



## Clinical trial results:

### Safety and Efficacy of GEN3009 (DuoHexaBody®-CD37) in Relapsed or Refractory B-Cell Non-Hodgkin Lymphoma - A First-in-Human, Open-label, Phase 1/2a Dose Escalation Trial With Dose Expansion Cohorts Summary

|                          |                |
|--------------------------|----------------|
| EudraCT number           | 2019-002752-16 |
| Trial protocol           | DK ES NL FR BE |
| Global end of trial date | 28 July 2023   |

#### Results information

|                                |              |
|--------------------------------|--------------|
| Result version number          | v1 (current) |
| This version publication date  | 02 June 2024 |
| First version publication date | 02 June 2024 |

#### Trial information

##### Trial identification

|                       |            |
|-----------------------|------------|
| Sponsor protocol code | GCT3009-01 |
|-----------------------|------------|

##### Additional study identifiers

|                                    |             |
|------------------------------------|-------------|
| ISRCTN number                      | -           |
| ClinicalTrials.gov id (NCT number) | NCT04358458 |
| WHO universal trial number (UTN)   | -           |

Notes:

##### Sponsors

|                              |  |
|------------------------------|--|
| Sponsor organisation name    | Genmab A/S   |
| Sponsor organisation address | Carl Jacobsens Vej 30, Valby, Denmark, 2500                |
| Public contact               | Medical Lead, Genmab, +45 7020 2728, regulatory@genmab.com |
| Scientific contact           | Medical Lead, Genmab, +45 7020 2728, regulatory@genmab.com |

Notes:

##### Paediatric regulatory details

|  |    |
|--|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|  |              |
|--|--------------|
| Analysis stage                                       | Final        |
| Date of interim/final analysis                       | 28 July 2023 |
| Is this the analysis of the primary completion data? | No           |
| Global end of trial reached?                         | Yes          |
| Global end of trial date                             | 28 July 2023 |
| Was the trial ended prematurely?                     | No           |

Notes:

## General information about the trial

Main objective of the trial:

The main objective of the trial is to determine the anti-tumor efficacy in subjects with Relapsed or Refractory B-Cell Non-Hodgkin Lymphoma.

Protection of trial subjects:

All the participants will sign the informed consent form.

Background therapy: -

Evidence for comparator: -

|   |               |
|---|---------------|
| Actual start date of recruitment                          | 13 March 2020 |
| Long term follow-up planned                               | No            |
| Independent data monitoring committee (IDMC) involvement? | No            |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |                   |
|--------------------------------------|-------------------|
| Country: Number of subjects enrolled | Belgium: 2        |
| Country: Number of subjects enrolled | Denmark: 7        |
| Country: Number of subjects enrolled | Netherlands: 6    |
| Country: Number of subjects enrolled | Spain: 10         |
| Country: Number of subjects enrolled | United States: 21 |
| Worldwide total number of subjects   | 46                |
| EEA total number of subjects         | 25                |

Notes:

### Subjects enrolled per age group

|   |    |
|---|----|
| In utero                                  | 0  |
| Preterm newborn - gestational age < 37 wk | 0  |
| Newborns (0-27 days)                      | 0  |
| Infants and toddlers (28 days-23 months)  | 0  |
| Children (2-11 years)                     | 0  |
| Adolescents (12-17 years)                 | 0  |
| Adults (18-64 years)                      | 16 |
| From 65 to 84 years                       | 29 |



## Subject disposition

### Recruitment

Recruitment details:

The study was conducted at investigative sites in Belgium, Denmark, Netherlands, Spain and the United States from 13 March 2020 to 28 July 2023.

### Pre-assignment

Screening details:

This study was to be conducted in 2 parts; Part 1 was the dose-escalation phase and Part 2 was the expansion phase. However, due to early termination of the study, the sponsor decided not to conduct the expansion phase (Part 2).

### Period 1

|                              |                                 |
|------------------------------|---------------------------------|
| Period 1 title               | Overall period (overall period) |
| Is this the baseline period? | Yes                             |
| Allocation method            | Non-randomised - controlled     |
| Blinding used                | Not blinded                     |

### Arms

|                              |     |
|------------------------------|-----|
| Are arms mutually exclusive? | Yes |
|------------------------------|-----|

|                  |   |
|------------------|---|
| <b>Arm title</b> | Part 1: GEN3009 Dose Level A in Schedule 1 (S1) |
|------------------|---|

Arm description:

Participants received GEN3009 Dose level A in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

|  |                                       |
|--|---------------------------------------|
| Arm type                               | Experimental                          |
| Investigational medicinal product name | GEN3009                               |
| Investigational medicinal product code |                                       |
| Other name                             | DuoHexaBody®-CD37                     |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Administered as specified in the treatment arm.

|                  |                                    |
|------------------|------------------------------------|
| <b>Arm title</b> | Part 1: GEN3009 Dose Level B in S1 |
|------------------|------------------------------------|

Arm description:

Participants received GEN3009 Dose level B in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

|  |                                       |
|--|---------------------------------------|
| Arm type                               | Experimental                          |
| Investigational medicinal product name | GEN3009                               |
| Investigational medicinal product code |                                       |
| Other name                             | DuoHexaBody®-CD37                     |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Administered as specified in the treatment arm.

|                  |                                    |
|------------------|------------------------------------|
| <b>Arm title</b> | Part 1: GEN3009 Dose Level C in S1 |
|------------------|------------------------------------|

Arm description:

Participants received GEN3009 Dose level C in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

|          |              |
|----------|--------------|
| Arm type | Experimental |
|----------|--------------|

|  |                                       |
|--|---------------------------------------|
| Investigational medicinal product name | GEN3009                               |
| Investigational medicinal product code |                                       |
| Other name                             | DuoHexaBody®-CD37                     |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Administered as specified in the treatment arm.

|                  |                                    |
|------------------|------------------------------------|
| <b>Arm title</b> | Part 1: GEN3009 Dose Level D in S1 |
|------------------|------------------------------------|

Arm description:

Participants received GEN3009 Dose level D in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at Cycle 1 Day 1 (C1D1) and the remaining amount at Day 2 (C1D2).

|  |                                       |
|--|---------------------------------------|
| Arm type                               | Experimental                          |
| Investigational medicinal product name | GEN3009                               |
| Investigational medicinal product code |                                       |
| Other name                             | DuoHexaBody®-CD37                     |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Administered as specified in the treatment arm.

|                  |   |
|------------------|---|
| <b>Arm title</b> | Part 1: GEN3009 Dose Level D in Schedule 2 (S2) |
|------------------|---|

Arm description:

Participants received GEN3009 Dose level D in S2 (in US only) by IV infusion on Days 1, 4, 8, 11, 15, 18, 22 and 25 in cycles 1, Day 1, 8, 15 and 22 in Cycles 2-3, Day 1 and 15 in Cycles 4-9 and Day 1 starting Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. Participants received half of the full dose on Days 1, 4, 8, 11, 15, 18, 22, and 25 i.e. two half doses on Days 1 and 4 of each week for the first cycle.

|  |                                       |
|--|---------------------------------------|
| Arm type                               | Experimental                          |
| Investigational medicinal product name | GEN3009                               |
| Investigational medicinal product code |                                       |
| Other name                             | DuoHexaBody®-CD37                     |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Administered as specified in the treatment arm.

|                  |                                    |
|------------------|------------------------------------|
| <b>Arm title</b> | Part 1: GEN3009 Dose Level E in S1 |
|------------------|------------------------------------|

Arm description:

Participants received GEN3009 Dose level E in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

|  |                                       |
|--|---------------------------------------|
| Arm type                               | Experimental                          |
| Investigational medicinal product name | GEN3009                               |
| Investigational medicinal product code |                                       |
| Other name                             | DuoHexaBody®-CD37                     |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Administered as specified in the treatment arm.

|                  |                                    |
|------------------|------------------------------------|
| <b>Arm title</b> | Part 1: GEN3009 Dose Level F in S1 |
|------------------|------------------------------------|

Arm description:

Participants received GEN3009 Dose level F in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

|  |                                       |
|--|---------------------------------------|
| Arm type                               | Experimental                          |
| Investigational medicinal product name | GEN3009                               |
| Investigational medicinal product code |                                       |
| Other name                             | DuoHexaBody®-CD37                     |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Administered as specified in the treatment arm.

|                  |                                    |
|------------------|------------------------------------|
| <b>Arm title</b> | Part 1: GEN3009 Dose Level G in S1 |
|------------------|------------------------------------|

Arm description:

Participants received GEN3009 Dose level G in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

|  |                                       |
|--|---------------------------------------|
| Arm type                               | Experimental                          |
| Investigational medicinal product name | GEN3009                               |
| Investigational medicinal product code |                                       |
| Other name                             | DuoHexaBody®-CD37                     |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Administered as specified in the treatment arm.

| Number of subjects in period 1      | Part 1: GEN3009 Dose Level A in Schedule 1 (S1) | Part 1: GEN3009 Dose Level B in S1 | Part 1: GEN3009 Dose Level C in S1 |
|-------------------------------------|---|------------------------------------|------------------------------------|
|                                     | Started   | 3                                  | 4                                  |
| Completed                           | 0   | 0                                  | 0                                  |
| Not completed                       | 3   | 4                                  | 7                                  |
| Consent withdrawn by subject        | -   | -                                  | 1                                  |
| Death                               | 1   | 1                                  | 3                                  |
| Sponsor request                     | 2   | 3                                  | 3                                  |
| Site is closing study participation | -   | -                                  | -                                  |
| Lost to follow-up                   | -   | -                                  | -                                  |

| Number of subjects in period 1 | Part 1: GEN3009 Dose Level D in S1 | Part 1: GEN3009 Dose Level D in Schedule 2 (S2) | Part 1: GEN3009 Dose Level E in S1 |
|--------------------------------|------------------------------------|---|------------------------------------|
|                                | Started                            | 10  | 3                                  |
| Completed                      | 0                                  | 0   | 0                                  |
| Not completed                  | 10                                 | 3   | 10                                 |

|                                     |   |   |   |
|-------------------------------------|---|---|---|
| Consent withdrawn by subject        | 1 | - | 1 |
| Death                               | 8 | 1 | 6 |
| Sponsor request                     | - | 2 | 3 |
| Site is closing study participation | 1 | - | - |
| Lost to follow-up                   | - | - | - |

| <b>Number of subjects in period 1</b> | Part 1: GEN3009<br>Dose Level F in S1 | Part 1: GEN3009<br>Dose Level G in S1 |
|---------------------------------------|---------------------------------------|---------------------------------------|
| Started                               | 3                                     | 6                                     |
| Completed                             | 0                                     | 0                                     |
| Not completed                         | 3                                     | 6                                     |
| Consent withdrawn by subject          | -                                     | 1                                     |
| Death                                 | 2                                     | 3                                     |
| Sponsor request                       | 1                                     | 1                                     |
| Site is closing study participation   | -                                     | -                                     |
| Lost to follow-up                     | -                                     | 1                                     |

## Baseline characteristics

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### Reporting groups

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|                       |   |
|-----------------------|---|
| Reporting group title | Part 1: GEN3009 Dose Level A in Schedule 1 (S1) |
|-----------------------|---|

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Reporting group description:

Participants received GEN3009 Dose level A in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level B in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level B in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level C in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level C in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level D in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level D in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at Cycle 1 Day 1 (C1D1) and the remaining amount at Day 2 (C1D2).

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|                       |   |
|-----------------------|---|
| Reporting group title | Part 1: GEN3009 Dose Level D in Schedule 2 (S2) |
|-----------------------|---|

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Reporting group description:

Participants received GEN3009 Dose level D in S2 (in US only) by IV infusion on Days 1, 4, 8, 11, 15, 18, 22 and 25 in cycles 1, Day 1, 8, 15 and 22 in Cycles 2-3, Day 1 and 15 in Cycles 4-9 and Day 1 starting Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. Participants received half of the full dose on Days 1, 4, 8, 11, 15, 18, 22, and 25 i.e. two half doses on Days 1 and 4 of each week for the first cycle.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level E in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level E in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level F in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level F in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level G in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level G in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

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| <b>Reporting group values</b>      | Part 1: GEN3009<br>Dose Level A in<br>Schedule 1 (S1) | Part 1: GEN3009<br>Dose Level B in S1 | Part 1: GEN3009<br>Dose Level C in S1 |
|------------------------------------|---|---------------------------------------|---------------------------------------|
| Number of subjects                 | 3   | 4                                     | 7                                     |
| Age categorical<br>Units: Subjects |   |                                       |                                       |

|   |              |                |                |
|---|--------------|----------------|----------------|
| Age continuous<br>Units: years<br>arithmetic mean<br>standard deviation | 65<br>± 3.46 | 54.5<br>± 8.81 | 74.6<br>± 8.68 |
| Gender categorical<br>Units: Subjects                                   |              |                |                |
| Female  | 2            | 1              | 2              |
| Male  | 1            | 3              | 5              |
| Ethnicity<br>Units: Subjects  |              |                |                |
| Missing   | 0            | 2              | 5              |
| Not Hispanic or Latino  | 2            | 2              | 2              |
| Not Reported  | 1            | 0              | 0              |
| Race<br>Units: Subjects   |              |                |                |
| Black or African American   | 1            | 1              | 0              |
| Missing   | 0            | 0              | 0              |
| Not Reported  | 0            | 0              | 0              |
| Other   | 0            | 0              | 0              |
| White   | 2            | 3              | 7              |

| <b>Reporting group values</b>      | Part 1: GEN3009<br>Dose Level D in S1 | Part 1: GEN3009<br>Dose Level D in<br>Schedule 2 (S2) | Part 1: GEN3009<br>Dose Level E in S1 |
|------------------------------------|---------------------------------------|---|---------------------------------------|
| Number of subjects                 | 10                                    | 3   | 10                                    |
| Age categorical<br>Units: Subjects |                                       |   |                                       |

|   |                |                 |                 |
|---|----------------|-----------------|-----------------|
| Age continuous<br>Units: years<br>arithmetic mean<br>standard deviation | 67.1<br>± 9.89 | 63.3<br>± 16.86 | 69.4<br>± 10.23 |
| Gender categorical<br>Units: Subjects                                   |                |                 |                 |
| Female  | 2              | 0               | 2               |
| Male  | 8              | 3               | 8               |
| Ethnicity<br>Units: Subjects  |                |                 |                 |
| Missing   | 4              | 0               | 8               |
| Not Hispanic or Latino  | 6              | 3               | 0               |
| Not Reported  | 0              | 0               | 2               |
| Race<br>Units: Subjects   |                |                 |                 |
| Black or African American   | 2              | 0               | 0               |
| Missing   | 0              | 0               | 1               |

|              |   |   |   |
|--------------|---|---|---|
| Not Reported | 1 | 0 | 0 |
| Other        | 0 | 0 | 1 |
| White        | 7 | 3 | 8 |

| <b>Reporting group values</b>      | Part 1: GEN3009<br>Dose Level F in S1 | Part 1: GEN3009<br>Dose Level G in S1 | Total |
|------------------------------------|---------------------------------------|---------------------------------------|-------|
| Number of subjects                 | 3                                     | 6                                     | 46    |
| Age categorical<br>Units: Subjects |                                       |                                       |       |

|                                       |        |         |    |
|---------------------------------------|--------|---------|----|
| Age continuous<br>Units: years        |        |         |    |
| arithmetic mean                       | 70.3   | 60.8    | -  |
| standard deviation                    | ± 9.87 | ± 17.38 |    |
| Gender categorical<br>Units: Subjects |        |         |    |
| Female                                | 1      | 1       | 11 |
| Male                                  | 2      | 5       | 35 |
| Ethnicity<br>Units: Subjects          |        |         |    |
| Missing                               | 3      | 3       | 25 |
| Not Hispanic or Latino                | 0      | 3       | 18 |
| Not Reported                          | 0      | 0       | 3  |
| Race<br>Units: Subjects               |        |         |    |
| Black or African American             | 0      | 1       | 5  |
| Missing                               | 0      | 0       | 1  |
| Not Reported                          | 0      | 0       | 1  |
| Other                                 | 0      | 0       | 1  |
| White                                 | 3      | 5       | 38 |

## End points

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### End points reporting groups

|                       |   |
|-----------------------|---|
| Reporting group title | Part 1: GEN3009 Dose Level A in Schedule 1 (S1) |
|-----------------------|---|

Reporting group description:

Participants received GEN3009 Dose level A in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level B in S1 |
|-----------------------|------------------------------------|

Reporting group description:

Participants received GEN3009 Dose level B in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level C in S1 |
|-----------------------|------------------------------------|

Reporting group description:

Participants received GEN3009 Dose level C in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level D in S1 |
|-----------------------|------------------------------------|

Reporting group description:

Participants received GEN3009 Dose level D in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at Cycle 1 Day 1 (C1D1) and the remaining amount at Day 2 (C1D2).

|                       |   |
|-----------------------|---|
| Reporting group title | Part 1: GEN3009 Dose Level D in Schedule 2 (S2) |
|-----------------------|---|

Reporting group description:

Participants received GEN3009 Dose level D in S2 (in US only) by IV infusion on Days 1, 4, 8, 11, 15, 18, 22 and 25 in cycles 1, Day 1, 8, 15 and 22 in Cycles 2-3, Day 1 and 15 in Cycles 4-9 and Day 1 starting Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. Participants received half of the full dose on Days 1, 4, 8, 11, 15, 18, 22, and 25 i.e. two half doses on Days 1 and 4 of each week for the first cycle.

|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level E in S1 |
|-----------------------|------------------------------------|

Reporting group description:

Participants received GEN3009 Dose level E in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level F in S1 |
|-----------------------|------------------------------------|

