

FINAL STUDY REPORT

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|-------------------------------------|---|
| Full title of the trial: | Multiorgan Metabolic imaging response assessment of Abemaciclib |
| Short title of the trial: | MiMe-A trial |
| EudraCT Number: | 2017-000123-28 |
| Sponsor protocol number: | IJB-MULTI-MIME-A-2017 |
| ClinicalTrials.gov Number: | NCT03339843 |
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| Report date | 26/02/2024 |

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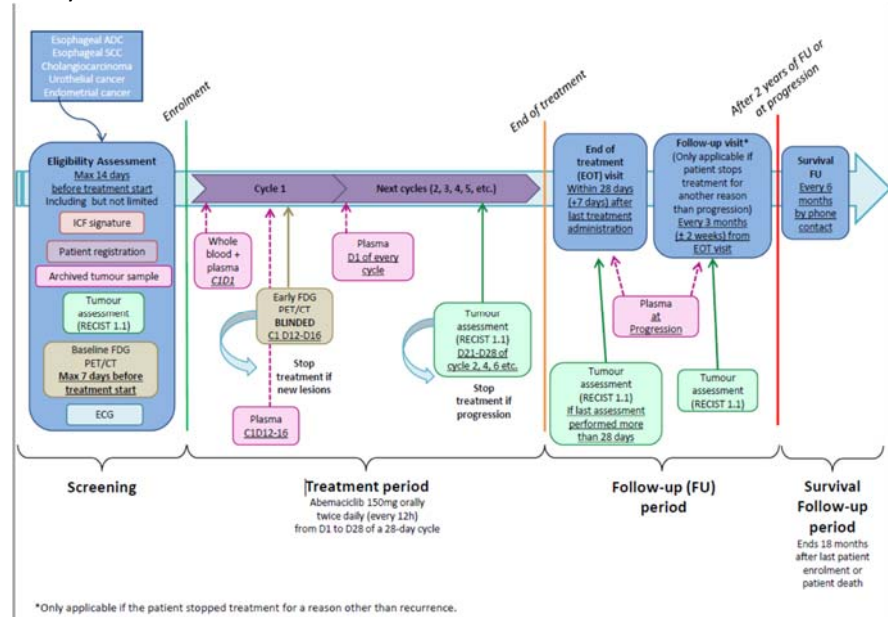
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1 TRIAL INFORMATION

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| PHASE | Phase II |
| TRIAL DESIGN | <p>This was an open-label, phase II, basket study that included subjects with histologically confirmed cancer corresponding to predefined tumour types that were metastatic or unresectable and for which standard platinum regimens were no longer effective.</p> <p>This study was a screening program for abemaciclib efficacy in multiple tumour types progressive after platinum-based chemotherapy (with any delay) by using metabolic imaging (PERCIST) and RECIST. This study contained two stages; during the first stage, a maximum of 17 subjects were enrolled in each tumour type cohort. After 13 evaluable subjects were enrolled, an interim analysis was performed on that tumour cohort. If 3 or more subjects were seen to have experienced a treatment success, then the cohort passed into the second stage in which a maximum of 20 more subjects were enrolled. If 2 or less subjects were seen to have experienced a treatment success, then that cohort was closed and was proceeded into the second stage.</p> <p>A treatment success was defined as a subject who had metabolic response according to PERCIST with a response cut off set at 15% at the early FDG-PET/CT and a morphological disease control after 2 cycles measured by RECIST v1.1 (disease control is defined as complete response (CR), partial response (PR) or stable disease (SD)).</p> <p>And evaluable subject was defined as a subject that had a clear treatment success or nontreatment success.</p> <p>Based on the rate of FDG-avidity and the absence of deactivation of the Rb gene function in more than 95% of cases, we proposed to define 5 tumour types of interest in a preliminary stage:</p> <ul style="list-style-type: none"> • Esophageal adenocarcinoma, • Esophageal squamous cell carcinoma • Cholangiocarcinoma • Urothelial cancer • Endometrial cancer <p>Subjects received 150 mg of abemaciclib orally, two times a day, during cycles of 28 days each. If the treatment with abemaciclib 150 mg twice daily was well tolerated, the dose could be increased to 200 mg twice daily at investigator's discretion.</p> <p>The subject underwent:</p> <ul style="list-style-type: none"> • A baseline FDG-PET/CT (D-7 to D0) and a baseline CT scan (D-14 to D0) • A blinded early FDG-PET/CT at D14 +/- 2 days (between D12 and D16) of study treatment. |

If the early FDG-PET/CT showed any new lesion, it was unblinded for the oncologists and the study treatment was stopped. If there were no new lesions, the subject continued the treatment and proceed with a radiological assessment (RECIST v1.1 criteria) after 2 cycles of treatment (between D21 and D28 of cycle 2). If progressive disease was showed at this assessment the study treatment was stopped. If there is no progressive disease, the study treatment was continued.



Primary archived tumour tissues (1 formalin-fixed paraffin-embedded (FFPE) tumour tissue block) or 20 unstained slides freshly cut for the study purpose), if available prior to enrolment must have been provided. Whole-blood and plasma samples were collected at baseline (before start of study treatment administration) and plasma samples were collected at C1D14(+/-2 days), the beginning (D1) of every treatment cycle for cell-free DNA (cfDNA) analysis, and at progression.

SCIENTIFIC BACKGROUND/ RATIONALE

Abemaciclib (A) activity against breast cancer as monotherapy or combined with endocrine therapy warrants further investigations in other cancer types. However, its significant toxicity profile illustrates the challenge of defining more precisely the patients unlikely to benefit from it, sparing them from useless toxicities. FDG-PET/CT can identify treatment-refractory disease with high negative predictive value, soon after the treatment onset and before morphological changes are observed. MiMe-A was built on the assumption that a therapy that does not induce tumoral metabolic changes 14 days after its onset is unlikely to achieve a significant clinical benefit.

OBJECTIVES

Primary objective:

To evaluate the anti-tumour activity of abemaciclib in the five tumour types studied in this trial using the combination of FDG-PET/CT during the first

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| | <p>cycle of therapy (early FDGPET/CT) and RECIST v1.1 after 2 cycles of therapy as a screening tool.</p> <p>Secondary objective:</p> <p>In each tumour type population:</p> <ul style="list-style-type: none"> • To evaluate Progression-free survival (PFS define as the time from treatment start until disease progression or death) and Overall Survival (OS defined as the time from treatment start until death) at 24 weeks from treatment start • To evaluate median progression-free survival (PFS) and median overall survival (OS) • To evaluate safety/toxicity profile • To evaluate the correlation of early metabolic response using FDG-PET/CT with morphological response to treatment assessed by RECIST |
| ENDPOINTS | <p>Primary endpoint:</p> <p>Therapy success rate defined as:</p> <ul style="list-style-type: none"> • PERCIST 15%-assessed Metabolic Response at early FDG-PET/CT (D12-D16) and • RECIST v1.1-assessed Disease Control (DC) after 2 treatment cycles (CR or PR or SD) <p>Secondary endpoints:</p> <p>In each tumour type population:</p> <ul style="list-style-type: none"> • RECIST v1.1-based radiological response assessment performed at 24 weeks from the treatment start to determine the PFS and OS. • Progression Free Survival • Overall Survival • Safety/Toxicity profile according to CTCAE version 5.0 |
| INCLUSION CRITERIA | <ol style="list-style-type: none"> 1. Age ≥ 18 years old 2. Female or male 3. ECOG performance status ≤ 1 4. Life expectancy of greater than 12 weeks 5. Must have histologically confirmed cancer corresponding to the predefined tumour subtypes (esophageal adenocarcinoma, esophageal squamous cell carcinoma, cholangiocarcinoma, urothelial cancer (progressive after immunotherapy;), endometrial cancer) that are metastatic or non-resectable and progressive after standard a platinum regimens (with any delay) and progressive after immunotherapy for the urothelial cancer (if available) and hormone therapy for endometrial carcinoma hormonal receptors positives (if indicated). 6. Presence of at least one metabolically measurable tumour lesion on FDG-PET/CT, according to PERCIST. If previously irradiated, must have been more than 2 months before the baseline FDG PET/CT. 7. Measurable disease according to RECIST v 1.1 8. Negative serum pregnancy test (for subjects of childbearing potential) |

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| | <p>9. Women of childbearing potential must agree to the use of 1 highly effective method of contraception (see protocol section 6.3.1.) prior to study entry, during the course of the study and at least 3 months after the last administration of study treatment.</p> <p>10. Men with childbearing potential partner must agree to use a condom during the course of this study and for at least 3 months after the last administration of the study treatment.</p> <p>11. Adequate coagulation: International Normalized Ratio (INR) $\leq 1.5 \times$ UNL unless subject is receiving anticoagulant therapy as long as INR and activated partial thromboplastin time [aPTT] are within therapeutic range of intended use of anticoagulants.</p> <p>12. Adequate bone marrow function as defined below:</p> <ul style="list-style-type: none"> • Hemoglobin ≥ 10 g/dL • Absolute neutrophil count $\geq 1500/\mu\text{L}$ or $1.5 \times 10^9/\text{L}$ • Platelets $\geq 100000/\mu\text{L}$ or $100 \times 10^9/\text{L}$ • Leukocytes $\geq 3,000/\mu\text{L}$ <p>13. Adequate liver function as defined below:</p> <ul style="list-style-type: none"> • Serum total bilirubin within $1.5 \times$ normal institutional limits (except for Gilbert syndrome where direct bilirubin should be $<1.5 \times$ institutional UNL) • AST/ALT/ALP levels $< 3 \times$ institutional upper normal limit (or ALT and AST $< 5 \times$ upper limit of normal if liver metastases are present). <p>14. Adequate renal function as defined below: Cockcroft-Gault creatinine clearance $>50\text{ml/min}$</p> <p>15. Completion of all necessary screening procedures</p> <p>16. Ability to swallow capsules/tablets</p> <p>17. Grade ≤ 1 toxicity due to any previous cancer therapy according to the National Cancer Institute Common Terminology Criteria of Adverse Events (NCI-CTCAE, v.5.0). Grade 2 is allowed in case of alopecia and peripheral sensory neuropathy</p> <p>18. If primary archived tumour tissue block available, it must be provided. (1 FFPE tumour tissue or 20 unstained slides freshly cut for the study purposes)</p> <p>19. Signed Informed Consent form (ICF) obtained prior to any study related procedure.</p> <p>Inclusion criterion applicable to FRANCE only</p> <p>20. Affiliated to the French Social Security System</p> |
| EXCLUSION CRITERIA | <p>Subjects meeting one of the following criteria were not eligible for this study:</p> <ol style="list-style-type: none"> 1. Have had chemotherapy, radiotherapy, immunotherapy, or targeted therapy within 3 weeks prior study enrolment 2. Receiving concomitantly any other experimental agents 3. Have received prior therapy with other CDK4/6 inhibitors |

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| | <ol style="list-style-type: none"> 4. Known brain metastasis; unless the metastasis are asymptomatic and have been stable since at least 2 months prior to treatment start 5. Known meningeal carcinomatosis 6. Have had major surgery within 28 days prior to the start of the treatment to allow for post-operative healing of the surgical wound 7. History of allergic reactions attributed to compounds of similar chemical or biologic composition 8. Bleeding diathesis, thromboembolic event, history of cardiovascular ischemic disease or cerebrovascular incident within the last six months 9. Uncontrolled concurrent illness including, but not limited to ongoing or active infection, symptomatic congestive heart failure, unstable angina pectoris, cardiac arrhythmia, interstitial lung disease, severe dyspnea at rest or requiring oxygen therapy. 10. Substance abuse, psychiatric illness/social situations, any psychological, familial, sociological, geographical condition, significant medical or surgical condition currently uncontrolled by treatment that would limit compliance with study requirements or interfere with the patient's ability to understand informed consent and participation in the study 11. Pregnant and/or lactating women 12. Uncontrolled Diabetes 13. Known history of HIV infection, or active hepatitis B or C requiring treatment with anti-viral therapy 14. Have received recent (within 28 days prior the enrolment) yellow fever vaccination 15. Individuals with a history of a different malignancy are ineligible except for the following circumstances. Individuals with a history of other malignancies are eligible if they have been disease-free and are deemed by the investigator to be at low risk for recurrence of that malignancy <p>Exclusion criterion applicable to FRANCE only</p> <ol style="list-style-type: none"> 16. Vulnerable persons according to the article L.1121-6 of the CSP, adults who are the subject of a measure of legal protection or unable to express their consent according to article L.1121-8 of the CSP |
| INVESTIGATIONAL MEDICINAL PRODUCTS | Abemaciclib was continuously administrated orally at a dose of 150 mg twice daily until disease progression, until new lesions are observed at the early FDG-PET/CT, until the lack of tolerability or the subject 's consent withdrawal. One cycle is 28 days. |
| INDICATION OF USE | <ul style="list-style-type: none"> • Esophageal adenocarcinoma (ADC) • Esophageal squamous cell carcinoma (SCC) • Cholangiocarcinoma • Urothelial cancer (progressive after immunotherapy) • Endometrial cancer |
| TARGETED POPULATION | Participants must have histologically confirmed cancer corresponding to the predefined tumour cohorts (i.e. esophageal ADC, esophageal SCC, |

| | |
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| | Cholangiocarcinoma, urothelial cancer (progressive after immunotherapy), or endometrial cancer) that was metastatic or unresectable and for which standard platinum regimens were no longer effective. Subjects with urothelial cancer must have been pre-treated with nivolumab or another immune checkpoint inhibitor. |
| PARTICIPATING COUNTRIES | Belgium and France |
| PARTICIPATING SITES NUMBER | Belgium: 6 France: 5 |
| START DATE OF THE TRIAL | 24/09/2018 |
| LENGTH OF THE STUDY | <ul style="list-style-type: none"> Actual start date of recruitment to the protocol: 19/12/2018 Actual date stop date of recruitment to the protocol: 15/09/2021 Long term follow-up planned? Yes for efficacy– Duration: 18 months |
| INDEPENDENT DATA MONITORING COMMITTEE | Yes |
| PROTECTION OF TRIAL SUBJECTS | <p>Both dose suppression (within a cycle) and cycle delay are permitted in case of clinically significant toxicities. Abemaciclib may be held up to 14 days within a cycle or at the start of next cycle to permit sufficient time recovery from the toxicity. If a dose suspension occurs, the investigator may resume abemaciclib dosing at the same dose level for the remainder of the cycle or at reduced dose (assuming resolution to at least grade 1 for the non-hematological and at least grade 2 for hematological toxicity).</p> <p>If the subject experiences the same toxicity with the same or greater severity requiring a dose suspension within a cycle or at start of the next cycle, the subject must be dose reduced and non rechallenged a second time at the prior dose level. Subject not recovering from toxicity within 14 days should be considered for discontinuation of abemaciclib. In exceptional circumstances, a delay > 14 days is permitted upon agreement of the Investigator and the Sponsor.</p> <p>Subjects who were taking strong CYP3A inhibitors were recommended to reduce the abemaciclib dose.</p> <p>Very close monitoring of side effects was organized (for example medical visit after 10 days of taking abemaciclib) in order to adapt supportive treatments and doses of abemaciclib in the event of side effects.</p> |
| ANALYSIS STAGE & DATE | Final Date of final analysis: 21/11/2023 |

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| PRIMARY COMPLETION DATA | <ul style="list-style-type: none"> • Is this the analysis of the primary completion data? Yes • Primary completion date: 12/11/2021 |
| GLOBAL END OF TRIAL DATE | <ul style="list-style-type: none"> • Global end of trial reached? Yes • Global end of trial date: 20/12/2023 |
| PREMATURE END OF TRIAL | No |

2 POPULATION OF TRIAL SUBJECTS

The number of subjects enrolled in the MiMe-A trial per country is:

| Country | Number of subjects |
|---------|--------------------|
| Belgium | 62 |
| France | 23 |

The number of subjects enrolled per age is displayed in the below table.

| Age of subjects | Number of subjects |
|--|--------------------|
| In utero | - |
| Preterm newborn - gestational age <37 wk | - |
| Newborns (0-27 days) | - |
| Infants and toddlers (28 days - 23 months) | - |
| Children (2-11 years) | - |
| Adolescents (12-17 years) | - |
| Adults (between 18 and 64 years) | 26 (30.6%) |
| From 65 to 84 years | 57 (67.1%) |
| 85 years and over | 2 (2.4%) |

The baseline characteristics of the population are further described in section 4.

3 SUBJECT DISPOSITION

| | |
|-------------------|--------------------------------|
| Period | 1 |
| Period title | Overall study (overall period) |
| Allocation method | Non-randomised - controlled |
| Blinding | Not applicable |

3.1 Arms information and IMP information

- Are the arms mutually exclusive? Yes

| ARM INFORMATION | |
|-----------------------------------|--|
| Arm title | Cohort 1: Esophageal adenocarcinoma |
| Arm description | Subjects with histologically confirmed esophageal adenocarcinoma that is metastatic or unresectable and for which standard platinum regimens are no longer effective. |
| Arm type | Experimental |
| IMP INFORMATION | |
| IMP name | Abemaciclib |
| Route of administration | Oral use |
| Pharmaceutical form | Capsule |
| Dosage and administration details | Abemaciclib will be continuously administrated orally at a dose of 150mg twice daily until disease progression, until new lesions are observed at the early FDG-PET/CT, until the lack of tolerability or the subject 's consent withdrawal. One cycle is 28 days. |

| ARM INFORMATION | |
|-----------------------------------|--|
| Arm title | Cohort 2: Esophageal squamous cell carcinoma |
| Arm description | Subjects with histologically confirmed esophageal squamous cell carcinoma that is metastatic or unresectable and for which standard platinum regimens are no longer effective. |
| Arm type | Experimental |
| IMP INFORMATION | |
| IMP name | Abemaciclib |
| Route of administration | Oral use |
| Pharmaceutical form | Capsule |
| Dosage and administration details | Abemaciclib will be continuously administrated orally at a dose of 150mg twice daily until disease progression, until new lesions are observed at the early FDG-PET/CT, until the lack of tolerability or the subject 's consent withdrawal. One cycle is 28 days. |

| ARM INFORMATION | |
|-----------------------------------|--|
| Arm title | Cohort 3: Cholangiocarcinoma |
| Arm description | Subjects with histologically confirmed cholangiocarcinoma that is metastatic or unresectable and for which standard platinum regimens are no longer effective. |
| Arm type | Experimental |
| IMP INFORMATION | |
| IMP name | Abemaciclib |
| Route of administration | Oral use |
| Pharmaceutical form | Capsule |
| Dosage and administration details | Abemaciclib will be continuously administrated orally at a dose of 150mg twice daily until disease progression, until new lesions are observed at the early FDG-PET/CT, until the lack of tolerability or the subject 's consent withdrawal. One cycle is 28 days. |

| ARM INFORMATION | |
|-----------------------------------|--|
| Arm title | Cohort 4: Urothelial cancer |
| Arm description | Subjects with histologically confirmed urothelial cancer that is metastatic or unresectable and for which standard platinum regimens are no longer effective. Subjects must have been pre-treated with nivolumab or another immune checkpoint inhibitor. |
| Arm type | Experimental |
| IMP INFORMATION | |
| IMP name | Abemaciclib |
| Route of administration | Oral use |
| Pharmaceutical form | Capsule |
| Dosage and administration details | Abemaciclib will be continuously administrated orally at a dose of 150mg twice daily until disease progression, until new lesions are observed at the early FDG-PET/CT, until the lack of tolerability or the subject 's consent withdrawal. One cycle is 28 days. |

| ARM INFORMATION | |
|-----------------------------------|--|
| Arm title | Cohort 5: Endometrial cancer |
| Arm description | Subjects with histologically confirmed endometrial cancer a that is metastatic or unresectable and for which standard platinum regimens are no longer effective. |
| Arm type | Experimental |
| IMP INFORMATION | |
| IMP name | Abemaciclib |
| Route of administration | Oral use |
| Pharmaceutical form | Capsule |
| Dosage and administration details | Abemaciclib will be continuously administrated orally at a dose of 150mg twice daily until disease progression, until new lesions are observed at the early FDG-PET/CT, until the lack of tolerability or the subject 's consent withdrawal. One cycle is 28 days. |

3.2 Number of subjects in period

In this trial, subjects who stopped the treatment for disease progression are considered as subjects who completed the arm. The detail of abemaciclib administration is described in Section 5.1.

| Cohort 1: Esophageal adenocarcinoma | |
|--------------------------------------|-----|
| Started | 18 |
| Completed | 11* |
| Not completed | 7 |
| Reason for non-completion | |
| Adverse event, not serious | 1 |
| Adverse event, serious fatal | 1 |
| Adverse event, serious non-fatal | 1 |
| Consent withdrawn by subject | 3 |
| Physician decision | 0 |
| Subject non-compliant (not eligible) | 1** |

| Cohort 2: Esophageal squamous cell carcinoma | |
|--|-----|
| Started | 17 |
| Completed | 14* |

| Cohort 2: Esophageal squamous cell carcinoma | |
|--|---|
| Not completed | 3 |
| Reason for non-completion | |
| Adverse event, not serious | 0 |
| Adverse event, serious fatal | 2 |
| Adverse event, serious non-fatal | 1 |
| Consent withdrawn by subject | 0 |
| Physician decision | 0 |
| Other (specify) | 0 |

| Cohort 3: Cholangiocarcinoma | |
|----------------------------------|-----|
| Started | 17 |
| Completed | 15* |
| Not completed | 2 |
| Reason for non-completion | |
| Adverse event, not serious | 0 |
| Adverse event, serious fatal | 1 |
| Adverse event, serious non-fatal | 1 |
| Consent withdrawn by subject | 0 |
| Physician decision | 0 |
| Other (specify) | 0 |

| Cohort 4: Urothelial cancer | |
|----------------------------------|-------|
| Started | 17*** |
| Completed | 16* |
| Not completed | 1 |
| Reason for non-completion | |
| Adverse event, not serious | 0 |
| Adverse event, serious fatal | 0 |
| Adverse event, serious non-fatal | 1 |
| Consent withdrawn by subject | 0 |
| Physician decision | 0 |

| Cohort 4: Urothelial cancer | |
|-----------------------------|---|
| Other (specify) | 0 |

| Cohort 5: Endometrial cancer | |
|----------------------------------|-----|
| Started | 17 |
| Completed | 16* |
| Not completed | 1 |
| Reason for non-completion | |
| Adverse event, not serious | 0 |
| Adverse event, serious fatal | 0 |
| Adverse event, serious non-fatal | 1 |
| Consent withdrawn by subject | 0 |
| Physician decision | 0 |
| Other (specify) | 0 |

* All subjects who completed had disease progressions and stopped the treatment.

** One subject in esophageal adenocarcinoma cohort did not start the treatment (non-compliant) and considered non-eligible.

*** One subject in urothelial cancer cohort did not start the treatment since had a disease progression on Day 1 Cycle 1 (D1C1).

4 BASELINE CHARACTERISTICS

| | |
|-----------------------------|--|
| Reporting group title | Cohort 1: Esophageal adenocarcinoma |
| Reporting group description | Subjects with histologically confirmed esophageal adenocarcinoma that is metastatic or unresectable and for which standard platinum regimens are no longer effective. |
| Reporting group title | Cohort 2: Esophageal squamous cell carcinoma |
| Reporting group description | Subjects with histologically confirmed esophageal squamous cell carcinoma that is metastatic or unresectable and for which standard platinum regimens are no longer effective. |
| Reporting group title | Cohort 3: Cholangiocarcinoma |
| Reporting group description | Subjects with histologically confirmed cholangiocarcinoma that is metastatic or unresectable and for which standard platinum regimens are no longer effective. |
| Reporting group title | Cohort 4: Urothelial cancer |
| Reporting group description | Subjects with histologically confirmed urothelial cancer that is metastatic or unresectable and for which standard platinum regimens are no longer effective. Subjects must have been pre-treated with nivolumab or another immune checkpoint inhibitor. |
| Reporting group title | Cohort 5: Endometrial cancer |
| Reporting group description | Subjects with histologically confirmed endometrial cancer a that is metastatic or unresectable and for which standard platinum regimens are no longer effective. |

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcinoma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer | All cohorts |
|---|---|---|---------------------------------|--------------------------------|---------------------------------|-------------------|
| Number of eligible subjects | | | | | | |
| | 17 | 17 | 17 | 17 | 17 | 85 |
| Age | | | | | | |
| <i>Units : Years</i> | | | | | | |
| N | 17 | 17 | 17 | 17 | 17 | 85 |
| Mean | 62.4 | 67.3 | 70.2 | 65.8 | 68.9 | 66.9 |
| SD | 11.64 | 5.84 | 8.66 | 8.66 | 7.41 | 8.88 |
| Range | 36.0, 83.0 | 56.0, 77.0 | 50.0, 85.0 | 46.0, 80.0 | 57.0, 84.0 | 36.0, 85.0 |
| Median (IQR) | 65.0 (55.0, 68.0) | 67.0 (63.0, 71.0) | 70.0 (67.0, 74.0) | 67.0 (63.0, 71.0) | 68.0 (64.0, 73.0) | 68.0 (62.0, 71.0) |
| Age categories | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| In utero | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| Preterm newborn - gestational age <37 wk | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| Newborns (0-27 days) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| Infants and toddlers (28 days - 23 months) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcinoma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer | All cohorts |
|-------------------------------------|---|---|---------------------------------|--------------------------------|---------------------------------|-------------|
| Children (2-11 years) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| Adolescents (12-17 years) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| Adults (between 18 and 64 years) | 8 (47.1%) | 5 (29.4%) | 3 (17.6%) | 5 (29.4%) | 5 (29.4%) | 26 (30.6%) |
| From 65 to 84 years | 9 (52.9%) | 12 (70.6%) | 12 (70.6%) | 12 (70.6%) | 12 (70.6%) | 57 (67.1%) |
| 85 years and over | 0 (0.0%) | 0 (0.0%) | 2 (11.8%) | 0 (0.0%) | 0 (0.0%) | 2 (2.4%) |
| Gender | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| Female | 3 (17.6%) | 6 (35.3%) | 11 (64.7%) | 2 (11.8%) | 17 (100.0%) | 39 (45.9%) |
| Male | 14 (82.4%) | 11 (64.7%) | 6 (35.3%) | 15 (88.2%) | 0 (0.0%) | 46 (54.1%) |
| ECOG | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| 0 | 7 (41.2%) | 6 (35.3%) | 5 (29.4%) | 6 (35.3%) | 10 (58.8%) | 34 (40.0%) |
| 1 | 10 (58.8%) | 11 (64.7%) | 12 (70.6%) | 11 (64.7%) | 7 (41.2%) | 51 (60.0%) |
| BMI | | | | | | |
| <i>Units: Kg/m²</i> | | | | | | |
| N | 17 | 16 | 16 | 17 | 16 | 82 |
| Mean | 23.7 | 22.6 | 25.1 | 23.8 | 28.0 | 24.6 |

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcinoma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer | All cohorts |
|------------------------------|---|---|---------------------------------|--------------------------------|---------------------------------|-------------------|
| SD | 5.27 | 3.84 | 5.03 | 4.27 | 8.13 | 5.67 |
| Range | 16.1, 32.4 | 18.1, 30.5 | 17.8, 36.4 | 18.8, 36.8 | 18.6, 47.4 | 16.1, 47.4 |
| Median (IQR) | 23.4 (19.1, 27.8) | 21.6 (19.3, 25.8) | 23.7 (22.1, 28.5) | 23.2 (21.1, 25.4) | 26.1 (22.3, 30.9) | 23.6 (20.4, 27.4) |
| BMI Categories | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| Underweight | 4 (23.5%) | 2 (11.8%) | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) | 7 (8.2%) |
| Normal | 7 (41.2%) | 9 (52.9%) | 9 (52.9%) | 12 (70.6%) | 7 (41.2%) | 44 (51.8%) |
| Overweight | 3 (17.6%) | 4 (23.5%) | 3 (17.6%) | 4 (23.5%) | 4 (23.5%) | 18 (21.2%) |
| Obese | 3 (17.6%) | 1 (5.9%) | 3 (17.6%) | 1 (5.9%) | 5 (29.4%) | 13 (15.3%) |
| Not available (NA) | 0 (0.0%) | 1 (5.9%) | 1 (5.9%) | 0 (0.0%) | 1 (5.9%) | 3 (3.5%) |
| Previous radiotherapy | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| Yes | 8 (47.1%) | 15 (88.2%) | 4 (23.5%) | 5 (29.4%) | 11 (64.7%) | 43 (50.6%) |
| No | 9 (52.9%) | 2 (11.8%) | 13 (76.5%) | 12 (70.6%) | 6 (35.3%) | 42 (49.4%) |
| Previous surgery | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| Yes | 6 (35.3%) | 4 (23.5%) | 12 (70.6%) | 13 (76.5%) | 15 (88.2%) | 50 (58.8%) |
| No | 11 (64.7%) | 13 (76.5%) | 5 (29.4%) | 4 (23.5%) | 2 (11.8%) | 35 (41.2%) |

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcinoma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer | All cohorts |
|----------------------------------|---|---|---------------------------------|--------------------------------|---------------------------------|-------------|
| Previous chemotherapy | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| Yes | 17 (100.0%) | 17 (100.0%) | 17 (100.0%) | 17 (100.0%) | 17 (100.0%) | 85(100.0%) |
| No | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| Previous hormone therapy | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| Yes | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 9 (52.9%) | 9 (10.6%) |
| No | 17 (100.0%) | 17 (100.0%) | 17 (100.0%) | 17 (100.0%) | 8 (47.1%) | 76 (89.4%) |
| Previous immuno therapy | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| Yes | 6 (35.3%) | 2 (11.8%) | 1 (5.9%) | 16 (94.1%) | 3 (17.6%) | 28 (32.9%) |
| No | 11 (64.7%) | 15 (88.2%) | 16 (94.1%) | 1 (5.9%) | 14 (82.4%) | 57 (67.1%) |
| Previous targeted therapy | | | | | | |
| <i>Units: Subjects</i> | | | | | | |
| Yes | 6 (35.3%) | 1 (5.9%) | 0 (0.0%) | 5 (29.4%) | 0 (0.0%) | 12 (14.1%) |
| No | 11 (64.7%) | 16 (94.1%) | 17 (100.0%) | 12 (70.6%) | 17 (100.0%) | 73 (85.9%) |

5 STATISTICAL ANALYSIS

The first subject (0001) was recruited on 31 Dec 2018 and the last subject (0099) was recruited on 21 September 2021. One subject in Esophageal adenocarcinoma cohort was considered not eligible as the subject never started the treatment. Other deviations from the inclusion or exclusion criteria were considered tolerable by the study investigators. The baseline characteristics of each cohort can be found in section 4.

5.1 Abemaciclib administration summary

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcino ma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|--|--|---|--|--|---|
| Started treatment | 17 (100.0%) | 17 (100.0%) | 17 (100.0%) | 16 (94.1%)* | 17 (100.0%) |
| Started and stopped treatment during the 1st cycle | 5 (29.4%) | 3 (17.7%) | 3 (17.7%) | 0 (0.0%) | 2 (11.8%) |
| -Adverse event (AE): | 2 (11.8%) | 1 (5.9%) | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) |
| AE, not serious | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| AE, serious | 1 (5.9%) | 0 (0.0%) | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) |
| AE, fatal | 0 (0.0%) | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| -Progressive disease | 1 (5.9%) | 2 (11.8%) | 2 (11.8%) | 0 (0.0%) | 2 (11.8%) |
| -Subject's withdrawal | 2 (11.8%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| Stopped treatment during the 2nd cycle | 2 (11.8%) | 1 (5.9%) | 2 (11.8%) | 3 (17.6%) | 1 (5.9%) |

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcino ma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|---|--|---|--|--|---|
| - Adverse event (AE): | 0 (0.0%) | 1 (5.9%) | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) |
| AE, not serious | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| AE, serious | 0 (0.0%) | 1 (5.9%) | 0 (0.0%) | 1 (5.9%) | 0 (0.0%) |
| AE, fatal | 0 (0.0%) | 0 (0.0%) | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) |
| - Progressive disease | 1 (5.9%) | 0 (0.0%) | 1 (5.9%) | 2 (11.8%) | 1 (5.9%) |
| - Subject's withdrawal/Other | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| | | | | | |
| Stopped treatment after the 2nd cycle | 10 (58.8%) | 13 (82.4%) | 12 (70.6%) | 13 (82.4%) | 1 (5.9%) |
| - Adverse event (AE): | 1 (5.9%) | 1 (0.0%) | 0 (0.0%) | 0 (0.0%) | 1 (5.9%) |
| AE, not serious | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| AE, serious | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | 1 (5.9%) |
| AE, fatal | 1 (5.9%) | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |
| - Progressive disease | 9 (52.9%) | 12 (70.6%) | 12 (70.6%) | 13 (82.4%) | 13 (82.4%) |
| - Subject's withdrawal | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) | (0.0%) | (0.0%) |
| | | | | | |
| Total treatment duration (days) | | | | | |
| N | 16** | 17 | 17 | 17 | 17 |
| Mean | 66.3 | 57.5 | 81.5 | 114.1 | 86.6 |
| SD | 59.21 | 37.20 | 75.82 | 148.84 | 103.28 |

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcino ma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|---|--|---|--|--|---|
| Range | 2.0, 232.0 | 10.0, 140.0 | 14.0, 259.0 | 0.0*, 513.0 | 14.0, 443.0 |
| Median (IQR) | 56.0 (27.5, 73.5) | 53.0 (28.0, 62.0) | 53.0 (27.0, 132.0) | 55.0 (38.0, 95.0) | 55.0 (43.0, 76.0) |
| | | | | | |
| Total Nb of Cycles | | | | | |
| N | 17 | 17 | 17 | 17 | 17 |
| Mean | 2.6 | 2.4 | 3.4 | 4.8 | 3.5 |
| SD | 2.12 | 1.46 | 2.67 | 5.45 | 3.69 |
| Range | 1.0, 9.0 | 1.0, 6.0 | 1.0, 10.0 | 0.0*, 19.0 | 1.0, 16.0 |
| Median (IQR) | 2.0 (1.0, 3.0) | 2.0 (1.0, 3.0) | 2.0 (2.0, 5.0) | 3.0 (2.0, 4.0) | 2.0 (2.0, 3.0) |
| | | | | | |
| At least one dose reduction during cycle 1 and 2*** | | | | | |
| - Adverse Events | 2 (11.8%) | 10 (58.8%) | 14 (82.4%) | 6 (35.3%) | 13 (76.5%) |
| - Non Compliance or Dosing Error | 4 (23.5%) | 2 (11.8%) | 4 (23.5%) | 3 (17.6%) | 4 (23.5%) |
| - Either due to AE or Non Compliance or Dosing Error | 5 (29.4%) | 12 (70.6%) | 14 (82.4%) | 8 (47.1%) | 14 (82.4%) |
| | | | | | |
| At least one trt interruption during cycle 1 and 2 | | | | | |
| - Adverse Events | 6 (35.3%) | 11 (64.7%) | 14 (82.4%) | 14 (82.4%) | 10 (58.8%) |
| - Non Compliance | 2 (11.8%) | 1 (5.9%) | 0 (0.0%) | 0 (0.0%) | 0 (0.0%) |

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcino ma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|--|--|---|--|--|---|
| - Either due to AE or Non Compliance or Dosing Error | 6 (35.3%) | 12 (70.6%) | 14 (82.4%) | 14 (82.4%) | 10 (58.8%) |
| | | | | | |
| RDI for cycle 1 and 2**** | | | | | |
| N | 16*** | 17 | 17 | 17 | 17 |
| Mean | 70.7 | 64.5 | 62.2 | 64.5 | 68.7 |
| SD | 34.08 | 24.69 | 26.65 | 30.25 | 23.64 |
| Range | 3.6, 100.0 | 17.9, 100.0 | 20.3, 94.9 | 0.0*, 98.2 | 24.1, 100.0 |
| Median (IQR) | 85.0 (45.6, 99.6) | 76.5 (49.1, 83.3) | 73.0 (38.1, 82.1) | 75.0 (59.2, 85.7) | 76.6 (52.2, 84.4) |

*One subject in cohort urothelial cancer cohort stopped treatment due to disease progression at Day 1 Cycle 1 (D1C1). This subject is considered eligible.

**One subject withdrew his consent during cycle 1 and did not return his dosing diary, therefore there is no information on the exact end of treatment date

*** One subject withdrew his consent during cycle 1 and did not return his dosing diary, therefore there is no information on the exact end of treatment date

****RDI is calculated by (total dose for cycle 1 and cycle 2)/(max dose for cycle 1 and cycle 2* 56). Maximum dose is 400 mg for subjects starting their treatment by 1/10/2022 and 300 mg afterwards except for four subjects who had 400 mg despite starting after 1/10/2019.

5.2 Metabolic assessment summary

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcinoma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|--|--|---|---|--|---|
| Delay from baseline PET to D1C1 (Day 1 of Cycle 1) (days) | | | | | |
| N | 17 | 17 | 17 | 16* | 17 |
| Mean | 4.2 | 4.9 | 3.4 | 4.1 | 4.6 |
| SD | 2.33 | 2.12 | 1.80 | 2.36 | 2.00 |
| Range | 1.0, 7.0 | 1.0, 7.0 | 1.0, 7.0 | 1.0, 7.0 | 2.0, 8.0** |

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcinoma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|--|---|---|---|--|---|
| Median (IQR) | 5.0 (2.0, 7.0) | 6.0 (4.0, 6.0) | 3.0 (2.0, 5.0) | 5.5 (1.0, 6.0) | 4.0 (3.0, 6.0) |
| | | | | | |
| Delay from D1C1 to 2nd PET (days) | | | | | |
| N | 15 | 16 | 15 | 15 | 16 |
| Mean | 13.3 | 13.0 | 13.2 | 13.3 | 13.6 |
| SD | 1.35 | 1.71 | 1.01 | 1.11 | 0.96 |
| Range | 11.0, 15.0*** | 10.0, 17.0 | 12.0, 15.0*** | 11.0, 15.0*** | 12.0, 15.0*** |
| Median (IQR) | 14.0 (12.0, 14.0) | 13.0 (12.0, 14.0) | 13.0 (12.0, 14.0) | 13.0 (13.0, 14.0) | 14.0 (13.0, 14.0) |
| | | | | | |
| 2nd PET done (Y=Yes, N=No), n (%) | | | | | |
| N | 2 (11.8%) | 1 (5.9%) | 2 (11.8%) | 2 (11.8%) | 1 (5.9%) |
| Y | 15 (88.2%) | 16 (94.1%) | 15 (88.2%) | 15 (88.2%) | 16 (94.1%) |
| | One subject withdrew after 2 days of treatment; Another subject stopped trt after 12 days due to AE Renal Insufficiency | One subject died from sepsis before PET | One subject interrupted trt from C1D8 until C1D22 because of an AE; Another subject stopped trt early due to AE | One subject stopped trt due to disease progression bfr PET Another subject had trt interruption btw d13c1 and d22c1 | One subject baseline FDG-PET/CT scan not evaluatable, C1D12-16 FDG-PET/CT scan not done |
| Missing dose in 5 days that precedes 2nd PET (Y=Yes, N=No), n (%) | 1 | 0 | 2**** | 1 | 2 |

| | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcinoma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|---|---|---|--|--|---|
| | One subject treatment not given on 7-8 January due to prosthesis placement. 2nd PET was done but considered not evaluable | | The two subjects missed one and two doses before PET but considered as PERCIST evaluable | One subject stopped trt at D8C1 (Day 8 of cycle 1) due to adverse event= acute kidney injury | For one subject, the PET/CT scan done in the correct time window on 07/05/19 but no abemaciclib was administered between 02/05/19 am and 04/05/2019 pm For another subject, the PET/CT done in the correct time window on 24/07/19, but no abemaciclib was administrated on 21, 22 and 23/07 |
| 2nd PET done but no lesion found | 0 | 0 | 0 | 1 | 0 |
| | | | | One subject had PET done but no lesion found | |
| Nb pts with 2nd PET done, and no missing dose 5 days bfr PET | 14 | 16 | 15 | 13 | 14 |

D1C1 = first day of first cycle

* One subject stopped treatment due to disease progression at D1C1

** One subject had 8-day delay between baseline PET and treatment start.

***The number of days between treatment start and 2nd PET was 10 days for one subject in cohort SCC; 11 days for two subjects in cohort ADC, one subject in cohort URO, and two subjects in cohort SCC; 17 days for one subject in cohort SCC.

****Two subjects missed one and two doses before PET but considered as PERCIST evaluable

5.3 Efficacy analysis

An interim analysis was performed on each tumour cohort in the first stage, which includes the first 13 evaluable subjects in each cohort. Based on the protocol, if there are 2 or less subjects with treatment success in these 13 evaluable subjects in a specific cohort then the accrual in that cohort will be stopped. If there are 3 or more subjects with treatment success, then the trial will continue to the second stage.

The minimal 3 subjects with overall success results out of the first 13 evaluable subjects was not reached for all 5 cohorts, therefore the accruals in all the cohorts were stopped after the interim assessment. The detail information on the interim analysis are presented below.

5.3.1 Metabolic response (PERCIST) assessment

| RESPONSE CATEGORY | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarci noma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|--|---|---|-------------------------------------|-----------------------------------|------------------------------------|
| Complete Metabolic Response | 0 | 0 | 0 | 0 | 0 |
| Partial Metabolic Response | 2 | 5 | 1 | 0 | 2 |
| Stable Metabolic Disease | 8 | 6 | 5 | 8 | 7 |
| Progressive Metabolic Disease | 4 | 5 | 9 | 6 | 4 |
| PERCIST results available | 14 | 16 | 15 | 14 | 13 |
| Not evaluable* | 3 | 1 | 2 | 3 | 4 |
| Percent Complete or Partial Metabolic Response in the population (95%CI Exact) | 11.8% (1.5%,36.4%) | 29.4% (10.3%,56.0%) | 5.9% (0.1%,28.7%) | 0% (0%,19.5%) | 11.8% (1.5%,36.4%) |

* A subject is considered "not evaluable" for PERCIST when 2nd PET is not done, no lesion found for 2nd PET, or this subject had treatment interruption before the 2nd PET.

5.3.2 Response evaluation by RECIST

A treatment is considered a failure based on RECIST when the overall response of RECIST evaluation shows progressive disease (PD), or this subject had stopped the treatment due to clinical PD, adverse event, or withdrawal from the study before RECIST evaluation.

| | Cohort 1: Esophageal adenocarcino ma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarc inoma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|---|---|---|-------------------------------------|-----------------------------------|------------------------------------|
| Never start a treatment | 0 | 0 | 0 | 0 | 0 |
| Evaluation done during 1 st abemaciclib cycle | | | | | |
| -Stop treatment due to clinically documented progression, no RECIST | 1 | 2 | 2 | 1° | 2 |
| -Stop treatment due to adverse event, no RECIST | 2* | 1 [§] | 1** | 0 | 0 |
| -Subject's withdrawal, no RECIST | 2 | 0 | 0 | 0 | 0 |
| -Early progression, RECIST done | 0 | 0 | 0 | 1° | 0 |

| | | | | | |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| -Adverse event, RECIST done | 0 | 0 | 0 | 1° | 0 |
| Evaluation done during 2nd abemaciclib cycle | | | | | |
| - No RECIST assessment, only clinically documented progression | 1 | 0 | 1 | 0 | 1 |
| - Stop treatment due to adverse event, no RECIST | 0 | 0 | 1*** | 0 | 0 |
| - Subject's withdrawal, no RECIST | 1 | 0 | 0 | 0 | 0 |
| - Nb of days btw first RECIST and end of cycle 2 | | | | | |
| Median | 3.5 | 4.0 | 4.0 | 3.0 | 3.0 |
| Range | -1 - 8 | -12 - 6 | -10 - 6 | -12 - 27 | -14 - 7 |
| RECIST Evaluable | 10 | 14 | 12 | 16 | 14 |
| Response on target lesions | | | | | |
| -PR | 1 | 1 | 0 | 0 | 0 |
| -SD | 7 | 5 | 6 | 12 | 7 |
| -PD | 2 | 8 | 6 | 4 | 7 |
| -Missing/Not evaluable | 7 | 3 | 5 | 1 | 3 |
| Response on non target lesions | | | | | |
| -No CR / No PD | 5 | 6 | 4 | 9 | 7 |
| -PD | 4 | 6 | 4 | 4 | 3 |
| -NE / Not assessed | 1 | 2 | 4 | 2 | 0 |
| -Missing | 7 | 3 | 5 | 2 | 7 |
| New lesions | | | | | |
| -Present | 1 | 4 | 5 | 5 | 4 |
| -Absent | 9 | 10 | 7 | 10 | 8 |
| -Missing | 7 | 3 | 5 | 2 | 5 |
| Overall response | | | | | |
| -PR | 1 | 1 | 0 | 0 | 0 |
| -SD | 3 | 2 | 5 | 8 | 5 |
| -PD | 6 | 11 | 7 | 8 | 9 |
| -Not available (Stop treatment) | 7 | 3 | 5 | 1 | 3 |
| Overall response / failure | | | | | |
| -Response | 4 | 3 | 5 | 8 | 5 |
| -Failure | 13 | 14 | 12 | 9 | 12 |
| Percent response (95% CI) of total subjects in the cohort | 23.5% (6.8%, 49.9%) | 17.6% (5.0%, 39.6%) | 29.4% (10.3%, 56.0%) | 47.1% (23.0%, 72.2%) | 29.4% (10.3%, 56.0%) |

*One subject had interstitial pneumonitis, another had renal insufficiency

**One subject had a biliary sepsis

***One subject had a pulmonary embolism

§ One subject had an acute respiratory insufficiency

° One subject was included in the study but had a Progressive Disease (PD) on D1C1

°° One subject had an acute kidney injury

5.3.3 Primary endpoint: Overall response (combining PERCIST and RECIST evaluations)

Based on the protocol, a subject is considered evaluable if he/she has a clear treatment success or non-treatment success. Treatment success is defined as when a subject has metabolic response according to PERCIST with a response cut off set at 15% at the early FDG-PET/CT and a morphological disease control after 2 cycles measured by RECIST v1.1 (disease control is defined as complete response (CR), partial response (PR) or stable disease (SD)). As noted above, a treatment is considered

a failure based on RECIST when the overall response of RECIST evaluation shows progressive disease (PD), or this subject had stopped the treatment due to clinical PD, adverse event, or withdrawal from the study before RECIST evaluation. If either PERCIST or RECIST shows a treatment failure for a subject, the treatment will be considered a non-success overall even if other evaluation shows otherwise, not evaluable, or missing. The summary of overall response results by cohort are shown below:

| Overall response | Cohort 1: Esophageal adenocarcinoma | Cohort 2: Esophageal squamous cell carcinoma | Cohort 3: Cholangiocarcinoma | Cohort 4: Urothelial cancer | Cohort 5: Endometrial cancer |
|---|---|---|---------------------------------|-----------------------------------|------------------------------------|
| Nb of Treatment Success | 0 | 0 | 0 | 1 | 0 |
| Nb of Treatment Failure | 17 | 17 | 17 | 16 | 17 |
| Percent of Treatment Success (95% CI) of total subjects in the cohort | 0.0% (0.0%, 19.5%) | 0.0% (0.0%, 19.5%) | 0.0% (0.0%, 19.5%) | 5.9% (0.1%, 28.7%) | 0.0% (0.0%, 19.5%) |

As the minimal 3 subjects with overall success results out of the first 13 evaluable subjects was not reached for all 5 cohorts, therefore the accruals in all the cohorts were stopped after the interim assessment.

The details of the PERCIST and RECIST results by each cohort are reported below:

Cross tabulation of early metabolic response (PERCIST) evaluation by RECIST evaluation:

Cohort 1: Esophageal adenocarcinoma (ADC):

| | PERCIST Results | | | | |
|--|-----------------|-----|-----|----------------|-------|
| RECIST Results | PMR | SMD | PMD | Not evaluable° | Total |
| RECIST success response | 0 | 4 | 0 | 0 | 4 |
| RECIST failure | 2 | 2 | 2 | 0 | 6 |
| RECIST not assessed (Death, PD, or AE) (considered as a RECIST failure) | 0 | 1 | 1 | 2 | 4 |
| RECIST not assessed (Withdrawal) | 0 | 1 | 1 | 1 | 3 |

| | | | | | |
|----------------------------------|---|---|---|---|----|
| (considered as a RECIST failure) | | | | | |
| Total | 2 | 8 | 4 | 3 | 17 |

PMR= partial metabolic response, SMD=stable metabolic disease, PMD=progressive metabolic disease. °A subject is considered “not evaluable” for PERCIST when 2nd PET is not done, no lesion found for 2nd PET, or this subject had treatment interruption before the 2nd PET.

In **blue**: 2 subjects’ clinical progression before RECIST, 2 AEs before RECIST

In **green**: subjects’ withdrawals before RECIST

In **yellow**: 2 AEs before PET, 1 withdrawal before PET

There is no subject who has metabolic response according to PERCIST and a disease control based on RECIST (denoted in **pink**) out of 17 subjects in the ADC cohort.

Cohort 2: Esophageal squamous cell carcinoma (SCC):

| | PMR | SMD | PMD | Not evaluable° | Total |
|--|-----|-----|-----|----------------|-------|
| RECIST success response | 0 | 2 | 1 | 0 | 3 |
| RECIST failure | 5 | 3 | 3 | 0 | 11 |
| RECIST not assessed (Death, PD, or AE) (considered as a RECIST failure) | 0 | 1 | 1 | 1 | 3 |
| Total | 5 | 6 | 5 | 1 | 17 |

PMR= partial metabolic response, SMD=stable metabolic disease, PMD=progressive metabolic disease. °A subject is considered “not evaluable” for PERCIST when 2nd PET is not done, no lesion found for 2nd PET, or this subject had treatment interruption before the 2nd PET.

In **blue**: AE death before PET for one subject, considered as treatment failure

There is no subject who has metabolic response according to PERCIST and a disease control based on RECIST (denoted in **pink**) out of 17 subjects in the SCC cohort.

Cohort 3: Cholangiocarcinoma (CHO):

| | PERCIST Results | | | | |
|-----------------|-----------------|-----|-----|----------------|-------|
| RECIST Results | PMR | SMD | PMD | Not evaluable° | Total |
| RECIST response | 0 | 2 | 3 | 0 | 5 |

| | | | | | |
|--|---|---|---|---|----|
| RECIST failure | 1 | 2 | 4 | 0 | 7 |
| RECIST not assessed (Death, PD, or AE) (considered as a RECIST failure) | 0 | 1 | 2 | 2 | 5 |
| Total | 1 | 5 | 9 | 2 | 17 |

PMR= partial metabolic response, SMD=stable metabolic disease, PMD=progressive metabolic disease. °A subject is considered “not evaluable” for PERCIST when 2nd PET is not done, no lesion found for 2nd PET, or this subject had treatment interruption before the 2nd PET.

In **blue**: One subject had clinical progression disease (degradation of general status)

In **green**: One subject interrupted trt from (Day 8 of Cycle 1) D8C1 until D22C1 (Day 22 of Cycle 1) because of an AE; Another subject stopped trt early due to AE

There is no subject who has metabolic response according to PERCIST and a disease control based on RECIST (denoted in **pink**) out of 17 subjects in the CHO cohort.

Cohort 4: Urothelial cancer (URO):

| | PERCIST results | | | | |
|--|-----------------|-----|-----|----------------|-------|
| RECIST Results | PMR | SMD | PMD | Not evaluable° | Total |
| RECIST response | 1 | 3 | 2 | 2 (*) | 8 |
| RECIST failure | 1 | 4 | 2 | 1 (**) | 8 |
| RECIST not assessed (Death, PD, or AE) (considered as a RECIST failure) | 0 | 0 | 0 | 1 (***) | 1 |
| Total | 2 | 7 | 4 | 4 | 17 |

PMR= partial metabolic response, SMD=stable metabolic disease, PMD=progressive metabolic disease. °A subject is considered “not evaluable” for PERCIST when 2nd PET is not done, no lesion found for 2nd PET, or this subject had treatment interruption before the 2nd PET.

In **green**:

- (*) One subject had stopped treatment on Day 8 of Cycle 1 (D8C1) due to dehydration and acute kidney failure before PET and had SD based on RECIST assessment. This subject is considered an overall non-success. Another subject had treatment interruption between D13C1 and D24C1 before PET, continued the treatment and had SD based on RECIST.

- (**) One subject had no lesion found for PET evaluation, completed the two cycles, and had a PD (presence of a new lesion) based on RECIST. Despite of no result for PET, this subject is considered a RECIST failure and an overall non-success.
- (***) One subject had stopped treatment on Day 3 of Cycle 1 (D3C1) due to clinical PD before PET and RECIST evaluation. This subject is considered an overall non-success.

There is one subject who has metabolic response according to PERCIST and a disease control based on RECIST (denoted in pink) out of 17 subjects in the URO cohort.

Cohort 5: Endometrial Cancer (EDM):

| | PERCIST results | | | | |
|--|-----------------|-----|-----|----------------|-------|
| RECIST Results | PMR | SMD | PMD | Not evaluable° | Total |
| RECIST response | 0 | 3 | 1 | 1 (*) | 5 |
| RECIST failure | 0 | 5 | 3 | 1 (**) | 9 |
| RECIST not assessed (Death, PD, or AE) (considered as a RECIST failure) | 0 | 0 | 2 | 1 (***) | 3 |
| Total | 0 | 8 | 6 | 3 | 17 |

PMR= partial metabolic response, SMD=stable metabolic disease, PMD=progressive metabolic disease. °A subject is considered “not evaluable” for PERCIST when 2nd PET is not done, no lesion found for 2nd PET, or this subject had treatment interruption before the 2nd PET.

In green: (*) PET scan was not done; (**) missing dose before PET scan; (***) new lesion was found by PET;.

There is no subject who has metabolic response according to PERCIST and a disease control based on RECIST (denoted in pink) out of 17 subjects in the EDM cohort.

5.3.4 Progression-free and overall survival

The progression-free and overall survival assessment should be considered as exploratory, due to lack of number subjects, especially since the accruals were stopped due to futility at the interim analysis.

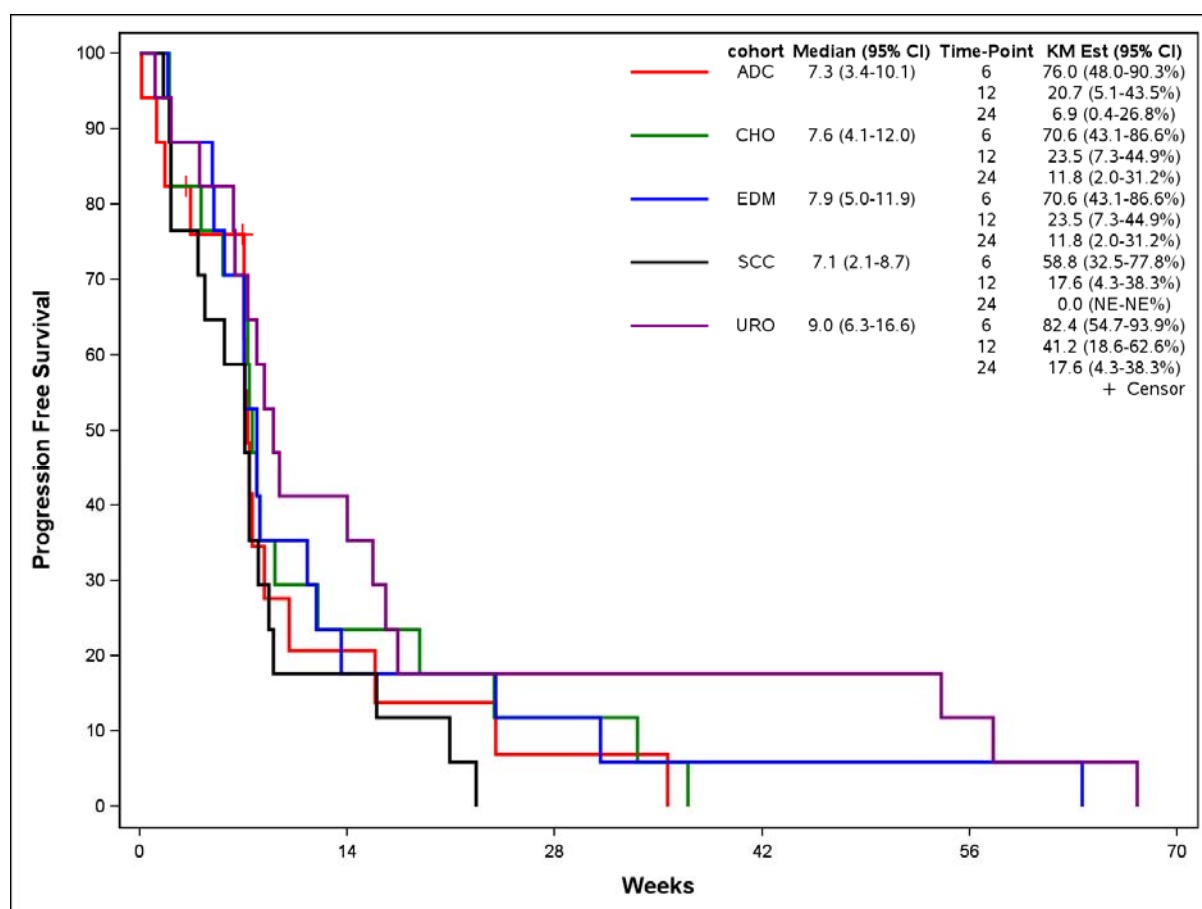
The analysis of progression-free and overall survival applies only to eligible subjects.

Progression-free survival is defined as the time length (number of weeks) from randomization to time of disease progression based on RECIST or treatment discontinuation due to disease progression or death. Subjects’ treatment discontinuation due to adverse events or withdrawals are considered as

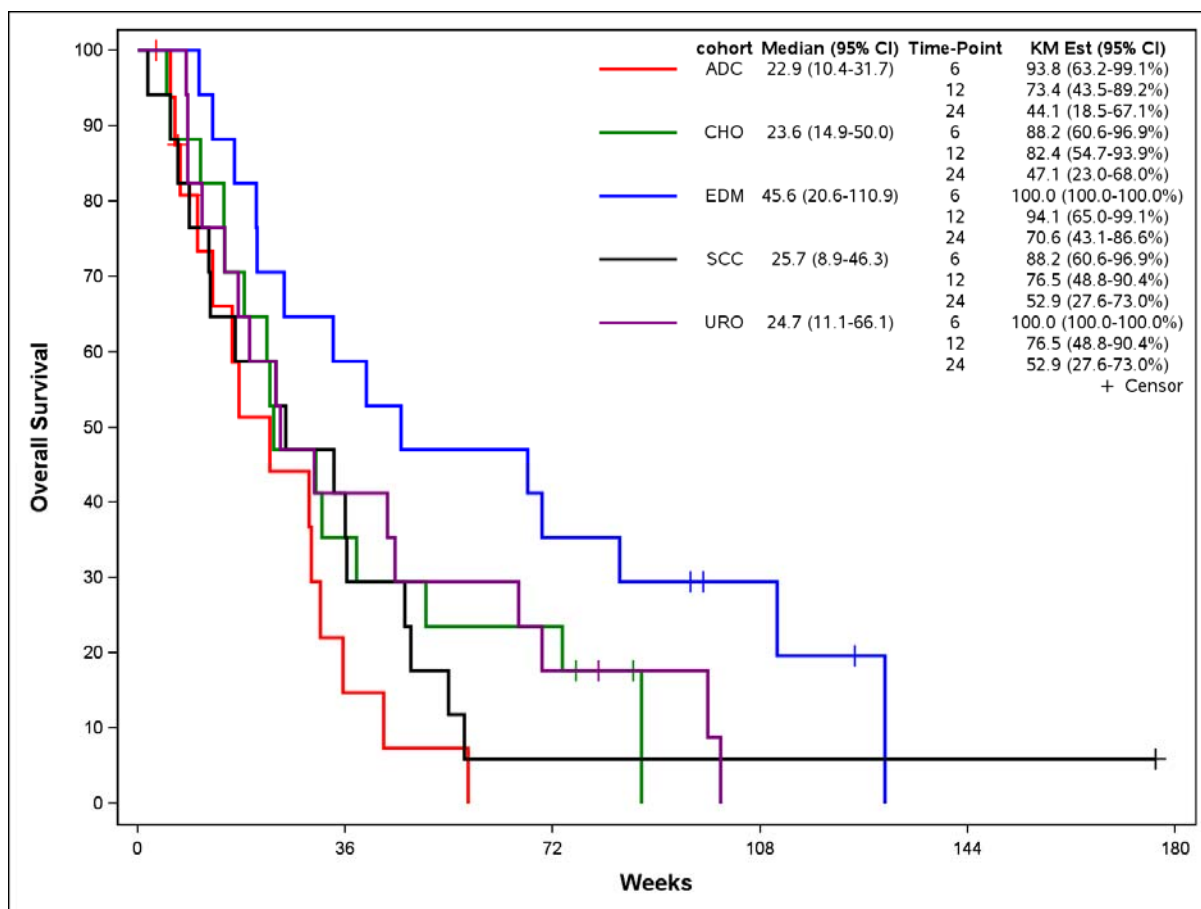
censoring events. If no treatment discontinuations are observed, then the subject is censored at the time of last treatment.

Overall survival is defined as the time length (number of weeks) from randomization to time of death. If no death is observed then the subject is censored at the time of last contact.

The progression-free and overall survival for each cohort are described in the figures below. The Kaplan Meier estimate of survival of 6, 12, and 24 weeks are described in the figures.



Progression-Free Survival by cohort



Overall Survival by cohort

6 ADVERSE EVENTS

6.1 Adverse events information

Non-serious adverse events (AEs) were collected and reported from the first administration of abemaciclib until 28 days after the last administration of abemaciclib.

Serious adverse events (SAEs) related to a protocol-mandated intervention were collected and reported from the Informed Consent Form signature until the initiation of abemaciclib.

All SAEs were collected and reported from the first administration of abemaciclib until 28 days after the last administration of abemaciclib.

From day 29 after the last administration of abemaciclib, SAEs which have a reasonable possibility to be related to abemaciclib (even if the study has been closed)

In the cohort 1: esophageal adenocarcinoma cohort

17 subjects were exposed to the investigational medicinal products.

3 subjects were affected by serious adverse events.

14 subjects were affected by non-serious adverse events.

In the cohort 2: esophageal squamous cell carcinoma cohort

17 subjects were exposed to the investigational medicinal products.

4 subjects were affected by serious adverse events.

16 subjects were affected by non-serious adverse events.

In the cohort 3: cholangiocarcinoma

17 subjects were exposed to the investigational medicinal products.

6 subjects were affected by serious adverse events.

17 subjects were affected by non-serious adverse events.

In the cohort 4: urothelial cancer

17 subjects were exposed to the investigational medicinal products.

6 subjects were affected by serious adverse events.

16 subjects were affected by non-serious adverse events.

In the cohort 5: endometrial cancer

17 subjects were exposed to the investigational medicinal products.

3 subjects were affected by serious adverse events.

16 subjects were affected by non-serious adverse events.

Notes:

1. The adverse event and serious adverse event assessment method was systematic.
2. The MedDRA version used was the version 26.1
3. Exceptions to AEs/SAEs reporting:

Some hospitalisation scenarios do not require reporting as an SAE such as:

- Elective hospitalisation for pre-existing conditions that have not been exacerbated by study medication.
- Hospitalisation planned before the subject consented for study participation and where admission did not take longer than anticipated.
- Hospitalisations for reasons described in the protocol (e.g. hospitalisation for study medication administration, hospitalisation for study related procedures). However, event requiring hospitalisation or prolongation of hospitalisation as a result of a complication of study medication administration or study related procedures is reported as SAE.
- Hospitalisation or prolonged hospitalisation in absence of an AE (social, technical, practical reason and/or convenience admission to a hospital, palliative care, rehabilitation).

Progression of underlying malignancy should not be reported as an AE or SAE if it is clearly consistent with the progression of the underlying cancer but will be reported on the CRF. Unrelated SPM [i.e. a cancer that is unrelated to the study treatment(s) and is not a metastasis from the initial malignancy] should not be reported as an AE or SAE but is to be reported on the CRF on the appropriate form (SPM form).

Hospitalisation due solely to the progression of underlying malignancy should NOT be reported as an SAE.

Clinical symptoms of underlying malignancy under study should not be reported as AEs or SAEs unless the symptoms cannot be determined as exclusively due to the underlying malignancy, or does not fit the expected pattern of the underlying malignancy.

Clinical symptoms of confirmed progression of underlying malignancy should not be reported as AEs or SAEs unless the symptoms cannot be determined as exclusively due to the confirmed progression of the underlying malignancy, or does not fit the expected pattern of confirmed progression for the disease under study.

Deaths related to progression of the underlying disease during the course of the study were not be reported as a SAE, but should be reported on the Death CRF page section (unless the subject has withdrawn consent).

6.2 Serious adverse events

The table hereunder presents all serious adverse events sorted by MedDRA System Organ Class (SOC) and MedDRA Preferred Terms (PT).

Cohort 1: esophageal adenocarcinoma cohort

| MedDRA Primary SOC MedDRA PT | Number of subjects affected | All SAE occurrences | SAEs occurrences related to abemaciclib | Number of fatalities | Number of fatalities causally related to abemaciclib |
|---|-----------------------------|---------------------|---|----------------------|--|
| Respiratory, thoracic and mediastinal disorder | | | | | |
| <i>Interstitial lung disease</i> | 1 | 1 | 1 | | |
| <i>Pneumonitis</i> | 1 | 1 | 1 | 1 | 1 |

Cohort 2: esophageal squamous cell carcinoma cohort.

| MedDRA Primary SOC MedDRA PT | Number of subjects affected | All SAE occurrences | SAEs occurrences related to abemaciclib | Number of fatalities | Number of fatalities causally related to abemaciclib |
|---|-----------------------------|---------------------|---|----------------------|--|
| Gastrointestinal disorders | | | | | |
| <i>Bezoar</i> | 1 | 1 | 1 | | |
| <i>Diarrhoea</i> | 1 | 1 | 1 | | |
| <i>Dysphagia</i> | 1 | 1 | 1 | | |
| Infections and infestations | | | | | |
| <i>Pneumonia</i> | 1 | 1 | | 1 | |
| <i>Pulmonary sepsis</i> | 1 | 1 | | 1 | |
| Metabolism and nutrition disorders | | | | | |
| <i>Decreased appetite</i> | 1 | 1 | 1 | | |

Cohort 3: cholangiocarcinoma

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All SAE occurrences | SAEs occurrences related to abemaciclib | Number of fatalities | Number of fatalities causally related to abemaciclib |
|---|-----------------------------|---------------------|---|----------------------|--|
| Gastrointestinal disorders | | | | | |
| <i>Abdominal pain</i> | 1 | 1 | 1 | | |
| <i>Diarrhoea</i> | 1 | 1 | 1 | | |
| <i>Vomiting</i> | 1 | 1 | 1 | | |
| General disorders and administration site conditions | | | | | |
| <i>Fatigue</i> | 2 | 2 | 2 | | |
| <i>Sudden death</i> | 1 | 1 | | 1 | |
| Infections and infestations | | | | | |
| <i>Biliary sepsis</i> | 1 | 1 | | | |
| <i>Gastroenteritis</i> | 1 | 1 | | | |
| <i>Sepsis</i> | 1 | 1 | | | |
| Metabolism and nutrition disorders | | | | | |
| <i>Diabetic metabolic decompensation</i> | 1 | 1 | | | |

Cohort 4: urothelial cancer

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All SAE occurrences | SAEs occurrences related to abemaciclib | Number of fatalities | Number of fatalities causally related to abemaciclib |
|---|-----------------------------|---------------------|---|----------------------|--|
| Gastrointestinal disorders | | | | | |
| <i>Intestinal obstruction</i> | 1 | 1 | | | |
| <i>Nausea</i> | 1 | 1 | 1 | | |
| <i>Subileus</i> | 1 | 1 | | | |
| <i>Vomiting</i> | 2 | 2 | 2 | | |
| Infections and infestations | | | | | |
| <i>Pyelonephritis</i> | 1 | 3 | | | |
| <i>Sepsis</i> | 1 | 1 | | | |
| <i>Urinary tract infection</i> | 1 | 1 | | | |
| Injury, poisoning and procedural complications | | | | | |
| <i>Ureteric anastomosis complication</i> | 1 | 1 | | | |
| Renal and urinary disorders | | | | | |
| <i>Acute kidney injury</i> | 2 | 2 | | | |

Cohort 5: endometrial cancer

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All SAE occurrences | SAEs occurrences related to abemaciclib | Number of fatalities | Number of fatalities causally related to abemaciclib |
|--|-----------------------------|---------------------|---|----------------------|--|
| Ear and labyrinth disorders | | | | | |
| <i>Vertigo</i> | 1 | 1 | | | |
| Gastrointestinal disorders | | | | | |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All SAE occurrences | SAEs occurrences related to abemaciclib | Number of fatalities | Number of fatalities causally related to abemaciclib |
|---|-----------------------------|---------------------|---|----------------------|--|
| <i>Diarrhoea</i> | 1 | 1 | 1 | | |
| <i>Nausea</i> | 1 | 1 | 1 | | |
| <i>Vomiting</i> | 1 | 1 | | | |
| General disorders and administration site conditions | | | | | |
| <i>Influenza like illness</i> | 1 | 1 | | | |
| Infections and infestations | | | | | |
| <i>Pyelonephritis acute</i> | 1 | 1 | | | |

6.3 Non-serious adverse events

The frequency threshold for reporting non-serious adverse events is 0 %.

The below table presents all non-serious adverse events sorted by MedDRA System Organ Class (SOC), MedDRA Preferred Terms (PT).

Cohort 1: esophageal adenocarcinoma cohort

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|---|-----------------------------|--------------------|--|
| Blood and lymphatic system disorders | | | |
| <i>ANAEMIA</i> | 5 | 5 | 4 |
| <i>LEUKOPENIA</i> | 1 | 1 | 1 |
| <i>NEUTROPENIA</i> | 1 | 1 | 1 |
| Eye disorders | | | |
| <i>LACRIMATION INCREASED</i> | 1 | 1 | 1 |
| Gastrointestinal disorders | | | |
| <i>CONSTIPATION</i> | 1 | 1 | 1 |
| <i>DIARRHOEA</i> | 10 | 12 | 11 |
| <i>DRY MOUTH</i> | 1 | 1 | 1 |
| <i>DYSPEPSIA</i> | 1 | 1 | 1 |
| <i>DYSPHAGIA</i> | 1 | 1 | 0 |
| <i>GASTROESOPHAGEAL REFLUX DISEASE</i> | 1 | 1 | 0 |
| <i>NAUSEA</i> | 5 | 5 | 5 |
| <i>ORAL PAIN</i> | 1 | 1 | 1 |
| <i>STOMATITIS</i> | 1 | 1 | 1 |
| <i>VOMITING</i> | 4 | 4 | 3 |
| General disorders and administration site conditions | | | |
| <i>ASTHENIA</i> | 1 | 1 | 1 |
| <i>CHEST PAIN</i> | 1 | 1 | 0 |
| <i>FATIGUE</i> | 11 | 12 | 10 |
| <i>OEDEMA</i> | 1 | 1 | 0 |
| Infections and infestations | | | |

| MedDRA Primary SOC MedDRA PT | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|--|--------------------------------|-----------------------|--|
| BRONCHITIS | 1 | 1 | 0 |
| Investigations | | | |
| BLOOD CREATINE INCREASED | 1 | 1 | 1 |
| BLOOD CREATININE INCREASED | 1 | 1 | 1 |
| WEIGHT DECREASED | 2 | 2 | 1 |
| Metabolism and nutrition disorders | | | |
| ANOREXIA | 1 | 1 | 1 |
| DECREASED APPETITE | 1 | 1 | 1 |
| HYPOALBUMINAEMIA | 1 | 1 | 0 |
| HYPOGLYCAEMIA | 1 | 1 | 0 |
| Musculoskeletal and connective tissue disorders | | | |
| BACK PAIN | 1 | 1 | 0 |
| Nervous system disorders | | | |
| DISTURBANCE IN ATTENTION | 1 | 1 | 1 |
| HEADACHE | 1 | 1 | 1 |
| TREMOR | 1 | 1 | 0 |
| Psychiatric disorders | | | |
| INSOMNIA | 1 | 1 | 1 |
| Renal and urinary disorders | | | |
| RENAL FAILURE | 2 | 2 | 1 |
| Respiratory, thoracic and mediastinal disorders | | | |
| COUGH | 1 | 1 | 0 |
| EPISTAXIS | 1 | 1 | 1 |
| PULMONARY EMBOLISM | 1 | 1 | 0 |
| PULMONARY HAEMORRHAGE | 1 | 1 | 0 |

Cohort 2: esophageal squamous cell carcinoma cohort.

| MedDRA Primary SOC MedDRA PT | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|---|--------------------------------|--------------------|--|
| Blood and lymphatic system disorders | | | |
| ANAEMIA | 7 | 7 | 6 |
| LYMPHOPENIA | 2 | 2 | 2 |
| NEUTROPENIA | 2 | 2 | 2 |
| THROMBOCYTOPENIA | 1 | 1 | 1 |
| Cardiac disorders | | | |
| ATRIAL FIBRILLATION | 2 | 2 | 0 |
| Congenital, familial and genetic disorders | | | |
| TRACHEO-OESOPHAGEAL FISTULA | 1 | 1 | 0 |
| Ear and labyrinth disorders | | | |
| VERTIGO | 1 | 1 | 0 |
| Endocrine disorders | | | |
| HYPERTHYROIDISM | 1 | 1 | 0 |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|---|--------------------------------|--------------------|--|
| Eye disorders | | | |
| XEROPHTHALMIA | 1 | 1 | 1 |
| Gastrointestinal disorders | | | |
| ABDOMINAL PAIN | 1 | 1 | 0 |
| ABDOMINAL PAIN UPPER | 3 | 3 | 0 |
| CONSTIPATION | 4 | 4 | 1 |
| DIARRHOEA | 7 | 10 | 10 |
| DRY MOUTH | 1 | 1 | 0 |
| DYSPEPSIA | 1 | 1 | 0 |
| DYSPHAGIA | 1 | 1 | 1 |
| FLATULENCE | 1 | 1 | 1 |
| GASTROESOPHAGEAL REFLUX DISEASE | 1 | 1 | 1 |
| INGUINAL HERNIA | 1 | 1 | 0 |
| NAUSEA | 1 | 1 | 0 |
| STOMATITIS | 1 | 1 | 1 |
| VOMITING | 1 | 1 | 0 |
| General disorders and administration site conditions | | | |
| ASTHENIA | 8 | 8 | 5 |
| FATIGUE | 5 | 5 | 5 |
| INFLAMMATION | 1 | 1 | 0 |
| MUCOSAL INFLAMMATION | 2 | 2 | 2 |
| OEDEMA PERIPHERAL | 1 | 2 | 0 |
| PYREXIA | 1 | 1 | 0 |
| Infections and infestations | | | |
| FUNGAL OESOPHAGITIS | 1 | 1 | 0 |
| PNEUMONIA | 1 | 1 | 0 |
| Injury, poisoning and procedural complications | | | |
| FALL | 1 | 1 | 0 |
| Investigations | | | |
| BLOOD CREATININE INCREASED | 2 | 2 | 1 |
| WEIGHT DECREASED | 1 | 1 | 1 |
| Metabolism and nutrition disorders | | | |
| ANOREXIA | 1 | 1 | 0 |
| DECREASED APPETITE | 7 | 7 | 6 |
| DEHYDRATION | 1 | 1 | 1 |
| HYPERGLYCAEMIA | 1 | 1 | 0 |
| HYPOKALAEMIA | 1 | 1 | 1 |
| MALNUTRITION | 1 | 1 | 0 |
| Musculoskeletal and connective tissue disorders | | | |
| MUSCULOSKELETAL CHEST PAIN | 1 | 1 | 0 |
| Nervous system disorders | | | |
| DYSGEUSIA | 1 | 1 | 1 |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|--|--------------------------------|--------------------|--|
| MONOPARESIS | 1 | 1 | 0 |
| PARAESTHESIA | 1 | 1 | 1 |
| Psychiatric disorders | | | |
| ANXIETY | 1 | 1 | 0 |
| INSOMNIA | 1 | 1 | 1 |
| Renal and urinary disorders | | | |
| RENAL FAILURE | 2 | 2 | 2 |
| Respiratory, thoracic and mediastinal disorders | | | |
| BRONCHOPNEUMOPATHY | 1 | 1 | 1 |
| COUGH | 2 | 2 | 1 |
| DYSPNOEA | 2 | 2 | 0 |
| LUNG DISORDER | 1 | 1 | 0 |
| PRODUCTIVE COUGH | 1 | 1 | 0 |
| Skin and subcutaneous tissue disorders | | | |
| DRY SKIN | 1 | 1 | 1 |
| PALMAR-PLANTAR ERYTHRODYSAESTHESIA SYNDROME | 1 | 1 | 1 |
| RASH | 1 | 1 | 1 |

Cohort 3: cholangiocarcinoma

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|---|--------------------------------|--------------------|--|
| Blood and lymphatic system disorders | | | |
| ANAEMIA | 5 | 5 | 2 |
| NEUTROPENIA | 4 | 6 | 3 |
| THROMBOCYTOPENIA | 6 | 9 | 6 |
| Cardiac disorders | | | |
| ARTERIOSPASM CORONARY | 1 | 1 | 0 |
| TACHYCARDIA | 1 | 1 | 0 |
| Ear and labyrinth disorders | | | |
| VERTIGO | 2 | 2 | 1 |
| Gastrointestinal disorders | | | |
| ABDOMINAL PAIN | 3 | 3 | 2 |
| CONSTIPATION | 4 | 5 | 0 |
| DIARRHOEA | 9 | 15 | 14 |
| NAUSEA | 6 | 6 | 5 |
| VOMITING | 2 | 2 | 2 |
| General disorders and administration site conditions | | | |
| ASTHENIA | 2 | 3 | 2 |
| FATIGUE | 8 | 8 | 8 |
| MALAISE | 1 | 1 | 1 |

| MedDRA Primary SOC MedDRA PT | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|--|--------------------------------|--------------------|--|
| OEDEMA PERIPHERAL | 2 | 2 | 0 |
| PAIN | 1 | 1 | 0 |
| PYREXIA | 1 | 1 | 0 |
| Infections and infestations | | | |
| BILIARY TRACT INFECTION | 1 | 1 | 0 |
| BRONCHITIS | 1 | 1 | 0 |
| CESTODE INFECTION | 1 | 1 | 0 |
| CHOLANGITIS INFECTIVE | 1 | 1 | 0 |
| CONJUNCTIVITIS | 2 | 2 | 0 |
| VULVOVAGINAL MYCOTIC INFECTION | 1 | 1 | 1 |
| Investigations | | | |
| BILIRUBIN CONJUGATED INCREASED | 1 | 1 | 0 |
| BLOOD BILIRUBIN INCREASED | 1 | 1 | 0 |
| BLOOD CREATININE INCREASED | 1 | 2 | 2 |
| WEIGHT DECREASED | 1 | 1 | 1 |
| WHITE BLOOD CELL COUNT DECREASED | 1 | 1 | 1 |
| Metabolism and nutrition disorders | | | |
| DECREASED APPETITE | 12 | 13 | 9 |
| HYPERKALAEMIA | 1 | 1 | 0 |
| HYPOKALAEMIA | 3 | 3 | 2 |
| HYPOPHOSPHATAEMIA | 1 | 1 | 0 |
| Musculoskeletal and connective tissue disorders | | | |
| BACK PAIN | 1 | 1 | 0 |
| Nervous system disorders | | | |
| ALTERED STATE OF CONSCIOUSNESS | 1 | 1 | 0 |
| DYSGEUSIA | 1 | 1 | 1 |
| HEADACHE | 1 | 1 | 0 |
| Psychiatric disorders | | | |
| DEPRESSION | 1 | 1 | 0 |
| Renal and urinary disorders | | | |
| RENAL FAILURE | 1 | 1 | 1 |
| Respiratory, thoracic and mediastinal disorders | | | |
| DYSPNOEA | 2 | 2 | 1 |
| Skin and subcutaneous tissue disorders | | | |
| DRY SKIN | 2 | 2 | 1 |
| PALMAR-PLANTAR ERYTHRODYSAESTHESIA SYNDROME | 1 | 1 | 1 |
| PRURITUS | 1 | 1 | 0 |
| SKIN LESION | 1 | 1 | 1 |
| Vascular disorders | | | |
| HYPOTENSION | 2 | 2 | 0 |

Cohort 4: urothelial cancer

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|---|--------------------------------|--------------------|--|
| Blood and lymphatic system disorders | | | |
| ANAEMIA | 9 | 11 | 7 |
| LEUKOPENIA | 1 | 1 | 1 |
| NEUTROPENIA | 3 | 4 | 4 |
| THROMBOCYTOPENIA | 3 | 4 | 3 |
| Cardiac disorders | | | |
| PALPITATIONS | 1 | 1 | 0 |
| Ear and labyrinth disorders | | | |
| VERTIGO | 2 | 2 | 1 |
| Endocrine disorders | | | |
| HYPOTHYROIDISM | 1 | 1 | 0 |
| Gastrointestinal disorders | | | |
| ABDOMINAL PAIN | 1 | 2 | 1 |
| ABDOMINAL PAIN UPPER | 2 | 2 | 1 |
| ABDOMINAL RIGIDITY | 1 | 1 | 0 |
| CONSTIPATION | 6 | 6 | 0 |
| DIARRHOEA | 10 | 14 | 12 |
| DYSPEPSIA | 1 | 1 | 0 |
| GASTROESOPHAGEAL REFLUX DISEASE | 1 | 1 | 0 |
| INTESTINAL OBSTRUCTION | 1 | 1 | 0 |
| NAUSEA | 7 | 10 | 7 |
| VOMITING | 8 | 10 | 7 |
| General disorders and administration site conditions | | | |
| ASTHENIA | 10 | 12 | 5 |
| CHEST PAIN | 2 | 2 | 0 |
| FATIGUE | 4 | 4 | 2 |
| GENERAL PHYSICAL HEALTH DETERIORATION | 1 | 1 | 0 |
| INFLUENZA LIKE ILLNESS | 1 | 1 | 0 |
| NOT YET CLASSIFIED | 1 | 1 | 0 |
| OEDEMA PERIPHERAL | 1 | 1 | 0 |
| PYREXIA | 2 | 2 | 0 |
| Hepatobiliary disorders | | | |
| HEPATIC PAIN | 1 | 1 | 0 |
| Immune system disorders | | | |
| HYPERSENSITIVITY | 1 | 1 | 0 |
| Infections and infestations | | | |
| COVID-19 | 1 | 1 | 0 |
| FUNGAL INFECTION | 1 | 1 | 0 |
| PNEUMONIA | 1 | 1 | 0 |
| PYELONEPHRITIS | 1 | 1 | 0 |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|--|--------------------------------|--------------------|--|
| TOOTH ABSCESS | 1 | 1 | 0 |
| URINARY TRACT INFECTION | 3 | 3 | 0 |
| Investigations | | | |
| ASPARTATE AMINOTRANSFERASE INCREASED | 1 | 1 | 0 |
| BLOOD ALKALINE PHOSPHATASE INCREASED | 1 | 1 | 1 |
| BLOOD CREATININE INCREASED | 6 | 7 | 5 |
| GAMMA-GLUTAMYLTRANSFERASE INCREASED | 1 | 1 | 1 |
| WHITE BLOOD CELL COUNT DECREASED | 1 | 2 | 2 |
| Metabolism and nutrition disorders | | | |
| DECREASED APPETITE | 7 | 8 | 4 |
| DEHYDRATION | 1 | 1 | 0 |
| HYPERKALAEMIA | 1 | 1 | 0 |
| MALNUTRITION | 1 | 1 | 0 |
| Musculoskeletal and connective tissue disorders | | | |
| ARTHRALGIA | 1 | 1 | 0 |
| ARTHRITIS | 1 | 1 | 0 |
| BACK PAIN | 1 | 1 | 0 |
| MYALGIA | 1 | 1 | 0 |
| Nervous system disorders | | | |
| DIZZINESS | 1 | 1 | 0 |
| DYSGEUSIA | 2 | 3 | 1 |
| HEADACHE | 3 | 3 | 1 |
| Psychiatric disorders | | | |
| INSOMNIA | 2 | 2 | 0 |
| Renal and urinary disorders | | | |
| ACUTE KIDNEY INJURY | 1 | 1 | 0 |
| BLADDER PAIN | 1 | 3 | 0 |
| CHRONIC KIDNEY DISEASE | 1 | 1 | 1 |
| CYSTITIS HAEMORRHAGIC | 1 | 1 | 0 |
| DYSURIA | 1 | 1 | 0 |
| HAEMATURIA | 1 | 1 | 0 |
| POLAKIURIA | 1 | 1 | 0 |
| RENAL FAILURE | 2 | 2 | 1 |
| Reproductive system and breast disorders | | | |
| PROSTATISM | 1 | 1 | 0 |
| Respiratory, thoracic and mediastinal disorders | | | |
| COUGH | 2 | 2 | 0 |
| DYSPNOEA | 4 | 5 | 0 |
| EPISTAXIS | 2 | 2 | 2 |
| HAEMOPTYSIS | 1 | 1 | 0 |
| Skin and subcutaneous tissue disorders | | | |
| INGROWING NAIL | 1 | 1 | 0 |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|--|--------------------------------|--------------------|--|
| RASH | 1 | 1 | 1 |
| Vascular disorders | | | |
| PELVIC VENOUS THROMBOSIS | 1 | 1 | 0 |

Cohort 5: endometrial cancer

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|---|--------------------------------|-----------------------|--|
| Blood and lymphatic system disorders | | | |
| ANAEMIA | 9 | 9 | 9 |
| NEUTROPENIA | 4 | 4 | 4 |
| THROMBOCYTOPENIA | 4 | 6 | 6 |
| Ear and labyrinth disorders | | | |
| TINNITUS | 1 | 1 | 0 |
| Eye disorders | | | |
| LACRIMATION INCREASED | 1 | 1 | 0 |
| Gastrointestinal disorders | | | |
| ABDOMINAL DISTENSION | 1 | 1 | 1 |
| ABDOMINAL PAIN | 7 | 7 | 7 |
| ANAL HAEMORRHAGE | 1 | 1 | 1 |
| CONSTIPATION | 1 | 1 | 0 |
| DIARRHOEA | 14 | 21 | 21 |
| DRY MOUTH | 1 | 1 | 1 |
| DYSPEPSIA | 2 | 2 | 1 |
| GASTROESOPHAGEAL REFLUX DISEASE | 1 | 1 | 1 |
| GINGIVAL SWELLING | 1 | 1 | 0 |
| NAUSEA | 6 | 6 | 6 |
| STOMATITIS | 1 | 1 | 1 |
| VOMITING | 2 | 2 | 2 |
| General disorders and administration site conditions | | | |
| ASTHENIA | 1 | 1 | 1 |
| FATIGUE | 8 | 8 | 7 |
| MALAISE | 4 | 4 | 3 |
| MUCOSAL INFLAMMATION | 1 | 1 | 1 |
| Infections and infestations | | | |
| CYSTITIS | 1 | 2 | 0 |
| INFLUENZA | 1 | 1 | 0 |
| ORAL HERPES | 1 | 1 | 0 |
| PNEUMONIA | 1 | 1 | 0 |
| URINARY TRACT INFECTION | 1 | 1 | 0 |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|--|--------------------------------|-----------------------|--|
| Injury, poisoning and procedural complications | | | |
| UPPER LIMB FRACTURE | 1 | 1 | 0 |
| Investigations | | | |
| ALANINE AMINOTRANSFERASE INCREASED | 1 | 1 | 1 |
| ASPARTATE AMINOTRANSFERASE INCREASED | 1 | 1 | 1 |
| BLOOD CREATININE INCREASED | 4 | 4 | 3 |
| WEIGHT DECREASED | 3 | 3 | 3 |
| WHITE BLOOD CELL COUNT DECREASED | 3 | 3 | 3 |
| Metabolism and nutrition disorders | | | |
| DECREASED APPETITE | 10 | 10 | 10 |
| Musculoskeletal and connective tissue disorders | | | |
| ARTHRALGIA | 1 | 1 | 0 |
| MUSCULOSKELETAL PAIN | 2 | 2 | 0 |
| MYALGIA | 1 | 1 | 0 |
| Nervous system disorders | | | |
| DIZZINESS | 1 | 1 | 0 |
| DYSGEUSIA | 3 | 4 | 4 |
| HEADACHE | 1 | 1 | 0 |
| PARAESTHESIA | 1 | 1 | 0 |
| Psychiatric disorders | | | |
| CONFUSIONAL STATE | 1 | 1 | 0 |
| DEPRESSION | 1 | 1 | 0 |
| INSOMNIA | 1 | 1 | 1 |
| NERVOUSNESS | 1 | 1 | 0 |
| Renal and urinary disorders | | | |
| ACUTE KIDNEY INJURY | 2 | 2 | 2 |
| DYSURIA | 1 | 1 | 0 |
| POLAKIURIA | 1 | 1 | 0 |
| Respiratory, thoracic and mediastinal disorders | | | |
| COUGH | 3 | 3 | 0 |
| DYSPNOEA | 2 | 3 | 1 |
| NASAL DRYNESS | 1 | 1 | 1 |
| Skin and subcutaneous tissue disorders | | | |
| ALOPECIA | 1 | 1 | 1 |
| DERMATITIS BULLOUS | 1 | 1 | 0 |
| DRY SKIN | 2 | 2 | 2 |
| PALMAR-PLANTAR ERYTHRODYSAESTHESIA SYNDROME | 1 | 1 | 1 |
| PRURITUS | 1 | 1 | 0 |
| RASH | 1 | 2 | 2 |
| Vascular disorders | | | |
| PALLOR | 1 | 1 | 1 |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib |
|--|--------------------------------|-----------------------|--|
| THROMBOPHLEBITIS SUPERFICIAL | 1 | 1 | 0 |

6.4 Adverse events with grade 3 or higher

The below table presents all adverse events with grade 3 or higher sorted by MedDRA System Organ Class (SOC), MedDRA Preferred Terms (PT).

Cohort 1: esophageal adenocarcinoma cohort

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib | Number of subjects with grade 3 | Number of subjects with grade 4 | Number of subjects with grade 5 (death) |
|---|--------------------------------------|-----------------------|---|---|---|--|
| Blood and lymphatic system disorders | | | | | | |
| ANAEMIA | 1 | 1 | 1 | 1 | 0 | 0 |
| NEUTROPENIA | 1 | 1 | 1 | 1 | 0 | 0 |
| Gastrointestinal disorders | | | | | | |
| NAUSEA | 1 | 1 | 1 | 1 | 0 | 0 |
| General disorders and administration site conditions | | | | | | |
| FATIGUE | 3 | 3 | 2 | 3 | 0 | 0 |
| Renal and urinary disorders | | | | | | |
| RENAL FAILURE | 1 | 1 | 0 | 1 | 0 | 0 |
| Respiratory, thoracic and mediastinal disorders | | | | | | |
| INTERSTITIAL LUNG DISEASE | 1 | 1 | 1 | 1 | 0 | 0 |
| PNEUMONITIS | 1 | 1 | 1 | 0 | 0 | 1 |

Cohort 2: esophageal squamous cell carcinoma cohort

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib | Number of subjects with grade 3 | Number of subjects with grade 4 | Number of subjects with grade 5 (death) |
|---|--------------------------------------|-----------------------|---|---|---|--|
| Blood and lymphatic system disorders | | | | | | |
| ANAEMIA | 1 | 1 | 1 | 1 | 0 | 0 |
| LYMPHOPENIA | 1 | 1 | 1 | 1 | 0 | 0 |
| NEUTROPENIA | 2 | 2 | 2 | 2 | 0 | 0 |
| THROMBOCYTOPENIA | 1 | 1 | 1 | 1 | 0 | 0 |
| Congenital, familial and genetic disorders | | | | | | |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib | Number of subjects with grade 3 | Number of subjects with grade 4 | Number of subjects with grade 5 (death) |
|---|--------------------------------------|-----------------------|---|---|---|--|
| TRACHEO-OESOPHAGEAL FISTULA | 1 | 1 | 0 | 1 | 0 | 0 |
| Gastrointestinal disorders | | | | | | |
| BEZOAR | 1 | 1 | 1 | 1 | 0 | 0 |
| DIARRHOEA | 1 | 1 | 1 | 1 | 0 | 0 |
| DYSPHAGIA | 1 | 2 | 2 | 0 | 1 | 0 |
| General disorders and administration site conditions | | | | | | |
| ASTHENIA | 2 | 2 | 1 | 2 | 0 | 0 |
| FATIGUE | 2 | 2 | 2 | 2 | 0 | 0 |
| Infections and infestations | | | | | | |
| PNEUMONIA | 1 | 1 | 0 | 0 | 0 | 1 |
| PULMONARY SEPSIS | 1 | 1 | 0 | 0 | 0 | 1 |
| Metabolism and nutrition disorders | | | | | | |
| DECREASED APPETITE | 1 | 1 | 1 | 1 | 0 | 0 |
| HYPOKALAEMIA | 1 | 1 | 1 | 1 | 0 | 0 |
| Respiratory, thoracic and mediastinal disorders | | | | | | |
| DYSPNOEA | 1 | 1 | 0 | 1 | 0 | 0 |

Cohort 3: cholangiocarcinoma

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib | Number of subjects with grade 3 | Number of subjects with grade 4 | Number of subjects with grade 5 (death) |
|---|--------------------------------------|-----------------------|---|---|---|--|
| Blood and lymphatic system disorders | | | | | | |
| ANAEMIA | 2 | 2 | 0 | 2 | 0 | 0 |
| NEUTROPENIA | 3 | 4 | 2 | 3 | 0 | 0 |
| THROMBOCYTOPENIA | 2 | 2 | 2 | 2 | 0 | 0 |
| Gastrointestinal disorders | | | | | | |
| ABDOMINAL PAIN | 1 | 1 | 1 | 1 | 0 | 0 |
| DIARRHOEA | 2 | 2 | 2 | 2 | 0 | 0 |
| NAUSEA | 1 | 1 | 1 | 1 | 0 | 0 |
| VOMITING | 1 | 1 | 1 | 1 | 0 | 0 |
| General disorders and administration site conditions | | | | | | |
| FATIGUE | 5 | 5 | 5 | 5 | 0 | 0 |
| MALaise | 1 | 1 | 1 | 1 | 0 | 0 |
| SUDDEN DEATH | 1 | 1 | 0 | 0 | 0 | 1 |
| Infections and infestations | | | | | | |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib | Number of subjects with grade 3 | Number of subjects with grade 4 | Number of subjects with grade 5 (death) |
|--|--------------------------------------|-----------------------|---|---|---|--|
| BILIARY SEPSIS | 1 | 1 | 0 | 0 | 1 | 0 |
| BILIARY TRACT INFECTION | 1 | 1 | 0 | 1 | 0 | 0 |
| CHOLANGITIS INFECTIVE | 1 | 1 | 0 | 1 | 0 | 0 |
| SEPSIS | 1 | 1 | 0 | 1 | 0 | 0 |
| Investigations | | | | | | |
| BILIRUBIN CONJUGATED INCREASED | 1 | 1 | 0 | 1 | 0 | 0 |
| Metabolism and nutrition disorders | | | | | | |
| DIABETIC METABOLIC DECOMPENSATION | 1 | 1 | 0 | 1 | 0 | 0 |
| HYPOKALAEMIA | 3 | 3 | 2 | 3 | 0 | 0 |
| HYPOPHOSPHATAEMIA | 1 | 1 | 0 | 1 | 0 | 0 |
| Musculoskeletal and connective tissue disorders | | | | | | |
| BACK PAIN | 1 | 1 | 0 | 1 | 0 | 0 |
| Respiratory, thoracic and mediastinal disorders | | | | | | |
| DYSPNOEA | 1 | 1 | 1 | 1 | 0 | 0 |

Cohort 4: urothelial cancer

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib | Number of subjects with grade 3 | Number of subjects with grade 4 | Number of subjects with grade 5 (death) |
|---|--------------------------------------|-----------------------|---|---|---|--|
| Blood and lymphatic system disorders | | | | | | |
| ANAEMIA | 4 | 4 | 2 | 4 | 0 | 0 |
| NEUTROPENIA | 2 | 2 | 2 | 1 | 1 | 0 |
| THROMBOCYTOPENIA | 1 | 1 | 1 | 1 | 0 | 0 |
| Gastrointestinal disorders | | | | | | |
| INTESTINAL OBSTRUCTION | 1 | 1 | 0 | 1 | 0 | 0 |
| NAUSEA | 1 | 1 | 1 | 1 | 0 | 0 |
| VOMITING | 2 | 2 | 2 | 2 | 0 | 0 |
| General disorders and administration site conditions | | | | | | |
| FATIGUE | 1 | 1 | 1 | 1 | 0 | 0 |
| GENERAL PHYSICAL HEALTH DETERIORATION | 1 | 1 | 0 | 0 | 0 | 1 |
| Infections and infestations | | | | | | |
| PYELONEPHRITIS | 1 | 2 | 0 | 0 | 1 | 0 |
| SEPSIS | 1 | 1 | 0 | 0 | 1 | 0 |
| URINARY TRACT INFECTION | 1 | 1 | 0 | 1 | 0 | 0 |
| Injury, poisoning and procedural complications | | | | | | |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib | Number of subjects with grade 3 | Number of subjects with grade 4 | Number of subjects with grade 5 (death) |
|---|--------------------------------------|-----------------------|---|---|---|--|
| URETERIC ANASTOMOSIS COMPLICATION | 1 | 1 | 0 | 1 | 0 | 0 |
| Investigations | | | | | | |
| BLOOD CREATININE INCREASED | 2 | 2 | 1 | 2 | 0 | 0 |
| GAMMA-GLUTAMYLTRANSFERASE INCREASED | 1 | 1 | 1 | 1 | 0 | 0 |
| Metabolism and nutrition disorders | | | | | | |
| DECREASED APPETITE | 1 | 1 | 1 | 1 | 0 | 0 |
| DEHYDRATION | 1 | 1 | 0 | 1 | 0 | 0 |
| HYPERKALAEMIA | 1 | 1 | 0 | 1 | 0 | 0 |
| Renal and urinary disorders | | | | | | |
| ACUTE KIDNEY INJURY | 2 | 2 | 0 | 2 | 0 | 0 |
| RENAL FAILURE | 1 | 1 | 0 | 1 | 0 | 0 |

Cohort 5: endometrial cancer

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib | Number of subjects with grade 3 | Number of subjects with grade 4 | Number of subjects with grade 5 (death) |
|---|--------------------------------------|-----------------------|---|---|---|--|
| Blood and lymphatic system disorders | | | | | | |
| ANAEMIA | 3 | 3 | 3 | 3 | 0 | 0 |
| NEUTROPENIA | 1 | 1 | 1 | 1 | 0 | 0 |
| THROMBOCYTOPENIA | 2 | 3 | 3 | 1 | 1 | 0 |
| Ear and labyrinth disorders | | | | | | |
| VERTIGO | 1 | 1 | 0 | 1 | 0 | 0 |
| Gastrointestinal disorders | | | | | | |
| DIARRHOEA | 2 | 2 | 2 | 2 | 0 | 0 |
| NAUSEA | 1 | 1 | 1 | 1 | 0 | 0 |
| VOMITING | 1 | 1 | 1 | 1 | 0 | 0 |
| General disorders and administration site conditions | | | | | | |
| FATIGUE | 1 | 1 | 1 | 1 | 0 | 0 |
| MALAISE | 2 | 2 | 2 | 2 | 0 | 0 |
| Infections and infestations | | | | | | |
| PYELONEPHRITIS ACUTE | 1 | 1 | 0 | 1 | 0 | 0 |
| Investigations | | | | | | |
| BLOOD CREATININE INCREASED | 1 | 1 | 1 | 1 | 0 | 0 |
| WHITE BLOOD CELL COUNT DECREASED | 1 | 1 | 1 | 1 | 0 | 0 |

| MedDRA Primary SOC <i>MedDRA PT</i> | Number of subjects affected | All AE occurrences | AEs occurrences related to abemaciclib | Number of subjects with grade 3 | Number of subjects with grade 4 | Number of subjects with grade 5 (death) |
|---|--------------------------------------|-----------------------|---|---|---|--|
| Skin and subcutaneous tissue disorders | | | | | | |
| RASH | 1 | 1 | 1 | 1 | 0 | 0 |

7 ADDITIONAL INFORMATION

7.1 Global substantial protocol amendments

The global substantial protocol amendments are summarised in the below table.

BELGIUM

| Amendment reference | Date | Description |
|---------------------|------------|--|
| AMD-0045 | 10/12/2018 | <ul style="list-style-type: none"> New/amended patient information sheet / informed consent (including addendum) |
| AMD-0052 | 19/02/2019 | <ul style="list-style-type: none"> New/amended documents or information related to IMP or IMPD New/amended patient information sheet / informed consent (including addendum) New/amended protocol |
| AMD-0056 | 22/05/2019 | <ul style="list-style-type: none"> Addition of at least a new site or a site whose LEC did not reply initially or moved site |
| AMD-0063 | 09/07/2019 | <ul style="list-style-type: none"> Addition of at least a new site or a site whose LEC did not reply initially or moved site |
| AMD-0064 | 12/09/2019 | <ul style="list-style-type: none"> New/amended IDMC charter New/amended patient information sheet / informed consent (including addendum) New/amended protocol New/amended patient diary |
| AMD-0070 | 17/10/2019 | <ul style="list-style-type: none"> New/amended patient information sheet / informed consent (including addendum) |
| AMD-0074 | 15/10/2019 | <ul style="list-style-type: none"> Change of PI - already approved site |
| AMD-0081 | 30/06/2020 | <ul style="list-style-type: none"> Closure of an approved site Addition of at least a new site or a site whose LEC did not reply initially or moved site |
| AMD-0117 | 21/10/2020 | Addition of at least a new site or a site whose LEC did not reply initially or moved site |

FRANCE

| Amendment reference | Date | Description |
|---------------------|------------|--|
| AMD-0068 | 18/09/2019 | <ul style="list-style-type: none"> New/amended patient information sheet / informed consent (including addendum) New/amended patient diary New/amended protocol |
| AMD-0082 | 13/03/2020 | <ul style="list-style-type: none"> Addition of at least a new site or a site whose LEC did not reply initially or moved site Change of PI - already approved site |
| AMD-0083 | 13/05/2020 | <ul style="list-style-type: none"> Changes in the logistics of the trial, which are NOT site-related (e.g. :lab, CRO etc) |

7.2 Global interruptions and re-starts

There were no global interruptions to the trial.

7.3 Limitations, addressing sources of potential bias and imprecisions and caveats

An interim analysis was performed on each tumour cohort in the first stage, which includes the first 13 evaluable subjects. Since there were 2 or less subjects with treatment success in these 13 evaluable subjects (futility criteria) in all cohorts, accruals in all cohorts were stopped.

Due to lack number of subjects, the Progression-Free and Overall Survival assessment should be interpreted with caution and considered as exploratory.