



## Clinical trial results:

### A Long-Term, Open-Label Extension Study of the Safety and Tolerability of RVT-101 in Subjects with Alzheimer's Disease

#### Summary

|                          |                         |
|--------------------------|-------------------------|
| EudraCT number           | 2016-000587-42          |
| Trial protocol           | CZ SK ES BG DE GB HR IT |
| Global end of trial date | 12 March 2018           |

#### Results information

|                                |               |
|--------------------------------|---------------|
| Result version number          | v1 (current)  |
| This version publication date  | 28 March 2019 |
| First version publication date | 28 March 2019 |

#### Trial information

##### Trial identification

|                       |              |
|-----------------------|--------------|
| Sponsor protocol code | RVT-101-3002 |
|-----------------------|--------------|

##### Additional study identifiers

|                                    |             |
|------------------------------------|-------------|
| ISRCTN number                      | -           |
| ClinicalTrials.gov id (NCT number) | NCT02586909 |
| WHO universal trial number (UTN)   | -           |

Notes:

##### Sponsors

|                              |  |
|------------------------------|--|
| Sponsor organisation name    | Axovant Sciences   |
| Sponsor organisation address | Viaduktstrasse 8, Basel, Switzerland, 4051                       |
| Public contact               | Project Management, Worldwide Clinical Trials , +44 207121 6161, |
| Scientific contact           | Project Management, Worldwide Clinical Trials , +44 207121 6161, |

Notes:

##### Paediatric regulatory details

|  |    |
|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|  |               |
|--|---------------|
| Analysis stage                                       | Final         |
| Date of interim/final analysis                       | 12 March 2018 |
| Is this the analysis of the primary completion data? | Yes           |
| Primary completion date                              | 12 March 2018 |
| Global end of trial reached?                         | Yes           |
| Global end of trial date                             | 12 March 2018 |
| Was the trial ended prematurely?                     | Yes           |

Notes:

## General information about the trial

Main objective of the trial:

To assess the long-term safety and tolerability of RVT-101

Protection of trial subjects:

Subjects were required to provide full written informed consent prior to the performance of any protocol specified procedure; or if unable to provide informed consent due to cognitive status, subject has provided assent and a legally acceptable representative has provided full written informed consent on behalf of the subject. Collection of AEs and SAEs were collected at the time of informed consent and continued until the follow-up contact. SAEs that were spontaneously reported by the subject or subject representative or discovered by the investigator or designee after the follow-up visit and up to 30 days after the last dose of investigational product were collected and reported. Subjects were withdrawn from the study based on consultation between the principal investigator and Medical Monitor, with the ultimate decision by the principal investigator or subject. Study safety data was periodically reviewed by an independent data monitoring committee.

Background therapy: -

Evidence for comparator: -

|   |               |
|---|---------------|
| Actual start date of recruitment                          | 26 April 2016 |
| Long term follow-up planned                               | No            |
| Independent data monitoring committee (IDMC) involvement? | Yes           |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |                       |
|--------------------------------------|-----------------------|
| Country: Number of subjects enrolled | Argentina: 103        |
| Country: Number of subjects enrolled | Australia: 31         |
| Country: Number of subjects enrolled | Canada: 37            |
| Country: Number of subjects enrolled | Chile: 48             |
| Country: Number of subjects enrolled | Korea, Republic of: 9 |
| Country: Number of subjects enrolled | Singapore: 16         |
| Country: Number of subjects enrolled | Serbia: 21            |
| Country: Number of subjects enrolled | Taiwan: 16            |
| Country: Number of subjects enrolled | United States: 281    |
| Country: Number of subjects enrolled | Poland: 47            |
| Country: Number of subjects enrolled | Slovakia: 42          |
| Country: Number of subjects enrolled | Spain: 65             |
| Country: Number of subjects enrolled | United Kingdom: 164   |
| Country: Number of subjects enrolled | Croatia: 30           |
| Country: Number of subjects enrolled | Bulgaria: 7           |
| Country: Number of subjects enrolled | Czech Republic: 53    |

|                                      |             |
|--------------------------------------|-------------|
| Country: Number of subjects enrolled | France: 13  |
| Country: Number of subjects enrolled | Germany: 55 |
| Country: Number of subjects enrolled | Italy: 61   |
| Worldwide total number of subjects   | 1099        |
| EEA total number of subjects         | 537         |

Notes:

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### **Subjects enrolled per age group**

|   |     |
|---|-----|
| In utero                                  | 0   |
| Preterm newborn - gestational age < 37 wk | 0   |
| Newborns (0-27 days)                      | 0   |
| Infants and toddlers (28 days-23 months)  | 0   |
| Children (2-11 years)                     | 0   |
| Adolescents (12-17 years)                 | 0   |
| Adults (18-64 years)                      | 158 |
| From 65 to 84 years                       | 907 |
| 85 years and over                         | 34  |

## Subject disposition

### Recruitment

Recruitment details: -

### Pre-assignment

Screening details:

This is a multi-center, open-label, extension study in subjects with AD who have completed the 24-week, double-blind, placebo-controlled, lead-in study (RVT-101-3001). Subjects who have completed the double-blind lead-in study will be enrolled in this study.

### Period 1

|                              |                                       |
|------------------------------|---------------------------------------|
| Period 1 title               | Open Label Treatment (overall period) |
| Is this the baseline period? | Yes                                   |
| Allocation method            | Not applicable                        |
| Blinding used                | Not blinded                           |

### Arms

|                              |     |
|------------------------------|-----|
| Are arms mutually exclusive? | Yes |
|------------------------------|-----|

|                  |                        |
|------------------|------------------------|
| <b>Arm title</b> | Placebo to Intepirdine |
|------------------|------------------------|

Arm description: -

|  |                   |
|--|-------------------|
| Arm type                               | Experimental      |
| Investigational medicinal product name | RVT-101 35 mg     |
| Investigational medicinal product code |                   |
| Other name                             | Intepirdine 35 mg |
| Pharmaceutical forms                   | Tablet            |
| Routes of administration               | Oral use          |

Dosage and administration details:

Take 1 tablet orally each morning without regard to food

|                  |                            |
|------------------|----------------------------|
| <b>Arm title</b> | Intepirdine to Intepirdine |
|------------------|----------------------------|

Arm description: -

|  |                   |
|--|-------------------|
| Arm type                               | Experimental      |
| Investigational medicinal product name | RVT-101 35 mg     |
| Investigational medicinal product code |                   |
| Other name                             | Intepirdine 35 mg |
| Pharmaceutical forms                   | Tablet            |
| Routes of administration               | Oral use          |

Dosage and administration details:

Take 1 tablet orally each morning without regard to food

| <b>Number of subjects in period 1</b> | Placebo to Intepirdine | Intepirdine to Intepirdine |
|---------------------------------------|------------------------|----------------------------|
| Started                               | 538                    | 561                        |
| Completed                             | 80                     | 71                         |
| Not completed                         | 458                    | 490                        |
| Adverse event, serious fatal          | 3                      | 4                          |
| Consent withdrawn by subject          | 36                     | 39                         |
| Physician decision                    | 14                     | 11                         |

|  |     |     |
|--|-----|-----|
| Adverse event, non-fatal                 | 22  | 22  |
| Sponsor Termination                      | 338 | 369 |
| Sponsor Decision                         | 2   | 3   |
| Lost to follow-up                        | 3   | 3   |
| Advice From Hrec                         | 7   | 5   |
| Caregiver Withdrew Consent               | 13  | 8   |
| Ae On V8/V1 Recorded Under 3001 Protocol | -   | 1   |
| Lack of efficacy                         | 19  | 20  |
| Protocol deviation                       | 1   | 5   |

## Baseline characteristics

### Reporting groups

|                                |                            |
|--------------------------------|----------------------------|
| Reporting group title          | Placebo to Intepirdine     |
| Reporting group description: - |                            |
| Reporting group title          | Intepirdine to Intepirdine |
| Reporting group description: - |                            |

| Reporting group values                             | Placebo to Intepirdine | Intepirdine to Intepirdine | Total |
|--|------------------------|----------------------------|-------|
| Number of subjects                                 | 538                    | 561                        | 1099  |
| Age categorical<br>Units: Subjects                 |                        |                            |       |
| In utero   |                        |                            | 0     |
| Preterm newborn infants (gestational age < 37 wks) |                        |                            | 0     |
| Newborns (0-27 days)                               |                        |                            | 0     |
| Infants and toddlers (28 days-23 months)           |                        |                            | 0     |
| Children (2-11 years)                              |                        |                            | 0     |
| Adolescents (12-17 years)                          |                        |                            | 0     |
| Adults (18-64 years)                               |                        |                            | 0     |
| From 65-84 years                                   |                        |                            | 0     |
| 85 years and over                                  |                        |                            | 0     |
| Age continuous<br>Units: years                     |                        |                            |       |
| arithmetic mean                                    | 73.0                   | 73.2                       |       |
| standard deviation                                 | ± 7.51                 | ± 7.60                     | -     |
| Gender categorical<br>Units: Subjects              |                        |                            |       |
| Female   | 336                    | 340                        | 676   |
| Male   | 202                    | 221                        | 423   |
| Ethnicity<br>Units: Subjects                       |                        |                            |       |
| Hispanic or Latino                                 | 84                     | 109                        | 193   |
| Not Hispanic or Latino                             | 448                    | 449                        | 897   |
| Missing  | 6                      | 3                          | 9     |

## End points

### End points reporting groups

|                                |                            |
|--------------------------------|----------------------------|
| Reporting group title          | Placebo to Intepirdine     |
| Reporting group description: - |                            |
| Reporting group title          | Intepirdine to Intepirdine |
| Reporting group description: - |                            |

### Primary: At Least one On-Treatment Adverse Event (OTAE)

|                        |   |
|------------------------|---|
| End point title        | At Least one On-Treatment Adverse Event (OTAE) <sup>[1]</sup> |
| End point description: |   |

|                      |         |
|----------------------|---------|
| End point type       | Primary |
| End point timeframe: |         |
| 52 weeks             |         |

Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: The objective of this study was to assess the long-term safety and tolerability of RVT-101 (intepirdine) in subjects with AD, and the study was terminated early on 11 January 2018 because intepirdine did not meet its primary endpoint for Study RVT-101-3001 (the lead-in study). Thus, no formal statistical analyses were performed for the primary endpoint.

| End point values            | Placebo to Intepirdine | Intepirdine to Intepirdine |  |  |
|-----------------------------|------------------------|----------------------------|--|--|
| Subject group type          | Reporting group        | Reporting group            |  |  |
| Number of subjects analysed | 538                    | 561                        |  |  |
| Units: Subjects             | 263                    | 286                        |  |  |

### Statistical analyses

No statistical analyses for this end point

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

52 weeks

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

|                    |      |
|--------------------|------|
| Dictionary version | 19.1 |
|--------------------|------|

### Reporting groups

|                       |                        |
|-----------------------|------------------------|
| Reporting group title | Placebo to Intepirdine |
|-----------------------|------------------------|

Reporting group description: -

|                       |                            |
|-----------------------|----------------------------|
| Reporting group title | Intepirdine to Intepirdine |
|-----------------------|----------------------------|

Reporting group description: -

| <b>Serious adverse events</b>                                       | Placebo to Intepirdine | Intepirdine to Intepirdine |  |
|---|------------------------|----------------------------|--|
| Total subjects affected by serious adverse events                   |                        |                            |  |
| subjects affected / exposed   | 38 / 538 (7.06%)       | 49 / 561 (8.73%)           |  |
| number of deaths (all causes)                                       | 4                      | 6                          |  |
| number of deaths resulting from adverse events                      | 4                      | 6                          |  |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) |                        |                            |  |
| Metastatic neoplasm   |                        |                            |  |
| subjects affected / exposed   | 1 / 538 (0.19%)        | 0 / 561 (0.00%)            |  |
| occurrences causally related to treatment / all                     | 0 / 1                  | 0 / 0                      |  |
| deaths causally related to treatment / all                          | 0 / 1                  | 0 / 0                      |  |
| Basal cell carcinoma  |                        |                            |  |
| subjects affected / exposed   | 2 / 538 (0.37%)        | 1 / 561 (0.18%)            |  |
| occurrences causally related to treatment / all                     | 0 / 3                  | 0 / 1                      |  |
| deaths causally related to treatment / all                          | 0 / 0                  | 0 / 0                      |  |
| Adenocarcinoma gastric  |                        |                            |  |
| subjects affected / exposed   | 0 / 538 (0.00%)        | 1 / 561 (0.18%)            |  |
| occurrences causally related to treatment / all                     | 0 / 0                  | 0 / 1                      |  |
| deaths causally related to treatment / all                          | 0 / 0                  | 0 / 0                      |  |
| Breast cancer   |                        |                            |  |

|   |                 |                 |
|---|-----------------|-----------------|
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |
| <b>Breast cancer female</b>                     |                 |                 |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |
| <b>Cholangiocarcinoma</b>                       |                 |                 |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |
| <b>Chronic lymphocytic leukaemia</b>            |                 |                 |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |
| <b>Colon cancer</b>                             |                 |                 |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |
| <b>Lung adenocarcinoma stage I</b>              |                 |                 |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |
| <b>Metastases to liver</b>                      |                 |                 |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |
| <b>Mycosis fungoides</b>                        |                 |                 |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |
| <b>Prostate cancer</b>                          |                 |                 |

|   |                 |                 |  |
|---|-----------------|-----------------|--|
| subjects affected / exposed                                 | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all             | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all                  | 0 / 0           | 0 / 0           |  |
| <b>Prostatic adenoma</b>                                    |                 |                 |  |
| subjects affected / exposed                                 | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all             | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all                  | 0 / 0           | 0 / 0           |  |
| <b>Renal cancer</b>   |                 |                 |  |
| subjects affected / exposed                                 | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all             | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all                  | 0 / 0           | 0 / 0           |  |
| <b>Squamous cell carcinoma</b>                              |                 |                 |  |
| subjects affected / exposed                                 | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all             | 0 / 1           | 0 / 1           |  |
| deaths causally related to treatment / all                  | 0 / 0           | 0 / 0           |  |
| <b>Vascular disorders</b>                                   |                 |                 |  |
| <b>Deep vein thrombosis</b>                                 |                 |                 |  |
| subjects affected / exposed                                 | 1 / 538 (0.19%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all             | 0 / 1           | 0 / 2           |  |
| deaths causally related to treatment / all                  | 0 / 0           | 0 / 0           |  |
| <b>Aortic aneurysm</b>                                      |                 |                 |  |
| subjects affected / exposed                                 | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all             | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all                  | 0 / 0           | 0 / 0           |  |
| <b>Hypotension</b>  |                 |                 |  |
| subjects affected / exposed                                 | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all             | 0 / 3           | 0 / 1           |  |
| deaths causally related to treatment / all                  | 0 / 0           | 0 / 0           |  |
| <b>General disorders and administration site conditions</b> |                 |                 |  |
| <b>Sudden death</b>   |                 |                 |  |
| subjects affected / exposed                                 | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all             | 1 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all                  | 1 / 1           | 0 / 0           |  |

|   |                 |                 |  |
|---|-----------------|-----------------|--|
| Hypothermia                                     |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Reproductive system and breast disorders        |                 |                 |  |
| Cervix disorder                                 |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Cervix haemorrhage uterine                      |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Respiratory, thoracic and mediastinal disorders |                 |                 |  |
| Chronic obstructive pulmonary disease           |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 2           | 0 / 2           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Psychiatric disorders                           |                 |                 |  |
| Delirium  |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 2 / 561 (0.36%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 2           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Psychotic disorder                              |                 |                 |  |
| subjects affected / exposed                     | 2 / 538 (0.37%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 2           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Agitation                                       |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 3 / 10          | 1 / 8           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Delusion  |                 |                 |  |

|   |                 |                 |  |
|---|-----------------|-----------------|--|
| subjects affected / exposed                           | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all       | 1 / 4           | 1 / 3           |  |
| deaths causally related to treatment / all            | 0 / 0           | 0 / 0           |  |
| <b>Investigations</b>                                 |                 |                 |  |
| Full blood count decreased                            |                 |                 |  |
| subjects affected / exposed                           | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all       | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all            | 0 / 0           | 0 / 0           |  |
| <b>Injury, poisoning and procedural complications</b> |                 |                 |  |
| Fall  |                 |                 |  |
| subjects affected / exposed                           | 3 / 538 (0.56%) | 2 / 561 (0.36%) |  |
| occurrences causally related to treatment / all       | 1 / 26          | 1 / 28          |  |
| deaths causally related to treatment / all            | 0 / 0           | 0 / 0           |  |
| Accidental overdose                                   |                 |                 |  |
| subjects affected / exposed                           | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all       | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all            | 0 / 0           | 0 / 0           |  |
| Cervical vertebral fracture                           |                 |                 |  |
| subjects affected / exposed                           | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all       | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all            | 0 / 0           | 0 / 0           |  |
| Contusion   |                 |                 |  |
| subjects affected / exposed                           | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all       | 0 / 5           | 0 / 6           |  |
| deaths causally related to treatment / all            | 0 / 0           | 0 / 0           |  |
| Craniocerebral injury                                 |                 |                 |  |
| subjects affected / exposed                           | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all       | 0 / 2           | 0 / 0           |  |
| deaths causally related to treatment / all            | 0 / 0           | 0 / 0           |  |
| Hip fracture  |                 |                 |  |
| subjects affected / exposed                           | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all       | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all            | 0 / 0           | 0 / 0           |  |

|   |                 |                 |  |
|---|-----------------|-----------------|--|
| Humerus fracture                                |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 2           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Joint dislocation                               |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Postoperative delirium                          |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Road traffic accident                           |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 3           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Skull fractured base                            |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Spinal fracture                                 |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 2           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Subdural haematoma                              |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Cardiac disorders                               |                 |                 |  |
| Cardio-respiratory arrest                       |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 3 / 561 (0.53%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 3           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 3           |  |
| Atrial fibrillation                             |                 |                 |  |

|   |                 |                 |  |
|---|-----------------|-----------------|--|
| subjects affected / exposed                     | 2 / 538 (0.37%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 2           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| <b>Coronary artery disease</b>                  |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| <b>Sinus node dysfunction</b>                   |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| <b>Atrioventricular block complete</b>          |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 1 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| <b>Cardiac failure</b>                          |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| <b>Heart valve stenosis</b>                     |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| <b>Nervous system disorders</b>                 |                 |                 |  |
| <b>Cerebrovascular accident</b>                 |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 1           |  |
| <b>Haemorrhagic stroke</b>                      |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 1           |  |
| <b>Cerebral haemorrhage</b>                     |                 |                 |  |

|   |                 |                 |  |
|---|-----------------|-----------------|--|
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 1           | 0 / 0           |  |
| Dementia of the Alzheimer's type, with delirium |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Generalised tonic-clonic seizure                |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Lumbar radiculopathy                            |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Seizure   |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Syncope   |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 2           | 1 / 5           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Transient ischaemic attack                      |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 1 / 2           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Blood and lymphatic system disorders            |                 |                 |  |
| Anaemia   |                 |                 |  |
| subjects affected / exposed                     | 2 / 538 (0.37%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 1 / 5           | 1 / 6           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Gastrointestinal disorders                      |                 |                 |  |

|   |                 |                 |  |
|---|-----------------|-----------------|--|
| Diarrhoea                                       |                 |                 |  |
| subjects affected / exposed                     | 2 / 538 (0.37%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 2 / 12          | 0 / 7           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Gastritis                                       |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 2           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Gastritis erosive                               |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 1 / 1           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Constipation                                    |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 3           | 0 / 3           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Pancreatic cyst                                 |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Vomiting  |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 3 / 10          | 1 / 4           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Hepatobiliary disorders                         |                 |                 |  |
| Cholelithiasis                                  |                 |                 |  |
| subjects affected / exposed                     | 3 / 538 (0.56%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 3           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Cholecystitis acute                             |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Renal and urinary disorders                     |                 |                 |  |

|   |                 |                 |  |
|---|-----------------|-----------------|--|
| Acute kidney injury                             |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 1 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Urinary retention                               |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Musculoskeletal and connective tissue disorders |                 |                 |  |
| Arthralgia                                      |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 3           | 0 / 7           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Infections and infestations                     |                 |                 |  |
| Pneumonia                                       |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 3 / 561 (0.53%) |  |
| occurrences causally related to treatment / all | 0 / 3           | 0 / 4           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 1           |  |
| Gastroenteritis                                 |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 2 / 561 (0.36%) |  |
| occurrences causally related to treatment / all | 1 / 1           | 0 / 2           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Appendicitis perforated                         |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 2 / 561 (0.36%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 2           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Urinary tract infection                         |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 1 / 24          | 3 / 23          |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Urosepsis                                       |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 2 / 561 (0.36%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 2           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |

|   |                 |                 |  |
|---|-----------------|-----------------|--|
| Bronchitis                                      |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 7           | 0 / 6           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Cellulitis                                      |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Diverticulitis                                  |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Infected skin ulcer                             |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Oesophageal candidiasis                         |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Pyelonephritis acute                            |                 |                 |  |
| subjects affected / exposed                     | 0 / 538 (0.00%) | 1 / 561 (0.18%) |  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Sepsis  |                 |                 |  |
| subjects affected / exposed                     | 1 / 538 (0.19%) | 0 / 561 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0           |  |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0           |  |
| Metabolism and nutrition disorders              |                 |                 |  |
| Dehydration                                     |                 |                 |  |
| subjects affected / exposed                     | 2 / 538 (0.37%) | 3 / 561 (0.53%) |  |
| occurrences causally related to treatment / all | 1 / 4           | 0 / 6           |  |
| deaths causally related to treatment / all      | 0 / 1           | 0 / 0           |  |

Frequency threshold for reporting non-serious adverse events: 2 %

| <b>Non-serious adverse events</b>  | Placebo to<br>Intepirdine  | Intepirdine to<br>Intepirdine  |  |
|--|--|--|--|
| Total subjects affected by non-serious adverse events<br>subjects affected / exposed   | 86 / 538 (15.99%)  | 88 / 561 (15.69%)  |  |
| Injury, poisoning and procedural complications<br>Fall<br>subjects affected / exposed<br>occurrences (all)   | 23 / 538 (4.28%)<br>26   | 26 / 561 (4.63%)<br>28   |  |
| Gastrointestinal disorders<br>Diarrhoea<br>subjects affected / exposed<br>occurrences (all)  | 10 / 538 (1.86%)<br>12   | 7 / 561 (1.25%)<br>7   |  |
| Musculoskeletal and connective tissue disorders<br>Back pain<br>subjects affected / exposed<br>occurrences (all)   | 8 / 538 (1.49%)<br>8   | 14 / 561 (2.50%)<br>14   |  |
| Infections and infestations<br>Urinary tract infection<br>subjects affected / exposed<br>occurrences (all)<br><br>Nasopharyngitis<br>subjects affected / exposed<br>occurrences (all)<br><br>Upper respiratory tract infection<br>subjects affected / exposed<br>occurrences (all) | 23 / 538 (4.28%)<br>24<br><br>11 / 538 (2.04%)<br>11<br><br>11 / 538 (2.04%)<br>11 | 22 / 561 (3.92%)<br>23<br><br>12 / 561 (2.14%)<br>12<br><br>7 / 561 (1.25%)<br>7 |  |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date        | Amendment  |
|-------------|--|
| 12 May 2016 | Protocol RVT-101-3002 version 2.0 includes the following changes to protocol version 1.0 dated 18 February 2016: the Dependence Scale (DS) and EuroQOL 5 dimensions questionnaire (EQ-5D) have been added as efficacy assessments at Visits 1, 5, and 7; caregiver requirements have been added; and administrative changes were made for clarification. |

Notes:

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### Interruptions (globally)

Were there any global interruptions to the trial? Yes

| Date            | Interruption  | Restart date |
|-----------------|---|--------------|
| 11 January 2018 | This study was terminated early on 9 January 2018 because RVT-101 (intepirdine) did not meet its primary endpoint for Study RVT-101-3001 (the lead-in study). | -            |

Notes:

### Limitations and caveats

None reported