Reporting group description:

Participants received GEN3009 Dose level F in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level G in S1 |
|-----------------------|------------------------------------|

Reporting group description:

Participants received GEN3009 Dose level G in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

## Primary: Number of Participants With Dose Limiting Toxicities (DLTs)

|                 |  |
|-----------------|--|
| End point title | Number of Participants With Dose Limiting Toxicities (DLTs) <sup>[1]</sup> |
|-----------------|--|

End point description:

DLTs were graded according to National Cancer Institute Common Terminology Criteria for Adverse Events (NCI-CTCAE) version 5.0, except for TLS (Cairo-Bishop grading) and CRS/ICANS (Lee et al., 2019). These criteria include: all Grade 5 toxicities; hematologic events including thrombocytopenia Grade 4, neutropenia Grade 4, Febrile neutropenia Grade 3 or 4, Grade 3 or 4 hemorrhage associated with thrombocytopenia of  $\geq$ Grade 3, anemia of Grade 4 and tumor lysis syndrome (TLS) Grade 4; and non-hematologic AEs of Grade 3 or higher excluding certain fevers, hypotension, laboratory values, Aspartate aminotransferase (AST) and/or alanine aminotransferase (ALT), nausea, vomiting, diarrhea, fatigue/asthenia, or alopecia (no grading), which meet certain additional criteria. The Safety analysis set included all participants who had received at least 1 dose of GEN3009.

|                |         |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

During the first treatment cycle (Cycle length=28 days)

Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Only descriptive analysis was planned to be analysed.

| End point values            | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|-----------------------------|---|--|--|--|
| Subject group type          | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed | 3   | 4  | 7  | 10                                       |
| Units: participants         | 0   | 0  | 0  | 0  |

| End point values            | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|-----------------------------|---|--|--|--|
| Subject group type          | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed | 3   | 10                                       | 3  | 6  |
| Units: participants         | 0   | 0  | 0  | 3  |

## Statistical analyses

No statistical analyses for this end point

## Primary: Number of Participants With Treatment Emergent Adverse Events (TEAEs) and Serious TEAEs

|                 |  |
|-----------------|--|
| End point title | Number of Participants With Treatment Emergent Adverse Events (TEAEs) and Serious TEAEs <sup>[2]</sup> |
|-----------------|--|

End point description:

An AE is any untoward medical occurrence in a clinical trial participant, temporally associated with the

use of a medicinal product, whether or not considered related to the medicinal product. An SAE is defined as an AE that meets one of the following criteria: is fatal or life-threatening; results in persistent or significant disability/incapacity; constitutes a congenital anomaly/birth defect; is medically significant (an event that jeopardizes the participant or may require medical or surgical intervention to prevent one of the outcomes listed above [medical and scientific judgment must be exercised in deciding whether an AE is "medically significant"]); required inpatient hospitalization or prolongation of existing hospitalization. TEAEs are defined as AEs which begin, or worsen, during the on-treatment period ending 4 weeks after the last dose of study medication. The Safety analysis set included all participants who had received at least 1 dose of GEN3009.

|                |         |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

From first dose until 30 days after the last dose (up to 15.5 months)

Notes:

[2] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Only descriptive analysis was planned to be analysed.

| End point values                | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|---------------------------------|---|--|--|--|
| Subject group type              | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed     | 3   | 4  | 7  | 10                                       |
| Units: participants             |   |  |  |  |
| Participants with TEAEs         | 3   | 4  | 7  | 10                                       |
| Participants with Serious TEAEs | 0   | 0  | 4  | 7  |

| End point values                | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|---------------------------------|---|--|--|--|
| Subject group type              | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed     | 3   | 10                                       | 3  | 6  |
| Units: participants             |   |  |  |  |
| Participants with TEAEs         | 3   | 10                                       | 3  | 6  |
| Participants with Serious TEAEs | 2   | 4  | 2  | 4  |

## Statistical analyses

No statistical analyses for this end point

## Primary: Number of Participants With AEs of Special Interest (AESI)

|                 |   |
|-----------------|---|
| End point title | Number of Participants With AEs of Special Interest (AESI) <sup>[3]</sup> |
|-----------------|---|

End point description:

AESIs are defined as events (serious or non-serious) that are of scientific and medical concern specific to the sponsor's product or program, for which ongoing monitoring and rapid communication by the investigator to the sponsor may be appropriate. The Safety analysis set included all participants who had received at least 1 dose of GEN3009.

|                |         |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

From first dose until 30 days after the last dose (up to 15.5 months)

Notes:

[3] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Only descriptive analysis was planned to be analysed.

| <b>End point values</b>     | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|-----------------------------|---|--|--|--|
| Subject group type          | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed | 3   | 4  | 7  | 10                                       |
| Units: participants         | 1   | 1  | 7  | 9  |

| <b>End point values</b>     | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|-----------------------------|---|--|--|--|
| Subject group type          | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed | 3   | 10                                       | 3  | 6  |
| Units: participants         | 3   | 10                                       | 3  | 5  |

### Statistical analyses

No statistical analyses for this end point

### Primary: Number of Participants With Clinically Significant Laboratory Abnormalities Reported as TEAEs

|                 |  |
|-----------------|--|
| End point title | Number of Participants With Clinically Significant Laboratory Abnormalities Reported as TEAEs <sup>[4]</sup> |
|-----------------|--|

End point description:

Laboratory parameters included hematology, serum chemistries and urinalysis. Clinically significant laboratory abnormalities were based upon the Investigator's discretion. Laboratory parameters captured as AEs are reported in this outcome measure. The Safety analysis set included all participants who had received at least 1 dose of GEN3009.

|                |         |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

From first dose until 30 days after the last dose (up to 15.5 months)

Notes:

[4] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Only descriptive analysis was planned to be analysed.

| <b>End point values</b>               | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|---------------------------------------|---|--|--|--|
| Subject group type                    | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed           | 3   | 4  | 7  | 10                                       |
| Units: participants                   |   |  |  |  |
| Blood creatinine increased            | 0   | 0  | 2  | 1  |
| Blood alkaline phosphatase increased  | 0   | 0  | 1  | 0  |
| Blood lactate dehydrogenase increased | 0   | 0  | 1  | 0  |
| Alanine aminotransferase increased    | 0   | 0  | 1  | 0  |
| Aspartate aminotransferase increased  | 0   | 0  | 1  | 0  |
| Blood creatine increased              | 0   | 0  | 0  | 0  |

| <b>End point values</b>               | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|---------------------------------------|---|--|--|--|
| Subject group type                    | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed           | 3   | 10                                       | 3  | 6  |
| Units: participants                   |   |  |  |  |
| Blood creatinine increased            | 0   | 0  | 0  | 1  |
| Blood alkaline phosphatase increased  | 0   | 1  | 0  | 1  |
| Blood lactate dehydrogenase increased | 0   | 1  | 0  | 0  |
| Alanine aminotransferase increased    | 0   | 0  | 0  | 0  |
| Aspartate aminotransferase increased  | 0   | 0  | 0  | 0  |
| Blood creatine increased              | 0   | 0  | 1  | 0  |

## Statistical analyses

No statistical analyses for this end point

## Primary: Number of Participants With Clinically Notable Vital Signs

|  |   |
|--|---|
| End point title  | Number of Participants With Clinically Notable Vital Signs <sup>[5]</sup> |
| End point description:   |   |
| <p>Criteria for clinically notable (elevated and below normal values respectively) vital signs are as follows: Systolic Blood Pressure (SBP): <math>\geq 180</math> millimeters of mercury (mmHg) and an increase <math>\geq 20</math> mmHg from baseline, <math>\leq 90</math> mmHg and a decrease <math>\geq 20</math> mmHg from baseline; Diastolic Blood Pressure (DBP): <math>\geq 105</math> mmHg and an increase <math>\geq 15</math> mmHg from baseline, <math>\leq 50</math> mmHg and a decrease <math>\geq 15</math> mmHg from baseline; Heart rate: <math>\geq 120</math> beats per minute (bpm) with an increase of <math>\geq 15</math> bpm from baseline, <math>\leq 50</math> bpm and a decrease <math>\geq 15</math> bpm from baseline; Temperature: <math>&gt; 38</math> degree Celsius (<math>^{\circ}\text{C}</math>), and <math>&lt; 35^{\circ}\text{C}</math>. Number of participants with clinically notable elevated and below normal vital signs values up to end of treatment are reported. The Safety analysis set included all participants who had received at least 1 dose of GEN3009. 'Number of subjects analysed' indicates the number of participants with data available for outcome measure analysis.</p> |   |
| End point type   | Primary   |
| End point timeframe:   |   |
| From first dose up to end of treatment (up to 14.5 months)   |   |

Notes:

[5] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Only descriptive analysis was planned to be analysed.

| <b>End point values</b>     | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|-----------------------------|---|--|--|--|
| Subject group type          | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed | 3   | 4  | 5  | 9  |
| Units: participants         |   |  |  |  |
| SBP: Elevated               | 0   | 0  | 0  | 0  |
| SBP: Below Normal           | 0   | 0  | 0  | 1  |
| DBP: Elevated               | 0   | 0  | 0  | 0  |
| DBP: Below Normal           | 0   | 0  | 0  | 0  |
| Heart Rate: Elevated        | 0   | 0  | 0  | 0  |
| Heart Rate: Below Normal    | 0   | 0  | 0  | 0  |
| Temperature: Elevated       | 0   | 0  | 0  | 0  |
| Temperature: Below Normal   | 0   | 0  | 0  | 0  |

| <b>End point values</b>     | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|-----------------------------|---|--|--|--|
| Subject group type          | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed | 3   | 8  | 2  | 5  |
| Units: participants         |   |  |  |  |
| SBP: Elevated               | 0   | 0  | 0  | 0  |
| SBP: Below Normal           | 0   | 2  | 0  | 1  |
| DBP: Elevated               | 0   | 0  | 0  | 0  |
| DBP: Below Normal           | 0   | 0  | 0  | 0  |
| Heart Rate: Elevated        | 0   | 0  | 0  | 0  |
| Heart Rate: Below Normal    | 0   | 0  | 0  | 0  |
| Temperature: Elevated       | 0   | 0  | 0  | 0  |
| Temperature: Below Normal   | 0   | 0  | 0  | 0  |

## Statistical analyses

No statistical analyses for this end point

## Primary: Number of Participants With Dose Delays and Dose Interruptions

|                 |   |
|-----------------|---|
| End point title | Number of Participants With Dose Delays and Dose Interruptions <sup>[6]</sup> |
|-----------------|---|

End point description:

Number of participants with dose delays and dose Interruptions due to AE, Coronavirus disease 2019 (COVID-19), drug administration issues and un-specified reason are reported. The Safety analysis set included all participants who had received at least 1 dose of GEN3009.

|                |         |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

From first dose until 30 days after the last dose (up to 15.5 months)

Notes:

[6] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Only descriptive analysis was planned to be analysed.

| End point values                                      | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|---|---|--|--|--|
| Subject group type                                    | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed                           | 3   | 4  | 7  | 10                                       |
| Units: participants                                   |   |  |  |  |
| Dose delay due to AE                                  | 0   | 0  | 4  | 1  |
| Dose delay due to COVID-19                            | 0   | 0  | 0  | 0  |
| Dose delay due to un-specified reason                 | 0   | 0  | 1  | 1  |
| Dose interruption due to AE                           | 0   | 1  | 7  | 9  |
| Dose interruption due to Drug<br>administration issue | 1   | 0  | 0  | 0  |
| Dose interruption due to un-specified<br>reason       | 0   | 0  | 1  | 1  |

| End point values                                      | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|---|---|--|--|--|
| Subject group type                                    | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed                           | 3   | 10                                       | 3  | 6  |
| Units: participants                                   |   |  |  |  |
| Dose delay due to AE                                  | 1   | 2  | 1  | 2  |
| Dose delay due to COVID-19                            | 0   | 0  | 0  | 0  |
| Dose delay due to un-specified reason                 | 0   | 1  | 1  | 0  |
| Dose interruption due to AE                           | 3   | 10                                       | 3  | 5  |
| Dose interruption due to Drug<br>administration issue | 0   | 1  | 0  | 0  |
| Dose interruption due to un-specified<br>reason       | 0   | 0  | 0  | 0  |

## Statistical analyses

No statistical analyses for this end point

## Primary: Actual Dose Intensity

End point title Actual Dose Intensity<sup>[7]</sup>

End point description:

Actual dose intensity (milligrams per cycle [mg/cycle]) is calculated as cumulative dose/number of cycles initiated. The Safety analysis set included all participants who had received at least 1 dose of

GEN3009. 999 indicates that data was not evaluable at given time point. 9999 indicates that standard deviation was not estimable as there was only 1 participant. 'n' signifies the number of participants analysed at specific timepoint.

|                |         |
|----------------|---------|
| End point type | Primary |
|----------------|---------|

End point timeframe:

From first dose until 30 days after the last dose (up to 15.5 months)

Notes:

[7] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Only descriptive analysis was planned to be analysed.

| End point values                                   | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|--|---|--|--|--|
| Subject group type                                 | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed                        | 3   | 4  | 7  | 10                                       |
| Units: mg/cycle                                    |   |  |  |  |
| arithmetic mean (standard deviation)               |   |  |  |  |
| Cycle 1-3  | 114.67 (± 112.10)   | 720.00 (± 0.00)                          | 1389.46 (± 278.91)                       | 2501.53 (± 992.91)                       |
| Cycle 4-9 (n= 0, 1, 4, 1, 0, 1, 2, 0)              | 999 (± 999)   | 363.71 (± 9999)                          | 799.71 (± 10.64)                         | 1527.27 (± 9999)                         |
| Cycle 10-until end of study<br>(n=0,0,1,0,0,0,0,0) | 999 (± 999)   | 999 (± 999)                              | 999 (± 999)                              | 999 (± 999)                              |

| End point values                                   | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--|---|--|--|--|
| Subject group type                                 | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed                        | 3   | 10                                       | 3  | 6  |
| Units: mg/cycle                                    |   |  |  |  |
| arithmetic mean (standard deviation)               |   |  |  |  |
| Cycle 1-3  | 2515.85 (± 1053.90)   | 3903.62 (± 1135.72)                      | 5957.23 (± 766.90)                       | 6973.78 (± 1072.58)                      |
| Cycle 4-9 (n= 0, 1, 4, 1, 0, 1, 2, 0)              | 999 (± 999)   | 2400.00 (± 9999)                         | 2953.02 (± 349.28)                       | 999 (± 999)                              |
| Cycle 10-until end of study<br>(n=0,0,1,0,0,0,0,0) | 999 (± 999)   | 318.94 (± 9999)                          | 999 (± 999)                              | 999 (± 999)                              |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Apparent Total Plasma Clearance (CL) of GEN3009

|                 |   |
|-----------------|---|
| End point title | Apparent Total Plasma Clearance (CL) of GEN3009 |
|-----------------|---|

End point description:

The pharmacokinetic analysis set (PAS) includes all participants who have been exposed to GEN3009 and have had at least one pharmacokinetic sample collected that has provided a valid bioanalytical

result.

|   |           |
|---|-----------|
| End point type  | Secondary |
| End point timeframe:  |           |
| Pre-dose and 5 minutes post-dose on days 1, 2, 4 (S2 only), 8, 15, 22 and 2 hours (h) and 4h post-dose on days 1 and 2, 24h post-dose on day 2 and 72h post-dose on day 4 of Cycles 1 and 2 (Each cycle length=28 days) |           |

| End point values                     | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 0 <sup>[8]</sup>  | 0 <sup>[9]</sup>                         | 0 <sup>[10]</sup>                        | 0 <sup>[11]</sup>                        |
| Units: milliliter per day (mL/day)   |   |  |  |  |
| arithmetic mean (standard deviation) | ( )   | ( )                                      | ( )                                      | ( )                                      |

Notes:

[8] - Data was not estimable because the values were below the lower limit of quantification (LLOQ).

[9] - Data was not estimable because the values were below the LLOQ.

[10] - Data was not estimable because the values were below the LLOQ.

[11] - Data was not estimable because the values were below the LLOQ.

| End point values                     | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 0 <sup>[12]</sup>   | 0 <sup>[13]</sup>                        | 0 <sup>[14]</sup>                        | 0 <sup>[15]</sup>                        |
| Units: milliliter per day (mL/day)   |   |  |  |  |
| arithmetic mean (standard deviation) | ( )   | ( )                                      | ( )                                      | ( )                                      |

Notes:

[12] - Data was not estimable because the values were below the LLOQ.

[13] - Data was not estimable because the values were below the LLOQ.

[14] - Data was not estimable because the values were below the LLOQ.

[15] - Data was not estimable because the values were below the LLOQ.

## Statistical analyses

No statistical analyses for this end point

## Secondary: Volume of Distribution of GEN3009

|  |                                   |
|--|-----------------------------------|
| End point title  | Volume of Distribution of GEN3009 |
| End point description:   |                                   |
| Volume of distribution is measured in milliliters per cubic centimeters (mL/cm <sup>3</sup> ). The PAS includes all participants who have been exposed to GEN3009 and have had at least one pharmacokinetic sample collected that has provided a valid bioanalytical result. |                                   |
| End point type   | Secondary                         |
| End point timeframe:   |                                   |
| Pre-dose and 5 minutes post-dose on days 1, 2, 4 (S2 only), 8, 15, 22 and 2h and 4h post-dose on days 1 and 2, 24h post-dose on day 2 and 72h post-dose on day 4 of Cycles 1 and 2 (Each cycle length=28 days)   |                                   |

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 0 <sup>[16]</sup>   | 0 <sup>[17]</sup>                        | 0 <sup>[18]</sup>                        | 0 <sup>[19]</sup>                        |
| Units: mL/cm <sup>3</sup>            |   |  |  |  |
| arithmetic mean (standard deviation) | ()  | ()                                       | ()                                       | ()                                       |

Notes:

[16] - Data was not estimable because the values were below the LLOQ.

[17] - Data was not estimable because the values were below the LLOQ.

[18] - Data was not estimable because the values were below the LLOQ.

[19] - Data was not estimable because the values were below the LLOQ.

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 0 <sup>[20]</sup>   | 0 <sup>[21]</sup>                        | 0 <sup>[22]</sup>                        | 0 <sup>[23]</sup>                        |
| Units: mL/cm <sup>3</sup>            |   |  |  |  |
| arithmetic mean (standard deviation) | ()  | ()                                       | ()                                       | ()                                       |

Notes:

[20] - Data was not estimable because the values were below the LLOQ.

[21] - Data was not estimable because the values were below the LLOQ.

[22] - Data was not estimable because the values were below the LLOQ.

[23] - Data was not estimable because the values were below the LLOQ.

## Statistical analyses

No statistical analyses for this end point

## Secondary: Area Under the Plasma Concentration-Time Curve (AUC) From Time 0 to Day 7 of GEN3009

|                 |  |
|-----------------|--|
| End point title | Area Under the Plasma Concentration-Time Curve (AUC) From Time 0 to Day 7 of GEN3009 |
|-----------------|--|

End point description:

The PAS includes all participants who have been exposed to GEN3009 and had had at least one pharmacokinetic sample collected that has provided a valid bioanalytical result. 999 indicates that data was not evaluable at given time point. 9999 indicates that standard deviation was not estimable as there was only 1 participant. 'n' signifies the number of participants analysed in a specific cycle.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Pre-dose and 5 minutes post-dose on days 1, 2 and 4 (S2 only); 2h and 4h post-dose on days 1 and 2, 24h post-dose on day 2 and 72h post-dose on day 4 of Cycles 1 and 2 (Each cycle length=28 days)

| <b>End point values</b>                            | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|--|---|--|--|--|
| Subject group type                                 | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed                        | 0 <sup>[24]</sup>   | 0 <sup>[25]</sup>                        | 7  | 10                                       |
| Units: microgram*day per milliliter<br>(ug*day/mL) |   |  |  |  |
| arithmetic mean (standard deviation)               |   |  |  |  |
| Cycle 1 (n= 0, 0, 2, 1, 1, 2, 3, 3)                | ()  | ()                                       | 159.2796 (±<br>95.7330)                  | 181.5966 (±<br>22.1333)                  |
| Cycle 2 (n= 0, 0, 1, 2, 0, 2, 3, 0)                | ()  | ()                                       | 132.6580 (±<br>9999)                     | 159.3302 (±<br>22.1333)                  |

Notes:

[24] - Data was not estimable because the values were below the LLOQ.

[25] - Data was not estimable because the values were below the LLOQ.

| <b>End point values</b>                            | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--|---|--|--|--|
| Subject group type                                 | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed                        | 3   | 10                                       | 3  | 6  |
| Units: microgram*day per milliliter<br>(ug*day/mL) |   |  |  |  |
| arithmetic mean (standard deviation)               |   |  |  |  |
| Cycle 1 (n= 0, 0, 2, 1, 1, 2, 3, 3)                | 260.8704 (±<br>9999)  | 219.1863 (±<br>46.3749)                  | 353.5017 (±<br>177.2169)                 | 389.0321 (±<br>126.8407)                 |
| Cycle 2 (n= 0, 0, 1, 2, 0, 2, 3, 0)                | 999 (± 999)   | 159.3456 (±<br>98.0641)                  | 363.4413 (±<br>190.0120)                 | 999 (± 999)                              |

## Statistical analyses

No statistical analyses for this end point

## Secondary: AUC From Time 0 to Infinity (AUCinf) of GEN3009

|                 |   |
|-----------------|---|
| End point title | AUC From Time 0 to Infinity (AUCinf) of GEN3009 |
|-----------------|---|

End point description:

The PAS includes all participants who have been exposed to GEN3009 and have had at least one pharmacokinetic sample collected that has provided a valid bioanalytical result. 999 indicates that data was not evaluable at given time point. 9999 indicates that standard deviation was not estimable as there was only 1 participant. 'n' signifies the number of participants analysed in a specific cycle.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Pre-dose and 5 minutes post-dose on days 1, 2, 4 (S2 only), 8, 15, 22 and 2 h and 4h post-dose on days 1 and 2, 24h post-dose on day 2 and 72h post-dose on day 4 of Cycles 1 and 2 (Each cycle length=28 days)

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 3   | 4  | 7  | 10                                       |
| Units: ug*day/mL                     |   |  |  |  |
| arithmetic mean (standard deviation) |   |  |  |  |
| Cycle 1 (n= 2, 4, 6, 7, 2, 7, 3, 5)  | 6.0154 (±<br>3.8503)  | 56.3289 (±<br>22.4400)                   | 136.8615 (±<br>72.7498)                  | 163.9206 (±<br>52.0135)                  |
| Cycle 2 (n= 2, 3, 5, 4, 3, 5, 3, 0)  | 5.9939 (±<br>0.6498)  | 46.4191 (±<br>9.8966)                    | 139.9286 (±<br>61.7235)                  | 127.4566 (±<br>49.8017)                  |

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 3   | 10                                       | 3  | 6  |
| Units: ug*day/mL                     |   |  |  |  |
| arithmetic mean (standard deviation) |   |  |  |  |
| Cycle 1 (n= 2, 4, 6, 7, 2, 7, 3, 5)  | 332.1408 (±<br>73.1435)                                     | 192.0412 (±<br>90.3476)                  | 358.0010 (±<br>183.3857)                 | 335.8745 (±<br>117.1831)                 |
| Cycle 2 (n= 2, 3, 5, 4, 3, 5, 3, 0)  | 957.4149 (±<br>1427.7939)                                   | 159.8668 (±<br>73.6559)                  | 363.7766 (±<br>190.3613)                 | 999 (± 999)                              |

## Statistical analyses

No statistical analyses for this end point

## Secondary: AUC From Time 0 to Time of Last Dose (AUClast) of GEN3009

|                 |   |
|-----------------|---|
| End point title | AUC From Time 0 to Time of Last Dose (AUClast) of GEN3009 |
|-----------------|---|

End point description:

The PAS includes all participants who have been exposed to GEN3009 and have had at least one pharmacokinetic sample collected that has provided a valid bioanalytical result. 'n' signifies the number of participants analysed in a specific cycle. 999 indicates that data was not evaluable at given time point. 9999 indicates that standard deviation was not estimable as there was only 1 participant.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Pre-dose and 5 minutes post-dose on days 1, 2, 4 (S2 only), 8, 15, 22 and 2 h and 4h post-dose on days 1 and 2, 24h post-dose on day 2 and 72h post-dose on day 4 of Cycles 1 and 2 (Each cycle length=28 days)

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 3   | 4  | 7  | 10                                       |
| Units: ug*day/mL                     |   |  |  |  |
| arithmetic mean (standard deviation) |   |  |  |  |
| Cycle 1 (n= 2, 4, 7, 8, 3, 9, 3, 6)  | 4.5524 (±<br>2.3467)  | 51.0701 (±<br>17.1901)                   | 103.4129 (±<br>73.3627)                  | 148.4079 (±<br>41.7750)                  |
| Cycle 2 (n= 2, 3, 5, 5, 3, 5, 3, 0)  | 4.4707 (±<br>1.3098)  | 45.0924 (±<br>9.1404)                    | 121.5349 (±<br>53.0658)                  | 103.5413 (±<br>61.7884)                  |

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 3   | 10                                       | 3  | 6  |
| Units: ug*day/mL                     |   |  |  |  |
| arithmetic mean (standard deviation) |   |  |  |  |
| Cycle 1 (n= 2, 4, 7, 8, 3, 9, 3, 6)  | 467.9622 (±<br>283.7778)                                    | 150.7393 (±<br>96.0893)                  | 353.5017 (±<br>177.2169)                 | 327.1762 (±<br>109.9472)                 |
| Cycle 2 (n= 2, 3, 5, 5, 3, 5, 3, 0)  | 441.7798 (±<br>542.0539)                                    | 152.4631 (±<br>74.1040)                  | 363.4413 (±<br>190.0120)                 | 999 (± 999)                              |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Maximum Observed Plasma Concentration (Cmax) of GEN3009

|                 |   |
|-----------------|---|
| End point title | Maximum Observed Plasma Concentration (Cmax) of GEN3009 |
|-----------------|---|

End point description:

The PAS includes all participants who have been exposed to GEN3009 and have had at least one pharmacokinetic sample collected that has provided a valid bioanalytical result. 999 indicates that data was not evaluable at given time point. 9999 indicates that standard deviation was not estimable as there was only 1 participant. 'n' signifies the number of participants analysed in a specific cycle.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Pre-dose and 5 minutes post-dose on days 1, 2, 4 (S2 only), 8, 15, 22 and 2 h and 4h post-dose on days 1 and 2, 24h post-dose on day 2 and 72h post-dose on day 4 of Cycles 1 and 2 (Each cycle length=28 days)

| <b>End point values</b>                 | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|---|---|--|--|--|
| Subject group type                      | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed             | 3   | 4  | 7  | 10                                       |
| Units: microgram per milliliter (ug/mL) |   |  |  |  |
| arithmetic mean (standard deviation)    |   |  |  |  |
| Cycle 1 (n= 2, 4, 7, 8, 3, 9, 3, 6)     | 8.3000 (±<br>3.5355)  | 43.4000 (±<br>12.7674)                   | 89.8714 (±<br>39.8257)                   | 139.0000 (±<br>43.8341)                  |
| Cycle 2 (n= 2, 3, 5, 5, 3, 5, 3, 0)     | 8.1050 (±<br>3.6699)  | 46.1667 (±<br>2.7934)                    | 118.4800 (±<br>29.9458)                  | 147.4000 (±<br>52.7286)                  |

| <b>End point values</b>                 | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|---|---|--|--|--|
| Subject group type                      | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed             | 3   | 10                                       | 3  | 6  |
| Units: microgram per milliliter (ug/mL) |   |  |  |  |
| arithmetic mean (standard deviation)    |   |  |  |  |
| Cycle 1 (n= 2, 4, 7, 8, 3, 9, 3, 6)     | 363.0667 (±<br>460.6843)                                    | 144.4778 (±<br>79.0905)                  | 317.3333 (±<br>51.4328)                  | 352.6667 (±<br>58.5514)                  |
| Cycle 2 (n= 2, 3, 5, 5, 3, 5, 3, 0)     | 572.0000 (±<br>708.4659)                                    | 237.0000 (±<br>30.8869)                  | 394.6667 (±<br>109.5871)                 | 999 (± 999)                              |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Time to Reach Cmax (Tmax) of GEN3009

|                 |                                      |
|-----------------|--------------------------------------|
| End point title | Time to Reach Cmax (Tmax) of GEN3009 |
|-----------------|--------------------------------------|

End point description:

The PAS includes all participants who have been exposed to GEN3009 and have had at least one pharmacokinetic sample collected that has provided a valid bioanalytical result. 999 indicates that data was not evaluable at given time point. 9999 indicates that standard deviation was not estimable as there was only 1 participant. 'n' signifies the number of participants analysed in a specific cycle.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Pre-dose and 5 minutes post-dose on days 1, 2, 4 (S2 only), 8, 15, 22 and 2 h and 4h post-dose on days 1 and 2, 24h post-dose on day 2 and 72h post-dose on day 4 of Cycles 1 and 2 (Each cycle length=28 days)

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 3   | 4  | 7  | 10                                       |
| Units: days                          |   |  |  |  |
| arithmetic mean (standard deviation) |   |  |  |  |
| Cycle 1 (n= 2, 4, 7, 8, 3, 9, 3, 6)  | 0.0765 (±<br>0.0120)  | 0.1490 (±<br>0.0957)                     | 0.2084 (±<br>0.0553)                     | 0.6039 (±<br>0.6768)                     |
| Cycle 2 (n= 2, 3, 5, 5, 3, 5, 3, 0)  | 0.0445 (±<br>0.0007)  | 0.1650 (±<br>0.1643)                     | 0.1656 (±<br>0.0820)                     | 0.2740 (±<br>0.3010)                     |

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 3   | 10                                       | 3  | 6  |
| Units: days                          |   |  |  |  |
| arithmetic mean (standard deviation) |   |  |  |  |
| Cycle 1 (n= 2, 4, 7, 8, 3, 9, 3, 6)  | 1.2123 (±<br>1.5987)  | 0.5030 (±<br>0.2908)                     | 1.3817 (±<br>0.0890)                     | 1.2580 (±<br>0.0771)                     |
| Cycle 2 (n= 2, 3, 5, 5, 3, 5, 3, 0)  | 0.2030 (±<br>0.0346)  | 0.1620 (±<br>0.0595)                     | 0.1983 (±<br>0.0535)                     | 999 (± 999)                              |

### Statistical analyses

No statistical analyses for this end point

### Secondary: Trough Concentrations (Ctough) of GEN3009

End point title | Trough Concentrations (Ctough) of GEN3009

End point description:

The PAS includes all participants who have been exposed to GEN3009 and have had at least one pharmacokinetic sample collected that has provided a valid bioanalytical result.

End point type | Secondary

End point timeframe:

Pre-dose and 5 minutes post-dose on days 1, 2, 4 (S2 only), 8, 15, 22 and 2 h and 4h post-dose on days 1 and 2, 24h post-dose on day 2 and 72h post-dose on day 4 of Cycles 1 and 2 (Each cycle length=28 days)

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 0 <sup>[26]</sup>   | 0 <sup>[27]</sup>                        | 0 <sup>[28]</sup>                        | 0 <sup>[29]</sup>                        |
| Units: µg/mL                         |   |  |  |  |
| arithmetic mean (standard deviation) | ( )   | ( )                                      | ( )                                      | ( )                                      |

Notes:

[26] - Data was not estimable because the values were below the LLOQ.

[27] - Data was not estimable because the values were below the LLOQ.

[28] - Data was not estimable because the values were below the LLOQ.

[29] - Data was not estimable because the values were below the LLOQ.

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 0 <sup>[30]</sup>   | 0 <sup>[31]</sup>                        | 0 <sup>[32]</sup>                        | 0 <sup>[33]</sup>                        |
| Units: µg/mL                         |   |  |  |  |
| arithmetic mean (standard deviation) | ( )   | ( )                                      | ( )                                      | ( )                                      |

Notes:

[30] - Data was not estimable because the values were below the LLOQ.

[31] - Data was not estimable because the values were below the LLOQ.

[32] - Data was not estimable because the values were below the LLOQ.

[33] - Data was not estimable because the values were below the LLOQ.

## Statistical analyses

No statistical analyses for this end point

## Secondary: Terminal Elimination Half-Life (t 1/2) of GEN3009

|                 |   |
|-----------------|---|
| End point title | Terminal Elimination Half-Life (t 1/2) of GEN3009 |
|-----------------|---|

End point description:

The pharmacokinetic analysis set (PAS) includes all participants who have been exposed to GEN3009 and have had at least one pharmacokinetic sample collected that has provided a valid bioanalytical result.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Pre-dose and 5 minutes post-dose on days 1, 2, 4 (S2 only), 8, 15, 22 and 2 h and 4h post-dose on days 1 and 2, 24h post-dose on day 2 and 72h post-dose on day 4 of Cycles 1 and 2 (Each cycle length=28 days)

| <b>End point values</b>     | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|-----------------------------|---|--|--|--|
| Subject group type          | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed | 0 <sup>[34]</sup>   | 0 <sup>[35]</sup>                        | 0 <sup>[36]</sup>                        | 0 <sup>[37]</sup>                        |
| Units: days                 |   |  |  |  |

|                                      |    |    |    |    |
|--------------------------------------|----|----|----|----|
| arithmetic mean (standard deviation) | () | () | () | () |
|--------------------------------------|----|----|----|----|

Notes:

[34] - Data was not estimable because the values were below the LLOQ.

[35] - Data was not estimable because the values were below the LLOQ.

[36] - Data was not estimable because the values were below the LLOQ.

[37] - Data was not estimable because the values were below the LLOQ.

| <b>End point values</b>              | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 0 <sup>[38]</sup>   | 0 <sup>[39]</sup>                        | 0 <sup>[40]</sup>                        | 0 <sup>[41]</sup>                        |
| Units: days                          |   |  |  |  |
| arithmetic mean (standard deviation) | ()  | ()                                       | ()                                       | ()                                       |

Notes:

[38] - Data was not estimable because the values were below the LLOQ.

[39] - Data was not estimable because the values were below the LLOQ.

[40] - Data was not estimable because the values were below the LLOQ.

[41] - Data was not estimable because the values were below the LLOQ.

### Statistical analyses

No statistical analyses for this end point

### Secondary: Number of Participants With Positive Anti-drug Antibodies (ADAs)

|                 |  |
|-----------------|--|
| End point title | Number of Participants With Positive Anti-drug Antibodies (ADAs) |
|-----------------|--|

End point description:

Venous blood samples will be collected for measurement of serum concentrations of ADAs. Number of participants with positive ADAs are reported in this outcome measure. The detection of ADAs was performed using validated, specific and sensitive Electrochemiluminescence Immunoassay (ECLIA) method. The immunogenicity analysis set included all participants who had received at least 1 dose of study drug and had a baseline and at least 1 evaluable on-treatment ADA sample.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

From first dose until 30 days after the last dose (up to 15.5 months)

| <b>End point values</b>     | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|-----------------------------|---|--|--|--|
| Subject group type          | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed | 3   | 3  | 7  | 8  |
| Units: participants         | 1   | 0  | 2  | 0  |

| <b>End point values</b> | Part 1:<br>GEN3009 Dose<br>Level D in | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|-------------------------|---------------------------------------|--|--|--|
|-------------------------|---------------------------------------|--|--|--|

|                             | Schedule 2 (S2) |                 |                 |                 |
|-----------------------------|-----------------|-----------------|-----------------|-----------------|
| Subject group type          | Reporting group | Reporting group | Reporting group | Reporting group |
| Number of subjects analysed | 3               | 9               | 3               | 3               |
| Units: participants         | 0               | 1               | 0               | 0               |

## Statistical analyses

No statistical analyses for this end point

### Secondary: Duration of Response (DoR)

|                 |                            |
|-----------------|----------------------------|
| End point title | Duration of Response (DoR) |
|-----------------|----------------------------|

End point description:

DoR is defined as the time from the first documentation of objective tumor response [Complete response (CR) or Partial response (PR)] to the date of first disease progression (PD) or death as assessed by the investigator based on Lugano criteria for B-cell non-Hodgkin lymphoma (B-cell NHL) and International Workshop on Chronic Lymphocytic Leukemia (iwCLL) for chronic lymphocytic leukemia (CLL). Detailed definition of CR, PR and PD as per Lugano and iwCLL criteria in the protocol appendices. The Full analysis set (FAS) comprises all participants to whom study drug had been assigned and who had received at least 1 dose of GEN3009. 'Number of subjects analysed' signified participants who achieved CR or PR. 99999= Median and limits of CI not reached due to less number of participants with events. 0.9999 indicates "NA" which means that lower limit of CI not reached for Part 1: GEN3009 Dose Level G in S1.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

From date of first documented CR or PR up to disease progression or death (up to approximately 3 years 4 months)

| End point values                 | Part 1: GEN3009 Dose Level A in Schedule 1 (S1) | Part 1: GEN3009 Dose Level B in S1 | Part 1: GEN3009 Dose Level C in S1 | Part 1: GEN3009 Dose Level D in S1 |
|----------------------------------|---|------------------------------------|------------------------------------|------------------------------------|
| Subject group type               | Reporting group                                 | Reporting group                    | Reporting group                    | Reporting group                    |
| Number of subjects analysed      | 0 <sup>[42]</sup>                               | 0 <sup>[43]</sup>                  | 3                                  | 0 <sup>[44]</sup>                  |
| Units: months                    |   |                                    |                                    |                                    |
| median (confidence interval 95%) | ( to )  | ( to )                             | 15.9 (2.9 to 99999)                | ( to )                             |

Notes:

[42] - No participant analysed for this arm group in this endpoint.

[43] - No participant analysed for this arm group in this endpoint.

[44] - No participant analysed for this arm group in this endpoint.

| End point values                 | Part 1: GEN3009 Dose Level D in Schedule 2 (S2) | Part 1: GEN3009 Dose Level E in S1 | Part 1: GEN3009 Dose Level F in S1 | Part 1: GEN3009 Dose Level G in S1 |
|----------------------------------|---|------------------------------------|------------------------------------|------------------------------------|
| Subject group type               | Reporting group                                 | Reporting group                    | Reporting group                    | Reporting group                    |
| Number of subjects analysed      | 1   | 3                                  | 2                                  | 1                                  |
| Units: months                    |   |                                    |                                    |                                    |
| median (confidence interval 95%) | 99999 (99999)                                   | 1.4 (1.4 to )                      | 99999 (99999)                      | 4.1 (0.9999 to )                   |

|           |        |           |        |
|-----------|--------|-----------|--------|
| to 99999) | 99999) | to 99999) | 99999) |
|-----------|--------|-----------|--------|

## Statistical analyses

No statistical analyses for this end point

### Secondary: Time to Response (TTR)

|                 |                        |
|-----------------|------------------------|
| End point title | Time to Response (TTR) |
|-----------------|------------------------|

End point description:

TTR: time from first dose of administration until date of first response as assessed by investigator based on Lugano criteria for B-cell NHL and iwCLL for CLL. It is derived for all participants who achieved PR or CR. Detailed definitions of CR and PR as per Lugano and iwCLL criteria in the protocol appendices. The FAS comprises all participants to whom study drug had been assigned and who had received at least 1 dose of GEN3009. 'Number of subjects analysed' indicates the number of participants who achieved CR or PR. 9999 indicates that standard deviation was not estimable as there was only 1 participant.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

From date of first documented CR or PR up to disease progression or death (up to approximately 3 years 4 months)

| End point values                     | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 0 <sup>[45]</sup>   | 0 <sup>[46]</sup>                        | 3  | 0 <sup>[47]</sup>                        |
| Units: months                        |   |  |  |  |
| arithmetic mean (standard deviation) | ()  | ()                                       | 1.3361 (±<br>0.1004)                     | ()                                       |

Notes:

[45] - No participant analysed for this arm group in this endpoint.

[46] - No participant analysed for this arm group in this endpoint.

[47] - No participant analysed for this arm group in this endpoint.

| End point values                     | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|--------------------------------------|---|--|--|--|
| Subject group type                   | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed          | 1   | 3  | 2  | 1  |
| Units: months                        |   |  |  |  |
| arithmetic mean (standard deviation) | 1.8727 (±<br>9999)  | 1.2704 (±<br>0.2138)                     | 2.0370 (±<br>1.0222)                     | 1.1828 (±<br>9999)                       |

## Statistical analyses

No statistical analyses for this end point

### Secondary: Progression-free Survival (PFS)

End point title | Progression-free Survival (PFS)

End point description:

PFS is defined as the time in days from Day 1 of Cycle 1 to the day of first documented PD, or the day of death due to any cause, whichever comes first as assessed by investigator based on Lugano Criteria for B-cell NHL and iwCLL for CLL. Detailed definitions of PD as per Lugano and iwCLL criteria in the protocol appendices. PFS was estimated using the Kaplan-Meier method. Overall number of subjects analysed=number of participants with data available. 99999= Median and limits of CI were not reached due to less number of participants with events. The FAS comprises all participants to whom study drug had been assigned and who had received at least 1 dose of GEN3009.

End point type | Secondary

End point timeframe:

From day of first dose until disease progression or death due to any cause (up to approximately 3 years 4 months)

| End point values                 | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|----------------------------------|---|--|--|--|
| Subject group type               | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed      | 3   | 4  | 7  | 10                                       |
| Units: months                    |   |  |  |  |
| median (confidence interval 95%) | 1.4 (1.2 to 99999)  | 2.6 (1.3 to 99999)                       | 4.3 (1.8 to 99999)                       | 1.2 (1.0 to 4.0)                         |

| End point values                 | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|----------------------------------|---|--|--|--|
| Subject group type               | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed      | 3   | 10                                       | 3  | 6  |
| Units: months                    |   |  |  |  |
| median (confidence interval 95%) | 2.3 (1.4 to 99999)  | 1.9 (0.2 to 3.4)                         | 99999 (1.3 to 99999)                     | 0.8 (0.4 to 99999)                       |

## Statistical analyses

No statistical analyses for this end point

### Secondary: Overall Survival (OS)

End point title | Overall Survival (OS)

End point description:

The OS is defined as the time from the start of study treatment until death due to any cause. The OS

was estimated using Kaplan-Meier method. The FAS comprises all participants to whom study drug had been assigned and who had received at least 1 dose of GEN3009. Overall number of subjects analysed = number of participants with data available in this outcome measure. 99999 indicates that median and limits of CI not reached due to less number of participants with events.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

From day of first dose until disease progression or death due to any cause (up to approximately 3 years 4 months)

| End point values                 | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|----------------------------------|---|--|--|--|
| Subject group type               | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed      | 3   | 4  | 7  | 10                                       |
| Units: months                    |   |  |  |  |
| median (confidence interval 95%) | 99999 (19.1 to 99999)                                       | 99999 (5.2 to 99999)                     | 99999 (1.8 to 99999)                     | 10.9 (0.8 to 18.0)                       |

| End point values                 | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|----------------------------------|---|--|--|--|
| Subject group type               | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed      | 3   | 10                                       | 3  | 6  |
| Units: months                    |   |  |  |  |
| median (confidence interval 95%) | 99999 (14.0 to 99999)                                       | 13.7 (0.2 to 99999)                      | 13.1 (7.0 to 99999)                      | 6.6 (0.5 to 99999)                       |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Objective Response Rate (ORR)

|                 |                               |
|-----------------|-------------------------------|
| End point title | Objective Response Rate (ORR) |
|-----------------|-------------------------------|

End point description:

ORR: the percentage of participants who achieved a best overall response (BOR) of CR or PR as assessed by investigator based on Lugano Criteria for B-cell NHL and iwCLL for CLL. Detailed definitions of CR and PR as per Lugano and iwCLL criteria in the protocol appendices. The response evaluable set includes all participants in FAS who have baseline evaluable disease and had at least 1 post-baseline disease evaluation or died within 60 days of first trial treatment. Number of subjects analysed = number of participants with data available in this outcome measure.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

From day of first dose until disease progression or death due to any cause (up to approximately 3 years 4 months)

| <b>End point values</b>           | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|-----------------------------------|---|--|--|--|
| Subject group type                | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed       | 3   | 3  | 7  | 8  |
| Units: percentage of participants |   |  |  |  |
| number (confidence interval 95%)  | 0 (0.0 to 70.8)   | 0 (0.0 to 70.8)                          | 42.9 (9.9 to 81.6)                       | 0 (0.0 to 36.9)                          |

| <b>End point values</b>           | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|-----------------------------------|---|--|--|--|
| Subject group type                | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed       | 3   | 8  | 3  | 6  |
| Units: percentage of participants |   |  |  |  |
| number (confidence interval 95%)  | 33.3 (0.8 to 90.6)  | 37.5 (8.5 to 75.5)                       | 66.7 (9.4 to 99.2)                       | 16.7 (0.4 to 64.1)                       |

### Statistical analyses

No statistical analyses for this end point

### Secondary: CR Rate

|                 |         |
|-----------------|---------|
| End point title | CR Rate |
|-----------------|---------|

End point description:

CR rate was estimated using Clopper-Pearson method. Detailed definitions of CR as per Lugano and iwCLL criteria in the protocol appendices. Overall number of subjects analysed= number of participants with data available in this outcome measure. The Response Evaluable set includes all participants in FAS who have baseline evaluable disease and had at least 1 post-baseline disease evaluation or died within 60 days of first trial treatment.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

From day of first dose until disease progression or death due to any cause (up to approximately 3 years 4 months)

| <b>End point values</b>           | Part 1:<br>GEN3009 Dose<br>Level A in<br>Schedule 1<br>(S1) | Part 1:<br>GEN3009 Dose<br>Level B in S1 | Part 1:<br>GEN3009 Dose<br>Level C in S1 | Part 1:<br>GEN3009 Dose<br>Level D in S1 |
|-----------------------------------|---|--|--|--|
| Subject group type                | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed       | 3   | 3  | 7  | 8  |
| Units: percentage of participants |   |  |  |  |
| number (confidence interval 95%)  | 0 (0.0 to 70.8)   | 0 (0.0 to 70.8)                          | 28.6 (3.7 to 71.0)                       | 0 (0.0 to 36.9)                          |

| <b>End point values</b>           | Part 1:<br>GEN3009 Dose<br>Level D in<br>Schedule 2<br>(S2) | Part 1:<br>GEN3009 Dose<br>Level E in S1 | Part 1:<br>GEN3009 Dose<br>Level F in S1 | Part 1:<br>GEN3009 Dose<br>Level G in S1 |
|-----------------------------------|---|--|--|--|
| Subject group type                | Reporting group   | Reporting group                          | Reporting group                          | Reporting group                          |
| Number of subjects analysed       | 3   | 8  | 3  | 6  |
| Units: percentage of participants |   |  |  |  |
| number (confidence interval 95%)  | 33.3 (0.8 to 90.6)  | 0 (0.0 to 36.9)                          | 33.3 (0.8 to 90.6)                       | 0 (0.0 to 45.9)                          |

### Statistical analyses

No statistical analyses for this end point

## Adverse events

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### Adverse events information

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Timeframe for reporting adverse events:

From first dose until 30 days after the last dose (up to 15.5 months)

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Adverse event reporting additional description:

The Safety analysis set included all participants who had received at least 1 dose of GEN3009.

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|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

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### Dictionary used

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|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

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|                    |      |
|--------------------|------|
| Dictionary version | 26.0 |
|--------------------|------|

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### Reporting groups

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level A in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level A in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level B in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level B in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level C in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level C in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level D in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level D in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level D in S2 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level D in S2 (in US only) by IV infusion on Days 1, 4, 8, 11, 15, 18, 22 and 25 in cycles 1, Day 1, 8, 15 and 22 in Cycles 2-3, Day 1 and 15 in Cycles 4-9 and Day 1 starting Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. Participants received half of the full dose on Days 1, 4, 8, 11, 15, 18, 22, and 25 i.e. two half doses on Days 1 and 4 of each week for the first cycle.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level E in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level E in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level F in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level F in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

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|                       |                                    |
|-----------------------|------------------------------------|
| Reporting group title | Part 1: GEN3009 Dose Level G in S1 |
|-----------------------|------------------------------------|

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Reporting group description:

Participants received GEN3009 Dose level G in S1 by IV infusion on Days 1, 8, 15, and 22 in cycles 1-3, on Days 1 and 15 in cycles 4-9 and on Day 1 (every 4 weeks) in Cycle 10 until disease progression, unacceptable toxicity, death or end of trial. Each cycle was 28 days in this study. For cycle 1, participants received the dose split into 2 consecutive days. i.e., at C1D1 and the remaining amount at C1D2.

| <b>Serious adverse events</b>                                       | Part 1: GEN3009<br>Dose Level A in S1 | Part 1: GEN3009<br>Dose Level B in S1 | Part 1: GEN3009<br>Dose Level C in S1 |
|---|---------------------------------------|---------------------------------------|---------------------------------------|
| Total subjects affected by serious adverse events                   |                                       |                                       |                                       |
| subjects affected / exposed   | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 4 / 7 (57.14%)                        |
| number of deaths (all causes)                                       | 1                                     | 1                                     | 3                                     |
| number of deaths resulting from adverse events                      | 0                                     | 0                                     | 2                                     |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) |                                       |                                       |                                       |
| Malignant melanoma  |                                       |                                       |                                       |
| subjects affected / exposed   | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 0 / 7 (0.00%)                         |
| occurrences causally related to treatment / all                     | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| deaths causally related to treatment / all                          | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| Injury, poisoning and procedural complications                      |                                       |                                       |                                       |
| Subdural haematoma  |                                       |                                       |                                       |
| subjects affected / exposed   | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 0 / 7 (0.00%)                         |
| occurrences causally related to treatment / all                     | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| deaths causally related to treatment / all                          | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| Infusion related reaction   |                                       |                                       |                                       |
| subjects affected / exposed   | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 0 / 7 (0.00%)                         |
| occurrences causally related to treatment / all                     | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| deaths causally related to treatment / all                          | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| Cardiac disorders   |                                       |                                       |                                       |
| Myocardial infarction   |                                       |                                       |                                       |
| subjects affected / exposed   | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 0 / 7 (0.00%)                         |
| occurrences causally related to treatment / all                     | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| deaths causally related to treatment / all                          | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| Atrial fibrillation   |                                       |                                       |                                       |
| subjects affected / exposed   | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 1 / 7 (14.29%)                        |
| occurrences causally related to treatment / all                     | 0 / 0                                 | 0 / 0                                 | 0 / 1                                 |
| deaths causally related to treatment / all                          | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| Nervous system disorders  |                                       |                                       |                                       |

|   |               |               |               |
|---|---------------|---------------|---------------|
| Syncope   |               |               |               |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0         |
| Aphasia   |               |               |               |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0         |
| Dizziness                                       |               |               |               |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0         |
| Blood and lymphatic system disorders            |               |               |               |
| Lymphadenopathy                                 |               |               |               |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0         |
| Febrile neutropenia                             |               |               |               |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0         |
| Gastrointestinal disorders                      |               |               |               |
| Abdominal pain                                  |               |               |               |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0         |
| Respiratory, thoracic and mediastinal disorders |               |               |               |
| Pulmonary embolism                              |               |               |               |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0         |
| Pneumonitis                                     |               |               |               |

|   |               |               |                |
|---|---------------|---------------|----------------|
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |
| <b>Psychiatric disorders</b>                    |               |               |                |
| <b>Mental status changes</b>                    |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |
| <b>Infections and infestations</b>              |               |               |                |
| <b>Paronychia</b>                               |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |
| <b>Cellulitis</b>                               |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |
| <b>Device related infection</b>                 |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |
| <b>Infection</b>                                |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |
| <b>Respiratory tract infection</b>              |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |
| <b>COVID-19 pneumonia</b>                       |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 1 / 7 (14.29%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 1          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 1          |
| <b>COVID-19</b>                                 |               |               |                |

|   |               |               |                |
|---|---------------|---------------|----------------|
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 1 / 7 (14.29%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 1 / 2          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 1 / 1          |
| <b>Pneumonia</b>                                |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |
| <b>Sepsis</b>                                   |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |
| <b>Metabolism and nutrition disorders</b>       |               |               |                |
| <b>Hypercalcaemia</b>                           |               |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%) | 1 / 7 (14.29%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         | 0 / 1          |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         | 0 / 0          |

| <b>Serious adverse events</b>  | Part 1: GEN3009<br>Dose Level D in S1 | Part 1: GEN3009<br>Dose Level D in S2 | Part 1: GEN3009<br>Dose Level E in S1 |
|--|---------------------------------------|---------------------------------------|---------------------------------------|
| <b>Total subjects affected by serious adverse events</b>                   |                                       |                                       |                                       |
| subjects affected / exposed  | 7 / 10 (70.00%)                       | 2 / 3 (66.67%)                        | 4 / 10 (40.00%)                       |
| number of deaths (all causes)  | 8                                     | 1                                     | 6                                     |
| number of deaths resulting from adverse events                             | 0                                     | 0                                     | 1                                     |
| <b>Neoplasms benign, malignant and unspecified (incl cysts and polyps)</b> |                                       |                                       |                                       |
| <b>Malignant melanoma</b>  |                                       |                                       |                                       |
| subjects affected / exposed  | 0 / 10 (0.00%)                        | 0 / 3 (0.00%)                         | 0 / 10 (0.00%)                        |
| occurrences causally related to treatment / all                            | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| deaths causally related to treatment / all                                 | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| <b>Injury, poisoning and procedural complications</b>                      |                                       |                                       |                                       |
| <b>Subdural haematoma</b>  |                                       |                                       |                                       |
| subjects affected / exposed  | 0 / 10 (0.00%)                        | 0 / 3 (0.00%)                         | 1 / 10 (10.00%)                       |
| occurrences causally related to treatment / all                            | 0 / 0                                 | 0 / 0                                 | 1 / 1                                 |
| deaths causally related to treatment / all                                 | 0 / 0                                 | 0 / 0                                 | 0 / 0                                 |
| <b>Infusion related reaction</b>   |                                       |                                       |                                       |

|   |                 |               |                 |
|---|-----------------|---------------|-----------------|
| subjects affected / exposed                     | 3 / 10 (30.00%) | 0 / 3 (0.00%) | 1 / 10 (10.00%) |
| occurrences causally related to treatment / all | 3 / 3           | 0 / 0         | 1 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0           |
| <b>Cardiac disorders</b>                        |                 |               |                 |
| <b>Myocardial infarction</b>                    |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 1 / 10 (10.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0         | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 1           |
| <b>Atrial fibrillation</b>                      |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 1 / 10 (10.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0         | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0           |
| <b>Nervous system disorders</b>                 |                 |               |                 |
| <b>Syncope</b>                                  |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 1 / 10 (10.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0         | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0           |
| <b>Aphasia</b>                                  |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0         | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0           |
| <b>Dizziness</b>                                |                 |               |                 |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0         | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0           |
| <b>Blood and lymphatic system disorders</b>     |                 |               |                 |
| <b>Lymphadenopathy</b>                          |                 |               |                 |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0         | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0           |
| <b>Febrile neutropenia</b>                      |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 1 / 10 (10.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0         | 2 / 2           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0           |

|   |                 |                |                |
|---|-----------------|----------------|----------------|
| Gastrointestinal disorders                      |                 |                |                |
| Abdominal pain                                  |                 |                |                |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0          |
| Respiratory, thoracic and mediastinal disorders |                 |                |                |
| Pulmonary embolism                              |                 |                |                |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 1 / 3 (33.33%) | 0 / 10 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1          | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0          |
| Pneumonitis                                     |                 |                |                |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0          |
| Psychiatric disorders                           |                 |                |                |
| Mental status changes                           |                 |                |                |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0          |
| Infections and infestations                     |                 |                |                |
| Paronychia                                      |                 |                |                |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 1 / 3 (33.33%) | 0 / 10 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1          | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0          |
| Cellulitis                                      |                 |                |                |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 1 / 3 (33.33%) | 0 / 10 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1          | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0          |
| Device related infection                        |                 |                |                |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%) |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0          |
| Infection                                       |                 |                |                |

|   |                 |                |                 |
|---|-----------------|----------------|-----------------|
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0          | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0           |
| <b>Respiratory tract infection</b>              |                 |                |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0          | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0           |
| <b>COVID-19 pneumonia</b>                       |                 |                |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0          | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0           |
| <b>COVID-19</b>                                 |                 |                |                 |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 1           | 0 / 0          | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0           |
| <b>Pneumonia</b>                                |                 |                |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0          | 0 / 1           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0           |
| <b>Sepsis</b>                                   |                 |                |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 1 / 3 (33.33%) | 0 / 10 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 1          | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0           |
| <b>Metabolism and nutrition disorders</b>       |                 |                |                 |
| <b>Hypercalcaemia</b>                           |                 |                |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0          | 0 / 0           |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0          | 0 / 0           |

| <b>Serious adverse events</b>                            | Part 1: GEN3009<br>Dose Level F in S1 | Part 1: GEN3009<br>Dose Level G in S1 |  |
|--|---------------------------------------|---------------------------------------|--|
| <b>Total subjects affected by serious adverse events</b> |                                       |                                       |  |
| subjects affected / exposed                              | 2 / 3 (66.67%)                        | 4 / 6 (66.67%)                        |  |
| number of deaths (all causes)                            | 2                                     | 3                                     |  |
| number of deaths resulting from adverse events           | 0                                     | 0                                     |  |

|   |                |                |  |
|---|----------------|----------------|--|
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) |                |                |  |
| Malignant melanoma  |                |                |  |
| subjects affected / exposed   | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all                     | 0 / 1          | 0 / 0          |  |
| deaths causally related to treatment / all                          | 0 / 0          | 0 / 0          |  |
| Injury, poisoning and procedural complications                      |                |                |  |
| Subdural haematoma  |                |                |  |
| subjects affected / exposed   | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all                     | 0 / 0          | 0 / 0          |  |
| deaths causally related to treatment / all                          | 0 / 0          | 0 / 0          |  |
| Infusion related reaction   |                |                |  |
| subjects affected / exposed   | 1 / 3 (33.33%) | 1 / 6 (16.67%) |  |
| occurrences causally related to treatment / all                     | 1 / 1          | 1 / 1          |  |
| deaths causally related to treatment / all                          | 0 / 0          | 0 / 0          |  |
| Cardiac disorders   |                |                |  |
| Myocardial infarction   |                |                |  |
| subjects affected / exposed   | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all                     | 0 / 0          | 0 / 0          |  |
| deaths causally related to treatment / all                          | 0 / 0          | 0 / 0          |  |
| Atrial fibrillation   |                |                |  |
| subjects affected / exposed   | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all                     | 0 / 0          | 0 / 0          |  |
| deaths causally related to treatment / all                          | 0 / 0          | 0 / 0          |  |
| Nervous system disorders  |                |                |  |
| Syncope   |                |                |  |
| subjects affected / exposed   | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all                     | 0 / 0          | 0 / 0          |  |
| deaths causally related to treatment / all                          | 0 / 0          | 0 / 0          |  |
| Aphasia   |                |                |  |
| subjects affected / exposed   | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences causally related to treatment / all                     | 0 / 0          | 1 / 1          |  |
| deaths causally related to treatment / all                          | 0 / 0          | 0 / 0          |  |
| Dizziness   |                |                |  |

|  |                |                |  |
|--|----------------|----------------|--|
| subjects affected / exposed                            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all        | 0 / 0          | 0 / 0          |  |
| deaths causally related to treatment / all             | 0 / 0          | 0 / 0          |  |
| <b>Blood and lymphatic system disorders</b>            |                |                |  |
| Lymphadenopathy  |                |                |  |
| subjects affected / exposed                            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all        | 0 / 0          | 0 / 0          |  |
| deaths causally related to treatment / all             | 0 / 0          | 0 / 0          |  |
| Febrile neutropenia                                    |                |                |  |
| subjects affected / exposed                            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all        | 0 / 0          | 0 / 0          |  |
| deaths causally related to treatment / all             | 0 / 0          | 0 / 0          |  |
| <b>Gastrointestinal disorders</b>                      |                |                |  |
| Abdominal pain   |                |                |  |
| subjects affected / exposed                            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all        | 0 / 0          | 0 / 0          |  |
| deaths causally related to treatment / all             | 0 / 0          | 0 / 0          |  |
| <b>Respiratory, thoracic and mediastinal disorders</b> |                |                |  |
| Pulmonary embolism                                     |                |                |  |
| subjects affected / exposed                            | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all        | 0 / 1          | 0 / 0          |  |
| deaths causally related to treatment / all             | 0 / 0          | 0 / 0          |  |
| Pneumonitis  |                |                |  |
| subjects affected / exposed                            | 1 / 3 (33.33%) | 1 / 6 (16.67%) |  |
| occurrences causally related to treatment / all        | 1 / 1          | 1 / 1          |  |
| deaths causally related to treatment / all             | 0 / 0          | 0 / 0          |  |
| <b>Psychiatric disorders</b>                           |                |                |  |
| Mental status changes                                  |                |                |  |
| subjects affected / exposed                            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all        | 0 / 0          | 0 / 0          |  |
| deaths causally related to treatment / all             | 0 / 0          | 0 / 0          |  |
| <b>Infections and infestations</b>                     |                |                |  |
| Paronychia   |                |                |  |

|   |               |                |  |
|---|---------------|----------------|--|
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| <b>Cellulitis</b>                               |               |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 1 / 6 (16.67%) |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| <b>Device related infection</b>                 |               |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| <b>Infection</b>                                |               |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| <b>Respiratory tract infection</b>              |               |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 1 / 6 (16.67%) |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| <b>COVID-19 pneumonia</b>                       |               |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| <b>COVID-19</b>                                 |               |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 6 (0.00%)  |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| <b>Pneumonia</b>                                |               |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 1 / 6 (16.67%) |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| <b>Sepsis</b>                                   |               |                |  |

|   |               |               |  |
|---|---------------|---------------|--|
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 6 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         |  |
| <b>Metabolism and nutrition disorders</b>       |               |               |  |
| <b>Hypercalcaemia</b>                           |               |               |  |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 6 (0.00%) |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0         |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0         |  |

Frequency threshold for reporting non-serious adverse events: 0 %

| <b>Non-serious adverse events</b>  | Part 1: GEN3009<br>Dose Level A in S1 | Part 1: GEN3009<br>Dose Level B in S1 | Part 1: GEN3009<br>Dose Level C in S1 |
|--|---------------------------------------|---------------------------------------|---------------------------------------|
| <b>Total subjects affected by non-serious adverse events</b>               |                                       |                                       |                                       |
| subjects affected / exposed  | 3 / 3 (100.00%)                       | 4 / 4 (100.00%)                       | 7 / 7 (100.00%)                       |
| <b>Neoplasms benign, malignant and unspecified (incl cysts and polyps)</b> |                                       |                                       |                                       |
| <b>Cancer pain</b>   |                                       |                                       |                                       |
| subjects affected / exposed  | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 0 / 7 (0.00%)                         |
| occurrences (all)  | 0                                     | 0                                     | 0                                     |
| <b>Vascular disorders</b>  |                                       |                                       |                                       |
| <b>Hypotension</b>   |                                       |                                       |                                       |
| subjects affected / exposed  | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 0 / 7 (0.00%)                         |
| occurrences (all)  | 0                                     | 0                                     | 0                                     |
| <b>Flushing</b>  |                                       |                                       |                                       |
| subjects affected / exposed  | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 1 / 7 (14.29%)                        |
| occurrences (all)  | 0                                     | 0                                     | 3                                     |
| <b>Vein disorder</b>   |                                       |                                       |                                       |
| subjects affected / exposed  | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 0 / 7 (0.00%)                         |
| occurrences (all)  | 0                                     | 0                                     | 0                                     |
| <b>Orthostatic hypotension</b>   |                                       |                                       |                                       |
| subjects affected / exposed  | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 0 / 7 (0.00%)                         |
| occurrences (all)  | 0                                     | 0                                     | 0                                     |
| <b>Phlebitis</b>   |                                       |                                       |                                       |
| subjects affected / exposed  | 0 / 3 (0.00%)                         | 0 / 4 (0.00%)                         | 0 / 7 (0.00%)                         |
| occurrences (all)  | 0                                     | 0                                     | 0                                     |
| <b>Deep vein thrombosis</b>  |                                       |                                       |                                       |

|  |                |                |                |
|--|----------------|----------------|----------------|
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                                    | 0              | 0              | 0              |
| Hypertension   |                |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                                    | 0              | 0              | 0              |
| General disorders and administration site conditions |                |                |                |
| Pain   |                |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                                    | 0              | 0              | 0              |
| Non-cardiac chest pain                               |                |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                                    | 0              | 0              | 1              |
| Malaise  |                |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                                    | 0              | 0              | 0              |
| Injection site phlebitis                             |                |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                                    | 0              | 0              | 0              |
| Mucosal inflammation                                 |                |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                                    | 0              | 1              | 0              |
| Fatigue  |                |                |                |
| subjects affected / exposed                          | 1 / 3 (33.33%) | 1 / 4 (25.00%) | 2 / 7 (28.57%) |
| occurrences (all)                                    | 1              | 1              | 2              |
| Oedema   |                |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                                    | 0              | 0              | 0              |
| Asthenia   |                |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                                    | 0              | 1              | 0              |
| Oedema peripheral                                    |                |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                                    | 0              | 0              | 0              |
| Pyrexia  |                |                |                |

|   |                |                |                |
|---|----------------|----------------|----------------|
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 1              | 0              |
| Feeling jittery                                 |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Chills  |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                               | 0              | 0              | 1              |
| Injection site reaction                         |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Influenza like illness                          |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Infusion site paraesthesia                      |                |                |                |
| subjects affected / exposed                     | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                               | 2              | 0              | 0              |
| Immune system disorders                         |                |                |                |
| Hypersensitivity                                |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Hypogammaglobulinaemia                          |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Reproductive system and breast disorders        |                |                |                |
| Scrotal pain                                    |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Respiratory, thoracic and mediastinal disorders |                |                |                |
| Acute respiratory failure                       |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Dyspnoea  |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                               | 0              | 0              | 1              |
| Haemoptysis                                     |                |                |                |

|                             |                |                |                |
|-----------------------------|----------------|----------------|----------------|
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0              | 0              |
| Wheezing                    |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0              | 0              |
| Oropharyngeal pain          |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)           | 0              | 0              | 1              |
| Dyspnoea exertional         |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0              | 0              |
| Productive cough            |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0              | 0              |
| Rhinorrhoea                 |                |                |                |
| subjects affected / exposed | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)           | 2              | 0              | 0              |
| Hypoxia                     |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0              | 0              |
| Cough                       |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 1              | 0              |
| Dysphonia                   |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0              | 0              |
| Psychiatric disorders       |                |                |                |
| Anxiety                     |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 2 / 7 (28.57%) |
| occurrences (all)           | 0              | 0              | 2              |
| Delirium                    |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0              | 0              |
| Confusional state           |                |                |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0              | 0              |

|  |                    |                    |                     |
|--|--------------------|--------------------|---------------------|
| Insomnia<br>subjects affected / exposed<br>occurrences (all)                                 | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 2 / 7 (28.57%)<br>2 |
| Investigations   |                    |                    |                     |
| Alanine aminotransferase increased<br>subjects affected / exposed<br>occurrences (all)       | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 1 / 7 (14.29%)<br>1 |
| Weight decreased<br>subjects affected / exposed<br>occurrences (all)                         | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 0 / 7 (0.00%)<br>0  |
| Aspartate aminotransferase increased<br>subjects affected / exposed<br>occurrences (all)     | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 1 / 7 (14.29%)<br>1 |
| Blood alkaline phosphatase increased<br>subjects affected / exposed<br>occurrences (all)     | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 1 / 7 (14.29%)<br>1 |
| CD4 lymphocytes decreased<br>subjects affected / exposed<br>occurrences (all)                | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 1 / 7 (14.29%)<br>1 |
| Blood creatine increased<br>subjects affected / exposed<br>occurrences (all)                 | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 0 / 7 (0.00%)<br>0  |
| Blood lactate dehydrogenase increased<br>subjects affected / exposed<br>occurrences (all)    | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 1 / 7 (14.29%)<br>1 |
| Blood creatinine increased<br>subjects affected / exposed<br>occurrences (all)               | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 2 / 7 (28.57%)<br>2 |
| International normalised ratio increased<br>subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0 | 0 / 4 (0.00%)<br>0 | 1 / 7 (14.29%)<br>1 |
| Injury, poisoning and procedural complications<br>Ankle fracture                             |                    |                    |                     |

|  |                     |                     |                       |
|--|---------------------|---------------------|-----------------------|
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0    |
| Fall   |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0    |
| Contusion  |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0    |
| Vascular access complication                     |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0    |
| Skin laceration                                  |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 1 / 7 (14.29%)<br>1   |
| Scratch  |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 1 / 7 (14.29%)<br>1   |
| Infusion related reaction                        |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 1 / 3 (33.33%)<br>1 | 1 / 4 (25.00%)<br>6 | 7 / 7 (100.00%)<br>19 |
| Congenital, familial and genetic disorders       |                     |                     |                       |
| Dermoid cyst                                     |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0    |
| Cardiac disorders                                |                     |                     |                       |
| Tachycardia                                      |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0    |
| Palpitations                                     |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0    |
| Sinus tachycardia                                |                     |                     |                       |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0    |
| Atrial fibrillation                              |                     |                     |                       |

|  |                     |                     |                     |
|--|---------------------|---------------------|---------------------|
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0  |
| <b>Nervous system disorders</b>                  |                     |                     |                     |
| <b>Dysgeusia</b>                                 |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 1 / 7 (14.29%)<br>1 |
| <b>Restless legs syndrome</b>                    |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 1 / 7 (14.29%)<br>1 |
| <b>Peripheral motor neuropathy</b>               |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0  |
| <b>Anosmia</b>                                   |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0  |
| <b>Somnolence</b>                                |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0  |
| <b>Tremor</b>                                    |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 1 / 3 (33.33%)<br>2 | 1 / 4 (25.00%)<br>1 | 0 / 7 (0.00%)<br>0  |
| <b>Paraesthesia</b>                              |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0  |
| <b>Meralgia paraesthetica</b>                    |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0  |
| <b>Neuropathy peripheral</b>                     |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 1 / 7 (14.29%)<br>1 |
| <b>Aphasia</b>                                   |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0  |
| <b>Dizziness</b>                                 |                     |                     |                     |
| subjects affected / exposed<br>occurrences (all) | 1 / 3 (33.33%)<br>1 | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0  |

|   |                     |                       |                       |
|---|---------------------|-----------------------|-----------------------|
| Dizziness postural<br>subjects affected / exposed<br>occurrences (all)    | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0    | 1 / 7 (14.29%)<br>1   |
| Headache<br>subjects affected / exposed<br>occurrences (all)              | 2 / 3 (66.67%)<br>3 | 1 / 4 (25.00%)<br>1   | 1 / 7 (14.29%)<br>5   |
| Coordination abnormal<br>subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0    | 0 / 7 (0.00%)<br>0    |
| <b>Blood and lymphatic system disorders</b>                               |                     |                       |                       |
| Lymph node pain<br>subjects affected / exposed<br>occurrences (all)       | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0    | 0 / 7 (0.00%)<br>0    |
| Lymphocytosis<br>subjects affected / exposed<br>occurrences (all)         | 1 / 3 (33.33%)<br>1 | 0 / 4 (0.00%)<br>0    | 0 / 7 (0.00%)<br>0    |
| Neutropenia<br>subjects affected / exposed<br>occurrences (all)           | 2 / 3 (66.67%)<br>8 | 4 / 4 (100.00%)<br>10 | 7 / 7 (100.00%)<br>36 |
| Anaemia<br>subjects affected / exposed<br>occurrences (all)               | 1 / 3 (33.33%)<br>1 | 1 / 4 (25.00%)<br>2   | 3 / 7 (42.86%)<br>3   |
| Lymphopenia<br>subjects affected / exposed<br>occurrences (all)           | 2 / 3 (66.67%)<br>5 | 2 / 4 (50.00%)<br>3   | 3 / 7 (42.86%)<br>9   |
| Leukopenia<br>subjects affected / exposed<br>occurrences (all)            | 2 / 3 (66.67%)<br>7 | 2 / 4 (50.00%)<br>3   | 2 / 7 (28.57%)<br>10  |
| Febrile neutropenia<br>subjects affected / exposed<br>occurrences (all)   | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0    | 1 / 7 (14.29%)<br>1   |
| Thrombocytopenia<br>subjects affected / exposed<br>occurrences (all)      | 0 / 3 (0.00%)<br>0  | 3 / 4 (75.00%)<br>4   | 3 / 7 (42.86%)<br>10  |
| Ear and labyrinth disorders   |                     |                       |                       |

|  |                     |                     |                    |
|--|---------------------|---------------------|--------------------|
| Tinnitus<br>subjects affected / exposed<br>occurrences (all)             | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Eye disorders  |                     |                     |                    |
| Scleral haemorrhage<br>subjects affected / exposed<br>occurrences (all)  | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Refractive amblyopia<br>subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Dry eye<br>subjects affected / exposed<br>occurrences (all)              | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Diplopia<br>subjects affected / exposed<br>occurrences (all)             | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Photophobia<br>subjects affected / exposed<br>occurrences (all)          | 1 / 3 (33.33%)<br>1 | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Periorbital oedema<br>subjects affected / exposed<br>occurrences (all)   | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Gastrointestinal disorders   |                     |                     |                    |
| Abdominal distension<br>subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Abdominal pain lower<br>subjects affected / exposed<br>occurrences (all) | 1 / 3 (33.33%)<br>1 | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Abdominal pain<br>subjects affected / exposed<br>occurrences (all)       | 1 / 3 (33.33%)<br>1 | 0 / 4 (0.00%)<br>0  | 0 / 7 (0.00%)<br>0 |
| Vomiting<br>subjects affected / exposed<br>occurrences (all)             | 0 / 3 (0.00%)<br>0  | 1 / 4 (25.00%)<br>1 | 0 / 7 (0.00%)<br>0 |
| Diarrhoea  |                     |                     |                    |

|   |                |                |                |
|---|----------------|----------------|----------------|
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 2 / 4 (50.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 2              | 0              |
| Abdominal pain upper                          |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 0              | 0              |
| Toothache                                     |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 1              | 0              |
| Stomatitis                                    |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 0              | 0              |
| Nausea  |                |                |                |
| subjects affected / exposed                   | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                             | 1              | 0              | 3              |
| Constipation                                  |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 1 / 7 (14.29%) |
| occurrences (all)                             | 0              | 2              | 1              |
| Dyspepsia                                     |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 1              | 0              |
| Gingival pain                                 |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 1              | 0              |
| Dry mouth                                     |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 0              | 0              |
| <b>Skin and subcutaneous tissue disorders</b> |                |                |                |
| Night sweats                                  |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 0              | 0              |
| Alopecia                                      |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 0              | 0              |
| Skin atrophy                                  |                |                |                |
| subjects affected / exposed                   | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                             | 0              | 0              | 0              |

|   |                |               |                |
|---|----------------|---------------|----------------|
| Dry skin  |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0             | 0              |
| Skin lesion                                     |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0             | 0              |
| Rash  |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0             | 0              |
| Pruritus  |                |               |                |
| subjects affected / exposed                     | 1 / 3 (33.33%) | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 1              | 0             | 0              |
| Rash maculo-papular                             |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0             | 0              |
| Renal and urinary disorders                     |                |               |                |
| Pollakiuria                                     |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 2 / 7 (28.57%) |
| occurrences (all)                               | 0              | 0             | 2              |
| Urinary tract pain                              |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0             | 0              |
| Urinary retention                               |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0             | 0              |
| Dysuria   |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0             | 0              |
| Musculoskeletal and connective tissue disorders |                |               |                |
| Groin pain                                      |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0             | 0              |
| Myalgia   |                |               |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                               | 0              | 0             | 0              |
| Bone pain                                       |                |               |                |

|                                    |               |                |                |
|------------------------------------|---------------|----------------|----------------|
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                  | 0             | 0              | 0              |
| <b>Musculoskeletal pain</b>        |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                  | 0             | 0              | 0              |
| <b>Back pain</b>                   |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                  | 0             | 0              | 0              |
| <b>Muscular weakness</b>           |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                  | 0             | 0              | 0              |
| <b>Flank pain</b>                  |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                  | 0             | 0              | 0              |
| <b>Muscle spasms</b>               |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                  | 0             | 0              | 1              |
| <b>Arthralgia</b>                  |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 1 / 4 (25.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                  | 0             | 1              | 0              |
| <b>Pain in extremity</b>           |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                  | 0             | 0              | 1              |
| <b>Infections and infestations</b> |               |                |                |
| <b>Bronchitis</b>                  |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                  | 0             | 0              | 0              |
| <b>Ear infection</b>               |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                  | 0             | 0              | 0              |
| <b>Staphylococcal infection</b>    |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                  | 0             | 0              | 1              |
| <b>Bacteraemia</b>                 |               |                |                |
| subjects affected / exposed        | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                  | 0             | 0              | 0              |

|  |                |                |                |
|--|----------------|----------------|----------------|
| Cellulitis                             |                |                |                |
| subjects affected / exposed            | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                      | 1              | 0              | 1              |
| Rhinovirus infection                   |                |                |                |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                      | 0              | 0              | 0              |
| Cytomegalovirus infection reactivation |                |                |                |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                      | 0              | 0              | 0              |
| Oral candidiasis                       |                |                |                |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                      | 0              | 0              | 0              |
| Urinary tract infection                |                |                |                |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                      | 0              | 0              | 1              |
| Candida infection                      |                |                |                |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                      | 0              | 0              | 0              |
| COVID-19                               |                |                |                |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 2 / 7 (28.57%) |
| occurrences (all)                      | 0              | 0              | 2              |
| Metabolism and nutrition disorders     |                |                |                |
| Hypoglycaemia                          |                |                |                |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                      | 0              | 0              | 0              |
| Dehydration                            |                |                |                |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 7 (0.00%)  |
| occurrences (all)                      | 0              | 0              | 0              |
| Hyperuricaemia                         |                |                |                |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 7 (14.29%) |
| occurrences (all)                      | 0              | 0              | 3              |
| Hypokalaemia                           |                |                |                |
| subjects affected / exposed            | 1 / 3 (33.33%) | 1 / 4 (25.00%) | 0 / 7 (0.00%)  |
| occurrences (all)                      | 1              | 1              | 0              |
| Hyperglycaemia                         |                |                |                |

|                             |                |               |                |
|-----------------------------|----------------|---------------|----------------|
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0             | 0              |
| <b>Hypocalcaemia</b>        |                |               |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0             | 0              |
| <b>Hypercalcaemia</b>       |                |               |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0             | 0              |
| <b>Hypophosphataemia</b>    |                |               |                |
| subjects affected / exposed | 1 / 3 (33.33%) | 0 / 4 (0.00%) | 1 / 7 (14.29%) |
| occurrences (all)           | 1              | 0             | 1              |
| <b>Hyperkalaemia</b>        |                |               |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 1 / 7 (14.29%) |
| occurrences (all)           | 0              | 0             | 1              |
| <b>Hyponatraemia</b>        |                |               |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 1 / 7 (14.29%) |
| occurrences (all)           | 0              | 0             | 1              |
| <b>Hypomagnesaemia</b>      |                |               |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0             | 0              |
| <b>Malnutrition</b>         |                |               |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0             | 0              |
| <b>Decreased appetite</b>   |                |               |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0             | 0              |
| <b>Steroid diabetes</b>     |                |               |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 1 / 7 (14.29%) |
| occurrences (all)           | 0              | 0             | 1              |
| <b>Hypermagnesaemia</b>     |                |               |                |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%) | 0 / 7 (0.00%)  |
| occurrences (all)           | 0              | 0             | 0              |

| <b>Non-serious adverse events</b>                     | Part 1: GEN3009<br>Dose Level D in S1 | Part 1: GEN3009<br>Dose Level D in S2 | Part 1: GEN3009<br>Dose Level E in S1 |
|---|---------------------------------------|---------------------------------------|---------------------------------------|
| Total subjects affected by non-serious adverse events |                                       |                                       |                                       |
| subjects affected / exposed                           | 9 / 10 (90.00%)                       | 3 / 3 (100.00%)                       | 10 / 10 (100.00%)                     |

|  |                      |                    |                      |
|--|----------------------|--------------------|----------------------|
| Neoplasms benign, malignant and unspecified (incl cysts and polyps)<br>Cancer pain<br>subjects affected / exposed<br>occurrences (all) | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Vascular disorders<br>Hypotension<br>subjects affected / exposed<br>occurrences (all)  | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 1 / 10 (10.00%)<br>2 |
| Flushing<br>subjects affected / exposed<br>occurrences (all)   | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Vein disorder<br>subjects affected / exposed<br>occurrences (all)  | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 1 / 10 (10.00%)<br>1 |
| Orthostatic hypotension<br>subjects affected / exposed<br>occurrences (all)  | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Phlebitis<br>subjects affected / exposed<br>occurrences (all)  | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Deep vein thrombosis<br>subjects affected / exposed<br>occurrences (all)   | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Hypertension<br>subjects affected / exposed<br>occurrences (all)   | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 1 / 10 (10.00%)<br>5 |
| General disorders and administration site conditions<br>Pain<br>subjects affected / exposed<br>occurrences (all)                       | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Non-cardiac chest pain<br>subjects affected / exposed<br>occurrences (all)   | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Malaise<br>subjects affected / exposed<br>occurrences (all)  | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |

|                             |                 |                |                 |
|-----------------------------|-----------------|----------------|-----------------|
| Injection site phlebitis    |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 1 / 3 (33.33%) | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 1              | 0               |
| Mucosal inflammation        |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |
| Fatigue                     |                 |                |                 |
| subjects affected / exposed | 3 / 10 (30.00%) | 0 / 3 (0.00%)  | 3 / 10 (30.00%) |
| occurrences (all)           | 4               | 0              | 3               |
| Oedema                      |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |
| Asthenia                    |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |
| Oedema peripheral           |                 |                |                 |
| subjects affected / exposed | 2 / 10 (20.00%) | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences (all)           | 2               | 0              | 1               |
| Pyrexia                     |                 |                |                 |
| subjects affected / exposed | 2 / 10 (20.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 2               | 0              | 0               |
| Feeling jittery             |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 1 / 3 (33.33%) | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 1              | 0               |
| Chills                      |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 1 / 3 (33.33%) | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 1              | 0               |
| Injection site reaction     |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |
| Influenza like illness      |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences (all)           | 0               | 0              | 1               |
| Infusion site paraesthesia  |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |

|   |                 |               |                 |
|---|-----------------|---------------|-----------------|
| Immune system disorders                         |                 |               |                 |
| Hypersensitivity                                |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences (all)                               | 0               | 0             | 0               |
| Hypogammaglobulinaemia                          |                 |               |                 |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%) | 1 / 10 (10.00%) |
| occurrences (all)                               | 1               | 0             | 1               |
| Reproductive system and breast disorders        |                 |               |                 |
| Scrotal pain                                    |                 |               |                 |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences (all)                               | 1               | 0             | 0               |
| Respiratory, thoracic and mediastinal disorders |                 |               |                 |
| Acute respiratory failure                       |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences (all)                               | 0               | 0             | 0               |
| Dyspnoea  |                 |               |                 |
| subjects affected / exposed                     | 2 / 10 (20.00%) | 0 / 3 (0.00%) | 1 / 10 (10.00%) |
| occurrences (all)                               | 2               | 0             | 1               |
| Haemoptysis                                     |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences (all)                               | 0               | 0             | 0               |
| Wheezing  |                 |               |                 |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences (all)                               | 1               | 0             | 0               |
| Oropharyngeal pain                              |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences (all)                               | 0               | 0             | 0               |
| Dyspnoea exertional                             |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 1 / 10 (10.00%) |
| occurrences (all)                               | 0               | 0             | 1               |
| Productive cough                                |                 |               |                 |
| subjects affected / exposed                     | 1 / 10 (10.00%) | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences (all)                               | 1               | 0             | 0               |
| Rhinorrhoea                                     |                 |               |                 |
| subjects affected / exposed                     | 0 / 10 (0.00%)  | 0 / 3 (0.00%) | 0 / 10 (0.00%)  |
| occurrences (all)                               | 0               | 0             | 0               |

|                                      |                 |                |                 |
|--------------------------------------|-----------------|----------------|-----------------|
| Hypoxia                              |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                    | 0               | 0              | 0               |
| Cough                                |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences (all)                    | 0               | 0              | 2               |
| Dysphonia                            |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                    | 0               | 0              | 0               |
| Psychiatric disorders                |                 |                |                 |
| Anxiety                              |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                    | 0               | 0              | 0               |
| Delirium                             |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences (all)                    | 0               | 0              | 1               |
| Confusional state                    |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                    | 0               | 0              | 0               |
| Insomnia                             |                 |                |                 |
| subjects affected / exposed          | 1 / 10 (10.00%) | 1 / 3 (33.33%) | 0 / 10 (0.00%)  |
| occurrences (all)                    | 1               | 1              | 0               |
| Investigations                       |                 |                |                 |
| Alanine aminotransferase increased   |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                    | 0               | 0              | 0               |
| Weight decreased                     |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                    | 0               | 0              | 0               |
| Aspartate aminotransferase increased |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                    | 0               | 0              | 0               |
| Blood alkaline phosphatase increased |                 |                |                 |
| subjects affected / exposed          | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences (all)                    | 0               | 0              | 1               |
| CD4 lymphocytes decreased            |                 |                |                 |

|  |                 |                |                 |
|--|-----------------|----------------|-----------------|
| subjects affected / exposed                    | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                              | 0               | 0              | 0               |
| Blood creatine increased                       |                 |                |                 |
| subjects affected / exposed                    | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                              | 0               | 0              | 0               |
| Blood lactate dehydrogenase increased          |                 |                |                 |
| subjects affected / exposed                    | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences (all)                              | 0               | 0              | 1               |
| Blood creatinine increased                     |                 |                |                 |
| subjects affected / exposed                    | 1 / 10 (10.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                              | 1               | 0              | 0               |
| International normalised ratio increased       |                 |                |                 |
| subjects affected / exposed                    | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                              | 0               | 0              | 0               |
| Injury, poisoning and procedural complications |                 |                |                 |
| Ankle fracture                                 |                 |                |                 |
| subjects affected / exposed                    | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                              | 0               | 0              | 0               |
| Fall   |                 |                |                 |
| subjects affected / exposed                    | 2 / 10 (20.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                              | 2               | 0              | 0               |
| Contusion                                      |                 |                |                 |
| subjects affected / exposed                    | 1 / 10 (10.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                              | 1               | 0              | 0               |
| Vascular access complication                   |                 |                |                 |
| subjects affected / exposed                    | 0 / 10 (0.00%)  | 1 / 3 (33.33%) | 0 / 10 (0.00%)  |
| occurrences (all)                              | 0               | 1              | 0               |
| Skin laceration                                |                 |                |                 |
| subjects affected / exposed                    | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                              | 0               | 0              | 0               |
| Scratch  |                 |                |                 |
| subjects affected / exposed                    | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)                              | 0               | 0              | 0               |
| Infusion related reaction                      |                 |                |                 |

|   |                       |                      |                         |
|---|-----------------------|----------------------|-------------------------|
| subjects affected / exposed<br>occurrences (all)                                | 7 / 10 (70.00%)<br>14 | 3 / 3 (100.00%)<br>4 | 10 / 10 (100.00%)<br>19 |
| Congenital, familial and genetic disorders                                      |                       |                      |                         |
| Dermoid cyst<br>subjects affected / exposed<br>occurrences (all)                | 0 / 10 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0   | 0 / 10 (0.00%)<br>0     |
| Cardiac disorders   |                       |                      |                         |
| Tachycardia<br>subjects affected / exposed<br>occurrences (all)                 | 2 / 10 (20.00%)<br>3  | 0 / 3 (0.00%)<br>0   | 0 / 10 (0.00%)<br>0     |
| Palpitations<br>subjects affected / exposed<br>occurrences (all)                | 0 / 10 (0.00%)<br>0   | 1 / 3 (33.33%)<br>1  | 0 / 10 (0.00%)<br>0     |
| Sinus tachycardia<br>subjects affected / exposed<br>occurrences (all)           | 0 / 10 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0   | 0 / 10 (0.00%)<br>0     |
| Atrial fibrillation<br>subjects affected / exposed<br>occurrences (all)         | 0 / 10 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0   | 1 / 10 (10.00%)<br>1    |
| Nervous system disorders  |                       |                      |                         |
| Dysgeusia<br>subjects affected / exposed<br>occurrences (all)                   | 1 / 10 (10.00%)<br>1  | 0 / 3 (0.00%)<br>0   | 0 / 10 (0.00%)<br>0     |
| Restless legs syndrome<br>subjects affected / exposed<br>occurrences (all)      | 1 / 10 (10.00%)<br>1  | 0 / 3 (0.00%)<br>0   | 0 / 10 (0.00%)<br>0     |
| Peripheral motor neuropathy<br>subjects affected / exposed<br>occurrences (all) | 0 / 10 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0   | 0 / 10 (0.00%)<br>0     |
| Anosmia<br>subjects affected / exposed<br>occurrences (all)                     | 1 / 10 (10.00%)<br>1  | 0 / 3 (0.00%)<br>0   | 0 / 10 (0.00%)<br>0     |
| Somnolence<br>subjects affected / exposed<br>occurrences (all)                  | 1 / 10 (10.00%)<br>2  | 0 / 3 (0.00%)<br>0   | 0 / 10 (0.00%)<br>0     |
| Tremor  |                       |                      |                         |

|   |                 |                 |                 |
|---|-----------------|-----------------|-----------------|
| subjects affected / exposed                 | 0 / 10 (0.00%)  | 0 / 3 (0.00%)   | 0 / 10 (0.00%)  |
| occurrences (all)                           | 0               | 0               | 0               |
| Paraesthesia                                |                 |                 |                 |
| subjects affected / exposed                 | 0 / 10 (0.00%)  | 0 / 3 (0.00%)   | 0 / 10 (0.00%)  |
| occurrences (all)                           | 0               | 0               | 0               |
| Meralgia paraesthetica                      |                 |                 |                 |
| subjects affected / exposed                 | 1 / 10 (10.00%) | 0 / 3 (0.00%)   | 0 / 10 (0.00%)  |
| occurrences (all)                           | 1               | 0               | 0               |
| Neuropathy peripheral                       |                 |                 |                 |
| subjects affected / exposed                 | 0 / 10 (0.00%)  | 0 / 3 (0.00%)   | 0 / 10 (0.00%)  |
| occurrences (all)                           | 0               | 0               | 0               |
| Aphasia                                     |                 |                 |                 |
| subjects affected / exposed                 | 0 / 10 (0.00%)  | 0 / 3 (0.00%)   | 1 / 10 (10.00%) |
| occurrences (all)                           | 0               | 0               | 1               |
| Dizziness                                   |                 |                 |                 |
| subjects affected / exposed                 | 1 / 10 (10.00%) | 0 / 3 (0.00%)   | 0 / 10 (0.00%)  |
| occurrences (all)                           | 1               | 0               | 0               |
| Dizziness postural                          |                 |                 |                 |
| subjects affected / exposed                 | 0 / 10 (0.00%)  | 0 / 3 (0.00%)   | 0 / 10 (0.00%)  |
| occurrences (all)                           | 0               | 0               | 0               |
| Headache                                    |                 |                 |                 |
| subjects affected / exposed                 | 1 / 10 (10.00%) | 1 / 3 (33.33%)  | 0 / 10 (0.00%)  |
| occurrences (all)                           | 1               | 2               | 0               |
| Coordination abnormal                       |                 |                 |                 |
| subjects affected / exposed                 | 1 / 10 (10.00%) | 0 / 3 (0.00%)   | 0 / 10 (0.00%)  |
| occurrences (all)                           | 1               | 0               | 0               |
| <b>Blood and lymphatic system disorders</b> |                 |                 |                 |
| Lymph node pain                             |                 |                 |                 |
| subjects affected / exposed                 | 0 / 10 (0.00%)  | 1 / 3 (33.33%)  | 0 / 10 (0.00%)  |
| occurrences (all)                           | 0               | 1               | 0               |
| Lymphocytosis                               |                 |                 |                 |
| subjects affected / exposed                 | 0 / 10 (0.00%)  | 0 / 3 (0.00%)   | 0 / 10 (0.00%)  |
| occurrences (all)                           | 0               | 0               | 0               |
| Neutropenia                                 |                 |                 |                 |
| subjects affected / exposed                 | 9 / 10 (90.00%) | 3 / 3 (100.00%) | 7 / 10 (70.00%) |
| occurrences (all)                           | 24              | 15              | 37              |

|                             |                 |                |                 |
|-----------------------------|-----------------|----------------|-----------------|
| Anaemia                     |                 |                |                 |
| subjects affected / exposed | 4 / 10 (40.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 6               | 0              | 0               |
| Lymphopenia                 |                 |                |                 |
| subjects affected / exposed | 3 / 10 (30.00%) | 1 / 3 (33.33%) | 2 / 10 (20.00%) |
| occurrences (all)           | 6               | 1              | 2               |
| Leukopenia                  |                 |                |                 |
| subjects affected / exposed | 3 / 10 (30.00%) | 0 / 3 (0.00%)  | 4 / 10 (40.00%) |
| occurrences (all)           | 9               | 0              | 10              |
| Febrile neutropenia         |                 |                |                 |
| subjects affected / exposed | 1 / 10 (10.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 1               | 0              | 0               |
| Thrombocytopenia            |                 |                |                 |
| subjects affected / exposed | 6 / 10 (60.00%) | 0 / 3 (0.00%)  | 6 / 10 (60.00%) |
| occurrences (all)           | 9               | 0              | 6               |
| Ear and labyrinth disorders |                 |                |                 |
| Tinnitus                    |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |
| Eye disorders               |                 |                |                 |
| Scleral haemorrhage         |                 |                |                 |
| subjects affected / exposed | 1 / 10 (10.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 1               | 0              | 0               |
| Refractive amblyopia        |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |
| Dry eye                     |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences (all)           | 0               | 0              | 1               |
| Diplopia                    |                 |                |                 |
| subjects affected / exposed | 1 / 10 (10.00%) | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 2               | 0              | 0               |
| Photophobia                 |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |
| Periorbital oedema          |                 |                |                 |

|  |                      |                     |                      |
|--|----------------------|---------------------|----------------------|
| subjects affected / exposed<br>occurrences (all)                         | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Gastrointestinal disorders</b>  |                      |                     |                      |
| Abdominal distension<br>subjects affected / exposed<br>occurrences (all) | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Abdominal pain lower<br>subjects affected / exposed<br>occurrences (all) | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Abdominal pain<br>subjects affected / exposed<br>occurrences (all)       | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Vomiting<br>subjects affected / exposed<br>occurrences (all)             | 2 / 10 (20.00%)<br>2 | 0 / 3 (0.00%)<br>0  | 1 / 10 (10.00%)<br>1 |
| Diarrhoea<br>subjects affected / exposed<br>occurrences (all)            | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 1 / 10 (10.00%)<br>1 |
| Abdominal pain upper<br>subjects affected / exposed<br>occurrences (all) | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 2 / 10 (20.00%)<br>2 |
| Toothache<br>subjects affected / exposed<br>occurrences (all)            | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Stomatitis<br>subjects affected / exposed<br>occurrences (all)           | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 1 / 10 (10.00%)<br>1 |
| Nausea<br>subjects affected / exposed<br>occurrences (all)               | 2 / 10 (20.00%)<br>3 | 1 / 3 (33.33%)<br>1 | 0 / 10 (0.00%)<br>0  |
| Constipation<br>subjects affected / exposed<br>occurrences (all)         | 1 / 10 (10.00%)<br>1 | 1 / 3 (33.33%)<br>1 | 1 / 10 (10.00%)<br>1 |
| Dyspepsia<br>subjects affected / exposed<br>occurrences (all)            | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |

|   |                      |                    |                      |
|---|----------------------|--------------------|----------------------|
| Gingival pain<br>subjects affected / exposed<br>occurrences (all)       | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Dry mouth<br>subjects affected / exposed<br>occurrences (all)           | 2 / 10 (20.00%)<br>2 | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| <b>Skin and subcutaneous tissue disorders</b>                           |                      |                    |                      |
| Night sweats<br>subjects affected / exposed<br>occurrences (all)        | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 1 / 10 (10.00%)<br>1 |
| Alopecia<br>subjects affected / exposed<br>occurrences (all)            | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Skin atrophy<br>subjects affected / exposed<br>occurrences (all)        | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Dry skin<br>subjects affected / exposed<br>occurrences (all)            | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Skin lesion<br>subjects affected / exposed<br>occurrences (all)         | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0 | 0 / 10 (0.00%)<br>0  |
| Rash<br>subjects affected / exposed<br>occurrences (all)                | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 2 / 10 (20.00%)<br>2 |
| Pruritus<br>subjects affected / exposed<br>occurrences (all)            | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 1 / 10 (10.00%)<br>1 |
| Rash maculo-papular<br>subjects affected / exposed<br>occurrences (all) | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 1 / 10 (10.00%)<br>1 |
| <b>Renal and urinary disorders</b>                                      |                      |                    |                      |
| Pollakiuria<br>subjects affected / exposed<br>occurrences (all)         | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0 | 1 / 10 (10.00%)<br>1 |
| Urinary tract pain  |                      |                    |                      |

|  |                      |                     |                      |
|--|----------------------|---------------------|----------------------|
| subjects affected / exposed<br>occurrences (all)                         | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Urinary retention<br>subjects affected / exposed<br>occurrences (all)    | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Dysuria<br>subjects affected / exposed<br>occurrences (all)              | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Musculoskeletal and connective tissue disorders                          |                      |                     |                      |
| Groin pain<br>subjects affected / exposed<br>occurrences (all)           | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Myalgia<br>subjects affected / exposed<br>occurrences (all)              | 0 / 10 (0.00%)<br>0  | 2 / 3 (66.67%)<br>2 | 1 / 10 (10.00%)<br>1 |
| Bone pain<br>subjects affected / exposed<br>occurrences (all)            | 1 / 10 (10.00%)<br>1 | 1 / 3 (33.33%)<br>1 | 0 / 10 (0.00%)<br>0  |
| Musculoskeletal pain<br>subjects affected / exposed<br>occurrences (all) | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Back pain<br>subjects affected / exposed<br>occurrences (all)            | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| Muscular weakness<br>subjects affected / exposed<br>occurrences (all)    | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 1 / 10 (10.00%)<br>1 |
| Flank pain<br>subjects affected / exposed<br>occurrences (all)           | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 1 / 10 (10.00%)<br>1 |
| Muscle spasms<br>subjects affected / exposed<br>occurrences (all)        | 0 / 10 (0.00%)<br>0  | 1 / 3 (33.33%)<br>1 | 1 / 10 (10.00%)<br>1 |
| Arthralgia   |                      |                     |                      |

|   |                      |                     |                      |
|---|----------------------|---------------------|----------------------|
| subjects affected / exposed<br>occurrences (all)                      | 1 / 10 (10.00%)<br>1 | 1 / 3 (33.33%)<br>1 | 1 / 10 (10.00%)<br>1 |
| Pain in extremity<br>subjects affected / exposed<br>occurrences (all) | 0 / 10 (0.00%)<br>0  | 1 / 3 (33.33%)<br>1 | 0 / 10 (0.00%)<br>0  |
| <b>Infections and infestations</b>                                    |                      |                     |                      |
| <b>Bronchitis</b>   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)                      | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Ear infection</b>  |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)                      | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 1 / 10 (10.00%)<br>1 |
| <b>Staphylococcal infection</b>                                       |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)                      | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Bacteraemia</b>  |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)                      | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Cellulitis</b>   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)                      | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Rhinovirus infection</b>   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)                      | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Cytomegalovirus infection<br/>reactivation</b>                     |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)                      | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Oral candidiasis</b>   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)                      | 0 / 10 (0.00%)<br>0  | 1 / 3 (33.33%)<br>1 | 2 / 10 (20.00%)<br>2 |
| <b>Urinary tract infection</b>  |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)                      | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Candida infection</b>  |                      |                     |                      |

|  |                      |                     |                      |
|--|----------------------|---------------------|----------------------|
| subjects affected / exposed<br>occurrences (all)             | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| COVID-19<br>subjects affected / exposed<br>occurrences (all) | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Metabolism and nutrition disorders</b>                    |                      |                     |                      |
| <b>Hypoglycaemia</b>   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Dehydration</b>   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Hyperuricaemia</b>  |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Hypokalaemia</b>  |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 2 / 10 (20.00%)<br>2 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Hyperglycaemia</b>  |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Hypocalcaemia</b>   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 1 / 10 (10.00%)<br>1 |
| <b>Hypercalcaemia</b>  |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 1 / 10 (10.00%)<br>2 | 1 / 3 (33.33%)<br>1 | 0 / 10 (0.00%)<br>0  |
| <b>Hypophosphataemia</b>                                     |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 0 / 10 (0.00%)<br>0  | 1 / 3 (33.33%)<br>2 | 0 / 10 (0.00%)<br>0  |
| <b>Hyperkalaemia</b>   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 0 / 10 (0.00%)<br>0  | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |
| <b>Hyponatraemia</b>   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all)             | 1 / 10 (10.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 0 / 10 (0.00%)<br>0  |

|                             |                 |                |                 |
|-----------------------------|-----------------|----------------|-----------------|
| Hypomagnesaemia             |                 |                |                 |
| subjects affected / exposed | 1 / 10 (10.00%) | 1 / 3 (33.33%) | 1 / 10 (10.00%) |
| occurrences (all)           | 1               | 3              | 1               |
| Malnutrition                |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |
| Decreased appetite          |                 |                |                 |
| subjects affected / exposed | 2 / 10 (20.00%) | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences (all)           | 2               | 0              | 1               |
| Steroid diabetes            |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 0 / 10 (0.00%)  |
| occurrences (all)           | 0               | 0              | 0               |
| Hypermagnesaemia            |                 |                |                 |
| subjects affected / exposed | 0 / 10 (0.00%)  | 0 / 3 (0.00%)  | 1 / 10 (10.00%) |
| occurrences (all)           | 0               | 0              | 1               |

| <b>Non-serious adverse events</b>                                   | Part 1: GEN3009<br>Dose Level F in S1 | Part 1: GEN3009<br>Dose Level G in S1 |  |
|---|---------------------------------------|---------------------------------------|--|
| Total subjects affected by non-serious adverse events               |                                       |                                       |  |
| subjects affected / exposed   | 3 / 3 (100.00%)                       | 6 / 6 (100.00%)                       |  |
| Neoplasms benign, malignant and unspecified (incl cysts and polyps) |                                       |                                       |  |
| Cancer pain   |                                       |                                       |  |
| subjects affected / exposed   | 1 / 3 (33.33%)                        | 0 / 6 (0.00%)                         |  |
| occurrences (all)   | 2                                     | 0                                     |  |
| Vascular disorders  |                                       |                                       |  |
| Hypotension   |                                       |                                       |  |
| subjects affected / exposed   | 2 / 3 (66.67%)                        | 0 / 6 (0.00%)                         |  |
| occurrences (all)   | 2                                     | 0                                     |  |
| Flushing  |                                       |                                       |  |
| subjects affected / exposed   | 0 / 3 (0.00%)                         | 0 / 6 (0.00%)                         |  |
| occurrences (all)   | 0                                     | 0                                     |  |
| Vein disorder   |                                       |                                       |  |
| subjects affected / exposed   | 0 / 3 (0.00%)                         | 0 / 6 (0.00%)                         |  |
| occurrences (all)   | 0                                     | 0                                     |  |
| Orthostatic hypotension   |                                       |                                       |  |
| subjects affected / exposed   | 1 / 3 (33.33%)                        | 0 / 6 (0.00%)                         |  |
| occurrences (all)   | 2                                     | 0                                     |  |

|   |                |                |  |
|---|----------------|----------------|--|
| Phlebitis   |                |                |  |
| subjects affected / exposed                                 | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)   | 1              | 0              |  |
| Deep vein thrombosis  |                |                |  |
| subjects affected / exposed                                 | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)   | 0              | 1              |  |
| Hypertension  |                |                |  |
| subjects affected / exposed                                 | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)   | 0              | 0              |  |
| <b>General disorders and administration site conditions</b> |                |                |  |
| Pain  |                |                |  |
| subjects affected / exposed                                 | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)   | 0              | 0              |  |
| Non-cardiac chest pain                                      |                |                |  |
| subjects affected / exposed                                 | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)   | 0              | 0              |  |
| Malaise   |                |                |  |
| subjects affected / exposed                                 | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)   | 0              | 1              |  |
| Injection site phlebitis                                    |                |                |  |
| subjects affected / exposed                                 | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)   | 0              | 0              |  |
| Mucosal inflammation  |                |                |  |
| subjects affected / exposed                                 | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)   | 0              | 0              |  |
| Fatigue   |                |                |  |
| subjects affected / exposed                                 | 2 / 3 (66.67%) | 2 / 6 (33.33%) |  |
| occurrences (all)   | 6              | 3              |  |
| Oedema  |                |                |  |
| subjects affected / exposed                                 | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)   | 0              | 1              |  |
| Asthenia  |                |                |  |
| subjects affected / exposed                                 | 1 / 3 (33.33%) | 1 / 6 (16.67%) |  |
| occurrences (all)   | 1              | 1              |  |
| Oedema peripheral   |                |                |  |

|  |                     |                     |  |
|--|---------------------|---------------------|--|
| subjects affected / exposed<br>occurrences (all)   | 1 / 3 (33.33%)<br>1 | 1 / 6 (16.67%)<br>1 |  |
| Pyrexia<br>subjects affected / exposed<br>occurrences (all)  | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Feeling jittery<br>subjects affected / exposed<br>occurrences (all)  | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Chills<br>subjects affected / exposed<br>occurrences (all)   | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Injection site reaction<br>subjects affected / exposed<br>occurrences (all)  | 1 / 3 (33.33%)<br>1 | 0 / 6 (0.00%)<br>0  |  |
| Influenza like illness<br>subjects affected / exposed<br>occurrences (all)   | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Infusion site paraesthesia<br>subjects affected / exposed<br>occurrences (all)   | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Immune system disorders<br>Hypersensitivity<br>subjects affected / exposed<br>occurrences (all)                                  | 0 / 3 (0.00%)<br>0  | 1 / 6 (16.67%)<br>1 |  |
| Hypogammaglobulinaemia<br>subjects affected / exposed<br>occurrences (all)   | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Reproductive system and breast disorders<br>Scrotal pain<br>subjects affected / exposed<br>occurrences (all)                     | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Respiratory, thoracic and mediastinal disorders<br>Acute respiratory failure<br>subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 1 / 6 (16.67%)<br>1 |  |
| Dyspnoea   |                     |                     |  |

|   |                     |                     |  |
|---|---------------------|---------------------|--|
| subjects affected / exposed<br>occurrences (all)                        | 2 / 3 (66.67%)<br>4 | 4 / 6 (66.67%)<br>4 |  |
| Haemoptysis<br>subjects affected / exposed<br>occurrences (all)         | 0 / 3 (0.00%)<br>0  | 1 / 6 (16.67%)<br>1 |  |
| Wheezing<br>subjects affected / exposed<br>occurrences (all)            | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Oropharyngeal pain<br>subjects affected / exposed<br>occurrences (all)  | 1 / 3 (33.33%)<br>1 | 0 / 6 (0.00%)<br>0  |  |
| Dyspnoea exertional<br>subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Productive cough<br>subjects affected / exposed<br>occurrences (all)    | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Rhinorrhoea<br>subjects affected / exposed<br>occurrences (all)         | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Hypoxia<br>subjects affected / exposed<br>occurrences (all)             | 0 / 3 (0.00%)<br>0  | 1 / 6 (16.67%)<br>1 |  |
| Cough<br>subjects affected / exposed<br>occurrences (all)               | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Dysphonia<br>subjects affected / exposed<br>occurrences (all)           | 1 / 3 (33.33%)<br>1 | 0 / 6 (0.00%)<br>0  |  |
| Psychiatric disorders   |                     |                     |  |
| Anxiety<br>subjects affected / exposed<br>occurrences (all)             | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Delirium<br>subjects affected / exposed<br>occurrences (all)            | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |

|  |                |                |  |
|--|----------------|----------------|--|
| Confusional state                        |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)                        | 0              | 1              |  |
| Insomnia                                 |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)                        | 0              | 1              |  |
| Investigations                           |                |                |  |
| Alanine aminotransferase increased       |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                        | 0              | 0              |  |
| Weight decreased                         |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)                        | 0              | 1              |  |
| Aspartate aminotransferase increased     |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                        | 0              | 0              |  |
| Blood alkaline phosphatase increased     |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)                        | 0              | 1              |  |
| CD4 lymphocytes decreased                |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                        | 0              | 0              |  |
| Blood creatine increased                 |                |                |  |
| subjects affected / exposed              | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)                        | 1              | 0              |  |
| Blood lactate dehydrogenase increased    |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                        | 0              | 0              |  |
| Blood creatinine increased               |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)                        | 0              | 1              |  |
| International normalised ratio increased |                |                |  |
| subjects affected / exposed              | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                        | 0              | 0              |  |
| Injury, poisoning and procedural         |                |                |  |

|  |                |                |  |
|--|----------------|----------------|--|
| complications                              |                |                |  |
| Ankle fracture                             |                |                |  |
| subjects affected / exposed                | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 1              | 0              |  |
| Fall                                       |                |                |  |
| subjects affected / exposed                | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 1              | 0              |  |
| Contusion                                  |                |                |  |
| subjects affected / exposed                | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 0              | 0              |  |
| Vascular access complication               |                |                |  |
| subjects affected / exposed                | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 0              | 0              |  |
| Skin laceration                            |                |                |  |
| subjects affected / exposed                | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 0              | 0              |  |
| Scratch                                    |                |                |  |
| subjects affected / exposed                | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 0              | 0              |  |
| Infusion related reaction                  |                |                |  |
| subjects affected / exposed                | 2 / 3 (66.67%) | 4 / 6 (66.67%) |  |
| occurrences (all)                          | 3              | 5              |  |
| Congenital, familial and genetic disorders |                |                |  |
| Dermoid cyst                               |                |                |  |
| subjects affected / exposed                | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 1              | 0              |  |
| Cardiac disorders                          |                |                |  |
| Tachycardia                                |                |                |  |
| subjects affected / exposed                | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 0              | 0              |  |
| Palpitations                               |                |                |  |
| subjects affected / exposed                | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 0              | 0              |  |
| Sinus tachycardia                          |                |                |  |
| subjects affected / exposed                | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)                          | 1              | 0              |  |

|                                 |                |               |  |
|---------------------------------|----------------|---------------|--|
| Atrial fibrillation             |                |               |  |
| subjects affected / exposed     | 0 / 3 (0.00%)  | 0 / 6 (0.00%) |  |
| occurrences (all)               | 0              | 0             |  |
| <b>Nervous system disorders</b> |                |               |  |
| Dysgeusia                       |                |               |  |
| subjects affected / exposed     | 0 / 3 (0.00%)  | 0 / 6 (0.00%) |  |
| occurrences (all)               | 0              | 0             |  |
| Restless legs syndrome          |                |               |  |
| subjects affected / exposed     | 0 / 3 (0.00%)  | 0 / 6 (0.00%) |  |
| occurrences (all)               | 0              | 0             |  |
| Peripheral motor neuropathy     |                |               |  |
| subjects affected / exposed     | 1 / 3 (33.33%) | 0 / 6 (0.00%) |  |
| occurrences (all)               | 1              | 0             |  |
| Anosmia                         |                |               |  |
| subjects affected / exposed     | 0 / 3 (0.00%)  | 0 / 6 (0.00%) |  |
| occurrences (all)               | 0              | 0             |  |
| Somnolence                      |                |               |  |
| subjects affected / exposed     | 0 / 3 (0.00%)  | 0 / 6 (0.00%) |  |
| occurrences (all)               | 0              | 0             |  |
| Tremor                          |                |               |  |
| subjects affected / exposed     | 0 / 3 (0.00%)  | 0 / 6 (0.00%) |  |
| occurrences (all)               | 0              | 0             |  |
| Paraesthesia                    |                |               |  |
| subjects affected / exposed     | 1 / 3 (33.33%) | 0 / 6 (0.00%) |  |
| occurrences (all)               | 1              | 0             |  |
| Meralgia paraesthetica          |                |               |  |
| subjects affected / exposed     | 0 / 3 (0.00%)  | 0 / 6 (0.00%) |  |
| occurrences (all)               | 0              | 0             |  |
| Neuropathy peripheral           |                |               |  |
| subjects affected / exposed     | 0 / 3 (0.00%)  | 0 / 6 (0.00%) |  |
| occurrences (all)               | 0              | 0             |  |
| Aphasia                         |                |               |  |
| subjects affected / exposed     | 0 / 3 (0.00%)  | 0 / 6 (0.00%) |  |
| occurrences (all)               | 0              | 0             |  |
| Dizziness                       |                |               |  |

|   |                       |                      |  |
|---|-----------------------|----------------------|--|
| subjects affected / exposed<br>occurrences (all)                          | 1 / 3 (33.33%)<br>1   | 0 / 6 (0.00%)<br>0   |  |
| Dizziness postural<br>subjects affected / exposed<br>occurrences (all)    | 0 / 3 (0.00%)<br>0    | 0 / 6 (0.00%)<br>0   |  |
| Headache<br>subjects affected / exposed<br>occurrences (all)              | 1 / 3 (33.33%)<br>3   | 0 / 6 (0.00%)<br>0   |  |
| Coordination abnormal<br>subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0    | 0 / 6 (0.00%)<br>0   |  |
| <b>Blood and lymphatic system disorders</b>                               |                       |                      |  |
| Lymph node pain<br>subjects affected / exposed<br>occurrences (all)       | 0 / 3 (0.00%)<br>0    | 0 / 6 (0.00%)<br>0   |  |
| Lymphocytosis<br>subjects affected / exposed<br>occurrences (all)         | 0 / 3 (0.00%)<br>0    | 0 / 6 (0.00%)<br>0   |  |
| Neutropenia<br>subjects affected / exposed<br>occurrences (all)           | 3 / 3 (100.00%)<br>19 | 6 / 6 (100.00%)<br>7 |  |
| Anaemia<br>subjects affected / exposed<br>occurrences (all)               | 1 / 3 (33.33%)<br>1   | 2 / 6 (33.33%)<br>3  |  |
| Lymphopenia<br>subjects affected / exposed<br>occurrences (all)           | 0 / 3 (0.00%)<br>0    | 0 / 6 (0.00%)<br>0   |  |
| Leukopenia<br>subjects affected / exposed<br>occurrences (all)            | 0 / 3 (0.00%)<br>0    | 2 / 6 (33.33%)<br>2  |  |
| Febrile neutropenia<br>subjects affected / exposed<br>occurrences (all)   | 0 / 3 (0.00%)<br>0    | 1 / 6 (16.67%)<br>2  |  |
| Thrombocytopenia<br>subjects affected / exposed<br>occurrences (all)      | 0 / 3 (0.00%)<br>0    | 0 / 6 (0.00%)<br>0   |  |

|                             |                |                |  |
|-----------------------------|----------------|----------------|--|
| Ear and labyrinth disorders |                |                |  |
| Tinnitus                    |                |                |  |
| subjects affected / exposed | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 1              | 0              |  |
| Eye disorders               |                |                |  |
| Scleral haemorrhage         |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Refractive amblyopia        |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)           | 0              | 1              |  |
| Dry eye                     |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Diplopia                    |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Photophobia                 |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)           | 0              | 1              |  |
| Periorbital oedema          |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Gastrointestinal disorders  |                |                |  |
| Abdominal distension        |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Abdominal pain lower        |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Abdominal pain              |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)           | 0              | 1              |  |
| Vomiting                    |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Diarrhoea                   |                |                |  |

|  |                     |                     |  |
|--|---------------------|---------------------|--|
| subjects affected / exposed<br>occurrences (all)                         | 2 / 3 (66.67%)<br>2 | 1 / 6 (16.67%)<br>1 |  |
| Abdominal pain upper<br>subjects affected / exposed<br>occurrences (all) | 1 / 3 (33.33%)<br>1 | 1 / 6 (16.67%)<br>1 |  |
| Toothache<br>subjects affected / exposed<br>occurrences (all)            | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Stomatitis<br>subjects affected / exposed<br>occurrences (all)           | 1 / 3 (33.33%)<br>2 | 1 / 6 (16.67%)<br>1 |  |
| Nausea<br>subjects affected / exposed<br>occurrences (all)               | 1 / 3 (33.33%)<br>1 | 1 / 6 (16.67%)<br>1 |  |
| Constipation<br>subjects affected / exposed<br>occurrences (all)         | 1 / 3 (33.33%)<br>3 | 2 / 6 (33.33%)<br>2 |  |
| Dyspepsia<br>subjects affected / exposed<br>occurrences (all)            | 0 / 3 (0.00%)<br>0  | 1 / 6 (16.67%)<br>1 |  |
| Gingival pain<br>subjects affected / exposed<br>occurrences (all)        | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| Dry mouth<br>subjects affected / exposed<br>occurrences (all)            | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |
| <b>Skin and subcutaneous tissue disorders</b>                            |                     |                     |  |
| Night sweats<br>subjects affected / exposed<br>occurrences (all)         | 0 / 3 (0.00%)<br>0  | 1 / 6 (16.67%)<br>1 |  |
| Alopecia<br>subjects affected / exposed<br>occurrences (all)             | 1 / 3 (33.33%)<br>2 | 0 / 6 (0.00%)<br>0  |  |
| Skin atrophy<br>subjects affected / exposed<br>occurrences (all)         | 0 / 3 (0.00%)<br>0  | 0 / 6 (0.00%)<br>0  |  |

|   |                |                |  |
|---|----------------|----------------|--|
| Dry skin  |                |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 0              | 0              |  |
| Skin lesion                                     |                |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 0              | 0              |  |
| Rash  |                |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 0              | 0              |  |
| Pruritus  |                |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 0              | 0              |  |
| Rash maculo-papular                             |                |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 0              | 0              |  |
| Renal and urinary disorders                     |                |                |  |
| Pollakiuria                                     |                |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 0              | 0              |  |
| Urinary tract pain                              |                |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 0              | 0              |  |
| Urinary retention                               |                |                |  |
| subjects affected / exposed                     | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 1              | 0              |  |
| Dysuria   |                |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)                               | 0              | 1              |  |
| Musculoskeletal and connective tissue disorders |                |                |  |
| Groin pain                                      |                |                |  |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 0              | 0              |  |
| Myalgia   |                |                |  |
| subjects affected / exposed                     | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)                               | 2              | 0              |  |
| Bone pain                                       |                |                |  |

|                             |                |                |  |
|-----------------------------|----------------|----------------|--|
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Musculoskeletal pain        |                |                |  |
| subjects affected / exposed | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 1              | 0              |  |
| Back pain                   |                |                |  |
| subjects affected / exposed | 1 / 3 (33.33%) | 1 / 6 (16.67%) |  |
| occurrences (all)           | 2              | 1              |  |
| Muscular weakness           |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Flank pain                  |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Muscle spasms               |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Arthralgia                  |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Pain in extremity           |                |                |  |
| subjects affected / exposed | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 1              | 0              |  |
| Infections and infestations |                |                |  |
| Bronchitis                  |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)           | 0              | 1              |  |
| Ear infection               |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Staphylococcal infection    |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Bacteraemia                 |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |

|  |                |                |  |
|--|----------------|----------------|--|
| Cellulitis                             |                |                |  |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                      | 0              | 0              |  |
| Rhinovirus infection                   |                |                |  |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                      | 0              | 0              |  |
| Cytomegalovirus infection reactivation |                |                |  |
| subjects affected / exposed            | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)                      | 1              | 0              |  |
| Oral candidiasis                       |                |                |  |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                      | 0              | 0              |  |
| Urinary tract infection                |                |                |  |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                      | 0              | 0              |  |
| Candida infection                      |                |                |  |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)                      | 0              | 1              |  |
| COVID-19                               |                |                |  |
| subjects affected / exposed            | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)                      | 1              | 0              |  |
| Metabolism and nutrition disorders     |                |                |  |
| Hypoglycaemia                          |                |                |  |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                      | 0              | 0              |  |
| Dehydration                            |                |                |  |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)                      | 0              | 1              |  |
| Hyperuricaemia                         |                |                |  |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 2 / 6 (33.33%) |  |
| occurrences (all)                      | 0              | 2              |  |
| Hypokalaemia                           |                |                |  |
| subjects affected / exposed            | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)                      | 0              | 0              |  |
| Hyperglycaemia                         |                |                |  |

|                             |                |                |  |
|-----------------------------|----------------|----------------|--|
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Hypocalcaemia               |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Hypercalcaemia              |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Hypophosphataemia           |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Hyperkalaemia               |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Hyponatraemia               |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Hypomagnesaemia             |                |                |  |
| subjects affected / exposed | 1 / 3 (33.33%) | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 1              | 0              |  |
| Malnutrition                |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 6 (16.67%) |  |
| occurrences (all)           | 0              | 1              |  |
| Decreased appetite          |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 2 / 6 (33.33%) |  |
| occurrences (all)           | 0              | 2              |  |
| Steroid diabetes            |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |
| Hypermagnesaemia            |                |                |  |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 6 (0.00%)  |  |
| occurrences (all)           | 0              | 0              |  |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date         | Amendment   |
|--------------|---|
| 09 July 2021 | - Updated Assessments, section references, and timepoints updated for Dose Escalation and Dose Expansion GEN3009 monotherapy.<br>- Revised to include details of new trial design including the rationale behind the design, dose and schedule rationale for GEN3009 monotherapy and GEN3009 +GEN3013 combination, and end of trial and end of treatment definitions. |

Notes:

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### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

Limitations of the trial such as small numbers of subjects analysed or technical problems leading to unreliable data.

The trial was terminated due to strategic evaluation of GEN3009 within context of Genmab's portfolio, decision not based on any safety or regulatory concerns.

Notes: