00%)	1 / 6 (16.67%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
General disorders and administration site conditions			
Asthenia			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0

Disease progression			
subjects affected / exposed	2 / 8 (25.00%)	8 / 42 (19.05%)	3 / 6 (50.00%)
occurrences causally related to treatment / all	0 / 2	0 / 8	0 / 3
deaths causally related to treatment / all	0 / 2	0 / 4	0 / 2
Fatigue			
subjects affected / exposed	0 / 8 (0.00%)	2 / 42 (4.76%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	2 / 2	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
General physical health deterioration			
subjects affected / exposed	0 / 8 (0.00%)	2 / 42 (4.76%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	2 / 2	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Generalised oedema			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Pyrexia			
subjects affected / exposed	0 / 8 (0.00%)	0 / 42 (0.00%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Respiratory, thoracic and mediastinal disorders			
Dyspnoea			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Pneumonitis			
subjects affected / exposed	0 / 8 (0.00%)	2 / 42 (4.76%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	2 / 2	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Psychiatric disorders			
Panic attack			
subjects affected / exposed	0 / 8 (0.00%)	0 / 42 (0.00%)	1 / 6 (16.67%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0

Investigations			
Weight decreased			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Injury, poisoning and procedural complications			
Humerus fracture			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Radiation pneumonitis			
subjects affected / exposed	0 / 8 (0.00%)	0 / 42 (0.00%)	1 / 6 (16.67%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Subdural haematoma			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Wrist fracture			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Cardiac disorders			
Cardiac arrest			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Cardiac failure			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 1	0 / 0
Nervous system disorders			
Headache			

subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Blood and lymphatic system disorders			
Neutropenia			
subjects affected / exposed	0 / 8 (0.00%)	3 / 42 (7.14%)	1 / 6 (16.67%)
occurrences causally related to treatment / all	0 / 0	2 / 3	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Gastrointestinal disorders			
Diarrhoea			
subjects affected / exposed	0 / 8 (0.00%)	3 / 42 (7.14%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	3 / 3	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Gastrointestinal haemorrhage			
subjects affected / exposed	1 / 8 (12.50%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Small intestinal perforation			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 1	0 / 0
Hepatobiliary disorders			
Bile duct stenosis			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Skin and subcutaneous tissue disorders			
Angioedema			
subjects affected / exposed	0 / 8 (0.00%)	2 / 42 (4.76%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	2 / 2	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Pruritus generalised			

subjects affected / exposed	0 / 8 (0.00%)	0 / 42 (0.00%)	1 / 6 (16.67%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Rash			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Rash maculo-papular			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Musculoskeletal and connective tissue disorders			
Back pain			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Neck pain			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Rhabdomyolysis			
subjects affected / exposed	1 / 8 (12.50%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	1 / 1	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Infections and infestations			
Clostridium difficile colitis			
subjects affected / exposed	1 / 8 (12.50%)	0 / 42 (0.00%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Escherichia sepsis			
subjects affected / exposed	1 / 8 (12.50%)	0 / 42 (0.00%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	1 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0

Infection			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Lung infection			
subjects affected / exposed	1 / 8 (12.50%)	0 / 42 (0.00%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Rash pustular			
subjects affected / exposed	0 / 8 (0.00%)	0 / 42 (0.00%)	1 / 6 (16.67%)
occurrences causally related to treatment / all	0 / 0	0 / 0	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Sepsis			
subjects affected / exposed	1 / 8 (12.50%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 1	0 / 0	0 / 0
Urinary tract infection			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Metabolism and nutrition disorders			
Hyperglycaemia			
subjects affected / exposed	0 / 8 (0.00%)	1 / 42 (2.38%)	0 / 6 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0

