



## Clinical trial results:

### Multicenter randomized controlled phase 2 trial to evaluate AM-125 in the treatment of acute peripheral vertigo following neurosurgery (TRIVERS)

#### Summary

|                          |                      |
|--------------------------|----------------------|
| EudraCT number           | 2018-002474-52       |
| Trial protocol           | BE CZ PL DE GB SK IT |
| Global end of trial date | 28 March 2022        |

#### Results information

|                                |                |
|--------------------------------|----------------|
| Result version number          | v1 (current)   |
| This version publication date  | 30 August 2023 |
| First version publication date | 30 August 2023 |

#### Trial information

##### Trial identification

|                       |                 |
|-----------------------|-----------------|
| Sponsor protocol code | AM-125-CL-18-01 |
|-----------------------|-----------------|

##### Additional study identifiers

|                                    |             |
|------------------------------------|-------------|
| ISRCTN number                      | -           |
| ClinicalTrials.gov id (NCT number) | NCT03908567 |
| WHO universal trial number (UTN)   | -           |

Notes:

##### Sponsors

|                              |   |
|------------------------------|---|
| Sponsor organisation name    | Auris Medical AG                            |
| Sponsor organisation address | Peter Merian Street 90, Basel, Switzerland, |
| Public contact               | CEO, Auris Medical AG, tm@aurismedical.com  |
| Scientific contact           | CEO, Auris Medical AG, tm@aurismedical.com  |

Notes:

##### Paediatric regulatory details

|  |    |
|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|  |                |
|--|----------------|
| Analysis stage                                       | Final          |
| Date of interim/final analysis                       | 29 August 2022 |
| Is this the analysis of the primary completion data? | Yes            |
| Primary completion date                              | 28 March 2022  |
| Global end of trial reached?                         | Yes            |
| Global end of trial date                             | 28 March 2022  |
| Was the trial ended prematurely?                     | No             |

Notes:

## General information about the trial

Main objective of the trial:

The study consisted of two parts (Part A and B):

Primary objective of Part A was

- a. to explore and provide an estimate of the dose response curve for AM-125, and
- b. to evaluate the efficacy of AM-125 compared to placebo in reducing the symptoms of vestibular dysfunction and accelerating vestibular compensation following surgery-induced acute vestibular syndrome (AVS).

Part A contained as well an oral open-label arm with 16 mg betahistine dihydrochloride tablets (authorized in the EU).

The primary objective of Part B was to evaluate the efficacy of two selected doses of AM-125 versus placebo, as determined based on the results from Part A, in reducing the symptoms of vestibular dysfunction and accelerating vestibular compensation following surgery-induced acute vestibular syndrome compared to placebo.

The 10 and 20 mg cohorts were selected for efficacy testing in Part B.

All subjects performed twice daily a home-based vestibular rehabilitation therapy exercise program.

Protection of trial subjects:

The trial was performed in compliance with International Conference on Harmonisation Good Clinical Practice (ICH GCP) Guidelines, and in accordance with the current version of the Declaration of Helsinki.

Background therapy: -

Evidence for comparator: -

|   |              |
|---|--------------|
| Actual start date of recruitment                          | 18 July 2019 |
| Long term follow-up planned                               | No           |
| Independent data monitoring committee (IDMC) involvement? | Yes          |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |              |
|--------------------------------------|--------------|
| Country: Number of subjects enrolled | Poland: 7    |
| Country: Number of subjects enrolled | Slovakia: 22 |
| Country: Number of subjects enrolled | Belgium: 3   |
| Country: Number of subjects enrolled | Czechia: 51  |
| Country: Number of subjects enrolled | France: 5    |
| Country: Number of subjects enrolled | Germany: 21  |
| Country: Number of subjects enrolled | Italy: 15    |
| Worldwide total number of subjects   | 124          |
| EEA total number of subjects         | 124          |

Notes:

| <b>Subjects enrolled per age group</b>    |     |
|---|-----|
| In utero                                  | 0   |
| Preterm newborn - gestational age < 37 wk | 0   |
| Newborns (0-27 days)                      | 0   |
| Infants and toddlers (28 days-23 months)  | 0   |
| Children (2-11 years)                     | 0   |
| Adolescents (12-17 years)                 | 0   |
| Adults (18-64 years)                      | 109 |
| From 65 to 84 years                       | 15  |
| 85 years and over                         | 0   |

## Subject disposition

### Recruitment

Recruitment details:

The study consisted of a Screening phase which started 28 days prior to Day 0 when the patient underwent surgery. Patients were randomized to treatment on Day 3. The treatment phase continued up to Day 31 with three Follow up visits (FUVs): Day 7 (FUV1), Day 14 (FUV2), and Day 31 (FUV3). Final follow-up phase continued until Day 45 (FUV4).

### Pre-assignment

Screening details:

The study consisted of two parts: In part A, a total of 67 subjects were screened at approximately 20 sites in Europe and Australia, of which 49 were randomized to AM-125 or placebo and 16 to oral betahistine (open label). In part B, a total of 102 subjects were screened of which 75 subjects were randomized at the same sites.

### Period 1

|                              |  |
|------------------------------|--|
| Period 1 title               | Treatment and follow-up (overall period) |
| Is this the baseline period? | Yes                                      |
| Allocation method            | Randomised - controlled                  |
| Blinding used                | Double blind                             |
| Roles blinded                | Subject, Investigator, Monitor           |

Blinding implementation details:

There were no differences in the spray pump devices (active vs. placebo) and no discernible difference in the odor or appearance of the spray formulations.  
The oral arm was an open-label arm for reference.

### Arms

|                              |         |
|------------------------------|---------|
| Are arms mutually exclusive? | Yes     |
| <b>Arm title</b>             | Placebo |

Arm description:

Recruitment into this arm took place in part A (n=7) and part B (n=25).

|  |                       |
|--|-----------------------|
| Arm type                               | Placebo               |
| Investigational medicinal product name | Placebo               |
| Investigational medicinal product code |                       |
| Other name                             |                       |
| Pharmaceutical forms                   | Nasal spray, solution |
| Routes of administration               | Intranasal use        |

Dosage and administration details:

1 actuation in each nostril. Three times daily for 28 days. 100 uL per actuation (0 mg/ml betahistine dihydrochloride).

|                  |                         |
|------------------|-------------------------|
| <b>Arm title</b> | Intranasal AM-125, 1 mg |
|------------------|-------------------------|

Arm description:

Recruitment into this arm happened solely in part A.

|  |                       |
|--|-----------------------|
| Arm type                               | Experimental          |
| Investigational medicinal product name | AM-125                |
| Investigational medicinal product code |                       |
| Other name                             |                       |
| Pharmaceutical forms                   | Nasal spray, solution |
| Routes of administration               | Intranasal use        |

Dosage and administration details:

1 actuation in each nostril. Three times daily for 28 days. 100 uL per actuation (5 mg/ml betahistine dihydrochloride).

|                  |                          |
|------------------|--------------------------|
| <b>Arm title</b> | Intranasal AM-125, 10 mg |
|------------------|--------------------------|

Arm description:

Recruitment into this arm took place in part A (n=9) and part B (n=25).

|  |                       |
|--|-----------------------|
| Arm type                               | Experimental          |
| Investigational medicinal product name | AM-125                |
| Investigational medicinal product code |                       |
| Other name                             |                       |
| Pharmaceutical forms                   | Nasal spray, solution |
| Routes of administration               | Intranasal use        |

Dosage and administration details:

1 actuation in each nostril. Three times daily for 28 days. 100 uL per actuation (50 mg/ml betahistine dihydrochloride).

|                  |                          |
|------------------|--------------------------|
| <b>Arm title</b> | Intranasal AM-125, 20 mg |
|------------------|--------------------------|

Arm description:

Recruitment into this arm took place in part A (n=8) and part B (n=25).

|  |                       |
|--|-----------------------|
| Arm type                               | Experimental          |
| Investigational medicinal product name | AM-125                |
| Investigational medicinal product code |                       |
| Other name                             |                       |
| Pharmaceutical forms                   | Nasal spray, solution |
| Routes of administration               | Intranasal use        |

Dosage and administration details:

1 actuation in each nostril. Three times daily for 28 days. 100 uL per actuation (100 mg/ml betahistine dihydrochloride).

|                  |                  |
|------------------|------------------|
| <b>Arm title</b> | Oral betahistine |
|------------------|------------------|

Arm description:

Was added for reference without placebo. Open-label arm.

|  |                                 |
|--|---------------------------------|
| Arm type                               | Active comparator               |
| Investigational medicinal product name | Oral betahistine, 16 mg tablets |
| Investigational medicinal product code |                                 |
| Other name                             |                                 |
| Pharmaceutical forms                   | Coated tablet                   |
| Routes of administration               | Oral use                        |

Dosage and administration details:

Three times daily a tablet containing 16 mg betahistine dihydrochloride for 28 days (tablet approved and holding a marketing authorization in the EU).

| <b>Number of subjects in period 1</b>         | Placebo | Intranasal AM-125, 1 mg | Intranasal AM-125, 10 mg |
|---|---------|-------------------------|--------------------------|
| Started                                       | 32      | 9                       | 34                       |
| Completed                                     | 31      | 8                       | 32                       |
| Not completed                                 | 1       | 1                       | 2                        |
| Consent withdrawn by subject                  | -       | -                       | 2                        |
| Adverse event, non-fatal                      | -       | 1                       | -                        |
| Adverse event related to surgery, but not IMP | -       | -                       | -                        |
| Lost to follow-up                             | 1       | -                       | -                        |

|                                       |                          |                  |
|---------------------------------------|--------------------------|------------------|
| <b>Number of subjects in period 1</b> | Intranasal AM-125, 20 mg | Oral betahistine |
|---------------------------------------|--------------------------|------------------|

|  |    |    |
|--|----|----|
| Started  | 33 | 16 |
| Completed  | 29 | 14 |
| Not completed                                    | 4  | 2  |
| Consent withdrawn by subject                     | -  | 1  |
| Adverse event, non-fatal                         | 2  | -  |
| Adverse event related to surgery,<br>but not IMP | 2  | 1  |
| Lost to follow-up                                | -  | -  |

## Baseline characteristics

### Reporting groups

|   |                          |
|---|--------------------------|
| Reporting group title   | Placebo                  |
| Reporting group description:  |                          |
| Recruitment into this arm took place in part A (n=7) and part B (n=25). |                          |
| Reporting group title   | Intranasal AM-125, 1 mg  |
| Reporting group description:  |                          |
| Recruitment into this arm happened solely in part A.                    |                          |
| Reporting group title   | Intranasal AM-125, 10 mg |
| Reporting group description:  |                          |
| Recruitment into this arm took place in part A (n=9) and part B (n=25). |                          |
| Reporting group title   | Intranasal AM-125, 20 mg |
| Reporting group description:  |                          |
| Recruitment into this arm took place in part A (n=8) and part B (n=25). |                          |
| Reporting group title   | Oral betahistine         |
| Reporting group description:  |                          |
| Was added for reference without placebo. Open-label arm.                |                          |

| Reporting group values  | Placebo | Intranasal AM-125,<br>1 mg | Intranasal AM-125,<br>10 mg |
|---|---------|----------------------------|-----------------------------|
| Number of subjects  | 32      | 9                          | 34                          |
| Age categorical   |         |                            |                             |
| Randomization was stratified by age at baseline (< 50 years of age, ≥ 50 years of age). |         |                            |                             |
| Units: Subjects   |         |                            |                             |
| In utero  | 0       | 0                          | 0                           |
| Preterm newborn infants<br>(gestational age < 37 wks)                                   | 0       | 0                          | 0                           |
| Newborns (0-27 days)  | 0       | 0                          | 0                           |
| Infants and toddlers (28 days-23<br>months)   | 0       | 0                          | 0                           |
| Children (2-11 years)   | 0       | 0                          | 0                           |
| Adolescents (12-17 years)   | 0       | 0                          | 0                           |
| Adults (18-64 years)  | 0       | 0                          | 0                           |
| From 65-84 years  | 0       | 0                          | 0                           |
| 85 years and over   | 0       | 0                          | 0                           |
| Adults > 50 years   | 20      | 4                          | 20                          |
| Adults < 50 years   | 12      | 5                          | 14                          |
| Age continuous  |         |                            |                             |
| Units: years  |         |                            |                             |
| arithmetic mean   | 59.2    | 58.5                       | 59.2                        |
| standard deviation  | ± 5.13  | ± 7.23                     | ± 6.82                      |
| Gender categorical  |         |                            |                             |
| Units: Subjects   |         |                            |                             |
| Female  | 22      | 5                          | 18                          |
| Male  | 10      | 4                          | 16                          |

| Reporting group values | Intranasal AM-125,<br>20 mg | Oral betahistine | Total |
|------------------------|-----------------------------|------------------|-------|
| Number of subjects     | 33                          | 16               | 124   |

|   |       |        |    |
|---|-------|--------|----|
| Age categorical   |       |        |    |
| Randomization was stratified by age at baseline (< 50 years of age, ≥ 50 years of age). |       |        |    |
| Units: Subjects   |       |        |    |
| In utero  | 0     | 0      | 0  |
| Preterm newborn infants<br>(gestational age < 37 wks)                                   | 0     | 0      | 0  |
| Newborns (0-27 days)  | 0     | 0      | 0  |
| Infants and toddlers (28 days-23<br>months)   | 0     | 0      | 0  |
| Children (2-11 years)   | 0     | 0      | 0  |
| Adolescents (12-17 years)   | 0     | 0      | 0  |
| Adults (18-64 years)  | 0     | 0      | 0  |
| From 65-84 years  | 0     | 0      | 0  |
| 85 years and over   | 0     | 0      | 0  |
| Adults > 50 years   | 22    | 12     | 78 |
| Adults < 50 years   | 11    | 4      | 46 |
| Age continuous  |       |        |    |
| Units: years  |       |        |    |
| arithmetic mean   | 58.8  | 58.0   |    |
| standard deviation  | ± 5.4 | ± 6.15 | -  |
| Gender categorical  |       |        |    |
| Units: Subjects   |       |        |    |
| Female  | 14    | 11     | 70 |
| Male  | 19    | 5      | 54 |

## End points

### End points reporting groups

|   |                          |
|---|--------------------------|
| Reporting group title   | Placebo                  |
| Reporting group description:<br>Recruitment into this arm took place in part A (n=7) and part B (n=25).   |                          |
| Reporting group title   | Intranasal AM-125, 1 mg  |
| Reporting group description:<br>Recruitment into this arm happened solely in part A.  |                          |
| Reporting group title   | Intranasal AM-125, 10 mg |
| Reporting group description:<br>Recruitment into this arm took place in part A (n=9) and part B (n=25).   |                          |
| Reporting group title   | Intranasal AM-125, 20 mg |
| Reporting group description:<br>Recruitment into this arm took place in part A (n=8) and part B (n=25).   |                          |
| Reporting group title   | Oral betahistine         |
| Reporting group description:<br>Was added for reference without placebo. Open-label arm.  |                          |
| Subject analysis set title  | Enrolled Set             |
| Subject analysis set type   | Full analysis            |
| Subject analysis set description:<br>All subjects who signed informed consent.  |                          |
| Subject analysis set title  | Intention to Treat Set   |
| Subject analysis set type   | Intention-to-treat       |
| Subject analysis set description:<br>Includes all randomized subjects. Subjects are analyzed according to their randomized treatment.   |                          |
| Subject analysis set title  | Safety Set               |
| Subject analysis set type   | Safety analysis          |
| Subject analysis set description:<br>Includes all subjects who received randomized therapy. Subjects are summarized according to the treatment received.  |                          |
| Subject analysis set title  | Per Protocol Set         |
| Subject analysis set type   | Per protocol             |
| Subject analysis set description:<br>Includes all subjects from the ITT Analysis Set who received at least one dose of study drug and are without major protocol deviations which would interfere with the analysis of the primary endpoint. Subjects are analyzed according to the treatment received. |                          |

### Primary: Improvement in tandem Romberg test (eyes closed)

|  |   |
|--|---|
| End point title  | Improvement in tandem Romberg test (eyes closed) <sup>[1]</sup> |
| End point description:<br>Tandem Romberg Test is a physical assessment used to evaluate disturbance in subject's balance. In the present protocol, subjects were asked to stand straight in tandem stand (the heel of one foot touching the toes of the other foot, shoes removed) with eyes closed. The time for which the subjects were able to hold this position until they either opened their eyes or moved their feet in order to maintain balance for a maximum of 30 seconds, was measured by the examiner. On each occasion the assessment was performed three times. The best performance was used for efficacy analysis. The assessment was performed at SV, TV and at all FUVs. |   |
| End point type   | Primary   |
| End point timeframe:<br>From TV (baseline) to FUV4.  |   |

Notes:

[1] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period. Justification: In this entry major endpoints are presented. The final analysis did focus on the 10 mg and 20 mg cohorts. Therefore, the statistic for the 1 mg cohort is not presented here. For more information the reader can refer to the publication of the study or send a request to the sponsor.

| <b>End point values</b>             | Placebo         | Intranasal AM-125, 10 mg | Intranasal AM-125, 20 mg |  |
|-------------------------------------|-----------------|--------------------------|--------------------------|--|
| Subject group type                  | Reporting group | Reporting group          | Reporting group          |  |
| Number of subjects analysed         | 31              | 32                       | 31                       |  |
| Units: seconds                      |                 |                          |                          |  |
| least squares mean (standard error) | 10.7 (± 1.38)   | 10.5 (± 1.35)            | 11.2 (± 1.37)            |  |

## Statistical analyses

| <b>Statistical analysis title</b> | Improvement in TRT between Placebo and AM-125 |
|-----------------------------------|---|
|-----------------------------------|---|

Statistical analysis description:

In the MMRM model, the dependent variable was the endpoint change in the tandem Romberg test from baseline to FUV4. Randomized treatment, time (i.e. FUV1, 2, 3 and 4) and time by-randomized treatment interaction were fitted as fixed effects with baseline value as a covariate. Subject were included as a random effect. Analysis was stratified by age. The primary estimand of interest was the contrast between AM-125 doses and placebo at FUV4.

|   |   |
|---|---|
| Comparison groups                       | Placebo v Intranasal AM-125, 10 mg v Intranasal AM-125, 20 mg |
| Number of subjects included in analysis | 94  |
| Analysis specification                  | Pre-specified   |
| Analysis type                           | superiority   |
| P-value                                 | < 0.8 [2]   |
| Method                                  | Mixed models analysis   |

Notes:

[2] - AM-125 10 mg vs. Placebo p-value = 0.9  
AM-125 20 mg vs. Placebo p-value = 0.8

## Secondary: Improvement in time standing on foam (SOF)

| <b>End point title</b> | Improvement in time standing on foam (SOF) <sup>[3]</sup> |
|------------------------|---|
|------------------------|---|

End point description:

Subjects were asked to stand straight on a foam plate with parallel feet (shoes removed) and eyes closed. An examiner measured the time that subjects were able to hold this position until they either open their eyes or move their feet in order to maintain balance for a maximum of 30 seconds.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

From baseline to FUV2.

Notes:

[3] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period. Justification: In this entry major endpoints are presented. The final analysis did focus on the 10 mg and 20 mg cohorts. Therefore, the statistic for the 1 mg cohort is not presented here. For more information the reader can refer to the publication of the study or send a request to the sponsor.

| <b>End point values</b>             | Placebo         | Intranasal AM-125, 10 mg | Intranasal AM-125, 20 mg |  |
|-------------------------------------|-----------------|--------------------------|--------------------------|--|
| Subject group type                  | Reporting group | Reporting group          | Reporting group          |  |
| Number of subjects analysed         | 31              | 32                       | 31                       |  |
| Units: seconds                      |                 |                          |                          |  |
| least squares mean (standard error) | 14.77 (± 1.83)  | 15.89 (± 1.78)           | 18.46 (± 1.85)           |  |

## Statistical analyses

| <b>Statistical analysis title</b>   | Improvement in SOF between Placebo and AM-125                 |
|---|---|
| Statistical analysis description:<br>Same model as for primary endpoint was used. |   |
| Comparison groups   | Placebo v Intranasal AM-125, 10 mg v Intranasal AM-125, 20 mg |
| Number of subjects included in analysis   | 94  |
| Analysis specification  | Pre-specified   |
| Analysis type   | superiority   |
| P-value   | < 0.158 <sup>[4]</sup>  |
| Method  | Mixed models analysis   |

Notes:

[4] - AM-125 10 mg vs. Placebo p-value = 0.66  
AM-125 20 mg vs. Placebo p-value = 0.16

## Other pre-specified: Change in the Vestibular Rehabilitation Benefit Questionnaire score from

|                 |   |
|-----------------|---|
| End point title | Change in the Vestibular Rehabilitation Benefit Questionnaire score from <sup>[5]</sup> |
|-----------------|---|

End point description:

Subjects were self-assessing their vestibular symptoms and the impact of vestibular dysfunction with the Vestibular Rehabilitation Benefit Questionnaire (Morris et al. 2009) at all visits. The VRBQ as a measure of vestibular deficit was analyzed only in Part B of the study. The score decreased over time in all treatment groups with the largest improvements observed in the AM 125 20 mg group. The improvements (LS Mean change), using MMRM analysis, compared to baseline in VRBQ score did not reach statistical significance for either treatment group when compared to placebo. The trend for better improvement in the AM-125 20 mg group was driven primarily by reduction in subjective dizziness and anxiety among symptoms and lower impact on quality of life. The results presented below correspond to the FUV3.

|                |                     |
|----------------|---------------------|
| End point type | Other pre-specified |
|----------------|---------------------|

End point timeframe:

From TV (baseline) to FUV3

Notes:

[5] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period. Justification: In this entry major endpoints are presented. The final analysis did focus on the 10 mg and 20 mg cohorts. Therefore, the statistic for the 1 mg cohort is not presented here. For more information the reader can refer to the publication of the study or send a request to the sponsor.

| <b>End point values</b>             | Placebo         | Intranasal AM-125, 10 mg | Intranasal AM-125, 20 mg |  |
|-------------------------------------|-----------------|--------------------------|--------------------------|--|
| Subject group type                  | Reporting group | Reporting group          | Reporting group          |  |
| Number of subjects analysed         | 32              | 34                       | 33                       |  |
| Units: Score                        |                 |                          |                          |  |
| least squares mean (standard error) | -27.5 (± 2.9)   | -22.65 (± 2.9)           | -28.76 (± 3.1)           |  |

## Statistical analyses

| <b>Statistical analysis title</b>                                  | Improvement in VRBQ between Placebo and AM-125                |
|--|---|
| Statistical analysis description:<br>Results are provided for FUV3 |   |
| Comparison groups  | Placebo v Intranasal AM-125, 10 mg v Intranasal AM-125, 20 mg |
| Number of subjects included in analysis                            | 99  |
| Analysis specification   | Pre-specified   |
| Analysis type  | superiority   |
| P-value  | = 0.24 [6]  |
| Method   | Mixed models analysis   |

Notes:

[6] - AM-125 10 mg vs. Placebo p-value = 0.24  
AM-125 20 mg vs. Placebo p-value = 0.78

## Post-hoc: Resolution of Spontaneous Nystagmus

| <b>End point title</b>   | Resolution of Spontaneous Nystagmus <sup>[7]</sup> |
|--|--|
| End point description:<br>Nystagmus is a condition of involuntary eye movement and is commonly seen in many types of balance disorder.<br>The number of subjects with presence of nystagmus and without nystagmus were analyzed at all the FUV visits in treatment groups of AM 125 10 mg, AM 125 20 mg, and placebo. The most significant results were observed at FUV4, which are reported hereby. |  |
| End point type   | Post-hoc   |
| End point timeframe:<br>From TV (baseline) to FUV4   |  |

Notes:

[7] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period.  
Justification: In this entry major endpoints are presented. The final analysis did focus on the 10 mg and 20 mg cohorts. Therefore, the statistic for the 1 mg cohort is not presented here. For more information the reader can refer to the publication of the study.

| <b>End point values</b>     | Placebo         | Intranasal AM-125, 10 mg | Intranasal AM-125, 20 mg |  |
|-----------------------------|-----------------|--------------------------|--------------------------|--|
| Subject group type          | Reporting group | Reporting group          | Reporting group          |  |
| Number of subjects analysed | 32              | 34                       | 33                       |  |
| Units: number               | 8               | 10                       | 14                       |  |

## Statistical analyses

|   |   |
|---|---|
| <b>Statistical analysis title</b>       | Resolution of spontaneous nystagmus                           |
| Comparison groups                       | Placebo v Intranasal AM-125, 10 mg v Intranasal AM-125, 20 mg |
| Number of subjects included in analysis | 99  |
| Analysis specification                  | Post-hoc  |
| Analysis type                           | superiority   |
| P-value                                 | = 0.47 [8]  |
| Method                                  | Mixed models analysis   |

Notes:

[8] - AM-125 10 mg vs. Placebo p-value = 0.27

AM-125 20 mg vs. Placebo p-value = 0.47

### Post-hoc: Change in Tandem Romberg test excluding subjects with substantial vestibular compensation at baseline

|                 |  |
|-----------------|--|
| End point title | Change in Tandem Romberg test excluding subjects with substantial vestibular compensation at baseline <sup>[9]</sup> |
|-----------------|--|

End point description:

Mapping the change in the Tandem Romberg test against the change in the Standing on Foam test at a subject level revealed several cases that were unable to perform the Tandem Romberg test at baseline, but achieved meaningful or even maximum Standing on Foam scores. This pointed to the presence of substantial vestibular compensation in part of study participants in spite of the attempts to exclude such subjects. For a post hoc sensitivity analysis, subjects were excluded if their time to failure in the Standing on Foam test at baseline was  $\geq 10$  sec., a level which separated outliers from the bulk of low-performing subjects (7 in the placebo, 2 in each of the active treated groups). Their exclusion had no impact on the overall pattern of recovery in the Tandem Romberg test, but resulted in a larger differential in improvement between the AM-125 20 mg and placebo group at FUV2 and FUV3. Results reported below correspond to FUV3.

|                |          |
|----------------|----------|
| End point type | Post-hoc |
|----------------|----------|

End point timeframe:

From baseline to FUV3

Notes:

[9] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period. Justification: In this entry major endpoints are presented. The final analysis did focus on the 10 mg and 20 mg cohorts. Therefore, the statistic for the 1 mg cohort is not presented here. For more information the reader can refer to the publication of the study or send a request to the sponsor.

| End point values                        | Placebo           | Intranasal AM-125, 10 mg | Intranasal AM-125, 20 mg |  |
|---|-------------------|--------------------------|--------------------------|--|
| Subject group type                      | Reporting group   | Reporting group          | Reporting group          |  |
| Number of subjects analysed             | 24                | 32                       | 30                       |  |
| Units: seconds                          |                   |                          |                          |  |
| least squares mean (standard deviation) | 7.9 ( $\pm$ 6.47) | 9.7 ( $\pm$ 7.92)        | 12.2 ( $\pm$ 10.58)      |  |

### Statistical analyses

|                                   |   |
|-----------------------------------|---|
| <b>Statistical analysis title</b> | Improvement in TRT between Placebo and AM-125 |
| Comparison groups                 | Placebo v Intranasal AM-125, 20 mg            |

|   |                         |
|---|-------------------------|
| Number of subjects included in analysis | 54                      |
| Analysis specification                  | Post-hoc                |
| Analysis type                           | superiority             |
| P-value                                 | = 0.059 <sup>[10]</sup> |
| Method                                  | Mixed models analysis   |

Notes:

[10] - Results are provided for AM-125 20mg vs . Placebo at FUV3

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

From baseline to end of study at all visits.

Adverse event reporting additional description:

Only adverse events related to the IMP AM-125 are reported here.  
Other adverse events related to the surgery are not reported here.

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

|                    |      |
|--------------------|------|
| Dictionary version | 21.1 |
|--------------------|------|

### Reporting groups

|                       |         |
|-----------------------|---------|
| Reporting group title | Placebo |
|-----------------------|---------|

Reporting group description: -

|                       |            |
|-----------------------|------------|
| Reporting group title | AM-125 1mg |
|-----------------------|------------|

Reporting group description: -

|                       |             |
|-----------------------|-------------|
| Reporting group title | AM-125 10mg |
|-----------------------|-------------|

Reporting group description: -

|                       |             |
|-----------------------|-------------|
| Reporting group title | AM-125 20mg |
|-----------------------|-------------|

Reporting group description: -

|                       |                       |
|-----------------------|-----------------------|
| Reporting group title | Oral Betahistine 16mg |
|-----------------------|-----------------------|

Reporting group description: -

| <b>Serious adverse events</b>                     | Placebo        | AM-125 1mg    | AM-125 10mg    |
|---|----------------|---------------|----------------|
| Total subjects affected by serious adverse events |                |               |                |
| subjects affected / exposed                       | 0 / 32 (0.00%) | 0 / 9 (0.00%) | 0 / 34 (0.00%) |
| number of deaths (all causes)                     | 0              | 0             | 0              |
| number of deaths resulting from adverse events    | 0              | 0             | 0              |

| <b>Serious adverse events</b>                     | AM-125 20mg    | Oral Betahistine 16mg |  |
|---|----------------|-----------------------|--|
| Total subjects affected by serious adverse events |                |                       |  |
| subjects affected / exposed                       | 0 / 33 (0.00%) | 0 / 16 (0.00%)        |  |
| number of deaths (all causes)                     | 0              | 0                     |  |
| number of deaths resulting from adverse events    | 0              | 0                     |  |

Frequency threshold for reporting non-serious adverse events: 0 %

| <b>Non-serious adverse events</b>  | Placebo             | AM-125 1mg          | AM-125 10mg         |
|--|---------------------|---------------------|---------------------|
| Total subjects affected by non-serious adverse events<br>subjects affected / exposed     | 3 / 32 (9.38%)      | 2 / 9 (22.22%)      | 1 / 34 (2.94%)      |
| <b>Investigations</b>  |                     |                     |                     |
| Alanine aminotransferase increased<br>subjects affected / exposed<br>occurrences (all)   | 0 / 32 (0.00%)<br>0 | 1 / 9 (11.11%)<br>1 | 0 / 34 (0.00%)<br>0 |
| Aspartate aminotransferase increased<br>subjects affected / exposed<br>occurrences (all) | 0 / 32 (0.00%)<br>0 | 1 / 9 (11.11%)<br>1 | 0 / 34 (0.00%)<br>0 |
| Blood alkaline phosphatase increased<br>subjects affected / exposed<br>occurrences (all) | 0 / 32 (0.00%)<br>0 | 0 / 9 (0.00%)<br>0  | 0 / 34 (0.00%)<br>0 |
| Transaminases abnormal<br>subjects affected / exposed<br>occurrences (all)               | 0 / 32 (0.00%)<br>0 | 0 / 9 (0.00%)<br>0  | 1 / 34 (2.94%)<br>1 |
| <b>Vascular disorders</b>  |                     |                     |                     |
| Flushing<br>subjects affected / exposed<br>occurrences (all)                             | 0 / 32 (0.00%)<br>0 | 1 / 9 (11.11%)<br>1 | 0 / 34 (0.00%)<br>0 |
| Hypertension<br>subjects affected / exposed<br>occurrences (all)                         | 0 / 32 (0.00%)<br>0 | 1 / 9 (11.11%)<br>1 | 0 / 34 (0.00%)<br>0 |
| <b>Nervous system disorders</b>  |                     |                     |                     |
| Headache<br>subjects affected / exposed<br>occurrences (all)                             | 0 / 32 (0.00%)<br>0 | 2 / 9 (22.22%)<br>2 | 0 / 34 (0.00%)<br>0 |
| Dysgeusia<br>subjects affected / exposed<br>occurrences (all)                            | 0 / 32 (0.00%)<br>0 | 0 / 9 (0.00%)<br>0  | 0 / 34 (0.00%)<br>0 |
| <b>General disorders and administration site conditions</b>                              |                     |                     |                     |
| Chest pain<br>subjects affected / exposed<br>occurrences (all)                           | 0 / 32 (0.00%)<br>0 | 0 / 9 (0.00%)<br>0  | 0 / 34 (0.00%)<br>0 |
| Mucosal dryness  |                     |                     |                     |

|  |                     |                    |                     |
|--|---------------------|--------------------|---------------------|
| subjects affected / exposed<br>occurrences (all) | 1 / 32 (3.13%)<br>1 | 0 / 9 (0.00%)<br>0 | 0 / 34 (0.00%)<br>0 |
| Gastrointestinal disorders                       |                     |                    |                     |
| Apthous ulcer                                    |                     |                    |                     |
| subjects affected / exposed                      | 0 / 32 (0.00%)      | 0 / 9 (0.00%)      | 0 / 34 (0.00%)      |
| occurrences (all)                                | 0                   | 0                  | 0                   |
| Respiratory, thoracic and mediastinal disorders  |                     |                    |                     |
| Nasal discomfort                                 |                     |                    |                     |
| subjects affected / exposed                      | 1 / 32 (3.13%)      | 1 / 9 (11.11%)     | 0 / 34 (0.00%)      |
| occurrences (all)                                | 1                   | 2                  | 0                   |
| Cough  |                     |                    |                     |
| subjects affected / exposed                      | 0 / 32 (0.00%)      | 0 / 9 (0.00%)      | 0 / 34 (0.00%)      |
| occurrences (all)                                | 0                   | 0                  | 0                   |
| Epistaxis  |                     |                    |                     |
| subjects affected / exposed                      | 0 / 32 (0.00%)      | 0 / 9 (0.00%)      | 0 / 34 (0.00%)      |
| occurrences (all)                                | 0                   | 0                  | 0                   |
| Nasal crusting                                   |                     |                    |                     |
| subjects affected / exposed                      | 1 / 32 (3.13%)      | 0 / 9 (0.00%)      | 0 / 34 (0.00%)      |
| occurrences (all)                                | 1                   | 0                  | 0                   |
| Nasal dryness                                    |                     |                    |                     |
| subjects affected / exposed                      | 0 / 32 (0.00%)      | 1 / 9 (11.11%)     | 0 / 34 (0.00%)      |
| occurrences (all)                                | 0                   | 1                  | 0                   |
| Nasal mucosal disorder                           |                     |                    |                     |
| subjects affected / exposed                      | 1 / 32 (3.13%)      | 0 / 9 (0.00%)      | 0 / 34 (0.00%)      |
| occurrences (all)                                | 1                   | 0                  | 0                   |
| Nasal pruritus                                   |                     |                    |                     |
| subjects affected / exposed                      | 0 / 32 (0.00%)      | 0 / 9 (0.00%)      | 0 / 34 (0.00%)      |
| occurrences (all)                                | 0                   | 0                  | 0                   |
| Nasal ulcer                                      |                     |                    |                     |
| subjects affected / exposed                      | 1 / 32 (3.13%)      | 0 / 9 (0.00%)      | 0 / 34 (0.00%)      |
| occurrences (all)                                | 1                   | 0                  | 0                   |
| Skin and subcutaneous tissue disorders           |                     |                    |                     |
| Dermatitis contact                               |                     |                    |                     |
| subjects affected / exposed                      | 0 / 32 (0.00%)      | 0 / 9 (0.00%)      | 0 / 34 (0.00%)      |
| occurrences (all)                                | 0                   | 0                  | 0                   |
| Rash   |                     |                    |                     |

|   |                     |                     |                     |
|---|---------------------|---------------------|---------------------|
| subjects affected / exposed<br>occurrences (all)                                      | 0 / 32 (0.00%)<br>0 | 0 / 9 (0.00%)<br>0  | 0 / 34 (0.00%)<br>0 |
| Psychiatric disorders<br>Insomnia<br>subjects affected / exposed<br>occurrences (all) | 0 / 32 (0.00%)<br>0 | 1 / 9 (11.11%)<br>1 | 0 / 34 (0.00%)<br>0 |

| <b>Non-serious adverse events</b>  | AM-125 20mg         | Oral Betahistine 16mg |  |
|--|---------------------|-----------------------|--|
| Total subjects affected by non-serious adverse events<br>subjects affected / exposed     | 5 / 33 (15.15%)     | 3 / 16 (18.75%)       |  |
| Investigations   |                     |                       |  |
| Alanine aminotransferase increased<br>subjects affected / exposed<br>occurrences (all)   | 0 / 33 (0.00%)<br>0 | 0 / 16 (0.00%)<br>0   |  |
| Aspartate aminotransferase increased<br>subjects affected / exposed<br>occurrences (all) | 0 / 33 (0.00%)<br>0 | 0 / 16 (0.00%)<br>0   |  |
| Blood alkaline phosphatase increased<br>subjects affected / exposed<br>occurrences (all) | 0 / 33 (0.00%)<br>0 | 1 / 16 (6.25%)<br>1   |  |
| Transaminases abnormal<br>subjects affected / exposed<br>occurrences (all)               | 0 / 33 (0.00%)<br>0 | 0 / 16 (0.00%)<br>0   |  |
| Vascular disorders   |                     |                       |  |
| Flushing<br>subjects affected / exposed<br>occurrences (all)                             | 0 / 33 (0.00%)<br>0 | 0 / 16 (0.00%)<br>0   |  |
| Hypertension<br>subjects affected / exposed<br>occurrences (all)                         | 0 / 33 (0.00%)<br>0 | 0 / 16 (0.00%)<br>0   |  |
| Nervous system disorders   |                     |                       |  |
| Headache<br>subjects affected / exposed<br>occurrences (all)                             | 1 / 33 (3.03%)<br>2 | 0 / 16 (0.00%)<br>0   |  |
| Dysgeusia  |                     |                       |  |

|   |                     |                     |  |
|---|---------------------|---------------------|--|
| subjects affected / exposed<br>occurrences (all)        | 1 / 33 (3.03%)<br>1 | 0 / 16 (0.00%)<br>0 |  |
| General disorders and administration<br>site conditions |                     |                     |  |
| Chest pain  |                     |                     |  |
| subjects affected / exposed                             | 0 / 33 (0.00%)      | 1 / 16 (6.25%)      |  |
| occurrences (all)                                       | 0                   | 1                   |  |
| Mucosal dryness   |                     |                     |  |
| subjects affected / exposed                             | 0 / 33 (0.00%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                       | 0                   | 0                   |  |
| Gastrointestinal disorders                              |                     |                     |  |
| Apthous ulcer   |                     |                     |  |
| subjects affected / exposed                             | 0 / 33 (0.00%)      | 1 / 16 (6.25%)      |  |
| occurrences (all)                                       | 0                   | 2                   |  |
| Respiratory, thoracic and mediastinal<br>disorders      |                     |                     |  |
| Nasal discomfort  |                     |                     |  |
| subjects affected / exposed                             | 0 / 33 (0.00%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                       | 0                   | 0                   |  |
| Cough   |                     |                     |  |
| subjects affected / exposed                             | 1 / 33 (3.03%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                       | 1                   | 0                   |  |
| Epistaxis   |                     |                     |  |
| subjects affected / exposed                             | 1 / 33 (3.03%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                       | 1                   | 0                   |  |
| Nasal crusting  |                     |                     |  |
| subjects affected / exposed                             | 0 / 33 (0.00%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                       | 0                   | 0                   |  |
| Nasal dryness   |                     |                     |  |
| subjects affected / exposed                             | 0 / 33 (0.00%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                       | 0                   | 0                   |  |
| Nasal mucosal disorder                                  |                     |                     |  |
| subjects affected / exposed                             | 0 / 33 (0.00%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                       | 0                   | 0                   |  |
| Nasal pruritus  |                     |                     |  |
| subjects affected / exposed                             | 1 / 33 (3.03%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                       | 1                   | 0                   |  |
| Nasal ulcer   |                     |                     |  |

|  |                     |                     |  |
|--|---------------------|---------------------|--|
| subjects affected / exposed<br>occurrences (all) | 0 / 33 (0.00%)<br>0 | 0 / 16 (0.00%)<br>0 |  |
| Skin and subcutaneous tissue disorders           |                     |                     |  |
| Dermatitis contact                               |                     |                     |  |
| subjects affected / exposed                      | 0 / 33 (0.00%)      | 1 / 16 (6.25%)      |  |
| occurrences (all)                                | 0                   | 1                   |  |
| Rash   |                     |                     |  |
| subjects affected / exposed                      | 1 / 33 (3.03%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                | 1                   | 0                   |  |
| Psychiatric disorders                            |                     |                     |  |
| Insomnia   |                     |                     |  |
| subjects affected / exposed                      | 0 / 33 (0.00%)      | 0 / 16 (0.00%)      |  |
| occurrences (all)                                | 0                   | 0                   |  |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date              | Amendment  |
|-------------------|--|
| 08 February 2019  | <p>The protocol amendment 1 was issued due to a request from the Belgian competent authority concerning the use of contraception and the definition of women of childbearing potential.</p> <p>The contraception methods of diaphragm with spermicide, male or female condoms with spermicide, or cervical cap were deleted. Criteria for excluding women with pregnancy, breast-feeding, child-bearing potential and unable/unwilling to use contraceptive methods also need to be checked at TV in addition to SV.</p>   |
| 17 October 2019   | <p>The protocol amendment 2 was issued to facilitate subject recruitment as well as to avoid duplication of safety assessments as relevant new safety information was available.</p> <p>It included:</p> <ul style="list-style-type: none"><li>-Extension of the subject population to include subjects who developed symptom following labyrinthectomy or vestibular neurectomy as the pathophysiology is the same (acute damage to vestibular nerve with one-sided loss of afferent neurotransmission from the inner ear to the brain).</li></ul> <p>Modification of inclusion and exclusion criteria based on investigator feedback from sites after recruitment start to facilitate a smooth conduct of the study.</p> <p>Removal of two low/intermediate doses (2.5 and 5 mg) in Part A based on favorable safety outcomes in another study (AM 201 CL 18 01) with escalation of exactly the same IMP doses (1 to 20 mg t.i.d.) and the same treatment duration of 4 weeks.</p> |
| 07 September 2020 | <p>The protocol amendment 3 was based on the pre-planned interim analysis following completion of Part A:</p> <p>10 mg dose was selected as the low dose and the 20 mg dose was selected as the high dose to be tested in Part B.</p> <p>The interim analysis for Part A confirmed the assumptions of the initial sample size calculation. The sample size was therefore not changed and remained 24 patients per treatment arm, 72 patients in total.</p> <p>The VRBQ was added to evaluate important aspects of dizziness impact.</p>  |
| 23 December 2021  | <p>The protocol amendment 4 was issued to incorporate result of additional ad-hoc analyses following the completion of Part A.</p> <p>It was concluded that the Tandem Romberg test tends to be more challenging for patients and has a lower likelihood of being affected by a ceiling effect compared to the Standing on Foam test. Also, an efficacy endpoint at 6 weeks following surgery was considered more indicative of lasting improvement than an endpoint after 2 weeks only. Therefore, it was decided to switch the primary and key-secondary endpoints for the final statistical analysis.</p>   |

Notes:

### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

Limitations of the trial such as small numbers of subjects analysed or technical problems leading to unreliable data.

Limitations that may have affected the results of the primary efficacy endpoint included the small sample size and high placebo response.

Notes:

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## Online references

<http://www.ncbi.nlm.nih.gov/pubmed/37026797>