



## Clinical trial results:

### A Phase 2, Multicenter, Open-Label, 2-Cohort Study of Trastuzumab Deruxtecan (DS-8201a), an Anti-HER2 Antibody Drug Conjugate (ADC), for HER2-Over-Expressing or -Mutated, Unresectable and/or Metastatic Non Small Cell Lung Cancer (NSCLC)

#### Summary

EudraCT number	2017-004781-94
Trial protocol	NL ES
Global end of trial date	

#### Results information

Result version number	v1 (current)
This version publication date	06 June 2022
First version publication date	06 June 2022

#### Trial information

##### Trial identification

Sponsor protocol code	DS8201-A-U204
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##### Additional study identifiers

ISRCTN number	-
ClinicalTrials.gov id (NCT number)	NCT03505710
WHO universal trial number (UTN)	-

Notes:

##### Sponsors

Sponsor organisation name	Daiichi Sankyo Inc.
Sponsor organisation address	211 Mt. Airy Rd., Baking Ridge, United States, 07920
Public contact	Global Clinical Director, Daiichi Sankyo Inc., 908 992-6400, CTRinfo@dsi.com
Scientific contact	Global Clinical Director, Daiichi Sankyo Inc., 908 992-6400, CTRinfo@dsi.com

Notes:

##### Paediatric regulatory details

Is trial part of an agreed paediatric investigation plan (PIP)	No
Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial?	No
Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial?	No

Notes:

## Results analysis stage

Analysis stage	Interim
Date of interim/final analysis	03 May 2021
Is this the analysis of the primary completion data?	Yes
Primary completion date	03 May 2021
Global end of trial reached?	No

Notes:

## General information about the trial

Main objective of the trial:

The primary objective is to evaluate the objective response rate (ORR) of trastuzumab deruxtecan in HER2-over-expressing and/or -HER2 mutated advanced NSCLC subjects.

Protection of trial subjects:

The study protocol, amendments, the informed consent form(s) (ICF[s]), and information sheets were approved by the appropriate and applicable Independent Ethics Committees (IECs) or Institutional Review Boards (IRBs). The study was conducted in compliance with the protocol, the ethical principles that have their origin in the Declaration of Helsinki, the International Council for Harmonisation (ICH) consolidated Guideline E6 for Good Clinical Practice (GCP) (CPMP/ICH/135/95), and applicable regulatory requirement(s).

Background therapy: -

Evidence for comparator: -

Actual start date of recruitment	21 May 2018
Long term follow-up planned	No
Independent data monitoring committee (IDMC) involvement?	No

Notes:

## Population of trial subjects

### Subjects enrolled per country

Country: Number of subjects enrolled	United States: 67
Country: Number of subjects enrolled	Japan: 38
Country: Number of subjects enrolled	Netherlands: 31
Country: Number of subjects enrolled	France: 18
Country: Number of subjects enrolled	Spain: 27
Worldwide total number of subjects	181
EEA total number of subjects	76

Notes:

### Subjects enrolled per age group

In utero	0
Preterm newborn - gestational age < 37 wk	0
Newborns (0-27 days)	0
Infants and toddlers (28 days-23 months)	0
Children (2-11 years)	0
Adolescents (12-17 years)	0

Adults (18-64 years)	109
From 65 to 84 years	70
85 years and over	2

## Subject disposition

### Recruitment

Recruitment details:

Total of 181 participants were enrolled & treated at centers in Japan, US, France, Netherlands, & Spain. Primary results reported is from baseline to data cut-off date of 03 May 2021. The results presented are based on primary analysis up to 36 months. Data collection is still on-going and additional results will be provided after study completion.

### Pre-assignment

Screening details:

Duration of follow-up (months) was defined as  $((\text{last visit date} - \text{enrollment date} + 1)/365.25) \times 12$ . Last visit date was the date of last clinical visit for ongoing participants, date of death for participants who died, or the last known contact date in survival follow-up for other participants who discontinued study drug.

### Period 1

Period 1 title	Overall (overall period)
Is this the baseline period?	Yes
Allocation method	Non-randomised - controlled
Blinding used	Not blinded

### Arms

Are arms mutually exclusive?	Yes
Arm title	Cohort 1: HER2 Overexpressing

Arm description:

Participants with HER2-overexpressing(immunohistochemistry [IHC] 3+ or IHC 2+), unresectable and/or metastatic NSCLC adenocarcinoma who received 6.4 mg/kg trastuzumab deruxtecan (DS-8201a).

Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Arm type	Experimental
Investigational medicinal product name	DS-8201a
Investigational medicinal product code	
Other name	Trastuzumab deruxtecan
Pharmaceutical forms	Powder for infusion
Routes of administration	Intravenous use

Dosage and administration details:

Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Arm title	Cohort 1a: HER2 Overexpressing
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Arm description:

Participants with HER2-overexpressing (immunohistochemistry [IHC] 3+ or IHC 2+), unresectable and/or metastatic NSCLC adenocarcinoma who received 5.4 mg/kg trastuzumab deruxtecan (DS-8201a).

Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Arm type	Experimental
Investigational medicinal product name	DS-8201a
Investigational medicinal product code	
Other name	Trastuzumab deruxtecan
Pharmaceutical forms	Powder for infusion
Routes of administration	Intravenous use

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**Dosage and administration details:**

Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

<b>Arm title</b>	Cohort 2: HER2 Mutated
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**Arm description:**

Participants with HER2-mutated, unresectable and/or metastatic NSCLC who received 6.4 mg/kg trastuzumab deruxtecan (DS-8201a).

Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Arm type	Experimental
Investigational medicinal product name	DS-8201a
Investigational medicinal product code	
Other name	Trastuzumab deruxtecan
Pharmaceutical forms	Powder for infusion
Routes of administration	Intravenous use

**Dosage and administration details:**

Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

<b>Number of subjects in period 1</b>	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated
Started	49	41	91
Completed	2	14	15
Not completed	47	27	76
Physician decision	1	-	2
Adverse Event	12	5	27
Other Reasons	1	1	1
Death	4	3	6
Progressive Disease	22	9	34
Withdrawal by Subject	1	3	3
Clinical Progression	6	6	3

## Baseline characteristics

### Reporting groups

Reporting group title	Cohort 1: HER2 Overexpressing
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Reporting group description:

Participants with HER2-overexpressing (immunohistochemistry [IHC] 3+ or IHC 2+), unresectable and/or metastatic NSCLC adenocarcinoma who received 6.4 mg/kg trastuzumab deruxtecan (DS-8201a).

Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Reporting group title	Cohort 1a: HER2 Overexpressing
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Reporting group description:

Participants with HER2-overexpressing (immunohistochemistry [IHC] 3+ or IHC 2+), unresectable and/or metastatic NSCLC adenocarcinoma who received 5.4 mg/kg trastuzumab deruxtecan (DS-8201a).

Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Reporting group title	Cohort 2: HER2 Mutated
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Reporting group description:

Participants with HER2-mutated, unresectable and/or metastatic NSCLC who received 6.4 mg/kg trastuzumab deruxtecan (DS-8201a).

Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Reporting group values	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated
Number of subjects	49	41	91
Age categorical			
Units: Subjects			
In utero	0	0	0
Preterm newborn infants (gestational age < 37 wks)	0	0	0
Newborns (0-27 days)	0	0	0
Infants and toddlers (28 days-23 months)	0	0	0
Children (2-11 years)	0	0	0
Adolescents (12-17 years)	0	0	0
Adults (18-64 years)	27	27	55
From 65-84 years	21	14	35
85 years and over	1	0	1
Age continuous			
Units: years			
arithmetic mean	62.2	60.3	60.3
standard deviation	± 9.58	± 10.22	± 11.94
Gender categorical			
Units: Subjects			
Female	19	19	60
Male	30	22	31
Race (NIH/OMB)			
Units: Subjects			
American Indian or Alaska Native	0	1	0

Asian	13	4	31
Black or African American	4	2	1
White	31	31	40
Unknown or Not Reported	1	3	19

<b>Reporting group values</b>	Total		
Number of subjects	181		
Age categorical			
Units: Subjects			
In utero	0		
Preterm newborn infants (gestational age < 37 wks)	0		
Newborns (0-27 days)	0		
Infants and toddlers (28 days-23 months)	0		
Children (2-11 years)	0		
Adolescents (12-17 years)	0		
Adults (18-64 years)	109		
From 65-84 years	70		
85 years and over	2		
Age continuous			
Units: years			
arithmetic mean			
standard deviation	-		
Gender categorical			
Units: Subjects			
Female	98		
Male	83		
Race (NIH/OMB)			
Units: Subjects			
American Indian or Alaska Native	1		
Asian	48		
Black or African American	7		
White	102		
Unknown or Not Reported	23		

## End points

### End points reporting groups

Reporting group title	Cohort 1: HER2 Overexpressing
Reporting group description: Participants with HER2-overexpressing (immunohistochemistry [IHC] 3+ or IHC 2+), unresectable and/or metastatic NSCLC adenocarcinoma who received 6.4 mg/kg trastuzumab deruxtecan (DS-8201a).  Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.	
Reporting group title	Cohort 1a: HER2 Overexpressing
Reporting group description: Participants with HER2-overexpressing (immunohistochemistry [IHC] 3+ or IHC 2+), unresectable and/or metastatic NSCLC adenocarcinoma who received 5.4 mg/kg trastuzumab deruxtecan (DS-8201a).  Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.	
Reporting group title	Cohort 2: HER2 Mutated
Reporting group description: Participants with HER2-mutated, unresectable and/or metastatic NSCLC who received 6.4 mg/kg trastuzumab deruxtecan (DS-8201a).  Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.	

### Primary: Percentage of Participants With Objective Response Rate (ORR) Based on Independent Central Review Following Treatment With DS8201a in Participants With HER2-Over-Expressing or -Mutated Non-Small-Cell Lung Cancer (NSCLC)

End point title	Percentage of Participants With Objective Response Rate (ORR) Based on Independent Central Review Following Treatment With DS8201a in Participants With HER2-Over-Expressing or -Mutated Non-Small-Cell Lung Cancer (NSCLC) <sup>[1]</sup>
End point description: The Objective Response Rate (ORR) was defined as the percentage of participants who achieved a best overall response of confirmed Complete Response (CR) or Partial Response (PR), assessed by independent central review (ICR) committee based on RECIST version 1.1. CR was defined as a disappearance of all target lesions and PR was defined as at least a 30% decrease in the sum of diameters of target lesions. Confirmed ORR based on ICR is reported. Objective response rate was assessed in the Full Analysis Set at data cut-off date of 03 May 2021.	
End point type	Primary
End point timeframe: Up to 36 months (data cut-off)	
Notes: [1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point. Justification: No statistical analysis was performed for end point.	



End point values	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated	
Subject group type	Reporting group	Reporting group	Reporting group	
Number of subjects analysed	49	41	91	
Units: Percentage of Participants				
number (confidence interval 95%)	26.5 (15.0 to 41.1)	29.3 (16.1 to 45.5)	54.9 (44.2 to 65.4)	

### Statistical analyses

No statistical analyses for this end point

### Secondary: Percentage of Participants With Objective Response Rate (ORR) Based on Investigator Assessment Following Treatment With DS8201a in Participants With HER2-Over-Expressing or -Mutated Non-Small-Cell Lung Cancer (NSCLC)

End point title	Percentage of Participants With Objective Response Rate (ORR) Based on Investigator Assessment Following Treatment With DS8201a in Participants With HER2-Over-Expressing or - Mutated Non-Small-Cell Lung Cancer (NSCLC)
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End point description:

The Objective Response Rate (ORR) was defined as the percentage of participants who achieved a best overall response of confirmed Complete Response (CR) or Partial Response (PR), assessed by investigator assessment based on RECIST version 1.1. CR was defined as a disappearance of all target lesions and PR was defined as at least a 30% decrease in the sum of diameters of target lesions. Confirmed ORR based on investigator assessment is reported. Objective response rate was assessed in the Full Analysis Set at data cut-off date of 03 May 2021.

End point type	Secondary
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End point timeframe:

Up to 36 months (data cut-off)

End point values	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated	
Subject group type	Reporting group	Reporting group	Reporting group	
Number of subjects analysed	49	41	91	
Units: Percentage of Participants				
number (confidence interval 95%)	28.6 (16.6 to 43.3)	31.7 (18.1 to 48.1)	61.5 (50.8 to 71.6)	

### Statistical analyses

No statistical analyses for this end point

### Secondary: Duration of Response (DoR) Following Treatment With DS8201a in Participants With HER2-Over-Expressing or - Mutated Non-Small-Cell Lung Cancer (NSCLC)

End point title	Duration of Response (DoR) Following Treatment With DS8201a in Participants With HER2-Over-Expressing or -
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## End point description:

Duration of Response (DoR) was defined as the time from the date of the first documentation of objective response (complete response [CR] or partial response [PR]) to the date of the first objective documentation of progressive disease (PD) or death due to any cause. DoR in participants with confirmed CR/PR based on independent central review and investigator assessment is reported. Duration of Response (DoR) was assessed in the Full Analysis Set of participants with confirmed CR/PR at data cut-off date of 03 May 2021.

End point type	Secondary
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End point timeframe:

Up to 36 months (data cut-off)

End point values	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated	
Subject group type	Reporting group	Reporting group	Reporting group	
Number of subjects analysed	13 <sup>[2]</sup>	12 <sup>[3]</sup>	50 <sup>[4]</sup>	
Units: months				
median (confidence interval 95%)				
Independent Central Review (ICR)	5.8 (4.3 to 99.9)	4.7 (4.0 to 99.9)	9.3 (5.7 to 14.7)	
Investigator Assessment (IA)	5.8 (4.7 to 99.9)	7.0 (4.2 to 99.9)	11.7 (7.2 to 16.9)	

Notes:

[2] - ICR=13 participants, IA=11 participants, 99.9 = NA due to insufficient number of events

[3] - ICR=12 participants, IA=3 participants, 99.9 = NA due to insufficient number of events

[4] - ICR=50 participants, IA=30 participants

**Statistical analyses**

No statistical analyses for this end point

**Secondary: Progression-Free Survival (PFS) Following Treatment With DS8201a in Participants With HER2-Over-Expressing or - Mutated Non-Small-Cell Lung Cancer (NSCLC)**

End point title	Progression-Free Survival (PFS) Following Treatment With DS8201a in Participants With HER2-Over-Expressing or - Mutated Non-Small-Cell Lung Cancer (NSCLC)
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## End point description:

Progression-free survival (PFS) was defined as the time from the date of enrollment to the earlier of the dates of the first objective documentation of disease progression (as per RECIST v1.1) or death due to any cause. Progressive disease was defined as at least a 20% increase in the sum of diameters of target lesions. PFS based on independent central review and investigator assessment is reported. Progression-free survival (PFS) was assessed in the Full Analysis Set at data cut-off date of 03 May 2021.

End point type	Secondary
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End point timeframe:

Up to 36 months (data cut-off)

End point values	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated	
Subject group type	Reporting group	Reporting group	Reporting group	
Number of subjects analysed	49	41 <sup>[5]</sup>	91	
Units: months				
median (confidence interval 95%)				
Independent Central Review (ICR)	5.7 (2.8 to 7.2)	6.7 (4.5 to 99.9)	8.2 (6.0 to 11.9)	
Investigator Assessment (IA)	5.7 (3.8 to 7.2)	7.2 (4.1 to 8.4)	9.3 (7.1 to 14.0)	

Notes:

[5] - 99.9 = NA due to insufficient number of events

## Statistical analyses

No statistical analyses for this end point

### Secondary: Overall Survival (OS) Following Treatment With DS8201a in Participants With HER2-Over-Expressing or -Mutated Non- Small-Cell Lung Cancer (NSCLC)

End point title	Overall Survival (OS) Following Treatment With DS8201a in Participants With HER2-Over-Expressing or -Mutated Non-Small-Cell Lung Cancer (NSCLC)
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End point description:

Overall survival (OS) was defined as the time from the date of first dose of study drug to the date of death due to any cause. Overall survival (OS) was assessed in the Full Analysis Set at data cut-off date of 03 May 2021.

End point type	Secondary
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End point timeframe:

Up to 36 months (data cut-off)

End point values	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated	
Subject group type	Reporting group	Reporting group	Reporting group	
Number of subjects analysed	49	41 <sup>[6]</sup>	91	
Units: months				
median (confidence interval 95%)	12.4 (7.8 to 17.2)	99.9 (6.7 to 99.9)	17.8 (13.8 to 22.1)	

Notes:

[6] - 99.9=NA due to insufficient number of events

## Statistical analyses

No statistical analyses for this end point

### Secondary: Percentage of Participants With Disease Control Rate (DCR) Following Treatment With DS8201a in Participants With HER2-Over-Expressing or -Mutated Non-Small-Cell Lung Cancer (NSCLC)

End point title	Percentage of Participants With Disease Control Rate (DCR) Following Treatment With DS8201a in Participants With HER2-Over-Expressing or -Mutated Non-Small-Cell Lung Cancer (NSCLC)
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**End point description:**

Disease Control Rate (DCR) was defined as the percentage of participants who achieved a best overall response of CR, PR, or stable disease (SD) during study treatment. Confirmation of CR/PR was required. DCR based on independent central review and investigator assessment is reported. Disease control rate was assessed in the Full Analysis Set at data cut-off date of 03 May 2021.

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End point type	Secondary
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**End point timeframe:**

Up to 36 months (data cut-off)

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<b>End point values</b>	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated	
Subject group type	Reporting group	Reporting group	Reporting group	
Number of subjects analysed	49	41	91	
Units: Percentage of Participants				
number (confidence interval 95%)				
Independent Central Review (ICR)	68.9 (53.4 to 81.8)	77.5 (61.6 to 89.2)	93.0 (85.4 to 97.4)	
Investigator Assessment (IA)	75.5 (61.1 to 86.7)	78.0 (62.4 to 89.4)	94.5 (87.6 to 98.2)	

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**Statistical analyses**

No statistical analyses for this end point

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## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

Adverse events (AE) were collected from the date of signing the informed consent form up to 47 days after last dose of the study drug, up 36 months.

Adverse event reporting additional description:

A Treatment-emergent adverse event (TEAE) is defined as an AE that occurs, having been absent before the first dose of study drug, or has worsened in severity or seriousness after the initiating the study drug until 47 days after last dose of the study drug.

Assessment type	Systematic
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### Dictionary used

Dictionary name	MedDRA
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Dictionary version	23
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### Reporting groups

Reporting group title	Cohort 1: HER2 Overexpressing
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Reporting group description:

Participants with HER2-overexpressing(immunohistochemistry [IHC] 3+ or IHC 2+), unresectable and/or metastatic NSCLC adenocarcinoma who received 6.4 mg/kg trastuzumab deruxtecan (DS-8201a).

Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Reporting group title	Cohort 1a: HER2 Overexpressing
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Reporting group description:

Participants with HER2-overexpressing (immunohistochemistry [IHC] 3+ or IHC 2+), unresectable and/or metastatic NSCLC adenocarcinoma who received 5.4 mg/kg trastuzumab deruxtecan (DS-8201a).

Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Reporting group title	Cohort 2: HER2 Mutated
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Reporting group description:

Participants with HER2-mutated, unresectable and/or metastatic NSCLC who received 6.4 mg/kg trastuzumab deruxtecan (DS-8201a).

Trastuzumab deruxtecan: Antibody component covalently conjugated to a drug component, prepared by dilution based on body weight for intravenous (IV) infusion.

Serious adverse events	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated
Total subjects affected by serious adverse events			
subjects affected / exposed	27 / 49 (55.10%)	14 / 41 (34.15%)	39 / 91 (42.86%)
number of deaths (all causes)	35	11	47
number of deaths resulting from adverse events	10	5	13
Neoplasms benign, malignant and unspecified (incl cysts and polyps)			
Neoplasm Malignant			

subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 1	0 / 0
Vascular disorders			
Deep Vein Thrombosis			
subjects affected / exposed	1 / 49 (2.04%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Hypotension			
subjects affected / exposed	1 / 49 (2.04%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
General disorders and administration site conditions			
Asthenia			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	1 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Disease Progression			
subjects affected / exposed	6 / 49 (12.24%)	3 / 41 (7.32%)	7 / 91 (7.69%)
occurrences causally related to treatment / all	0 / 6	0 / 3	0 / 7
deaths causally related to treatment / all	0 / 6	0 / 3	0 / 7
Fatigue			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	1 / 2	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Non-Cardiac Chest Pain			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Oedema Peripheral			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0

Pain			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Pyrexia			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Respiratory, thoracic and mediastinal disorders			
Pneumonitis			
subjects affected / exposed	4 / 49 (8.16%)	1 / 41 (2.44%)	7 / 91 (7.69%)
occurrences causally related to treatment / all	4 / 4	1 / 1	8 / 8
deaths causally related to treatment / all	1 / 1	0 / 0	1 / 1
Interstitial lung disease			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	3 / 91 (3.30%)
occurrences causally related to treatment / all	0 / 0	0 / 0	3 / 3
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Pleural Effusion			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	2 / 91 (2.20%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 2
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Acute Pulmonary Oedema			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 1
Dyspnoea			
subjects affected / exposed	4 / 49 (8.16%)	1 / 41 (2.44%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 4	0 / 1	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 1
Hypoxia			
subjects affected / exposed	0 / 49 (0.00%)	2 / 41 (4.88%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 2	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0

Pulmonary Oedema			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Wheezing			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Bronchospasm			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 2	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 1	0 / 0	0 / 0
Haemoptysis			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Psychiatric disorders			
Confusional State			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Delirium			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Mental Status Changes			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Investigations			
Troponin I Increased			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0



Troponin Increased			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Electrocardiogram T Wave Abnormal			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Injury, poisoning and procedural complications			
Fall			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Radiation Necrosis			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Transfusion Reaction			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Cardiac disorders			
Myocardial Infarction			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Pericardial Effusion			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Nervous system disorders			
Respiratory Failure			

subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 1	0 / 0	0 / 0
Seizure			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	3 / 91 (3.30%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 3
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 1
Central Nervous System Necrosis			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	2 / 91 (2.20%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 2
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Ataxia			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Hepatic Encephalopathy			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Presyncope			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Syncope			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Hydrocephalus			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 1	0 / 0	0 / 0
Partial Seizures			

subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	1 / 2	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Blood and lymphatic system disorders			
Thrombocytopenia			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Gastrointestinal disorders			
Diarrhoea			
subjects affected / exposed	1 / 49 (2.04%)	1 / 41 (2.44%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	1 / 1	0 / 1	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Duodenal Stenosis			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Duodenal Ulcer Haemorrhage			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Gastrointestinal Motility Disorder			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Haemorrhoids			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	1 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Ileus			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Intestinal Obstruction			

subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Intestinal Perforation			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 1
Intra-Abdominal Haematoma			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Nausea			
subjects affected / exposed	2 / 49 (4.08%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	2 / 2	0 / 0	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Oesophageal Obstruction			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Rectal Haemorrhage			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Small Intestinal Haemorrhage			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Small Intestinal Obstruction			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Upper Gastrointestinal Haemorrhage			

subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Vomiting			
subjects affected / exposed	1 / 49 (2.04%)	1 / 41 (2.44%)	3 / 91 (3.30%)
occurrences causally related to treatment / all	1 / 1	1 / 1	2 / 3
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Hepatobiliary disorders			
Cholangitis			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 2	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Renal and urinary disorders			
Acute Kidney Injury			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	1 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Musculoskeletal and connective tissue disorders			
Osteoarthritis			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Bone Pain			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Infections and infestations			
Abdominal Sepsis			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Bacteraemia			

subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Cellulitis			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Covid-19 Pneumonia			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Empyema			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Enterocolitis Infectious			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	1 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Oesophageal Infection			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Pneumocystis Jirovecii Pneumonia			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 1
Pneumonia			
subjects affected / exposed	4 / 49 (8.16%)	1 / 41 (2.44%)	2 / 91 (2.20%)
occurrences causally related to treatment / all	0 / 4	0 / 1	0 / 2
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Pneumonia Staphylococcal			

subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Respiratory Tract Infection			
subjects affected / exposed	1 / 49 (2.04%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Sepsis			
subjects affected / exposed	0 / 49 (0.00%)	1 / 41 (2.44%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 0	0 / 1	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 1	0 / 0
Septic Shock			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	0 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Staphylococcal Bacteraemia			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	1 / 91 (1.10%)
occurrences causally related to treatment / all	0 / 0	0 / 0	1 / 1
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0
Urinary Tract Infection			
subjects affected / exposed	1 / 49 (2.04%)	0 / 41 (0.00%)	0 / 91 (0.00%)
occurrences causally related to treatment / all	0 / 1	0 / 0	0 / 0
deaths causally related to treatment / all	0 / 0	0 / 0	0 / 0

Frequency threshold for reporting non-serious adverse events: 5 %

Non-serious adverse events	Cohort 1: HER2 Overexpressing	Cohort 1a: HER2 Overexpressing	Cohort 2: HER2 Mutated
Total subjects affected by non-serious adverse events			
subjects affected / exposed	46 / 49 (93.88%)	41 / 41 (100.00%)	91 / 91 (100.00%)
Vascular disorders			
Hypertension			
subjects affected / exposed	0 / 49 (0.00%)	0 / 41 (0.00%)	5 / 91 (5.49%)
occurrences (all)	0	0	5
Hypotension			

subjects affected / exposed occurrences (all)	4 / 49 (8.16%) 4	0 / 41 (0.00%) 0	0 / 91 (0.00%) 0
General disorders and administration site conditions			
Fatigue			
subjects affected / exposed	16 / 49 (32.65%)	20 / 41 (48.78%)	30 / 91 (32.97%)
occurrences (all)	24	23	37
Asthenia			
subjects affected / exposed	6 / 49 (12.24%)	6 / 41 (14.63%)	16 / 91 (17.58%)
occurrences (all)	6	6	22
Malaise			
subjects affected / exposed	9 / 49 (18.37%)	3 / 41 (7.32%)	12 / 91 (13.19%)
occurrences (all)	10	3	16
Pyrexia			
subjects affected / exposed	1 / 49 (2.04%)	1 / 41 (2.44%)	16 / 91 (17.58%)
occurrences (all)	1	1	19
Oedema Peripheral			
subjects affected / exposed	2 / 49 (4.08%)	4 / 41 (9.76%)	11 / 91 (12.09%)
occurrences (all)	2	4	13
Non-Cardiac Chest Pain			
subjects affected / exposed	3 / 49 (6.12%)	4 / 41 (9.76%)	3 / 91 (3.30%)
occurrences (all)	3	4	3
Respiratory, thoracic and mediastinal disorders			
Dyspnoea			
subjects affected / exposed	9 / 49 (18.37%)	8 / 41 (19.51%)	17 / 91 (18.68%)
occurrences (all)	9	8	18
Cough			
subjects affected / exposed	6 / 49 (12.24%)	11 / 41 (26.83%)	14 / 91 (15.38%)
occurrences (all)	7	12	14
Pneumonitis			
subjects affected / exposed	4 / 49 (8.16%)	1 / 41 (2.44%)	12 / 91 (13.19%)
occurrences (all)	5	1	12
Epistaxis			
subjects affected / exposed	4 / 49 (8.16%)	3 / 41 (7.32%)	8 / 91 (8.79%)
occurrences (all)	4	3	8
Hiccups			



subjects affected / exposed occurrences (all)	4 / 49 (8.16%) 4	2 / 41 (4.88%) 4	4 / 91 (4.40%) 5
Productive Cough subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	2 / 41 (4.88%) 2	5 / 91 (5.49%) 7
Rhinorrhoea subjects affected / exposed occurrences (all)	3 / 49 (6.12%) 3	1 / 41 (2.44%) 1	1 / 91 (1.10%) 1
Psychiatric disorders			
Insomnia subjects affected / exposed occurrences (all)	2 / 49 (4.08%) 2	0 / 41 (0.00%) 0	12 / 91 (13.19%) 12
Anxiety subjects affected / exposed occurrences (all)	1 / 49 (2.04%) 1	3 / 41 (7.32%) 4	8 / 91 (8.79%) 8
Depression subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	0 / 41 (0.00%) 0	5 / 91 (5.49%) 5
Investigations			
Neutrophil Count Decreased subjects affected / exposed occurrences (all)	11 / 49 (22.45%) 18	2 / 41 (4.88%) 3	27 / 91 (29.67%) 62
White Blood Cell Count Decreased subjects affected / exposed occurrences (all)	6 / 49 (12.24%) 14	1 / 41 (2.44%) 1	17 / 91 (18.68%) 50
Lymphocyte Count Decreased subjects affected / exposed occurrences (all)	5 / 49 (10.20%) 11	1 / 41 (2.44%) 1	13 / 91 (14.29%) 30
Weight Decreased subjects affected / exposed occurrences (all)	12 / 49 (24.49%) 12	6 / 41 (14.63%) 6	21 / 91 (23.08%) 24
Alanine Aminotransferase Increased subjects affected / exposed occurrences (all)	5 / 49 (10.20%) 5	3 / 41 (7.32%) 3	14 / 91 (15.38%) 23
Aspartate Aminotransferase Increased			

subjects affected / exposed occurrences (all)	6 / 49 (12.24%) 7	2 / 41 (4.88%) 2	17 / 91 (18.68%) 20
Platelet Count Decreased subjects affected / exposed occurrences (all)	7 / 49 (14.29%) 7	2 / 41 (4.88%) 2	11 / 91 (12.09%) 19
Blood Creatinine Increased subjects affected / exposed occurrences (all)	5 / 49 (10.20%) 6	3 / 41 (7.32%) 3	4 / 91 (4.40%) 4
Blood Alkaline Phosphatase Increased subjects affected / exposed occurrences (all)	3 / 49 (6.12%) 3	1 / 41 (2.44%) 1	5 / 91 (5.49%) 5
Electrocardiogram Qt Prolonged subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	0 / 41 (0.00%) 0	6 / 91 (6.59%) 7
Nervous system disorders			
Dizziness subjects affected / exposed occurrences (all)	10 / 49 (20.41%) 11	3 / 41 (7.32%) 4	8 / 91 (8.79%) 9
Headache subjects affected / exposed occurrences (all)	3 / 49 (6.12%) 3	2 / 41 (4.88%) 2	15 / 91 (16.48%) 16
Dysgeusia subjects affected / exposed occurrences (all)	4 / 49 (8.16%) 4	3 / 41 (7.32%) 3	12 / 91 (13.19%) 12
Peripheral Sensory Neuropathy subjects affected / exposed occurrences (all)	1 / 49 (2.04%) 1	0 / 41 (0.00%) 0	5 / 91 (5.49%) 5
Blood and lymphatic system disorders			
Anaemia subjects affected / exposed occurrences (all)	14 / 49 (28.57%) 17	10 / 41 (24.39%) 11	32 / 91 (35.16%) 45
Neutropenia subjects affected / exposed occurrences (all)	3 / 49 (6.12%) 3	2 / 41 (4.88%) 2	6 / 91 (6.59%) 9
Eye disorders			

Dry Eye			
subjects affected / exposed	0 / 49 (0.00%)	2 / 41 (4.88%)	7 / 91 (7.69%)
occurrences (all)	0	2	7
Gastrointestinal disorders			
Nausea			
subjects affected / exposed	28 / 49 (57.14%)	30 / 41 (73.17%)	68 / 91 (74.73%)
occurrences (all)	37	68	120
Diarrhoea			
subjects affected / exposed	13 / 49 (26.53%)	13 / 41 (31.71%)	37 / 91 (40.66%)
occurrences (all)	17	21	66
Vomiting			
subjects affected / exposed	13 / 49 (26.53%)	12 / 41 (29.27%)	41 / 91 (45.05%)
occurrences (all)	16	15	65
Constipation			
subjects affected / exposed	15 / 49 (30.61%)	10 / 41 (24.39%)	34 / 91 (37.36%)
occurrences (all)	16	10	38
Abdominal pain			
subjects affected / exposed	2 / 49 (4.08%)	5 / 41 (12.20%)	8 / 91 (8.79%)
occurrences (all)	3	5	8
Stomatitis			
subjects affected / exposed	6 / 49 (12.24%)	1 / 41 (2.44%)	6 / 91 (6.59%)
occurrences (all)	6	2	7
Gastrooesophageal Reflux Disease			
subjects affected / exposed	2 / 49 (4.08%)	1 / 41 (2.44%)	8 / 91 (8.79%)
occurrences (all)	2	1	8
Dyspepsia			
subjects affected / exposed	0 / 49 (0.00%)	2 / 41 (4.88%)	6 / 91 (6.59%)
occurrences (all)	0	2	6
Dysphagia			
subjects affected / exposed	3 / 49 (6.12%)	0 / 41 (0.00%)	5 / 91 (5.49%)
occurrences (all)	3	0	5
Abdominal Pain Upper			
subjects affected / exposed	3 / 49 (6.12%)	0 / 41 (0.00%)	4 / 91 (4.40%)
occurrences (all)	3	0	4
Haemorrhoids			

subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	0 / 41 (0.00%) 0	7 / 91 (7.69%) 7
Haemorrhoidal Haemorrhage subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	0 / 41 (0.00%) 0	5 / 91 (5.49%) 5
Skin and subcutaneous tissue disorders			
Alopecia subjects affected / exposed occurrences (all)	10 / 49 (20.41%) 10	5 / 41 (12.20%) 6	42 / 91 (46.15%) 42
Dry skin subjects affected / exposed occurrences (all)	1 / 49 (2.04%) 1	0 / 41 (0.00%) 0	11 / 91 (12.09%) 11
Pruritus subjects affected / exposed occurrences (all)	1 / 49 (2.04%) 1	1 / 41 (2.44%) 1	6 / 91 (6.59%) 6
Rash Maculo-Papular subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	0 / 41 (0.00%) 0	6 / 91 (6.59%) 7
Eczema subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	0 / 41 (0.00%) 0	5 / 91 (5.49%) 5
Musculoskeletal and connective tissue disorders			
Back Pain subjects affected / exposed occurrences (all)	2 / 49 (4.08%) 2	5 / 41 (12.20%) 5	15 / 91 (16.48%) 15
Arthralgia subjects affected / exposed occurrences (all)	3 / 49 (6.12%) 4	0 / 41 (0.00%) 0	12 / 91 (13.19%) 13
Myalgia subjects affected / exposed occurrences (all)	3 / 49 (6.12%) 3	0 / 41 (0.00%) 0	6 / 91 (6.59%) 6
Muscular Weakness subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	2 / 41 (4.88%) 3	5 / 91 (5.49%) 5
Muscle Spasms			

subjects affected / exposed occurrences (all)	3 / 49 (6.12%) 3	2 / 41 (4.88%) 2	2 / 91 (2.20%) 2
Pain In Extremity subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	3 / 41 (7.32%) 3	2 / 91 (2.20%) 2
Infections and infestations			
Pneumonia subjects affected / exposed occurrences (all)	5 / 49 (10.20%) 6	1 / 41 (2.44%) 1	15 / 91 (16.48%) 18
Urinary Tract Infection subjects affected / exposed occurrences (all)	3 / 49 (6.12%) 4	2 / 41 (4.88%) 4	9 / 91 (9.89%) 9
Metabolism and nutrition disorders			
Decreased Appetite subjects affected / exposed occurrences (all)	22 / 49 (44.90%) 23	19 / 41 (46.34%) 20	32 / 91 (35.16%) 39
Hypokalaemia subjects affected / exposed occurrences (all)	8 / 49 (16.33%) 13	3 / 41 (7.32%) 4	11 / 91 (12.09%) 18
Hypomagnesaemia subjects affected / exposed occurrences (all)	4 / 49 (8.16%) 4	1 / 41 (2.44%) 1	4 / 91 (4.40%) 5
Dehydration subjects affected / exposed occurrences (all)	2 / 49 (4.08%) 4	3 / 41 (7.32%) 3	2 / 91 (2.20%) 2
Hypoalbuminaemia subjects affected / exposed occurrences (all)	1 / 49 (2.04%) 1	1 / 41 (2.44%) 1	7 / 91 (7.69%) 7
Hyponatraemia subjects affected / exposed occurrences (all)	2 / 49 (4.08%) 2	3 / 41 (7.32%) 3	4 / 91 (4.40%) 4

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

Date	Amendment
09 February 2018	Updated all sections to replace drug name (DS-8201a) with international non-proprietary name (trastuzumab deruxtecan). Updated inclusion & exclusion criteria, risk and benefits section, and dose modification section. Added guidance and threshold requirements for troponin repeat testing. Updated clinical summary and management guidance for adverse events of special interests. Clarified details related to pregnancy screening.
19 March 2018	Modified inclusion criteria to exclude subjects with hepatic impairment. Added ophthalmologic assessment at End of Treatment visit in the Schedule of Events table and footnote.
02 May 2019	Updated enrollment period, inclusion & exclusion criteria, and dose modification sections. Clarified Follow-up Phase details. Updated sections "Other Non-Hematologic Toxicity" and "Prohibited Medications & Treatment". Updated Screening, End of Treatment, Electrocardiograms, PK, Tumor & Blood Biomarker Assessments, and Immunogenicity Sections. Updated the Interstitial Lung Disease/Pneumonitis management guidance. Updated the primary analysis and interim analysis sections along with the Schedule of Events tables.
12 August 2019	Updated International Non-proprietary Name (INN) to (fam)-trastuzumab deruxtecan. Updated overall design related to increases in enrollment number and study duration. Clarified details for Follow-up Phase. Updated interim analysis, inclusion criteria, method of treatment allocation, dose modification, and study procedures sections. For Cohort 1 only, tissue screening procedures updated. Updated general statistical considerations, sample size determination, and statistical analysis process sections. Added list of HER2 mutations and references related to rationale for expansion and size determination.
21 February 2020	Updated protocol synopsis, duration of study, clinical experience, summary of Clinical PK, rationale for expansion, and risks & benefits sections. Updated overall design section to include additional Cohort 1a. Updated selection of dose & usage, interim analysis, and inclusion & exclusion criteria sections. Updated Management Guidelines for Trastuzumab Deruxtecan, Guidelines for Non-Hematologic Toxicities, and Guidance for Interstitial Lung Disease. Updated study procedures, schedule of events, immunogenicity, LVEF decrease, and serious adverse reporting procedure for investigators sections. Removed infusion-related reactions section. Added guidance to the sponsor on reporting on Sudden Unexpected Serious Adverse Reactions (SUSARs) in Japan.
21 February 2020	This amendment is primarily driven by the need for alignment with the latest safety information on trastuzumab deruxtecan; additional information on Coronavirus disease 2019 (COVID-19) and update to interstitial lung disease (ILD) management. Updated protocol synopsis, inclusion & exclusion criteria, sample dose reduction level and interruption guidelines, dose modification, prior & concomitant medications, and additional PK assessment sections updated. Updated safety sections to provide clarifications. Updates efficacy analysis, immunogenicity analysis, AE analysis, and general statistical considerations sections. Updated schedule of events and instructions related to COVID-19.

Notes:

### Interruptions (globally)

Were there any global interruptions to the trial? No

## Limitations and caveats

None reported