<b>Serious adverse events</b>	Intermittent 140mg		
Total subjects affected by serious adverse events			
subjects affected / exposed	1 / 7 (14.29%)		
number of deaths (all causes)	0		
number of deaths resulting from adverse events			
Neoplasms benign, malignant and unspecified (incl cysts and polyps)			
Metastatic pulmonary embolism			

subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Tumour associated fever			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
General disorders and administration site conditions			
Asthenia			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Disease progression			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Fatigue			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
General physical health deterioration			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Generalised oedema			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Pyrexia			
subjects affected / exposed	1 / 7 (14.29%)		
occurrences causally related to treatment / all	0 / 1		
deaths causally related to treatment / all	0 / 0		
Respiratory, thoracic and mediastinal disorders			

Dyspnoea			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Pneumonitis			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Psychiatric disorders			
Panic attack			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Investigations			
Weight decreased			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Injury, poisoning and procedural complications			
Humerus fracture			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Radiation pneumonitis			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Subdural haematoma			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Wrist fracture			



subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Cardiac disorders			
Cardiac arrest			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Cardiac failure			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Nervous system disorders			
Headache			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Blood and lymphatic system disorders			
Neutropenia			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Gastrointestinal disorders			
Diarrhoea			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Gastrointestinal haemorrhage			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Small intestinal perforation			

subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Hepatobiliary disorders			
Bile duct stenosis			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Skin and subcutaneous tissue disorders			
Angioedema			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Pruritus generalised			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Rash			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Rash maculo-papular			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Musculoskeletal and connective tissue disorders			
Back pain			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Neck pain			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		

Rhabdomyolysis			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Infections and infestations			
Clostridium difficile colitis			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Escherichia sepsis			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Infection			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Lung infection			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Rash pustular			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Sepsis			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Urinary tract infection			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		
Metabolism and nutrition disorders			

Hyperglycaemia			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences causally related to treatment / all	0 / 0		
deaths causally related to treatment / all	0 / 0		

Frequency threshold for reporting non-serious adverse events: 5 %

<b>Non-serious adverse events</b>	Continuous 60mg	Continuous 80mg	Intermittent 120mg
Total subjects affected by non-serious adverse events			
subjects affected / exposed	8 / 8 (100.00%)	42 / 42 (100.00%)	6 / 6 (100.00%)
Vascular disorders			
Hypertension			
subjects affected / exposed	0 / 8 (0.00%)	4 / 42 (9.52%)	0 / 6 (0.00%)
occurrences (all)	0	4	0
General disorders and administration site conditions			
Disease progression			
subjects affected / exposed	2 / 8 (25.00%)	9 / 42 (21.43%)	3 / 6 (50.00%)
occurrences (all)	2	9	3
Fatigue			
subjects affected / exposed	5 / 8 (62.50%)	20 / 42 (47.62%)	2 / 6 (33.33%)
occurrences (all)	5	20	2
Mucosal inflammation			
subjects affected / exposed	0 / 8 (0.00%)	5 / 42 (11.90%)	0 / 6 (0.00%)
occurrences (all)	0	5	0
Oedema peripheral			
subjects affected / exposed	1 / 8 (12.50%)	5 / 42 (11.90%)	2 / 6 (33.33%)
occurrences (all)	1	5	2
Pain			
subjects affected / exposed	2 / 8 (25.00%)	1 / 42 (2.38%)	1 / 6 (16.67%)
occurrences (all)	2	1	1
Pyrexia			
subjects affected / exposed	1 / 8 (12.50%)	7 / 42 (16.67%)	1 / 6 (16.67%)
occurrences (all)	1	7	1
Respiratory, thoracic and mediastinal disorders			

Cough subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	2 / 6 (33.33%) 2
Dyspnoea subjects affected / exposed occurrences (all)	2 / 8 (25.00%) 2	4 / 42 (9.52%) 4	1 / 6 (16.67%) 1
Pneumonitis subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	0 / 6 (0.00%) 0
Psychiatric disorders Confusional state subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	0 / 6 (0.00%) 0
Depressed mood subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	0 / 6 (0.00%) 0
Depression subjects affected / exposed occurrences (all)	2 / 8 (25.00%) 2	7 / 42 (16.67%) 7	0 / 6 (0.00%) 0
Insomnia subjects affected / exposed occurrences (all)	1 / 8 (12.50%) 1	3 / 42 (7.14%) 3	1 / 6 (16.67%) 1
Investigations Alanine aminotransferase increased subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	0 / 6 (0.00%) 0
Aspartate aminotransferase increased subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	1 / 6 (16.67%) 1
Glycosylated haemoglobin increased subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	0 / 6 (0.00%) 0
Weight decreased subjects affected / exposed occurrences (all)	3 / 8 (37.50%) 3	13 / 42 (30.95%) 13	0 / 6 (0.00%) 0
Injury, poisoning and procedural complications			

Fall subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	1 / 6 (16.67%) 1
Nervous system disorders			
Dizziness subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	3 / 42 (7.14%) 3	1 / 6 (16.67%) 1
Headache subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	1 / 6 (16.67%) 1
Blood and lymphatic system disorders			
Anaemia subjects affected / exposed occurrences (all)	1 / 8 (12.50%) 1	9 / 42 (21.43%) 9	1 / 6 (16.67%) 1
Leukopenia subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	5 / 42 (11.90%) 5	1 / 6 (16.67%) 1
Neutropenia subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	12 / 42 (28.57%) 12	3 / 6 (50.00%) 3
Thrombocytopenia subjects affected / exposed occurrences (all)	1 / 8 (12.50%) 1	10 / 42 (23.81%) 10	3 / 6 (50.00%) 3
Gastrointestinal disorders			
Abdominal pain subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	6 / 42 (14.29%) 6	0 / 6 (0.00%) 0
Abdominal pain upper subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	0 / 6 (0.00%) 0
Constipation subjects affected / exposed occurrences (all)	1 / 8 (12.50%) 1	6 / 42 (14.29%) 6	1 / 6 (16.67%) 1
Diarrhoea subjects affected / exposed occurrences (all)	1 / 8 (12.50%) 1	17 / 42 (40.48%) 17	3 / 6 (50.00%) 3
Dry mouth			

subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	0 / 6 (0.00%) 0
Dyspepsia subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	6 / 42 (14.29%) 6	0 / 6 (0.00%) 0
Nausea subjects affected / exposed occurrences (all)	4 / 8 (50.00%) 4	15 / 42 (35.71%) 15	1 / 6 (16.67%) 1
Vomiting subjects affected / exposed occurrences (all)	3 / 8 (37.50%) 3	7 / 42 (16.67%) 7	0 / 6 (0.00%) 0
Skin and subcutaneous tissue disorders			
Dry skin subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	6 / 42 (14.29%) 6	0 / 6 (0.00%) 0
Pruritus subjects affected / exposed occurrences (all)	1 / 8 (12.50%) 1	7 / 42 (16.67%) 7	1 / 6 (16.67%) 1
Rash subjects affected / exposed occurrences (all)	1 / 8 (12.50%) 1	6 / 42 (14.29%) 6	0 / 6 (0.00%) 0
Rash maculo-papular subjects affected / exposed occurrences (all)	1 / 8 (12.50%) 1	7 / 42 (16.67%) 7	0 / 6 (0.00%) 0
Musculoskeletal and connective tissue disorders			
Back pain subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	5 / 42 (11.90%) 5	1 / 6 (16.67%) 1
Infections and infestations			
Bronchitis subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	4 / 42 (9.52%) 4	0 / 6 (0.00%) 0
Rhinitis subjects affected / exposed occurrences (all)	1 / 8 (12.50%) 1	2 / 42 (4.76%) 2	0 / 6 (0.00%) 0
Urinary tract infection			

subjects affected / exposed occurrences (all)	0 / 8 (0.00%) 0	6 / 42 (14.29%) 6	2 / 6 (33.33%) 2
Metabolism and nutrition disorders			
Decreased appetite			
subjects affected / exposed	5 / 8 (62.50%)	10 / 42 (23.81%)	2 / 6 (33.33%)
occurrences (all)	5	10	2
Hypercreatininaemia			
subjects affected / exposed	1 / 8 (12.50%)	3 / 42 (7.14%)	0 / 6 (0.00%)
occurrences (all)	1	3	0
Hyperglycaemia			
subjects affected / exposed	5 / 8 (62.50%)	19 / 42 (45.24%)	2 / 6 (33.33%)
occurrences (all)	5	19	2
Hyperphosphatasaemia			
subjects affected / exposed	2 / 8 (25.00%)	3 / 42 (7.14%)	1 / 6 (16.67%)
occurrences (all)	2	3	1
Hypertriglyceridaemia			
subjects affected / exposed	0 / 8 (0.00%)	4 / 42 (9.52%)	2 / 6 (33.33%)
occurrences (all)	0	4	2
Hypoalbuminaemia			
subjects affected / exposed	0 / 8 (0.00%)	5 / 42 (11.90%)	0 / 6 (0.00%)
occurrences (all)	0	5	0
Hypokalaemia			
subjects affected / exposed	0 / 8 (0.00%)	5 / 42 (11.90%)	2 / 6 (33.33%)
occurrences (all)	0	5	2

<b>Non-serious adverse events</b>	Intermittent 140mg		
Total subjects affected by non-serious adverse events			
subjects affected / exposed	7 / 7 (100.00%)		
Vascular disorders			
Hypertension			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
General disorders and administration site conditions			
Disease progression			
subjects affected / exposed	1 / 7 (14.29%)		
occurrences (all)	1		
Fatigue			



subjects affected / exposed	3 / 7 (42.86%)		
occurrences (all)	3		
Mucosal inflammation			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Oedema peripheral			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Pain			
subjects affected / exposed	1 / 7 (14.29%)		
occurrences (all)	1		
Pyrexia			
subjects affected / exposed	2 / 7 (28.57%)		
occurrences (all)	2		
Respiratory, thoracic and mediastinal disorders			
Cough			
subjects affected / exposed	2 / 7 (28.57%)		
occurrences (all)	2		
Dyspnoea			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Pneumonitis			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Psychiatric disorders			
Confusional state			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Depressed mood			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Depression			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Insomnia			

subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0		
Investigations Alanine aminotransferase increased subjects affected / exposed occurrences (all)  Aspartate aminotransferase increased subjects affected / exposed occurrences (all)  Glycosylated haemoglobin increased subjects affected / exposed occurrences (all)  Weight decreased subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0  0 / 7 (0.00%) 0  0 / 7 (0.00%) 0  1 / 7 (14.29%) 1		
Injury, poisoning and procedural complications Fall subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0		
Nervous system disorders Dizziness subjects affected / exposed occurrences (all)  Headache subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0  0 / 7 (0.00%) 0		
Blood and lymphatic system disorders Anaemia subjects affected / exposed occurrences (all)  Leukopenia subjects affected / exposed occurrences (all)  Neutropenia subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0  0 / 7 (0.00%) 0  1 / 7 (14.29%) 1		

Thrombocytopenia subjects affected / exposed occurrences (all)	1 / 7 (14.29%) 1		
Gastrointestinal disorders			
Abdominal pain subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0		
Abdominal pain upper subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0		
Constipation subjects affected / exposed occurrences (all)	1 / 7 (14.29%) 1		
Diarrhoea subjects affected / exposed occurrences (all)	3 / 7 (42.86%) 3		
Dry mouth subjects affected / exposed occurrences (all)	1 / 7 (14.29%) 1		
Dyspepsia subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0		
Nausea subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0		
Vomiting subjects affected / exposed occurrences (all)	1 / 7 (14.29%) 1		
Skin and subcutaneous tissue disorders			
Dry skin subjects affected / exposed occurrences (all)	0 / 7 (0.00%) 0		
Pruritus subjects affected / exposed occurrences (all)	1 / 7 (14.29%) 1		
Rash			

subjects affected / exposed	1 / 7 (14.29%)		
occurrences (all)	1		
Rash maculo-papular			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Musculoskeletal and connective tissue disorders			
Back pain			
subjects affected / exposed	1 / 7 (14.29%)		
occurrences (all)	1		
Infections and infestations			
Bronchitis			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Rhinitis			
subjects affected / exposed	1 / 7 (14.29%)		
occurrences (all)	1		
Urinary tract infection			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Metabolism and nutrition disorders			
Decreased appetite			
subjects affected / exposed	1 / 7 (14.29%)		
occurrences (all)	1		
Hypercreatininaemia			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Hyperglycaemia			
subjects affected / exposed	2 / 7 (28.57%)		
occurrences (all)	2		
Hyperphosphatasaemia			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Hypertriglyceridaemia			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Hypoalbuminaemia			

subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		
Hypokalaemia			
subjects affected / exposed	0 / 7 (0.00%)		
occurrences (all)	0		

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

Date	Amendment
28 July 2016	Incorporation of intermittent treatment schedules (PQR309 twice weekly) into the protocol. Treatment expanded to not only include q.d. 60mg or 80mg, but also Intermittent Schedule A ("2 days on / 5 days off") and Schedule B ("Mon / Thu") starting with 120mg, increasing with 20mg or 40mg increments. DLT definition expanded with rules for the intermittent dose regimen. Both intermittent regimens were intended to reduce overall exposure compared with continuous dosing. Changes to inclusion criteria: - Specific cut-offs for liver function tests removed. Liver function parameters have to be within the same limits regardless of liver involvement. - Assessment of HbA1c removed. The HbA1c parameter does not reflect a liability for treatment with PQR309. Changes to exclusion criteria: - Expanded to exclude patients who experienced Grade 4 on PI3K/mTOR inhibitors, due to a shift in risk for these patients when being considered for treatment with PQR309. - Expanded with example (chronic active hepatitis). - HbA1c removed. Precautions: Highly effective contraception language added to align with CTFG requirements. Assessments: fasting plasma glucose, height and body weight added.
07 August 2017	The dose-escalation scheme was changed to reduce the number of patients to 3 per dose level. Three additional patients will only be enrolled if a DLT is seen in the first three patients. Exclusion criterion 7 clarified to exclude "any major surgery, chemotherapy, immunotherapy or other anticancer therapy within 21 days prior to trial treatment start." The dose reduction scheme for patients who experience AEs on intermittent dosing schemes was adjusted to clarify that patients may have their dose reduced up to two times. The use of concomitant medication was updated to reflect newly available pre-clinical and clinical data on the drug-drug interactions.

Notes:

### Interruptions (globally)

Were there any global interruptions to the trial? Yes

Date	Interruption	Restart date
04 October 2016	In parallel to this study in relapsed / refractory lymphoma patients (study PQR309-002A), intermittent dosing schedules were being evaluated in a separate study in patients with solid tumors (study PQR309-003), with the objective of establishing the MTD on the intermittent dosing schedule. A halt to recruitment to PQR309-002A was instigated on 4 October 2016 to allow data on dosing with the intermittent schedule from PQR309-003 to become available prior to starting step 2 of study PQR309-002A. Sufficient safety data on the intermittent dosing schedules were subsequently obtained from study PQR309-003 to support restart of study PQR309-002A at 120 mg dosed intermittently on 22 March 2017.	22 March 2017
11 September 2018	The sponsor took the decision to end the study following step 1 of the expansion phase (after dose escalation with intermittent dosing on Schedule A) based on an overall review of the development program, in which it was decided to pursue future phase 2 clinical development in new studies.	-

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Notes:

## **Limitations and caveats**

Limitations of the trial such as small numbers of subjects analysed or technical problems leading to unreliable data.

Small number of subjects in some arms precluded comparison between groups and statistical analysis of the primary endpoint. Results from this trial (PQR309-002A) have been analysed together with those of trial PQR309-002 (EudraCT ref: 2014-005384-33)
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Notes: